



# INSPECTOR GENERAL

*U.S. Department of Defense*



## COMPENDIUM OF OPEN OFFICE OF INSPECTOR GENERAL RECOMMENDATIONS TO THE DEPARTMENT OF DEFENSE

*as of March 31, 2022*

**Controlled-by:** DoD-OIG

**Controlled-by:** Audit

**CUI Category:** Defense; DoD Critical Infrastructure Security Information; Operations Security Information; Inspector General Protected; International Agreement Information; Procurement and Acquisition; Information Systems Vulnerability Information; General Proprietary Business Information; Physical Security; General Critical Infrastructure Information; Privacy Health Information; Emergency Management; Unclassified Controlled Nuclear Information-Defense; General Intelligence

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## MISSION

To detect and deter fraud, waste, and abuse  
in Department of Defense programs and operations;  
Promote the economy, efficiency, and effectiveness of the DoD; and  
Help ensure ethical conduct throughout the DoD

## VISION

Engaged oversight professionals dedicated  
to improving the DoD



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DEPARTMENT OF DEFENSE**  
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ALEXANDRIA, VIRGINIA 22350-1500

July 29, 2022

**MEMORANDUM FOR SECRETARY OF DEFENSE**

**SUBJECT: Compendium of Open Office of Inspector General Recommendations  
to the Department of Defense**

This is the DoD Office of Inspector General's (DoD OIG) sixth annual Compendium of Open Office of Inspector General Recommendations to the Department of Defense. As of March 31, 2022, the number of open DoD OIG recommendations had decreased from 1,456 on March 31, 2021, to 1,425. DoD management has agreed to take corrective actions on 1,345 of the 1,425 open recommendations. Of these 1,425 open recommendations, 50 have identified potential monetary benefits totaling \$6.1 billion. The remaining 80 recommendations are considered unresolved because the DoD OIG and DoD Components have not agreed on corrective actions that meet the intent of the recommendation.

The DoD has provided supporting documentation that led to the closure of more than 3,500 recommendations in the 5 years since the Compendium's original issuance in 2017. However, 1,064 recommendations reported in previous Compendiums remain open, and the DoD OIG has made an additional 476 recommendations in audit and evaluation reports that were issued since the previous Compendium, of which 360 were open as of March 31, 2022.

The number of aged recommendations increased marginally since last year's Compendium. This year, the number of open recommendations more than 5 years old increased from 191 in the 2021 Compendium to 195. While the overall number of aged recommendations remained relatively steady, the number of recommendations more than 7 years old increased by more than 260 percent since the 2021 Compendium. This indicates that, while the DoD is making progress in closing recommendations made in more recent reports, the oldest recommendations remain unimplemented.

For the second year in a row, the number of unresolved recommendations has decreased, with an 8-percent reduction since last year's Compendium, from 87 to 80. As in previous Compendiums, the majority (79 percent) of these recommendations were unresolved because the DoD Component did not provide adequate comments explaining how management planned to address the issues identified in the report. For example, DoD management may have concurred with a recommendation, but it did not explain the corrective actions it planned to take in order to implement the recommendation.

In addition to the recommendations discussed above, during the audits of the DoD's and DoD Components' FY 2021 financial statements, auditors issued notices of findings and recommendations (NFRs) related to material weaknesses, significant deficiencies, and non-compliance with laws and regulations. NFRs express to management the weaknesses in financial processes, the impact of those weaknesses, and the reason the weaknesses exist. NFRs also communicate recommendations for how to correct the weaknesses. While these financial statement recommendations are not listed in this Compendium, we have included a chapter in the Compendium that discusses the FY 2021 audit as well as the process that the DoD OIG and independent public accounting firms use to follow up on those recommendations.

While the DoD OIG generally closes recommendations when DoD management has completed agreed-upon actions, on rare occasions recommendations may be closed due to circumstances that preclude DoD management from completing agreed-upon actions. Since the 2021 Compendium, the DoD OIG closed 17 recommendations that were not implemented, 14 of which were directly related to the DoD's operations in Afghanistan.

We appreciate your continued support of the DoD OIG's work.



Sean O'Donnell  
Acting Inspector General



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## INTRODUCTION

The Department of Defense Office of Inspector General (DoD OIG) provides independent oversight of DoD programs and activities, in accordance with the Inspector General Act of 1978, as amended. Our mission is to detect and deter fraud, waste, and abuse in DoD programs and operations; promote the economy, efficiency, and effectiveness of the DoD; and help ensure ethical conduct throughout the DoD.

Pursuant to this mission, the DoD OIG conducts independent audits, evaluations, and investigations of DoD programs, operations, and personnel. Our audits, evaluations, and investigations result in reports that contain recommendations to improve program management and operations and to address fraud, abuse, mismanagement, and waste of DoD funds.

The DoD OIG tracks the status of recommendations made in audit, evaluation, and investigation reports to ensure that management actions are taken to implement the report's agreed-upon recommendations. Timely implementation of agreed-upon corrective actions is critical for DoD Components to improve the efficiency and effectiveness of DoD programs and operations, as well as to ensure integrity and accountability, reduce costs, manage risks, realize monetary benefits, and improve management processes.

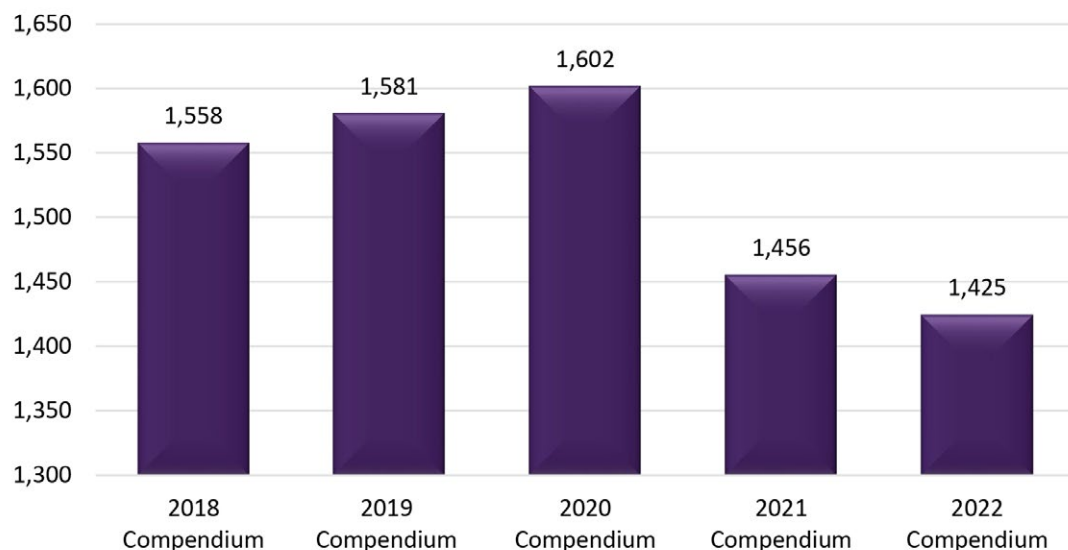
The purpose of the Compendium is to summarize DoD OIG recommendations issued to DoD Components and to provide transparency on the number and status of open recommendations made in audit and evaluation reports. An open recommendation is a recommendation made in a previously issued DoD OIG report for which corrective actions have not been completed.

## INTRODUCTION

The DoD OIG issued its first Compendium in 2017, reporting on 1,298 open recommendations. In the three subsequent Compendiums, the number of recommendations increased reaching a peak of 1,602 in 2020. However, since then the number of recommendations decreased to 1,456 in 2021 and to 1,425 in this year's Compendium. The number of aged recommendations has grown each year, but the pace of growth has slowed significantly in recent years, and the number of aged recommendations has increased by only 2 percent since the 2021 Compendium. In addition, the DoD has made notable progress regarding unresolved recommendations, which have decreased for the second year in a row.

Figure 1 illustrates the number of open recommendations that have been reported in each of the last five Compendiums.

*Figure 1. Number of Open Recommendations Reported in Last Five Compendiums*



Source: The DoD OIG.

The DoD OIG continues to evaluate the DoD's response to the coronavirus disease–2019 (COVID-19) pandemic and has issued various pandemic-related reports. Between April 1, 2020, and March 31, 2022, the DoD OIG issued 35 reports related to the pandemic. These reports included 99 recommendations, 35 of which are closed.

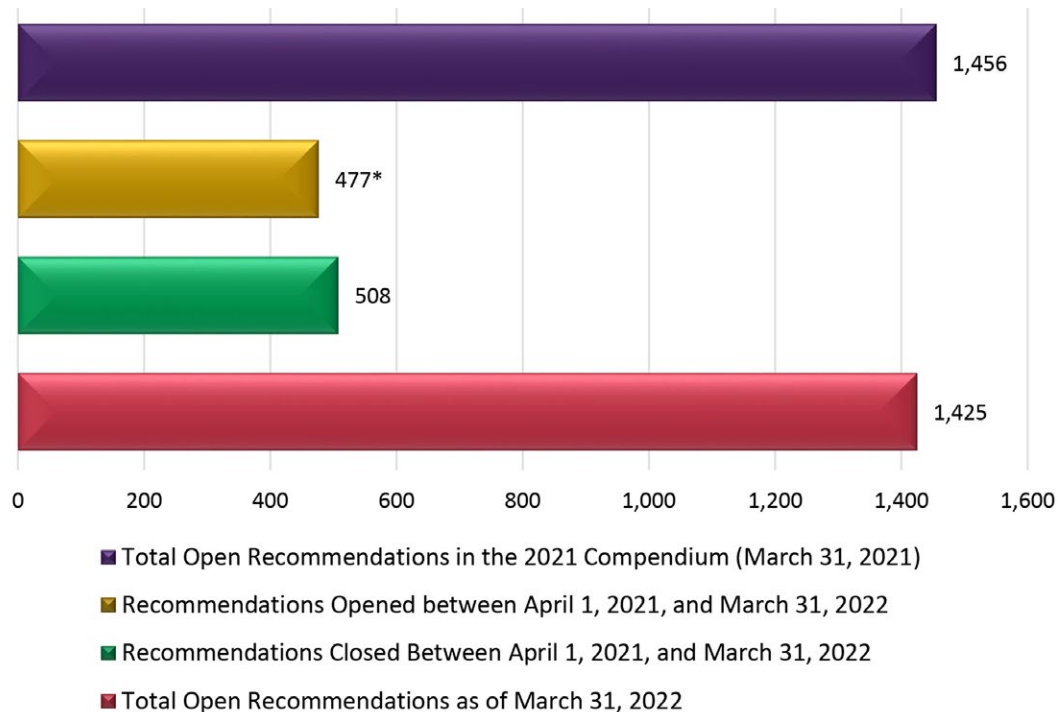
In addition, this past year saw the U.S. military withdraw from Afghanistan. The DoD OIG had previously issued reports with recommendations that were directly related to the DoD's presence in Afghanistan. Not all of these recommendations were implemented and, because they have been overcome by events, 14 were closed.

## INTRODUCTION

DoD management has taken action or provided documentation that enabled the DoD OIG to close 392 of the 1,456 (27 percent) recommendations listed in the 2021 Compendium, including 3 of the 20 (15 percent) identified as high-priority, and 9 of the 45 (20 percent) with potential monetary benefits, totaling more than \$62.5 million. Achieved monetary benefits were \$1.2 million for these nine recommendations.

The DoD OIG made 476 new recommendations in reports issued between April 1, 2021, and March 31, 2022. During this same timeframe, the DoD OIG closed 508 recommendations, based on actions taken and information provided by DoD management. The remaining 1,425 open recommendations are reported in this Compendium. Figure 2 summarizes the number of recommendations opened and closed by the DoD OIG since last year's Compendium.

*Figure 2. Number of Open Recommendations*



\*The DoD OIG made 476 Recommendations in reports issued between April 1, 2021, and March 31, 2022. In addition, the DoD OIG reopened one recommendation that was previously closed.

