

**APPLICATION FOR A RECEPTION FACILITY CERTIFICATE OF ADEQUACY FOR OZONE
DEPLETING SUBSTANCES AND EXHAUST GAS CLEANING SYSTEM RESIDUE
FORM D**

The Act to Prevent Pollution From Ships (33 U.S.C. 1901 et. seq.) authorizes the Secretary of the Department of Homeland Security to issue certificates to Terminals and Ports verifying their adequacy to receive Ozone Depleting Substances (ODS) and Exhaust Gas Cleaning Systems residues (EGCS) from ships. International guidance for reception facilities under MARPOL Annex VI is contained in IMO Resolution MEPC.199(62). To continue to receive ships at a port or terminal an applicant must hold a Certificate of Adequacy for ODS or EGCS if it receives oceangoing tankers, or any other oceangoing ship of 400 gross tons or more requesting to discharge ODS or EGCS. To receive a Certificate of Adequacy for ODS or EGCS, application is required on Form D.

Definitions:

"Terminal": An onshore facility or an offshore structure located in the navigable waters of the United States or subject to the jurisdiction of the United States and used, or intended to be used, as a port or facility for the transfer or other handling of a harmful substance. Per 33 CFR 158, terminal can also mean a commercial fishing facility, recreational boating facility and a mineral and oil industry shorebase. The definition of "navigable waters" for the purposes of this section may be found in 33 CFR 2.05-25.

"Port": Per 33 CFR 158, port means: (1) A group of terminals that combines to act as a unit and be considered a port; (2) A port authority or other organization that chooses to be considered a port; or (3) A place or facility specifically designated as a port by the COTP.

A. Terminal Section

1. Complete this section if you are applying as a SINGLE TERMINAL

Name of Terminal

Street Address

City, State, Zip Code

Name of Terminal Person-in-Charge

Phone Number (Enter Area Code)

After completing this section go to Section C.

2. Check the following boxes if the terminal receives or discharges any of the following cargoes from vessels visiting the terminal:

- | | | |
|---|------------------------------------|----------------------------------|
| <input type="checkbox"/> Oil | <input type="checkbox"/> Discharge | <input type="checkbox"/> Receive |
| <input type="checkbox"/> Oily wastes | <input type="checkbox"/> Discharge | <input type="checkbox"/> Receive |
| <input type="checkbox"/> Fuel | <input type="checkbox"/> Discharge | <input type="checkbox"/> Receive |
| <input type="checkbox"/> Bulk dry cargo | <input type="checkbox"/> Discharge | <input type="checkbox"/> Receive |
| <input type="checkbox"/> Explosives | <input type="checkbox"/> Discharge | <input type="checkbox"/> Receive |
| <input type="checkbox"/> Hazardous substances | <input type="checkbox"/> Discharge | <input type="checkbox"/> Receive |
| <input type="checkbox"/> Fish | <input type="checkbox"/> Discharge | <input type="checkbox"/> Receive |
| <input type="checkbox"/> Other | <input type="checkbox"/> Discharge | <input type="checkbox"/> Receive |

3. Check the following boxes if the terminal handles or services any of the following vessels:

- | | |
|--|---|
| <input type="checkbox"/> Vessels of foreign registry | <input type="checkbox"/> Unmanned barges |
| <input type="checkbox"/> U.S. Vessels on domestic trade | <input type="checkbox"/> Chemical ships |
| <input type="checkbox"/> U.S. Vessels in foreign trade | <input type="checkbox"/> Container ships |
| <input type="checkbox"/> Passenger vessels | <input type="checkbox"/> Break bulk ships |
| <input type="checkbox"/> Vessels servicing the offshore mineral and oil industry | <input type="checkbox"/> Fishing ships |
| | <input type="checkbox"/> Ferry boat ships |

B. Port Section

1. Complete this section if you are applying as a PORT

Name of Terminal

Street Address

City, State, Zip Code

Name of Terminal Person-in-Charge

Phone Number (Enter Area Code)

Number of terminals which will be members of this Port? _____

B. PORT SECTION (continued) Individual terminal data:

Ports are to complete the following entries for each individual terminal which is a member of the port.

