

# **CO-SECTOR RISK MANAGEMENT AGENCY (SRMA)**



**COMDTINST 3058.1**

**July 2023**

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U.S. Department of  
Homeland Security

United States  
Coast Guard



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COMMANDANT INSTRUCTION 3058.1

SUBJ: CO-SECTOR RISK MANAGEMENT AGENCY (SRMA)

- Ref:
- (a) The National Infrastructure Protection Plan (NIPP): Partnering for Critical Infrastructure Security and Resilience, 2013
  - (b) Critical Infrastructure Security and Resilience, Presidential Policy Directive 21 (PPD-21)
  - (c) The William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021
  - (d) U.S. Department of Transportation and U.S. Department of Homeland Security Transportation Systems Sector-Specific Plan, 2015
  - (e) Executive Order 13636, "Improving Critical Infrastructure Cybersecurity." February 12, 2013
  - (f) Executive Order 13800, "Strengthening the Cybersecurity of Federal Networks and Critical Infrastructure." May 11, 2017

1. PURPOSE. To promulgate policy including roles and responsibilities for the U. S. Coast Guard as a Co-Sector Risk Management Agency for the maritime subsector of Transportation Systems Sector.
2. ACTION. All Coast Guard unit commanders, commanding officers, officers-in-charge, deputy/assistant commandants, chief of headquarter directorates must comply with the policies contained.
3. AUTHORIZED RELEASE. Internet release is authorized.
4. DIRECTIVES AFFECTED. None.
5. DISCUSSION.
  - a. Reference (a) outlines how government and private sector participants collaborate to manage risks and achieve resilient and secure critical infrastructure. This partnership model was further refined by References (b) and (c), which organized the Nation's critical infrastructure into 16 sectors, identified Sector-Specific Agencies (SSAs) for each of the sectors, and established the requirement for partnerships between critical

infrastructure owners, operations, and all levels government. Reference (c) further refined SSA roles and renamed them Sector Risk Management Agencies (SRMAs).

- b. Reference (b) designates the Department of Homeland Security (DHS) and the Department of Transportation as Co-SRMAs for the Transportation Systems Sector (TSS). Section 9002 of Reference (d) subsequently delegates DHS's responsibility for the maritime subsector of the TSS to the Coast Guard and designates the Coast Guard as DHS's executive agent. The TSS is further broken down into aviation, maritime, highway and motor carrier, mass transit and passenger rail, pipeline systems, freight rail, and postal and shipping sub-sectors. The Transportation Security Administration (TSA) serves as the executive agent for the other subsectors.
- c. SRMAs are expected to apply existing statutory or regulatory authorities and leverage existing sector familiarity and relationships to secure and improve the resilience of critical infrastructure. As applied to the Coast Guard, Section 9002 of Reference (c) charges the Coast Guard to:
  - (1) Coordinate with the Director of the Cybersecurity and Infrastructure Security Agency (CISA) and Co-SRMA partners in supporting holistic sector risk management, including assisting critical infrastructure owners and operators in assessing and understanding risks to the sector, and recommending security measures to mitigate the consequences of destruction, compromise, and disruption of systems and assets;
  - (2) Serve as a day-to-day Federal interface for the prioritization and coordination of sector-specific activities and responsibilities, serving as the Federal Government coordinating council chair for the designated sector or subsector of such sector, and participating in cross-sector coordinating councils, as appropriate;
  - (3) Facilitate, in coordination with the Director of CISA, information sharing with the Department of Homeland Security and other appropriate Federal departments regarding physical security and cybersecurity threats within the designated sector or subsector of such sector;
  - (4) Support incident management, including supporting, in coordination with the Director of CISA, incident management and restoration efforts during or following a security incident; and supporting the Director of CISA, upon request, in national cybersecurity asset response activities for critical infrastructure; and
  - (5) Contribute to emergency preparedness efforts, including coordinating with critical infrastructure owners and operators in the development of planning documents for coordinated action in the event of a natural disaster, act of terrorism, or other man-made disaster or emergency.
- d. In 2015, the co-SRMAs developed Reference (c), a Transportation Systems Sector-Specific Plan (TS-SSP). The TS-SSP guides and integrates efforts to strengthen the resilience and security of transportation infrastructure and includes a number of

sector goals and priorities that align with Coast Guard mission areas. Any future updates to the TS-SSP should inform the Coast Guard's co-SRMA activities.