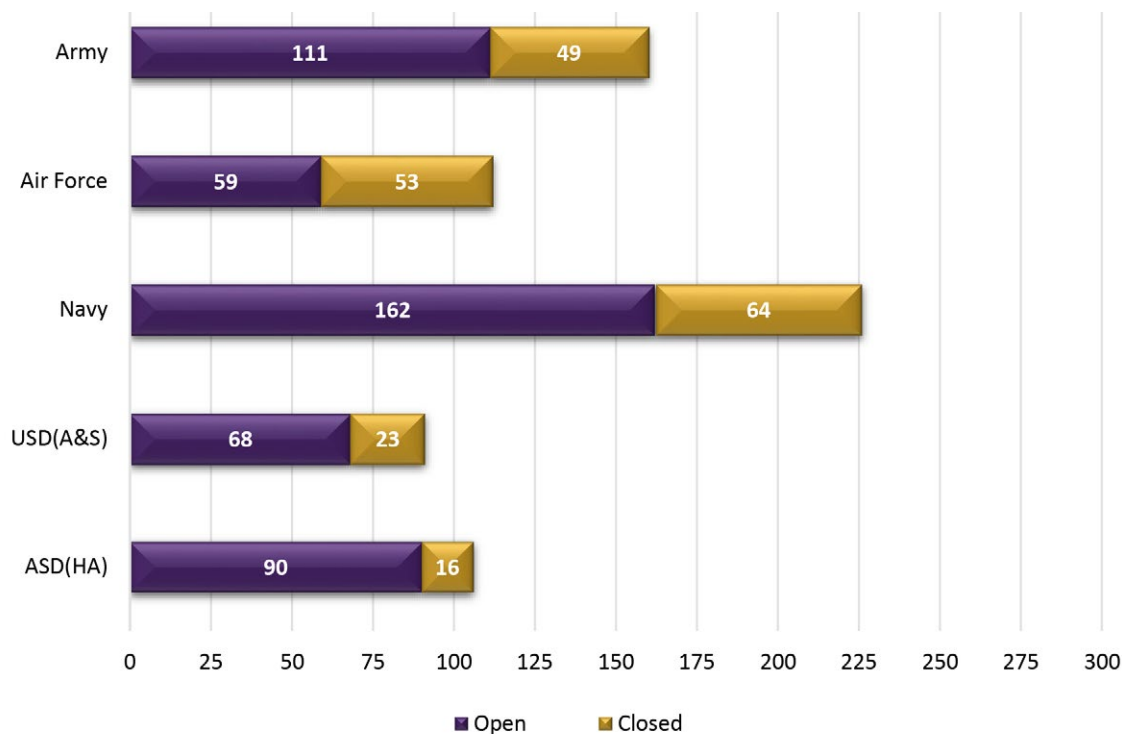
Source: The DoD OIG.

## INTRODUCTION

The 2021 Compendium reported that the five DoD Components with the most open recommendations were the Army, Air Force, Navy, Office of the Under Secretary of Defense for Acquisition and Sustainment (USD[A&S]), and the Office of the Assistant Secretary of Defense for Health Affairs (ASD[HA]).

Figure 3 shows the progress these five DoD Components have made in closing the recommendations reported in the 2021 Compendium.<sup>1</sup> Between March 31, 2021, and March 31, 2022, these five Components have collectively closed 205 open recommendations identified in the prior Compendium.

*Figure 3. Number of Recommendations Closed by the Five DoD Components With the Highest Number of Open Recommendations in the 2021 Compendium*



Source: The DoD OIG.

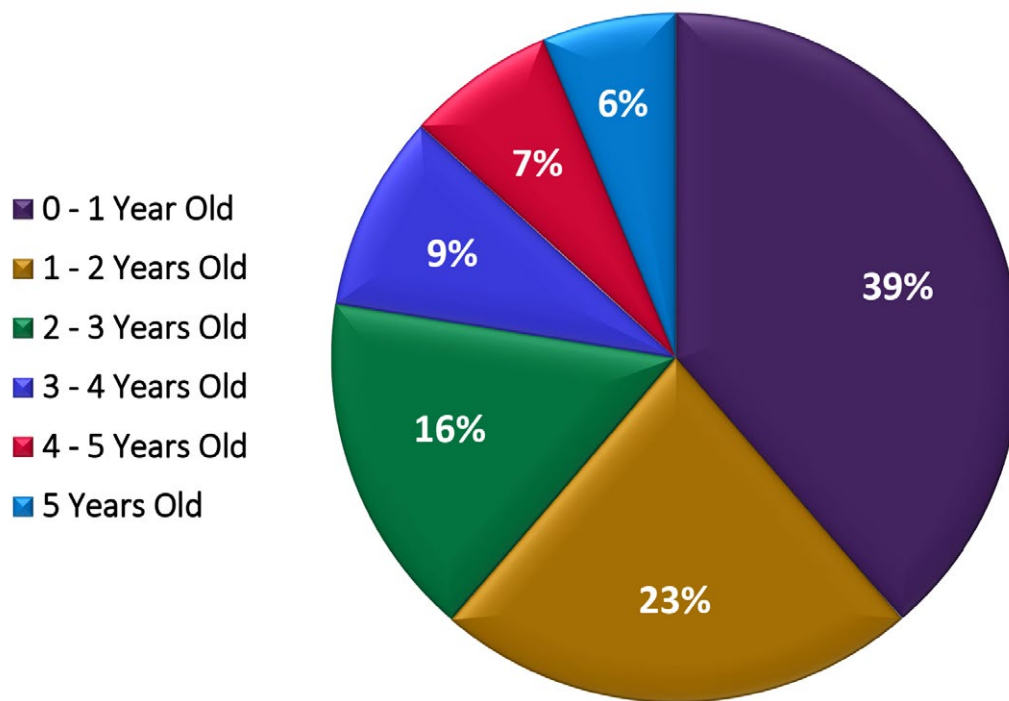
<sup>1</sup> These figures do not include recommendations that have transferred to or from one DoD Component to another.



## INTRODUCTION

Since the issuance of the first Compendium in 2017, the DoD has closed more than 3,500 recommendations, including 142 recommendations with \$37.7 billion in potential monetary benefits. Achieved monetary benefits were \$873.3 million for these 142 recommendations. Figure 4 shows the age of the 3,500 recommendations at the time they were closed. More than half of the recommendations (62 percent) closed since March 31, 2017, were less than 2 years old.

*Figure 4. Age of Recommendations Closed Since March 31, 2017*



Source: The DoD OIG.

While the DoD continues to address open recommendation and provide documentation to support the closure of additional recommendations, we believe that DoD leadership should increase its focus on the implementation of aged recommendations. There has been exponential growth in the number of recommendations more than 7 years old, with an increase from 32 to 116 (260 percent) since the last Compendium.

# INTRODUCTION

## 2022 Compendium

The 2022 Compendium lists the number, type, age, and status of 1,425 open recommendations, as of March 31, 2022.

In addition, this Compendium highlights what we consider to be 20 high-priority open recommendations made in 13 DoD OIG reports issued between July 2014 and December 2021 that, if implemented, have the potential to result in significant improvements to DoD operations.<sup>2</sup> We provide, in each summary of the open recommendations, an overview of the DoD OIG project that produced the recommendations and why the recommendations are important. The summaries also include the implementation status of the recommendations and a description of information or actions required to close each recommendation. Recommendations that are not included in our list of high-priority recommendations are still important and warrant the full attention of the DoD.

This Compendium also summarizes 50 recommendations made in 26 DoD OIG reports issued between July 2013 and March 2022 that identified \$6.1 billion in potential monetary benefits that the DoD could potentially achieve if management implemented the recommendations in a timely manner.

We also identify the 195 recommendations that have been open for at least 5 years. These recommendations were issued to 25 DoD Components in 76 reports.

In addition, this Compendium identifies 80 recommendations for which the DoD OIG and DoD Components have not agreed on corrective actions that, if implemented, would resolve the deficiencies identified by the associated findings. For 63 of the 80 recommendations, DoD management has either not provided a response or the response did not adequately address the recommendation. For the remaining 17 recommendations, DoD management disagreed with the recommendation.

Each year, the DoD and its Components prepare Financial Reports to communicate their financial position and results of their operations. In FY 2021, the DoD OIG performed an audit of the DoD Agency-Wide financial statements and oversaw independent public accountants (IPAs) as they performed audits of the DoD Components' financial statements. The purpose of the audits was to determine

<sup>2</sup> Of the 20 high-priority recommendations, 18 are summarized in Chapter 1, and 2 are summarized in Appendix B.

## INTRODUCTION

whether the DoD and its Components presented their financial statements in accordance with U.S. Generally Accepted Accounting Principles (GAAP). GAAP establish accounting concepts and principles, as well as industry-specific rules that ensure an organization's financial statements are complete, consistent, and comparable. The annual audits result in numerous Notices of Finding and Recommendations (NFRs), which express to management the weaknesses in financial processes, the impact of those weaknesses, and the reason the weaknesses exist. NFRs also communicate recommendations for how to correct the weaknesses.

On May 18, 2022, the DoD OIG issued the report, "Understanding the Results of the Audit of the DoD FY 2021 Financial Statements." The purpose of this report was to summarize, in terms understandable to non-auditors, the findings of the DoD's financial statement audits, the progress made by the DoD, and the additional actions the DoD should take to address the overall findings of the audits. This Compendium provides information related to the overall results of the FY 2021 Audit and discusses the followup process associated with its findings and recommendations.

While the DoD OIG generally closes recommendations when DoD management has completed agreed-upon actions, on rare occasions recommendations may be closed due to circumstances that prevent DoD management from completing agreed-upon actions. This Compendium summarizes and provides information about the 17 recommendations that the DoD OIG closed for this reason.

Appendix A includes a series of charts to show the progress that each DoD Component has made since the 2019 Compendium. Prior to the list of open recommendations for each DoD Component, we present graphics and statistics depicting the number of recommendations reported for each DoD Component in each Compendium, as well as the number of recommendations that have been implemented. We also list the number of recommendations that are unresolved or aged, and the potential monetary benefits associated with the open recommendations.

Additionally, we include information related to classified open recommendations in Appendixes B, C, and D of this Compendium. The DoD OIG will provide the classified Appendixes to appropriate officials in the DoD and on congressional committees.

## INTRODUCTION

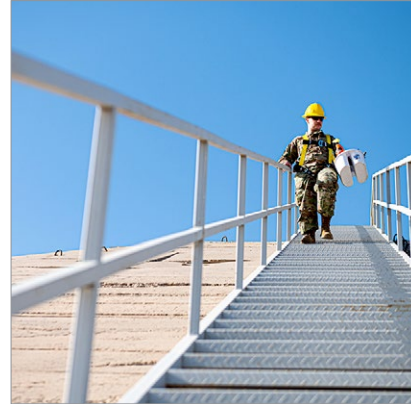
We believe that DoD senior managers should continue to focus attention on implementing open recommendations and providing responses to unresolved recommendations to ensure prompt resolution and action, as required by DoD Instruction 7650.03.<sup>3</sup> In particular, DoD managers should:

- provide timely responses to each recommendation made in DoD OIG reports;
- clearly state whether management agrees with the recommendation, as well as any potential monetary benefits, in their response to the draft and final reports;
- provide a specific action plan with milestones on how the recommendation will be implemented when management agrees with the recommendation;
- propose alternative corrective actions to address underlying issues when management agrees that there is an issue that needs to be addressed, but does not agree with the DoD OIG recommended solution;
- provide a rationale and supporting documentation when DoD management disagrees with a finding or recommendation or when the DoD is no longer able to implement a recommendation that was previously agreed-upon;
- communicate with the DoD OIG if management has questions about the intent of a recommendation or the adequacy of its intended actions; and
- when requesting closure of a recommendation, provide: (1) a written explanation of the action taken to implement each recommendation, (2) the dollar value of achieved monetary savings and disallowed costs recovered or written off by DoD management, and (3) documentation to support the actions that have been taken.