2.		Check the following boxes if the terminal receives or discharges any of the following cargoes from vessels visiting the terminal:		
Name of Terminal	<input type="checkbox"/> Oil	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Street Address	<input type="checkbox"/> Oily wastes	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
City, State, Zip Code	<input type="checkbox"/> Fuel	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Name of Terminal Person-in-Charge	<input type="checkbox"/> Bulk dry cargo	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Phone Number (Enter Area Code)	<input type="checkbox"/> Explosives	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
<i>Signature of Person-in-Charge of Terminal. (Signature indicates Terminal Acknowledges that terminal agrees and consents to being considered as a member of the port, described in Section B.)</i>	<input type="checkbox"/> Hazardous substances	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	<input type="checkbox"/> Fish	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	<input type="checkbox"/> Other	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	Check the following boxes if the terminal handles or services any of the following vessels:			
	<input type="checkbox"/> Vessels of foreign registry	<input type="checkbox"/> Unmanned barges		
<input type="checkbox"/> U.S. Vessels on domestic trade	<input type="checkbox"/> Chemical ships			
<input type="checkbox"/> U.S. Vessels in foreign trade	<input type="checkbox"/> Container ships			
<input type="checkbox"/> Passenger vessels	<input type="checkbox"/> Break bulk ships			
<input type="checkbox"/> Vessels servicing the offshore mineral and oil industry	<input type="checkbox"/> Fishing ships			
<input type="checkbox"/>	<input type="checkbox"/> Ferry boat ships			
3.		Check the following boxes if the terminal receives or discharges any of the following cargoes from vessels visiting the terminal:		
Name of Terminal	<input type="checkbox"/> Oil	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Street Address	<input type="checkbox"/> Oily wastes	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
City, State, Zip Code	<input type="checkbox"/> Fuel	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Name of Terminal Person-in-Charge	<input type="checkbox"/> Bulk dry cargo	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Phone Number (Enter Area Code)	<input type="checkbox"/> Explosives	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
<i>Signature of Person-in-Charge of Terminal. (Signature indicates Terminal Acknowledges that terminal agrees and consents to being considered as a member of the port, described in Section B.)</i>	<input type="checkbox"/> Hazardous substances	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	<input type="checkbox"/> Fish	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	<input type="checkbox"/> Other	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	Check the following boxes if the terminal handles or services any of the following vessels:			
	<input type="checkbox"/> Vessels of foreign registry	<input type="checkbox"/> Unmanned barges		
<input type="checkbox"/> U.S. Vessels on domestic trade	<input type="checkbox"/> Chemical ships			
<input type="checkbox"/> U.S. Vessels in foreign trade	<input type="checkbox"/> Container ships			
<input type="checkbox"/> Passenger vessels	<input type="checkbox"/> Break bulk ships			
<input type="checkbox"/> Vessels servicing the offshore mineral and oil industry	<input type="checkbox"/> Fishing ships			
<input type="checkbox"/>	<input type="checkbox"/> Ferry boat ships			
4.		Check the following boxes if the terminal receives or discharges any of the following cargoes from vessels visiting the terminal:		
Name of Terminal	<input type="checkbox"/> Oil	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Street Address	<input type="checkbox"/> Oily wastes	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
City, State, Zip Code	<input type="checkbox"/> Fuel	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Name of Terminal Person-in-Charge	<input type="checkbox"/> Bulk dry cargo	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
Phone Number (Enter Area Code)	<input type="checkbox"/> Explosives	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
<i>Signature of Person-in-Charge of Terminal. (Signature indicates Terminal Acknowledges that terminal agrees and consents to being considered as a member of the port, described in Section B.)</i>	<input type="checkbox"/> Hazardous substances	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	<input type="checkbox"/> Fish	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	<input type="checkbox"/> Other	<input type="checkbox"/> Discharge	<input type="checkbox"/> Receive	
	Check the following boxes if the terminal handles or services any of the following vessels:			
	<input type="checkbox"/> Vessels of foreign registry	<input type="checkbox"/> Unmanned barges		
<input type="checkbox"/> U.S. Vessels on domestic trade	<input type="checkbox"/> Chemical ships			
<input type="checkbox"/> U.S. Vessels in foreign trade	<input type="checkbox"/> Container ships			
<input type="checkbox"/> Passenger vessels	<input type="checkbox"/> Break bulk ships			
<input type="checkbox"/> Vessels servicing the offshore mineral and oil industry	<input type="checkbox"/> Fishing ships			
<input type="checkbox"/>	<input type="checkbox"/> Ferry boat ships			

