

Attachment 4

**Department of Defense (DoD)
Small Business Innovation Research (SBIR) Program
Small Business Technology Transfer (STTR) Program**

Disclosure of Funding Sources

In accordance with Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act (NDAA) for Fiscal Year 2021, DoD shall require, as part of any application for a research and development award—

- (1) that each covered individual listed on the application—
 - (A) disclose the amount, type, and source of all current and pending research support received by, or expected to be received by, the individual as of the time of the disclosure;
 - (B) certify that the disclosure is current, accurate, and complete; and
 - (C) agree to update such disclosure at the request of the agency prior to the award of support and at any subsequent time the agency determines appropriate during the term of the award; and
- (2) that any entity applying for such award certify that each covered individual who is employed by the entity and listed on the application has been made aware of the requirements under paragraph (1).

Full text of Section 223 of the FY21 NDAA, including relevant definitions, can be found on pages 84-86: <https://www.congress.gov/116/plaws/publ283/PLAW-116publ283.pdf>.

Small Business Concern (SBC)	
SBC Unique Entity ID (UEI)	
Proposal # (assigned by DSIP when proposal is created)	
SBC Point of Contact (POC) Name	
SBC POC Phone #	
SBC POC Email	

The SBC has been made aware of the requirements outlined in Section 223(a) of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021 and certifies that the disclosures provided below are current, accurate, and complete. The SBC further agrees to update such disclosure at the request of DoD prior to the award of support and at any subsequent time DoD determines appropriate during the term of the award.

Name of person authorized to sign: _____

Signature of person authorized: _____

Date: _____

Covered individuals have no current or pending research support to disclose in accordance with Section 223 of the FY21 NDAA, as described above.

Disclosures

Covered Individual's Name:	
Covered Individual's Position:	
Current and Pending Funding Amount:	
Current and Pending Funding Type:	
Current and Pending Funding Source:	
Covered Individual's Name:	
Covered Individual's Position:	
Current and Pending Funding Amount:	
Current and Pending Funding Type:	
Current and Pending Funding Source:	
Covered Individual's Name:	
Covered Individual's Position:	
Current and Pending Funding Amount:	
Current and Pending Funding Type:	
Current and Pending Funding Source:	
Covered Individual's Name:	
Covered Individual's Position:	
Current and Pending Funding Amount:	
Current and Pending Funding Type:	
Current and Pending Funding Source:	
Covered Individual's Name:	
Covered Individual's Position:	
Current and Pending Funding Amount:	
Current and Pending Funding Type:	
Current and Pending Funding Source:	

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