<sup>3</sup> DoD Instruction 7650.03, "Follow-up on Government Accountability Office (GAO), Inspector General of the Department of Defense (IG DoD), and Internal Audit Reports," December 18, 2014 (Incorporating Change 1, January 31, 2019).





## SUMMARY OF OPEN RECOMMENDATIONS

As of March 31, 2022, there were 1,425 open recommendations from DoD OIG reports, with the oldest recommendation being nearly 16 years old.<sup>4</sup> Of the 1,425 recommendations, 201 are classified and listed in Appendixes B, C, and D.

Since the first Compendium was issued in 2017, the number of recommendations that are at least 5 years old has increased from 27 to 195. Although there are different reasons for the aging of recommendations, we determined that one of the biggest challenges pertains to the revision or implementation of policies, procedures, and guidance. Of the 195 recommendations, 51 were related to revising or implementing policy and guidance. Other factors that contribute to the aging of recommendations include the development and implementation of systems, coordination with government organizations outside of the DoD, and ongoing litigation.

Of the 1,425 open recommendations, DoD management has agreed to take corrective actions on 1,345 recommendations. The remaining 80 open recommendations are considered unresolved because the DoD OIG and DoD Components have not reached an agreement on the report recommendations and the planned corrective actions to implement or address those recommendations. Of the 80 unresolved recommendations, 17 are unresolved because DoD management disagreed with the recommendations. For the remaining 63 recommendations, DoD management either did not provide comments or the planned actions described in the comments did not meet the intent of the recommendation.

In addition, we believe that the DoD could potentially achieve \$6.1 billion in monetary benefits by implementing 50 of the 1,425 recommendations.

<sup>4</sup> For tracking purposes, recommendations made to multiple Components are split into individual recommendations for each Component. For example, one recommendation made to the Army, Navy, and Air Force would equate to three recommendations, one for each Military Service, for tracking purposes.

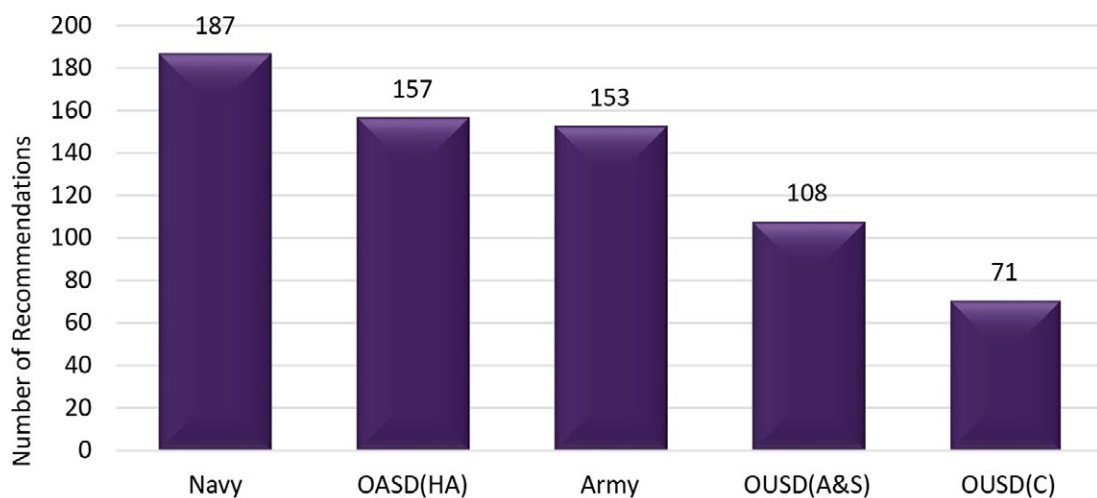
## SUMMARY OF OPEN RECOMMENDATIONS

### DoD Components With the Most Open Recommendations

The 1,425 recommendations open as of March 31, 2022, were issued to 47 DoD Components through 298 reports. Figure 5 presents the five DoD Components with the most open recommendations. These five Components collectively have 676 open recommendations, which represent 47 percent of all open recommendations. Four of these DoD Components also had the most open recommendations in the 2021 Compendium. However, over the past year the Air Force has closed nearly half of its recommendations and has fallen just below the Office of the Under Secretary of Defense, Comptroller (OUSD[C]). As a result, the OUSD(C) is now the fifth DoD Component with the most open recommendations, despite the fact that its open recommendations decreased since the last Compendium.

While the Navy has the most open recommendations, it made notable progress in reducing its numbers of open recommendations, with a decrease of 17 percent since last year. The number of open recommendations for the Office of the Assistant Secretary of Defense for Health Affairs (OASD[HA]) has increased sharply over the past year as 35 recommendations transferred to this agency from the Military Services. This occurred because responsibility for medical treatment facilities transferred from the Military Services to the Defense Health Agency in January 2022.

*Figure 5. DoD Components With the Most Open Recommendations as of March 31, 2022*



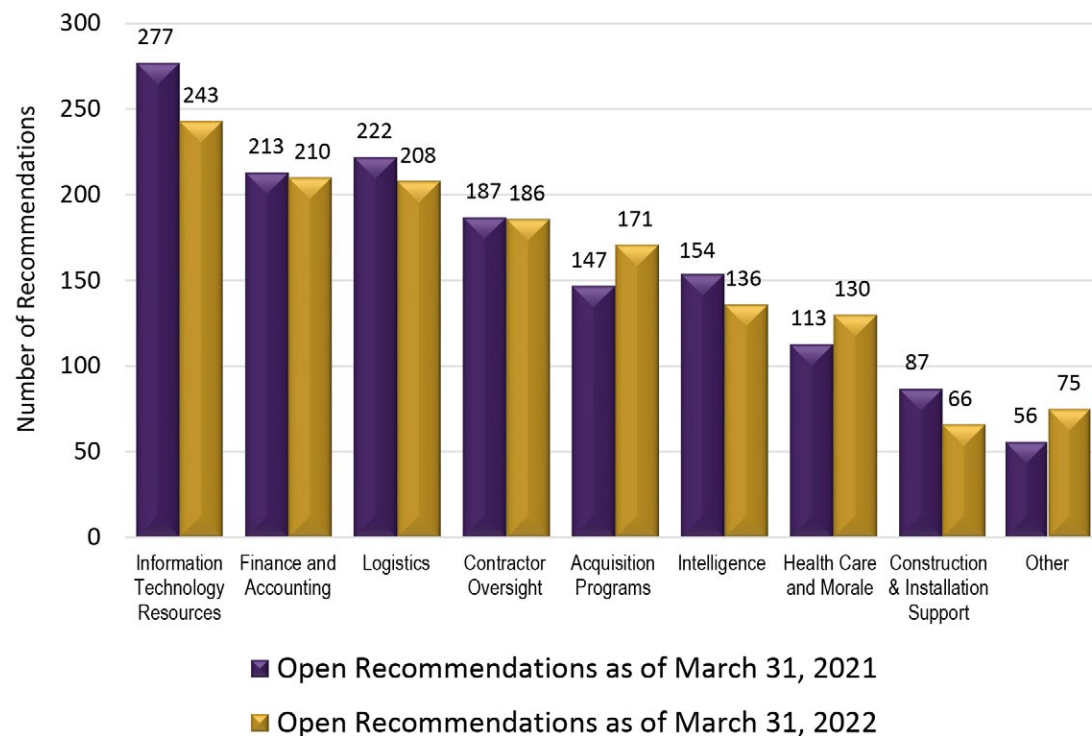
Source: The DoD OIG.

## SUMMARY OF OPEN RECOMMENDATIONS

### Topic Areas of Open DoD Recommendations

Recommendations made in DoD OIG reports cover a variety of topic areas. We grouped the 1,425 open recommendations into nine topic areas: Information Technology Resources, Finance and Accounting, Logistics, Contractor Oversight, Acquisition Programs, Intelligence, Health Care and Morale, Construction and Installation Support, and Other. Figure 6 shows the number of open recommendations by topic area and the subsequent sections describe each topic area.

Figure 6. Total Open Recommendations by Topic Area



Source: The DoD OIG.

#### Information Technology Resources

The information technology resources topic area focuses on DoD financial systems functionality and the use and protection of any equipment or system for storing, retrieving, controlling, and sending information. This category includes maintaining cybersecurity and protection of transmitted information and related resources, such as personnel, equipment, funds, and systems or subsystems.

## SUMMARY OF OPEN RECOMMENDATIONS

There are 243 open recommendations related to information technology resources, addressing issues such as:

- physical access and general application controls of DoD information technology systems;
- cybersecurity and protection against cyber attacks on critical infrastructure;
- security controls at DoD facilities, data centers, and laboratories that manage ballistic missile defense system technical information;
- DoD management of cybersecurity risks for Government purchase card purchases of commercial off-the-shelf items;
- protection of patient health information at military medical treatment facilities; and
- combatant command efforts to protect their assigned forces, execute mission-essential functions in a socially distanced environment, and maintain operational readiness while responding to the COVID-19 pandemic and the subsequent public health crisis.

### **Finance and Accounting**

The finance and accounting topic area focuses on the processes of reporting on and conducting oversight of the DoD's financial transactions resulting from its operations, including the distribution and control of DoD funds and tracking of costs and obligations.

There are 210 open recommendations related to finance and accounting, addressing issues such as the:

- DoD civilian pay budgeting process;
- DoD FY 2020 compliance with the Improper Payments Elimination and Recovery Act requirements;
- DoD accounting and financial reporting of Military Housing Privatization Initiative program transactions in DoD financial and property statements;
- billing and collection of delinquent medical service accounts and third party collection program medical claims; and
- DoD financial management and accounting systems' solution for providing auditable financial statement information.



## SUMMARY OF OPEN RECOMMENDATIONS

### Logistics

The logistics topic area focuses on the planning and execution of the movement and maintenance of military forces. This area includes military operations involving the design, development, acquisition, storage, distribution, maintenance, and disposition of material; transportation of DoD members and household goods; housing of military personnel; acquisition or construction, maintenance, operation, and disposition of facilities; and acquisition or furnishing of services and medical and health support.

There are 208 open recommendations related to logistics, addressing issues such as:

- maintenance and sustainment of military systems;
- transportation of arms, ammunition, and explosives by commercial ground carriers;
- timeliness of household goods shipments to DoD members;
- mitigation of challenges to parts availability within the planning process for depot-level repairs;
- mission capable aircraft and aircrew to meet the U.S. Strategic Command's Operation Global Citadel Operations Order air refueling requirements;
- Military Sealift Command readiness status reporting of its surge sealift ships; and
- the Navy plans and efforts to address readiness challenges at the Naval Aviation Warfighting Development Center.

### Contractor Oversight

The contractor oversight topic area focuses on the oversight and integration of contractor personnel and associated equipment used to provide support to DoD operations. Contractor oversight includes efforts to ensure that supplies and services are delivered in accordance with the terms and conditions of the contract.

There are 186 open recommendations related to contractor oversight, addressing issues such as the:

- contractual maintenance requirements in the Air Force Contract Augmentation Program IV in Southwest Asia;
- fair and reasonable pricing determinations for chemicals used in solid rocket propellants as a commercial item;

## SUMMARY OF OPEN RECOMMENDATIONS

- management of undefinitized contract actions and energy savings performance contracts;
- DoD efforts to combat trafficking in persons in Kuwait; and
- contract costs for hurricane recovery efforts.

### Acquisition Programs

The acquisition programs topic area focuses on the formulation and oversight of contracting strategies that support the procurement of defense acquisition programs, automated information systems, and special interest projects for the DoD.