- e. The Coast Guard meets many of its co-SRMA responsibilities through the course of normal operations, information sharing processes, and collaboration across various levels of government. However, some co-SRMA responsibilities cut across Coast Guard mission areas and are not fully accounted for in the existing policies and processes. This Instruction is designed to better document the roles and responsibilities for executing its role as a co-SRMA for those instances.
6. DISCLAIMER. This guidance is not a substitute for applicable legal requirements, nor is it itself a rule. It is intended to provide operational guidance for Coast Guard personnel and is not intended to nor does it impose legally-binding requirements on any party outside the Coast Guard.
  7. ENVIRONMENTAL ASPECT AND IMPACT CONSIDERATIONS. The Office of Environmental Management, Commandant (CG-47) reviewed this Commandant Instruction and the general policies contained within, and determined that this policy falls under the Department of Homeland Security (DHS) categorical exclusion A3. This Commandant Instruction will not result in any substantial change to existing environmental conditions or violation of any applicable federal, state, or local laws relating to the protection of the environment. It is the responsibility of the action proponent to evaluate all future specific actions resulting from this policy for compliance with the National Environmental Policy Act (NEPA), other applicable environmental requirements, and the U.S. Coast Guard Environmental Planning Policy, COMDTINST 5090.1 (series).
  8. DISTRIBUTION. No paper distribution will be made of this Instruction. An electronic version will be located in the Coast Guard Directives System Library internally, and if applicable on the Internet at [www.dcms.uscg.mil/directives](http://www.dcms.uscg.mil/directives) .
  9. RECORDS MANAGEMENT CONSIDERATIONS. Records created as a result of this Instruction, regardless of format or media, must be managed in accordance with the records retention schedules located on the Records Resource Center SharePoint site at: <https://uscg.sharepoint-mil.us/sites/cg61/SitePages/CG-611-RIM.aspx> .
  10. RESPONSIBILITIES. Coast Guard units shall take the following actions in response to execute or support the Coast Guard's co-SRMA responsibilities:
    - a. The Assistant Commandant for Prevention Policy (CG-5P) will:
      - (1) Serve as the primary Assistant Commandant to coordinate the Coast Guard's co-SRMA responsibilities.
      - (2) Serve as the Co-Chair of the Maritime Government Coordinating Council (GCC).
      - (3) Promulgate directives, standard operating procedures, work instructions, or other policy direction that clearly defines internal Coast Guard processes for managing and executing co-SRMA activities.

- (4) Maintain and update this policy as necessary.
- b. The Office of Port and Facility Compliance (CG-FAC) will:
  - (1) Serve as the primary coordinator of the Coast Guard's co-SRMA responsibilities.
  - (2) Serve as the primary interface with co-SRMAs in the TSS.
  - (3) Facilitate the activities of the Maritime GCC and ensure the Coast Guard meets its responsibilities as described in the Maritime GCC Charter.
  - (4) Facilitate the activities of the Maritime Sector Coordinating Council (SCC) and ensure the Coast Guard meets its responsibilities as described in the Maritime SCC Charter.
  - (5) Develop standard operating procedures or work instructions that outline internal Coast Guard processes for managing and executing co-SRMA responsibilities activities.
  - (6) Notify and coordinate with other Program Offices when co-SRMA-related requests for information or action falls within their program areas.
- c. Headquarters Assistant Commandants and Program Offices will:
  - (1) Support the Coast Guard's co-SRMA responsibilities within their program areas.
  - (2) Notify CG-FAC upon receipt of tasking for SRMAs from the National Security Council, DHS, or other entities.
- d. Area, District, Sector, and other operational commanders (Including Coast Guard Cyber Command) will:
  - (1) Support the Coast Guard's co-SRMA obligations within their area of responsibilities.
  - (2) Notify Commandant (CG-FAC) upon receipt of tasking for SRMAs from the National Security Council, DHS, or other entities.

11. FORMS/REPORTS. None.

12. SECTION 508. This Instruction was created to adhere to Accessibility guidelines and standards as promulgated by the U.S. Access Board. If changes are needed, please communicate with the Coast Guard Sector 508 Program Management Office at [Section.508@uscg.mil](mailto:Section.508@uscg.mil).

13. REQUEST FOR CHANGES. Units and individuals may formally recommend changes through the chain of command using the Coast Guard Memorandum. Comments and suggestions from users of this Instruction are welcomed. All such correspondence may be emailed to Commandant (CG-5P) at: [hqs-dg-1st-cg-fac-1@uscg.mil](mailto:hqs-dg-1st-cg-fac-1@uscg.mil).

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