SECTION C (33 CFR 158)

1. Does the terminal or port receive visits from vessels, requiring reception facilities for discharge for ozone depleting substances?

YES NO (If the answer is "NO" go to question No. 3)

2. Is the port or terminal operator able to provide the ship with information regarding the nearest authorized EPA ODS handling and disposal facility in accordance with EPA ODS program regulations?

YES (If the answer is "YES" please fill in the information below.) NO (If the answer is "NO" you may attach a separate waiver request per 33 CFR 158.)

Name of EPA Approved ODS Facility

City

State

Phone Number

3. Is the terminal or port able to receive EGCS residues which the master or person-in-charge of a ship desires to discharge?

YES NO (If the answer is "NO" you may attach a separate waiver request per 33 CFR 158.)

The terminal/port person-in-charge identified in the Application shall notify the U.S. Coast Guard Captain of the Port (COTP) in writing 30 days after any of the terminal/port information supplied under 33 CFR 158.165(b)(3) changes.

Penalties. The port/terminal owner, operator, or person in charge is liable for violations of the provisions of 33 CFR 158 and may be subject to the maximum penalty under 33 USC 1908, as adjusted for inflation and found at 33 CFR 27.3.

CERTIFICATION

I HEREBY CERTIFY THAT THE INFORMATION PROVIDED IN THIS APPLICATION FOR A WASTE RECEPTION FACILITY CERTIFICATE OF ADEQUACY IS COMPLETE, TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

PRINTED OR TYPED NAME OF PERSON IN CHARGE: _____

SIGNATURE OF TERMINAL/PORT
PERSON IN CHARGE: _____

DATE SIGNED: _____

Privacy Act Statement

Authority: The Act to Prevent Pollution From Ships (33 U.S.C 1901 et. seq.) authorizes the Department of Homeland Security to issue certificates to Terminals and Ports verifying their adequacy to receive Ozone Depleting Substances (ODS) and Exhaust Gas Cleaning Systems residues (EGCS) from ships. International guidance for reception facilities under MARPOL Annex VI is contained in IMO Resolution MEPC.199(62). To continue to receive ships at a port or terminal an applicant must hold a Certificate of Adequacy for ODS or EGCS if it receives oceangoing tankers, or any other oceangoing ship of 400 gross tons or more requesting to discharge ODS or EGCS. To receive a Certificate of Adequacy for ODS or EGCS, application is required on Form D.

Purpose: Waterfront Facilities are required to be certified to have adequate reception capabilities when receiving operational ODS or EGCS from ocean going tankers, or any other ocean going ship of 400 gross tons or more.

Routine Uses: The information supplied on the form will be used by and disclosed to Coast Guard personnel. Additionally, the Coast Guard may share the information with law enforcement or other government agencies as necessary to respond to any incidents that may occur as a result of any Marine Pollution by ODS or EGCS or pursuant to its published Privacy Act system of records notice.

Disclosure: Furnishing this information is voluntary; however, failure to furnish the requested information may delay or prevent the issuance of the Certificate of Adequacy (Form CG-5401).

Burden Statement: The Coast Guard estimates that the average burden for this report is 30 minutes. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (CG-FAC-2), U.S. Coast Guard, 2703 Martin Luther King Jr Ave SE Stop 7501, Washington, DC 20593-7501 or Office of Management and Budget, Paperwork Reduction Project (1625-0045), Washington, DC 20593.

Submit the application to: THE LOCAL CAPTAIN OF THE PORT OFFICE