There are 171 open recommendations related to acquisition programs, addressing issues such as the:

- purchase of aviation critical safety items;
- supply chain risk management for the sea-based Trident II Strategic Weapons System;
- Army Integrated Air and Missile Defense program;
- purchase of spare parts to meet F/A-18 E/F Super Hornet readiness requirements;
- service acquisition executives management of defense acquisition category 2 and 3 programs;
- Special Operations equipment meeting performance requirements during test and evaluation prior to program mission fielding; and
- management of Government-owned property supporting the F-35 program and the F-35 Program Office's Beyond Economical Repair process.

### Intelligence

The intelligence topic area relates to the collection, processing, evaluation, analysis, and interpretation of available information concerning national security issues, foreign nations, hostile or potentially hostile forces or elements, or military operations.

There are 136 open recommendations related to intelligence, addressing issues such as the:

- DoD supply chain risk management program for nuclear command, control, and communication systems;
- combatant command insider threat programs;

## SUMMARY OF OPEN RECOMMENDATIONS

- DoD's role in managing and tracking displaced persons from Afghanistan through the biometric enrollment, screening, and vetting process;
- DoD Components' use of the National Industrial Security Program Contract Classification System;
- performance measures and internal controls related to the integration of artificial intelligence into intelligence collection platforms;
- DoD oversight of combatant command intelligence interrogation approaches and techniques; and
- capacity of the Military Services to fill combatant command requests for counterintelligence support.

### Health Care and Morale

The health care and morale topic area focuses on measures to improve or maintain the mental and physical well-being of DoD personnel and their families and enable a healthy and fit force, prevent injury and illness, and protect individuals from health hazards.

There are 130 open recommendations related to health care and morale, addressing issues such as the:

- controls over opioid prescriptions at DoD medical treatment facilities;
- DoD-provided health care for members of the Armed Forces reserve components;
- DoD handling of incidents of sexual assault involving cadets at the U.S. Air Force Academy;
- screening and treatment of active duty Service member alcohol misuse;
- health and safety hazards in Government-owned and Government-controlled military family housing;
- challenges and needs encountered by DoD medical treatment facility personnel while responding to the COVID-19 pandemic; and
- outpatient mental health access to care standards for active duty Service members and their families.

### Construction and Installation Support

The construction and installation support topic area focuses on the management and oversight of military installations worldwide, including the construction, alteration, conversion, or extension of military installations.

## SUMMARY OF OPEN RECOMMENDATIONS

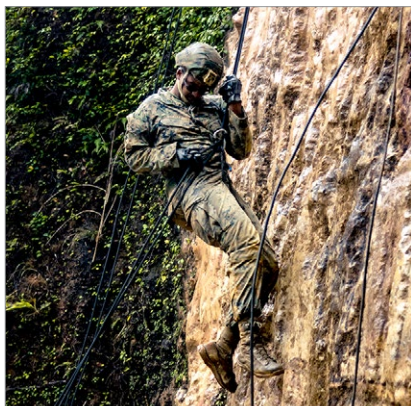
There are 66 open recommendations related to construction and installation support, addressing issues such as:

- health, safety, and fire protection deficiencies in DoD facilities and military housing;
- schedule delays and cost increases of Navy and U.S. Strategic Command construction projects;
- physical security controls to prevent unauthorized access to military medical treatment facilities, equipment, and sensitive areas;
- sustainment, restoration, and modernization of military medical treatment facilities; and
- the DoD's implementation of recommendations on screening and access controls for general public tenants leasing housing on military installations.

### Other

Some DoD OIG recommendations do not neatly fit into any of the previously discussed topic areas. For example, recommendations related to the environment, assessment of U.S. military cemeteries, and external peer reviews of DoD agencies. There are 75 recommendations in this category, concerning issues such as the:

- vetting of foreign faculty, nondisclosure agreements, travel, and payment of fees for guest lecturers (honoraria);
- use of protective security details for individuals designated as DoD high-risk personnel;
- DoD environmental compliance at sites conducting open burning or open detonation of waste military munitions;
- identification and prioritization of DoD critical assets;
- operation of U.S. military cemeteries;
- external peer reviews of DoD audit organizations; and
- DoD Voting Assistance Programs.



## BACKGROUND ON THE DoD OIG FOLLOWUP PROCESS

DoD management and the DoD OIG share the responsibility to follow up on recommendations. DoD managers are responsible for implementing recommendations promptly. At the same time, the DoD OIG is responsible for assessing whether the actions that are taken align with the agreed-upon corrective actions and meet the intent of the recommendations.

### Policy for OIG Followup Process on Recommendations

The Inspector General Act of 1978, as amended, requires the DoD OIG to conduct independent audits, evaluations, and investigations of agency programs and operations.

Public Law 104-106, “National Defense Authorization Act for FY 1996,” requires the Secretary of Defense to make management decisions on all DoD OIG findings and audit recommendations within a maximum of 6 months after an audit report is issued. The Act also requires the Secretary of Defense to complete final action on each DoD management decision within 12 months after report issuance. If action is not completed within 12 months, the DoD OIG must identify the overdue actions in its Semiannual Report to the Congress until final action on the DoD management decision is completed.

The Office of Management and Budget (OMB) Circular No. A-50 Revised, “Audit Follow-Up,” September 29, 1982, defines followup as an integral part of good management and a shared responsibility between agency management officials and auditors. According to the Circular, implementation of DoD OIG recommendations in a timely manner is essential to the DoD improving efficiency and effectiveness of programs and operations, as well as achieving integrity and accountability goals, reducing costs, managing risks, and improving management processes. The Circular

## BACKGROUND ON THE DoD OIG FOLLOWUP PROCESS

requires each agency to establish systems to assure the prompt and proper resolution and implementation of audit recommendations, and it also requires that resolution be made within a maximum of 6 months after a final report is issued.

Resolution for most audits and evaluations is defined as the point at which the DoD OIG and agency management or contracting officials agree on the action to be taken in response to the findings and recommendations.

DoD Instruction 7650.03 requires the DoD OIG to evaluate corrective actions taken by DoD Components on DoD OIG reports. The Instruction states that the DoD OIG oversees and coordinates followup programs within the DoD and that heads of DoD Components will work with the DoD OIG to resolve disagreements between their respective DoD Components and the DoD OIG. Disagreements that are not resolved at a lower management level may be submitted to the Deputy Secretary of Defense for decision.

In January 2019, the “Good Accounting Obligation in Government Act” was signed into law.<sup>5</sup> This law requires each Federal agency, in its annual budget justification submitted to Congress, to report on the implementation status of open Government Accountability Office and OIG recommendations. Each agency must also report why the recommendations have not been fully implemented. The sponsors of this law have indicated that it is intended to stop wasteful spending in Federal agencies, bring accountability, and save taxpayer dollars. On February 2, 2022, the DoD Director of Administration and Management provided the implementation status of approximately 1,600 public open GAO and DoD OIG recommendations as part of the DoD’s annual budget justification to Congress, and provided the GAO and DoD OIG a copy of the submitted information.

Additionally, the Council of the Inspectors General on Integrity and Efficiency developed a database ([www.oversight.gov](http://www.oversight.gov)) that includes open recommendations from participating OIGs across the U.S. Government. The intent of this database, which launched in June 2020, is to improve the public’s access to OIG reports and to establish a public repository of information about open OIG recommendations. Since the database’s inception, the DoD OIG has participated in the working group that developed the database and uploaded publicly available reports and recommendations on a regular basis.

<sup>5</sup> Public Law 115-414, “Good Accounting Obligation in Government Act,” January 3, 2019.

## BACKGROUND ON THE DoD OIG FOLLOWUP PROCESS

### Recommendation Followup Process

Before a recommendation from a DoD OIG audit or evaluation report is issued, the DoD OIG requests the views of DoD management regarding the report's findings, conclusions, and recommendations. DoD management is asked to provide written comments on the formal draft report, normally within 30 days of the draft report issue date. These written comments should:

- indicate whether DoD management agrees or disagrees with each finding and recommendation in the report;
- describe and provide the completion dates for corrective actions taken or planned;
- explain specific reasons for each disagreement; and
- propose alternative actions, if appropriate.

The DoD OIG also requests that DoD management comment on any potential monetary benefits identified in the report. If DoD management disagrees with the potential monetary benefit, they are asked to identify the amount and the reason for disagreement.

The DoD OIG reviews management comments to determine whether management's comments adequately address the report's recommendations. If DoD management agrees with the recommendations in the report, they should also provide information on the corrective actions that have been taken or are planned to be taken to implement the recommendation. That information should include estimated completion dates for the actions that DoD management intends to take to address the recommendations.

The DoD OIG then assesses the corrective actions taken or proposed corrective actions and determines if the actions sufficiently meet the intent of the recommendations. Specifically, in the final report, the DoD OIG evaluates and summarizes the DoD management response to each finding and recommendation and provides a response to management comments, including their comments on the recommendations, as well as a brief description of the documentation that must be provided to close each recommendation. The DoD OIG uses the following categories to describe the status of individual recommendations.

- **Unresolved** – Management has not agreed to implement the recommendation or has not proposed actions that will address the recommendation.



## BACKGROUND ON THE DoD OIG FOLLOWUP PROCESS

- **Resolved** – Management has agreed to implement the recommendation or has proposed alternative actions that will adequately address the underlying finding that generated the recommendation.
- **Closed** – The DoD OIG has verified that the agreed-upon corrective actions were implemented.

After the DoD OIG categorizes each recommendation as unresolved, resolved, or closed, the final report is placed in the followup process. In this process, the DoD OIG monitors the status of DoD management's implementation of corrective actions and periodically requests updates from DoD management on the implementation status of open recommendations. The DoD OIG also reports data related to open recommendations in its Semiannual Report to the Congress.

Recommendations are generally closed only after the DoD OIG has either:

- reviewed information or supporting documentation provided by DoD management and concluded that the agreed-upon corrective actions or alternative actions that met the intent of the recommendations have been completed, or
- performed field verification to confirm that the stated corrective actions were taken.

The DoD OIG may close recommendations if they are overtaken by events or are no longer relevant, or if implementation is not feasible. Although infrequent, closed recommendations may be reopened if we subsequently become aware of information that leads us to conclude that previously provided information was not accurate or complete, and the recommendation still requires action to be fully addressed.

Generally, the DoD OIG requests that DoD management officials provide additional written comments on the final report within 30 days of the final report issue date. If DoD management does not provide comments on the final report, disagrees with the recommendations, or provides comments that do not fully address the recommendations, then the recommendations remain unresolved. When DoD management disagrees with the recommendations and does not provide an acceptable alternate solution, the DoD OIG will start the resolution process and elevate the recommendation, as necessary.

Ultimately, the DoD OIG or DoD management can elevate any unresolved recommendation to the Deputy Secretary of Defense (or other levels of Office of the Secretary of Defense management below the Deputy Secretary) if resolution is not reached at a lower level. Resolution of recommendations is generally achieved

## BACKGROUND ON THE DoD OIG FOLLOWUP PROCESS

at lower levels of management. However, in the rare instance that resolution cannot be achieved at lower levels of management, the Deputy Secretary has final decision making authority on unresolved recommendations and the decision is binding on all parties. Nevertheless, according to the Inspector General Act of 1978, as amended, the Deputy Secretary's authority to not implement a DoD OIG recommendation does not infringe on the DoD OIG's statutory independence and responsibility. Specifically, the DoD OIG can inform the Secretary of Defense and Congress concerning significant problems, abuses, and deficiencies related to DoD programs and operations, or management decisions with which the DoD OIG disagrees. This information may be provided in the DoD OIG's Semiannual Reports to the Congress or a "Seven-Day Letter," if appropriate.<sup>6</sup>

In addition to the report followup process described above, the DoD OIG conducts followup audits and evaluations. The purpose of these reviews is to verify that corrective action has actually occurred, as agreed to in the DoD management response to the draft and final reports or during the resolution process, and to determine whether the problems identified in the findings still exist. The DoD OIG's goal is to dedicate 10 percent of projects in its oversight plan to followup reviews.

Although not an official aspect of the followup process, Washington Headquarters Services personnel compile the DoD's responses to the Good Accounting Obligation in Government Act (GAO-IG Act) for submission to Congress, and then provide the information to the DoD OIG. The DoD OIG reviews the DoD's GAO-IG Act inputs to determine whether the information reported to Congress is consistent with DoD OIG records.

In many cases, the DoD's reported status has differed from the information that was provided to the DoD OIG in previous status updates. For example, DoD Components often stated in their GAO-IG Act inputs that a recommendation had been implemented, although they did not submit a closure request to the DoD OIG.

DoD Components also frequently included estimated completion dates in their GAO-IG Act inputs that were not provided to the DoD OIG. In such situations, the DoD OIG followup team reached out to the DoD Components in an effort to reconcile the data. It is important for DoD Components to understand that all closure requests and updates to the status of DoD OIG recommendations must be sent to the DoD OIG, as the DoD OIG maintains the official records related to the

<sup>6</sup> The Inspector General Act authorizes Inspectors General to report immediately to the agency principal particularly serious or flagrant problems, abuses, or deficiencies relating to the administration of programs and operations. Within 7 days, the agency principal must transmit the report and any comments to the appropriate committees or subcommittees of Congress.

## BACKGROUND ON THE DoD OIG FOLLOWUP PROCESS

status of open recommendations and is the decision authority for when DoD OIG recommendations are closed. The DoD OIG will continue to review GAO-IG Act inputs and reconcile the information with DoD OIG records in future years.

### Top DoD Management and Performance Challenges

Each year, the DoD OIG prepares a document describing what the DoD OIG regards as the “most serious management and performance challenges facing the agency.” In determining these challenges, the DoD OIG considers the DoD OIG’s independent research, assessment, and judgment; previous oversight work and the oversight work of other organizations; congressional hearings and legislation; input from DoD officials; and issues raised by the media. The DoD OIG also considers and assesses the DoD’s progress in addressing these challenges. The Top DoD Management Challenges document provides Congress and the DoD’s civilian and military leaders an independent assessment of the management and performance challenges confronting the DoD.

The following are the top 10 management and performance challenges for FY 2022.

1. Maintaining the Advantage in Strategic Competition
2. Assuring Space Dominance, Nuclear Deterrence, and Missile Defense
3. Strengthening DoD Cyberspace Operations and Securing Systems, Networks, and Data
4. Reinforcing the Supply Chain While Reducing Reliance on Strategic Competitors
5. Increasing Agility in the DoD’s Acquisition and Contract Management
6. Improving DoD Financial Management and Budgeting
7. Building Resiliency to Environmental Stresses
8. Protecting the Health and Wellness of Service Members and Their Families
9. Recruiting and Retaining a Modern Workforce
10. Preserving Trust and Confidence in the DoD

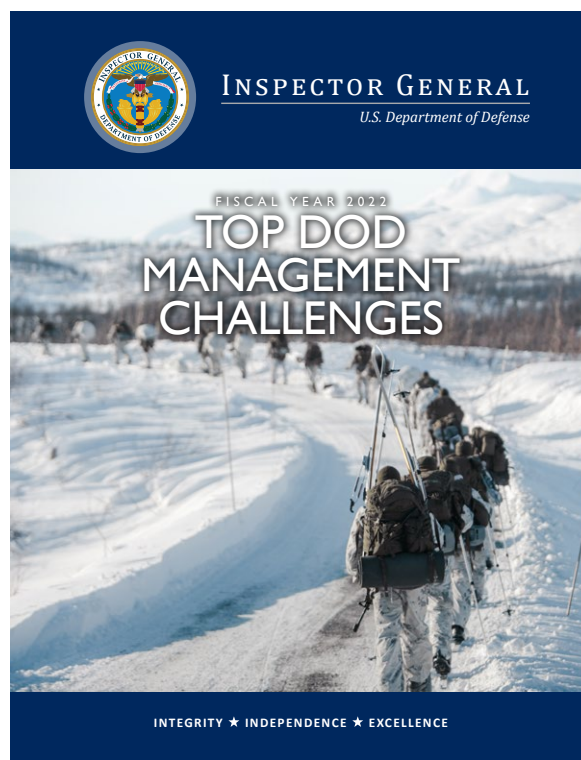
The DoD OIG uses the Top DoD Management and Performance Challenges document as a planning tool to determine where to allocate its audit, evaluation, and investigative resources. The DoD OIG also uses the document as a guide for determining future oversight projects. While not all projects planned or undertaken address one of the challenges, the majority address at least one and some more than one.

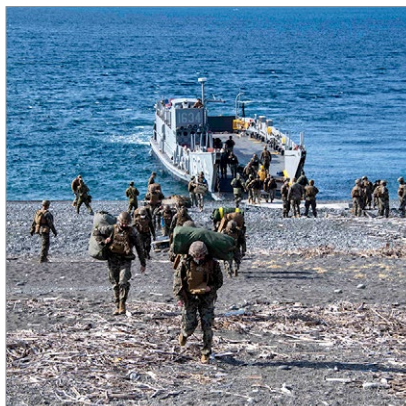
*For additional information about the top management and performance challenges facing the DoD, visit: <http://www.dodig.mil/Reports/Top-DoD-Management-Challenges/>.*

## BACKGROUND ON THE DoD OIG FOLLOWUP PROCESS

The next section of this Compendium summarizes 20 high-priority open recommendations. When describing the high-priority recommendations, the DoD OIG identified the DoD management and performance challenges to which the recommendation relates.

*You may obtain additional information about each recommendation discussed in the Compendium by reviewing the associated reports that are available online at the DoD OIG website: <http://www.dodig.mil/reports.html/>.*





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### High-Priority Open Recommendations

The DoD OIG identified 20 open recommendations made in 13 DoD OIG reports that the DoD should prioritize to ensure implementation of corrective actions in a timely manner. This section of the report describes 18 unclassified recommendations. Appendix B summarizes the remaining two recommendations that involve classified information. The DoD OIG identified these 20 recommendations as high priority based on their potential for improving the effectiveness of DoD operations, financial management, contract oversight, and health and well-being of military personnel and their families, or for achieving cost savings.

The summaries of high-priority open recommendations in this section and in Appendix B each contain:

- the title of the report;
- the FY 2022 management and performance challenges most directly related to the report;
- the objective of the report;
- the background of the report;
- the key findings in the report;
- the key open recommendations;

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- management's response to the recommendations, which was provided to the DoD OIG when the final report was issued;
- the implementation status of each recommendation as of March 31, 2022;
- information required or requested from the DoD for the DoD OIG to close the recommendation; and
- an explanation of why the recommendations are important.

*Aviation Boatswain's Mate uses binoculars while operating the integrated launch and recovery television system camera aboard the Nimitz-class aircraft carrier USS Harry S. Truman.*

*Source: U.S. Navy.*





## CHAPTER 1

### **Report No. DODIG-2014-090, “Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process,” July 2, 2014**

**FY 2022 Management Challenge** – Improving DoD Financial Management and Budgeting

**Objective:** The objective of this audit was to determine whether the Army’s General Fund Enterprise Business System Program Management Office (PMO) implemented the DoD Business Enterprise Architecture Budget-to-Report Business Process to properly support the recording of Army General Fund (AGF) accounting transactions.

**Background:** The DoD Business Enterprise Architecture identifies 15 DoD end-to-end business processes intended to streamline and enable standard, integrated, and optimized business processes; improve records management; and establish process governance that promotes transparency, collaboration, integration, and innovation across the DoD. The Budget-to-Report end-to-end business process encompasses all business functions necessary to plan, formulate, create, execute against, and report on the budget and business activities, including updates to the general ledger. Business Enterprise Architecture guides and constrains implementation of interoperable defense business system solutions such as the Army’s General Fund Enterprise Business System, which was developed to standardize the Army’s financial management, accounting functions, and inventory management.

**Finding:** The DoD OIG determined that the General Fund Enterprise Business System PMO and Army Budget Office personnel did not implement the Budget-to-Report business process to properly support the AGF. Specifically, PMO personnel did not configure the General Fund Enterprise Business System to properly record at least \$6.3 billion in AGF Budget-to-Report transactions. In addition, Army Budget Office personnel did not accurately record \$103.2 billion of AGF Budget-to-Report transactions in the General Fund Enterprise Business System and did not record 22 FY 2013 AGF appropriations, totaling \$176.5 billion, in a timely manner.

This occurred because Office of the Assistant Secretary of the Army (Financial Management and Comptroller) (ASA[FM&C]) personnel did not provide adequate oversight to verify that the PMO configured the system to properly record Budget-to-Report transactions. In addition, Office of the Assistant Secretary of the Army (Financial Management and Comptroller) and PMO personnel did not create



## CHAPTER 1

adequate procedures for some Budget-to-Report business processes and Army Budget Office personnel were not aware of all the transactions they were required to record in the system after the implementation of the General Fund Enterprise Business System.

As a result, the General Fund Enterprise Business System Budget-to-Report data related to planning, formulating, creating, executing against, and reporting on the budget and business activities of the Army were unreliable. At the time of the DoD OIG audit, the General Fund Enterprise Business System fourth quarter FY 2013 trial balance contained \$6.3 billion in reported balances that did not comply with the normal debit or credit accounts or had abnormal balances related to budgetary General Ledger Account Codes. In addition, Defense Finance and Accounting Service (DFAS) personnel could not use the General Fund Enterprise Business System budgetary trial balance data to prepare the FY 2013 AGF Statement of Budgetary Resources. The statement reported \$266.5 billion of Total Budgetary Resources without making \$141.3 billion of adjustments to the General Fund Enterprise Business System trial balance. For example, DFAS personnel needed to make \$3 billion in adjustments to bring the budgetary Fund Balance With Treasury amounts into agreement with the proprietary Fund Balance With Treasury amounts, since the General Fund Enterprise Business System did not properly report it.

**Recommendation 1.a:** The DoD OIG recommended that the ASA(FM&C) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete, and use it to validate General Fund Enterprise Business System general ledger account postings.<sup>7</sup>

**Management Response to the Recommendation:** The Deputy Assistant Secretary of the Army (Financial Operations) (DASA[FO]), responding for the ASA(FM&C), agreed with the recommendation, stating that the Army initiated an effort to document and validate that the correct general ledger posting logic was programmed into the General Fund Enterprise Business System. The Army also stated that it would develop a plan of action with milestones to outline the actions required to document and potentially adjust applicable posting logic.

**Implementation Status:** On November 26, 2019, the DoD OIG completed a followup audit to determine whether the Army implemented appropriate corrective actions in response to Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014.<sup>8</sup>

<sup>7</sup> Posting logic describes how the system records accounting transactions.

<sup>8</sup> Report No. DODIG-2020-035, "Followup Audit of the Army's Implementation of the Acquire-to-Retire and Budget-to-Report Business Processes in the General Fund Enterprise Business System," November 26, 2019.

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The followup audit determined that the ASA(FM&C) did not validate that general ledger account postings programmed in the General Fund Enterprise Business System complied with the U.S. Government Standard General Ledger (USSGL) and did not implement a timely review of general ledger account postings. As a result, the general ledger account postings in the General Fund Enterprise Business System did not comply with the USSGL, resulting in DFAS personnel preparing at least \$20.8 billion in adjustments during the compilation of the FY 2018 AGF Financial Statements to correct errors in the posting logic.

On December 3, 2020, the Army reported that the ASA(FM&C) work to address this recommendation resulted in posting logic changes in the General Fund Enterprise Business System and it was in the process of verifying posting logic based on transactions processed in the current and prior fiscal years. This was part of a larger effort to identify, document, and monitor system-derived posting logic, continuing quarterly for each system and business process. Any inconsistencies or deviations from DoD or USSGL posting guidance would be addressed, as identified, through the relevant Program's configuration management process by September 30, 2021.

The Independent Public Accounting firm (IPA) conducting the FY 2022 Army Financial Statement Audits, will follow up on this recommendation as part of its work because the IPA identified the same issue in a Notice of Finding and Recommendation (NFR) developed as part of the FY 2021 Army Financial Statement Audits. Specifically, NFR FSA-S-2021-03 identified that the Department of the Army Working Capital Fund and General Fund financial systems did not substantially comply with the Federal Financial Management Improvement Act of 1996 requirements. For example, the Army did not configure General Fund Enterprise Business System and other financial systems and processes to comply with the USSGL requirements at the transaction level. In addition, management did not fully analyze all financial processes to determine whether they recorded transactions consistent with USSGL requirements. Specifically, the Army's standard operating procedures for its posting logic did not define the timing of Army's posting logic compliance reviews. The procedures did not define the lines of communication for reporting the results in the Annual Statement of Assurance. Additionally, as of September 30, 2021, the Army had not reviewed FY 2021 transactions for compliance with the USSGL.

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In addition to Recommendation 1.a, this report contained five recommendations to improve the reliability of budgetary data in the General Fund Enterprise Business System related to recording transactions accurately and posting transactions to the correct general ledger accounts. Of the six recommendations, three are closed and three remain resolved and open.

**Information Required to Close the Recommendation:** The DoD OIG will close Recommendation 1.a once it receives documentation that shows the Army implemented a timely review of the current General Fund Enterprise Business System general ledger account postings, and ensured that the general ledger account postings comply with the USSGL. This recommendation has been open 7 years and 8 months. This is the fourth year this recommendation has appeared on the Compendium's list of high-priority recommendations.

**Why the Recommendation Is Important:** This recommendation relates to the Army's ongoing challenge to verify posting logic in its Enterprise Resource Planning Systems. Errors in posting logic contributed to the \$6.5 trillion in unsupported adjustments DFAS prepared for the FY 2015 Year-End AGF Financial Statements and continue to cause billions of dollars in unsupported adjustments during the compilation of the AGF financial statements.<sup>9</sup> The IPA firm that audited the FY 2020 AGF Financial Statements found that the Army did not fully design and implement controls to determine whether the General Fund Enterprise Business System posting models were consistent with the USSGL. Specifically, 9 of 32 transactions tested by the IPA did not comply with the USSGL.

The Army must understand how the General Fund Enterprise Business System is posting transactions so that it can find posting errors and make correcting adjustments; prepare the financial statements; and make required USSGL yearly updates. Without a complete and accurate list of all potential general ledger postings available within the General Fund Enterprise Business System, the Army cannot demonstrate that it complies with the USSGL as required by the Federal Financial Management Improvement Act of 1996.

A complete and accurate posting logic list would allow the Army to verify that the transactions programmed into its general ledger system are consistent with the USSGL posting library and reduce the number of adjustments required to correct errors during the compilation of the financial statements. A correct posting logic list would also minimize the risk of unsupported adjustments to the financial statements and help the Army, and the DoD, come one step closer to obtaining a clean audit opinion.

<sup>9</sup> Report No. DODIG-2016-113, "Army General Fund Adjustments Not Adequately Documented or Supported," July 26, 2016.

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### **Report No. DODIG-2014-101, “Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight,” August 13, 2014**

**FY 2022 Management Challenge** – Improving DoD Financial Management and Budgeting

**Objective:** The objective of this audit was to determine whether the Military Services and selected military medical treatment facilities were effectively managing medical service accounts (MSAs) at Brooke Army Medical Center (BAMC) that were more than 180 days delinquent, by transferring the debt to the appropriate debt collection agency or actively pursuing collection.

**Background:** When the audit was conducted, the Army military treatment facility Uniform Business Office (UBO) was responsible for MSA activities, which included billing and collecting funds for medical and dental services from Uniformed Services beneficiaries, civilian emergency patients, and other patients who were authorized to receive treatment in a military treatment facility, such as the BAMC and the Landstuhl Regional Medical Center. According to DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 16, DoD Components must take prompt and aggressive action to recover and collect debts owed to the DoD, and must continue to propose followup actions, as necessary, to ensure that the debts are collected.

**Finding:** The DoD OIG determined that BAMC UBO management did not effectively manage delinquent MSAs. As of May 29, 2013, 15,106 MSAs at BAMC, valued at \$73.1 million, were delinquent more than 180 days and were not transferred to the U.S. Treasury for collection. The DoD OIG reviewed 25 of the highest dollar MSAs, valued at \$11 million, and found that the BAMC UBO did not transfer 24 of those accounts, valued at \$10.4 million, to the U.S. Treasury for collection after the account was 180 days delinquent.<sup>10</sup> In addition, BAMC UBO management did not pursue collection for 20 of the delinquent MSAs, valued at \$8.8 million. The large number of delinquent MSAs, including the sample items reviewed, existed because BAMC UBO management did not have a system in place to monitor the delinquent MSAs, prioritize the aging accounts, and notify staff of the MSAs requiring followup. As a result, the BAMC UBO missed opportunities to collect approximately \$10.4 million in delinquent payments due on 24 of the 25 highest dollar delinquent MSAs. These are funds that could be used for other purposes—applied to administrative, operating, and

<sup>10</sup> The BAMC did not pursue one MSA because it was part of an ongoing court case.

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equipment costs, readiness training, or trauma consortium activities. The BAMC UBO will continue to incur rising delinquent balances for future MSAs, and miss opportunities to enhance health care delivery at the medical treatment facility providing the care, unless management takes prompt and aggressive actions:

- to pursue collection of the delinquent debt among the MSAs the DoD OIG reviewed, including the \$62.5 million for the thousands of additional delinquent MSAs that the DoD OIG did not specifically review; and
- improve its collection process.

**Recommendation 1.b:** The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.

**Management Response to the Recommendation:** The U.S. Army Medical Command (MEDCOM) Chief of Staff, responding for the BAMC Commander, agreed with the recommendation, stating that the BAMC UBO reassigned two MSA clerks to work exclusively on aged accounts to process and transfer them to the U.S. Treasury within 18 months. The Chief of Staff also stated that the BAMC UBO was working to hire additional MSA clerks to mitigate current claims from aging into the 180-day backlog. The BAMC UBO planned to complete processing and transferring the backlog

*Brooke Army Medical Center  
located at Fort Sam Houston*

*Source: U.S. Army.*



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by January 1, 2016. The MEDCOM Chief of Staff also expressed concern that, to transfer debt for patients requesting debt compromise, which is the acceptance of a lesser amount of money as full settlement of the patient's debt, the U.S. Treasury required a financial assessment before BAMC could transfer the debt to the U.S. Treasury. However, according to the Chief of Staff, the BAMC UBO did not have the staff or expertise to conduct these financial assessments. The Chief of Staff stated that his office was seeking additional guidance from the Office of the Secretary of the Army on how to proceed with these claims.

**Implementation Status:** The DoD OIG performed a followup audit to determine whether the Office of the Under Secretary of Defense for Health Affairs, the Defense Health Agency (DHA), and the Military Services implemented actions to correct problems identified in six prior DoD OIG reports related to the collection of outstanding balances of MSAs across the Services.<sup>11</sup>

- DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014
- DODIG-2014-112, "Delinquent Medical Service Accounts at Williams Beaumont Army Medical Center Need Additional Management Oversight," September 16, 2014
- DODIG-2015-087, "Delinquent Medical Service Accounts at Naval Medical Center Portsmouth Need Additional Management Oversight," March 4, 2015
- DODIG-2015-179, "Delinquent Medical Service Accounts at David Grant Air Force Medical Center Need Additional Management Oversight," September 24, 2015
- DODIG-2016-079, "Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight," April 28, 2016
- DODIG-2017-045, "Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight," January 27, 2017

The DoD OIG followup audit determined that although the medical treatment facilities improved the billing process for MSA accounts by implementing the Armed Forces Billing and Collection Utilization Solution (ABACUS) and corrective actions from other prior audit recommendations, additional improvements were needed to review and

<sup>11</sup> Report No. DODIG-2019-038, "Followup of Delinquent Medical Service Account Audits," December 19, 2018.



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pursue collections on all open and delinquent accounts.<sup>12</sup> Specifically, the Military Services did not review and pursue collections for 27,149 open delinquent accounts, valued at \$77.7 million (which includes 15,106 MSAs at BAMC, valued at \$73.1 million).<sup>13</sup> This occurred because UBO management for all Services did not implement the proposed corrective actions for all recommendations made in the prior audit reports, including pursuing the collection of \$73.1 million in delinquent accounts identified in Report No. DODIG-2014-101.

After the followup audit, MEDCOM reported that it completed analysis of the 15,106 delinquent MSAs identified in Report No. DODIG-2014-101 and found 15,042 of the accounts had since been paid, transferred to the Defense Finance and Accounting Service (DFAS) or the U.S. Treasury for collection, or no longer had a balance. Of the 15,042 accounts, 186 MSAs were transferred to the debt collection agency; still has a balance; or had a credit balance that might require a refund, and the other 14,920 accounts were listed as “no longer on the aged accounts receivable.” For the 14,920 accounts, there was no indication of how MEDCOM resolved each of those accounts (paid in full, transferred to DFAS or the U.S. Treasury for collection, or terminated as uncollectible debt). Therefore, the DoD OIG notified MEDCOM on April 16, 2019, that the support it provided for the completed analysis of the 15,106 MSAs was deficient, and requested that MEDCOM provide additional documentation to support the disposition of the 14,920 MSAs.

MEDCOM responded that it took all reasonable actions to review, research, and collect on MSAs open at the time of the report. MEDCOM further stated that DoD OIG followup Report No. DODIG-2019-038 recognized the impending takeover of military treatment facilities by the DHA, and included a recommendation for DHA to work with MEDCOM and the BAMC UBO to address delinquent debt.<sup>14</sup> MEDCOM stated that it would take no further action on the delinquent accounts because it lacked both the resources to research each account and it was the DHA’s responsibility to address future delinquent MSA issues from an enterprise perspective.

<sup>12</sup> ABACUS replaced the billing function in the Composite Health Care System in September 2015. ABACUS allows medical treatment facilities to manage billing and collection activities for the UBO’s cost recovery programs. ABACUS provides electronic billing, interagency invoicing, and visibility into medical cost recovery activities, including delinquent debt.

<sup>13</sup> Report Nos. DODIG-2014-101 and DODIG-2016-079.

<sup>14</sup> The NDAA for FY 2017 establishes the DHA as the authority for administration of all medical treatment facilities beginning October 1, 2018.



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In September 2020, the DoD OIG discussed Recommendation 1.b and other recommendations related to medical service accounts and third-party collection programs for medical claims with the DoD's Chief Management Officer and representatives from the Military Services.<sup>15</sup> Several other meetings transpired to establish ownership of the recommendations and, ultimately, the DoD OIG transferred the recommendation to the DHA in January 2022. It has been approximately 8 years since the DoD OIG recommended actions to prioritize the proper handling of delinquent MSAs and UBO officials have not fully pursued opportunities to review and collect on delinquent accounts and accounts not billed.

Between July 2013 and October 2018, the DoD OIG conducted seven audits addressing oversight and management of MSAs by the Office of the Under Secretary of Defense, Military Services, and selected medical treatment facilities. Those seven audits resulted in 68 recommendations. Of the 68 recommendations, 45 recommendations are closed and 23 remain resolved and open with \$114 million in associated potential monetary benefits.

**Information Required to Close the Recommendation:** The DoD OIG will close Recommendation 1.b once we review the DHA plan to pursue the collection of delinquent MSA debt identified in prior DoD OIG audit reports; and evidence that it pursued the collection or received authority to terminate the debt on all 15,106 delinquent MSAs, valued at \$73.1 million, that were open at the time of the audit. This recommendation has been open 7 years and 7 months. This is the fifth consecutive year this recommendation has appeared on the Compendium's list of high-priority recommendations.

**Why the Recommendation Is Important:** This recommendation is one of several that the DoD OIG made to the DHA and the Military Services to develop effective controls to handle the significant problem of managing and collecting medical debt. If the DoD implemented this recommendation, it could potentially recover \$73.1 million in delinquent payments. Collection of these funds offers tremendous benefits to the DoD, as the money collected can help improve the quality of health care within the Military Health System by providing additional funding for administrative, operating, and equipment costs; and readiness training.

<sup>15</sup> Report No. DODIG-2019-108, "Audit of the DoD's Management of the Third Party Collection Program for Medical Claims," September 16, 2019. In this report, the DoD OIG reported that the DoD did not properly manage the Third Party Collection Program, resulting in uncollected funds of up to \$70.7 million at the nine medical facilities reviewed. We discuss the recommendations related to Report No. DODIG-2019-108 in the Unresolved Recommendations chapter of this Compendium.

## Report No. DODIG-2016-108, “Army Needs Greater Emphasis on Inventory Valuation,” July 12, 2016

**FY 2022 Management Challenges** – Reinforcing the Supply Chain While Reducing Reliance on Strategic Competitors

Improving DoD Financial Management and Budgeting

**Objective:** The objective of this audit was to determine whether the Department of the Army properly recorded Army Working Capital Fund (AWCF) Inventory, Available and Purchased for Resale, at moving average cost (MAC) within the Logistics Modernization Program (LMP) system.

**Background:** MAC is a method for valuing inventory; and the LMP is an enterprise resource planning system used to record Army logistical and financial transactions. This audit focused on how the LMP system recorded the value of AWCF inventory at MAC in the general ledger accounts and accountability records.<sup>16</sup> The U.S. Army Materiel Command (AMC) had 557 plants that hold, maintain, distribute, and repair inventory for the AWCF within the LMP.

**Finding:** The DoD OIG determined that the AMC did not properly record 83 of 128 nonstatistically sampled items from 18 of 557 plants in a manner that reasonably approximated historical cost, despite the LMP system having the functionality to compute MAC.<sup>17</sup> This occurred because the AMC did not implement controls to ensure Life-Cycle Management Commands (LCMCs) properly recorded AWCF inventory at MAC. Army personnel were more concerned about getting inventory receipted into the LMP rather than what inventory movement codes they were using in the LMP.<sup>18</sup> Inventory movement codes within the LMP generate specific accounting transactions. Army personnel selected the wrong inventory movement codes, causing an incorrect accounting transaction when they received inventory, which then caused the MAC to be incorrect.

As a result, the Army materially misstated its inventory on the FY 2015 AWCF Financial Statements and was at increased risk of not meeting the FY 2017 audit readiness milestone. In one example, the audit found that the AMC overstated the value of an

<sup>16</sup> Accountability records provide details on the ownership, condition, location, and any delivery dates and information needed in valuing a specific piece of inventory. This would involve both system records along with hard copy records.

<sup>17</sup> A plant is the physical storage location of an inventory asset. The plant is also where valuation is assigned within LMP.

<sup>18</sup> Inventory movements within LMP indicated how the inventory reached its current location and condition.

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item by \$375.9 million due to the improper use of the LMP. The Army's inability to value inventory at MAC has resulted in the AMC switching to standard pricing as the basis for AWCF customer billing, which may result in higher billing rates.

**Recommendation 1.c:** The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement procedures to properly maintain historical costs when transferring inventory between plants and properly capture and record all appropriate purchase, transportation, and production costs to bring the inventory items to their current condition and location.

**Management Response to the Recommendation:** The Deputy Chief of Staff for Resource Management, AMC, responding for the AMC Commander, agreed with the recommendation. The Deputy Chief of Staff stated that the AMC would implement controls and promulgate policy that direct the use of specific movement types when moving inventory between valuation types, and interdepot transfers from plant to plant within LMP.<sup>19</sup> The Deputy Chief of Staff also stated that a system change request was established to present the user with an error message, and prevent the incorrect use of movement type in these situations. This will ensure appropriate transfer actions with the accurate MAC value. According to the Deputy Chief of Staff, the AMC would also be able to capture and maintain a record of all historical costs associated with a particular item.

**Implementation Status:** On October 11, 2018, the AMC reported that it issued, in October 2016, a policy memorandum on the "Army Working Capital Fund Batch Change Process." This memorandum addressed the use of the '300 Series Movement Types' within the LMP and its negative impact on MAC values when used to move inventory into a batch with different valuation types. This memorandum requires AMC sites to use alternative movement types to avoid negatively affecting the MAC. However, the DoD OIG determined that this policy memorandum only identified some of the movement types and did not provide guidance on the appropriate use of specific movement types, such as movement type 501 related to receiving inventory. In addition, the memorandum did not establish procedures for Army personnel to maintain historical

<sup>19</sup> Movement type is a three-digit alphanumeric code that indicates inventory movement transactions within LMP. Inventory movement transactions include receipt, transfer, or sale of inventory, among many others. There is also a large number of reversing transactions to offset previous transactions. Valuation type in LMP provides the ability to value inventory of the same material differently based on business-driven categories.

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costs when transferring inventory in LMP between plants and capturing and recording all appropriate purchase, transportation, and production costs to bring the inventory items to their current condition and location.

On December 3, 2020, the AMC reported that it had requested the DoD OIG close this recommendation multiple times. The DoD OIG informed the AMC that the financial statement audits conducted by KPMG confirmed that the AMC had not implement policies and controls over the use of movement type 501, batch transfer movement types, and support for historical costs. KPMG continues to identify similar findings associated with inventory valuation and issued notices of findings and recommendations as part of the financial statement audits from FY 2018 – FY 2021 that were consistent with the recommendations in DODIG-2016-108. On June 15, 2021, Army personnel provided an update to the DoD OIG, indicating that the AMC was continuing to perform corrective actions to close this recommendation by December 31, 2022.

In addition to Recommendation 1.c, this report contained 10 recommendations related to establishing policies and procedures focused on computing inventory valuation at MAC, monitoring MAC values for National Item Identification Numbers at plants, and making supported corrections of MAC values. Of the 11 recommendations, 3 are closed and 8 remain resolved and open.

**Information Required to Close the Recommendation:** The DoD OIG will close Recommendation 1.c once the AMC implements transfer policies and we verify that the policies: (1) include procedures to properly maintain historical cost when transferring inventory between plants, and (2) properly capture and record all appropriate purchase, transportation, and production costs to bring the inventory items to their current condition and location. This recommendation has been open for 5 years and 8 months. This is the first year this recommendation has appeared on the Compendium's list of high-priority recommendations.

**Why the Recommendation Is Important:** The inventory line item makes up 80 percent of the Army Working Capital Fund balance sheet, valued at over \$24 billion, as of December 31, 2021. Unless the Army corrects these accounting issues, it will not receive a clean opinion on its financial statement audit.

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### **Report No. DODIG-2019-105, “Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems,” July 23, 2019 (Full Report is FOUO)<sup>20</sup>**

**FY 2022 Management Challenge** – Reinforcing the Supply Chain While Reducing Reliance on Strategic Competitors

**Objective:** The objective of this audit was to determine whether DoD contractors implemented adequate security controls to protect DoD-controlled unclassified information (CUI) maintained on their networks and systems from internal and external cyber threats.

**Background:** CUI is a designation for identifying unclassified information that requires proper safeguarding in accordance with Federal and DoD guidance. The DoD OIG conducted this DoD-wide audit in response to a request from the Secretary of Defense.

**Finding:** The DoD OIG determined that DoD contractors did not consistently implement security controls for safeguarding Defense CUI on their networks and systems. Defense Federal Acquisition Regulation Supplement (DFARS) clause 252.204-7012 requires contractors that maintain CUI to implement security controls specified in National Institute of Standards and Technology (NIST) Special Publication (SP) 800-171, which lists security requirements for safeguarding sensitive information on non-Federal information systems. The requirements include controls for user authentication, user access, media protection, incident response, vulnerability management, and confidentiality of information. Although the DoD requires contractors to protect CUI by complying with NIST SP 800-171 requirements, DoD Component contracting offices and requiring activities did not establish processes to:

- verify that contractors’ networks and systems that process, store, and transmit CUI met the NIST security requirements before contract award;
- notify contractors of the specific CUI category related to the contract requirements;
- determine whether contractors accessed, maintained, or developed CUI to meet contractual requirements;

<sup>20</sup> Previous DoD OIG reports issued as FOUO will remain FOUO. However, in accordance with DOD Instruction 5200.48, “Controlled Unclassified Information (CUI),” March 6, 2020, we have reviewed the associated open recommendations and, as appropriate, reclassified them as CUI.

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- properly mark documents that contained CUI and notify contractors when CUI was exchanged between DoD agencies and the contractor; and
- verify that contractors implemented minimum-security controls for protecting CUI.

Furthermore, DoD Component contracting offices and requiring activities did not always know which contracts required contractors to maintain CUI because the DoD did not implement processes and procedures to track which contractors maintained CUI. In addition, the contracting offices inconsistently tracked which contractors maintained CUI on their networks and systems.

As a result, the DoD was not aware of the amount of CUI managed by contractors and could not determine whether contractors were protecting unclassified DoD information from unauthorized disclosure. Without knowing which contractors maintained CUI on their networks and systems and taking actions to validate that contractors protected and secured DoD information, DoD CUI is at greater risk of compromise by cyber attacks from malicious actors who will target DoD contractors. Malicious actors can exploit vulnerabilities on the networks and systems of DoD contractors and steal information related to some of the Nation's most valuable advanced defense technologies. Cyber attacks against DoD contractor networks and systems require implementation of system security controls that reduce the vulnerabilities that malicious actors use to compromise DoD critical national security information.

*National Institute of Standards and  
Technology (NIST) Special Publication  
(SP) 800-171*

*Source: NIST.gov.*

**NIST**  
**National Institute of  
Standards and Technology**  
**Special Publication 800-171**

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**Recommendation A.2:** The DoD OIG recommended that the Principal Director for Defense Pricing and Contracting (DPC), in coordination with the appropriate DoD Component responsible for developing policy:

- a. Revise its current policy to require DoD Component contracting offices, as part of the Request for Proposal and source selection processes, and requiring activities, during the performance of the contract, to assess whether contractors comply with the NIST requirements for protecting CUI before contract award and throughout the contracts' period of performance.
- b. Develop and implement policy requiring DoD Component contracting offices and requiring activities to maintain an accurate accounting of contractors that access, maintain, or develop CUI as part of their contractual obligations.
- c. Revise its current policy to include language that will require DoD Component contracting offices and requiring activities to validate contractor compliance with NIST Special Publication 800-171 requirements.
- d. Require DoD Component contracting offices, in coordination with DoD requiring activities, to develop and implement a risk-based process to verify that contractors comply with the DFARS clause 252.204-7012 for protecting CUI.
- e. Require DoD Component contracting offices, in coordination with DoD requiring activities, to take corrective actions against contractors that fail to meet the NIST and contract requirements for protecting CUI.

**Management Response to the Recommendation:** The DPC Acting Principal Director agreed, stating that the DPC requires offerors to represent that they will implement NIST SP 800-171 security requirements as part of the request for proposal and source selection processes. The Acting Principal Director also stated that the February 5, 2019 memorandum from the Under Secretary of Defense for Acquisition and Sustainment (USD[A&S]) directed the Defense Contract Management Agency, for contracts it administers, to assess contractor compliance with NIST SP 800-171 requirements. The Acting Principal Director stated that, from June through September 2019, the Defense Contract Management Agency would lead a pilot program to provide a strategic, DoD-wide approach for assessing contractor compliance with NIST SP 800-171 requirements. After completing the pilot



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program, the Acting Principal Director stated that the DPC would work with, among others, the Defense Contract Management Agency, DoD Components, and the DoD Chief Information Officer to:

- determine how to use the results before contract award;
- revise DoD policy accordingly;
- develop a risk-based process that uses a common methodology to assess contractor compliance with NIST SP 800-171 requirements; and
- update DFARS clause 252.204-7008, “Compliance with Safeguarding Covered Defense Information Controls,” October 2016.

The Acting Principal Director also stated that the DPC used enterprise contract data to track contracts that included DFARS clause 252.204-7012 and provide DoD Components with a quarterly update of contractors subject to DFARS clause 252.254-7012 requirements. Furthermore, the Acting Principal Director agreed with the need for DoD Components to take corrective action against contractors that fail to meet NIST SP 800-171 and contract requirements for protecting CUI. The Acting Principal Director stated that DoD Components are authorized to implement any or all of the penalties and remedies for noncompliance with the DFARS clause and NIST requirements. The Acting Principal Director further stated that the implementation of a DoD-wide approach for assessing contractor compliance with the DFARS clause and NIST requirements enables the Defense Contract Management Agency and any contract administering organization to apply penalties and remedies when warranted.

**Implementation Status:** The DoD opened DFARS Case 2019-D041 on September 23, 2019, to implement a standard DoD-wide methodology for assessing DoD contractor compliance with the security requirements in NIST SP 800-171 and the Cybersecurity Maturity Model Certification. The Cybersecurity Maturity Model Certification is a process used by the DoD to certify that contractors have the controls to protect sensitive data, including Federal contract information and controlled unclassified information. On January 15, 2020, the Defense Acquisition Regulatory Council agreed to a draft proposed DFARS rule. On February 10, 2021, the DPC Principal Director stated that interim DFARS rule 2019-D041, “Assessing Contractor Implementation of Cybersecurity Requirements,” was published in the Federal Register (85 FR 61505) on September 29, 2020. Interim DFARS rule 2019-D041 requires implementing a DoD

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Assessment Methodology and Cybersecurity Maturity Model Certification Framework to assess contractor implementation of cybersecurity requirements and enhance the protection of unclassified information within the DoD supply chain.

On January 24, 2022, the DPC Principal Director stated that to implement the newly approved DoD Cybersecurity Maturity Model Certification (CMMC) program changes, designated as “CMMC 2.0,” the DoD would mandate that Defense Industrial Base (DIB) contractors comply with NIST SP 800-171 requirements for CUI. The Principal Director stated that the DoD developed the CMMC framework to protect sensitive unclassified information, including federal contract information (FCI) and CUI that is shared with and handled by DoD contractors and subcontractors on non-Federal contractor information systems. In March 2021, the DoD initiated an internal assessment of the initial version of the CMMC framework (CMMC 1.0) to evaluate and refine policy and program implementation. As a result of this review, in November 2021, the DoD approved the updated CMMC 2.0 framework that will include a streamlined CMMC program structure with tiered requirements for CMMC Levels 1 through 3.

The DPC Principal Director further stated that the DoD was in the process of reviewing public comments received in response to the issuance of DFARS Interim Rule 2019-D041, which implemented the NIST SP 800-171 DoD Assessment Methodology and CMMC 1.0. These comments are being considered in the formulation of the final rule. The DPC Principal Director stated that the adoption of CMMC 2.0 will lead to a stronger and more secure Defense Industrial Base from a cybersecurity perspective.

According to the DPC Principal Director, the changes reflected in the CMMC 2.0 framework will be implemented through a dual track rulemaking process. Specifically, the Office of the DoD Chief Information Officer is pursuing the rulemaking process in Title 32 of the Code of Federal Regulations to establish CMMC 2.0 program requirements, and OUSD(A&S) will amend Title 48 of the Code of Federal Regulations, which contains the Federal Acquisition Regulation (FAR), to implement any necessary changes. The DPC Principal Director stated that the DoD is committed to timely implementation of CMMC 2.0, which will help protect the DIB from cyber attacks and safeguard sensitive national security information.

In addition, FAR Case 2017-016, CUI, is in the final stages of review by the DPC before submission to the Office of Management and Budget. FAR Case 2017-016 will implement the National Archives and Records Administration (NARA) CUI program of Executive Order 13556, which provides implementing regulations to address agency policies for designating, safeguarding, disseminating, marking, decontrolling, and disposing of

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controlled unclassified information. In addition, the Office of Management and Budget Memorandum M-17-12, “Preparing for and Responding to a Breach of Personally Identifiable Information [PII],” January 3, 2017, provides guidance on personally identifiable information breaches occurring in cyberspace or through physical acts. The DPC plans to submit the FAR rule to the Office of Management and Budget by the second quarter, FY 2022.

In addition to Recommendation A.2.a-e, this report contained 44 other recommendations related to DoD Component contracting offices developing and implementing a plan to verify that contractors correct the weaknesses identified in this report. The weaknesses included using multifactor authentication, mitigating vulnerabilities in a timely manner, protecting and monitoring data on removable media, documenting and tracking cybersecurity incidents, using an automatic system lock after inactivity or unsuccessful logon attempts, implementing physical security controls; generating system activity reports, and requiring and maintaining justification for accessing systems that contain controlled unclassified information. Of the 45 recommendations, 42 are closed and 3 remain resolved and open.

**Information Required to Close the Recommendation:** The DoD OIG will close Recommendation A.2.a-e after the Acting Principal Director provides the revised or new policies and procedures that establish a risk-based process for assessing contractor compliance with NIST SP 800-171 requirements before contract award and throughout the contract’s period of performance. In addition, the Acting Principal Director should provide the last four quarterly reports of contractors subject to DFARS clause 252.201-7012, the revised contractual language included in DFARS clause 252.204-7008, and the list of penalties and remedies that DoD Components could apply to contractors that fail to meet NIST and contract requirements. This recommendation has been open 2 years and 8 months. This is the third year this recommendation has appeared on the Compendium’s list of high-priority recommendations.

**Why the Recommendation Is Important:** Defense contractors that do not implement the proper security controls to protect DoD information risk disclosing critical technical details of DoD programs to U.S. adversaries. Malicious actors can exploit vulnerabilities on the networks and systems of DoD contractors and steal information related to some of the Nation’s most valuable advanced defense technologies. If the DoD does not include security as a major factor in considering whether to do business with Defense contractors, there is an increased risk that DoD CUI related to national security could fall into adversary hands.

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### Report No. DODIG-2019-106, “Audit of the DoD’s Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items,” July 26, 2019 (Full Report is Classified)

**FY 2022 Management Challenges** – Strengthening DoD Cyberspace Operations and Securing Systems, Networks, and Data

Reinforcing the Supply Chain While Reducing Reliance on Strategic Competitors

**Objective:** The objective of this audit was to determine whether the DoD assessed and mitigated cybersecurity risks when purchasing commercial off-the-shelf (COTS) information technology items.

**Background:** According to the Federal Acquisition Regulation, a COTS item is a commercial item sold in substantial quantity in the marketplace and offered to the Government in the same form in which it is sold to non-Government customers. The DoD purchases and uses a wide variety of COTS information technology items, such as laptops, software, security cameras, and networking equipment.

**~~(CUI)~~ Finding:** The DoD OIG determined that the DoD purchased and used COTS information technology items with known cybersecurity risks. Specifically, Army and Air Force government purchase card (GPC) holders purchased at least \$32.8 million of COTS information technology items, such as Lenovo computers, Lexmark printers, and GoPro cameras, with known cybersecurity vulnerabilities in FY 2018. In addition, we identified that the [REDACTED]

[REDACTED].



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GSA SmartPay  
Government purchase card  
program

Source: GSA.gov.

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The DoD purchased and used COTS information technology items with commonly known cybersecurity risks because the DoD did not establish:

- responsibility for an organization or group to develop a strategy to manage the cybersecurity risks of COTS information technology items;
- acquisition policies that proactively address the cybersecurity risks of COTS information technology items;
- an approved products list to prevent unsecure items from being purchased; and
- controls to prevent the purchase of high-risk COTS information technology items with known cybersecurity risks similar to the controls implemented through the use of the national security systems-restricted list.

~~(CUI)~~ As a result, adversaries could exploit known cybersecurity vulnerabilities that exist in COTS items purchased by the DoD. If the DoD continues to purchase and use COTS information technology items without identifying, assessing, and mitigating the known vulnerabilities associated with COTS information technology items, missions critical to national security could be compromised. For example, the Department of State issued a warning in May 2017 against using Hangzhou Hikvision Digital Technology Company and Dahua Technology Company video surveillance equipment, citing cyberespionage concerns from China. Despite the inherent risks associated with their use, DoD Components continued to purchase and use these COTS items to [REDACTED] until Congress banned the U.S. Government from using them in August 2018. In addition, despite reports from the [REDACTED] [REDACTED] DoD Components purchased and used the systems to [REDACTED]. Using COTS information technology items, [REDACTED] [REDACTED] [REDACTED]

**Recommendation 2.a:** The DoD OIG recommended that the USD(A&S) update existing DoD acquisition policies or develop and implement new policy to require organizations to review and evaluate cybersecurity risks, including supply chain and counterintelligence risks, for high-risk commercial off-the-shelf items prior to purchase, regardless of purchase method.

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**(CUI) Management Response to the Recommendation:** On June 11, 2019, the USD(A&S) agreed, stating that [REDACTED]

[REDACTED] The USD(A&S) noted that DoD policies, including DoD Instructions 5000.01, 5000.02, 5200.44, 8510.01, and 5200.39, require [REDACTED]. The USD(A&S) stated that [REDACTED]

**Implementation Status:** On October 1, 2020, the USD(A&S) and the Department of Defense Chief Information Officer (DoD CIO) stated that they were working to update and restructure the DoD 5000 series of instructions to include a new issuance that would provide cybersecurity guidance for the acquisition decision authorities and program managers. The purpose of the new issuance is to ensure that DoD Components treat cybersecurity as a foundational requirement of the System Survivability (SS) Key Performance Parameter by including a mandatory capability consideration that has to be continually addressed through the Risk Management Framework, the process by which DoD components manage risk for using a device, system, or network.

On February 24, 2021, the USD(A&S), on behalf of DoD CIO and the Under Secretary of Defense for Research and Engineering (USD[R&E]), reported to the DoD OIG that they were jointly revising DoD Instruction 5200.44. The Instruction prescribes policy for risk mitigation and protection of trusted systems, and this revision will include a risk-based approach for tailoring supply chain risk management according to characteristics like mission criticality. The revision will require organizations to review and evaluate cybersecurity risks, including supply chain and counterintelligence risks, for high-risk COTS items prior to purchase, regardless of purchase method.

On January 20, 2022, the Principal Director, Defense Pricing and Contracting (DPC), indicated that although the DoD made progress toward publishing DoD Instruction 5200.44, the process for the revisions and internal coordination stages took longer than expected. The Washington Headquarters Services Executive Services Directorate expects to publish the changes to DoD Instruction 5200.44, which is in the formal coordination stage of the DoD issuance process, by May 31, 2022. Once DoD Instruction 5200.44 is published, the DPC Principal Director will coordinate with the DoD CIO and USD(R&E) to update GPC policy and training requirements to promote awareness of common COTS information technology cybersecurity risks and the impact of these risks on the mission.

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Additionally, the USD(A&S) will use the Defense Federal Acquisition Regulation Supplement (DFARS) rule related to DFARS Case 2019-D009, “Use of Supplier Performance Risk System (SPRS) Assessments,” as part of its support to address Recommendation 2.a. The DFARS rule is in the final stages of review and USD(A&S) expects to submit it to the Office of Management and Budget in the third quarter, FY 2022. Once the DPC publishes DFARS Case 2019-D009 as a final rule in the Federal Register, the DPC will issue a GPC policy memorandum.

In addition to Recommendation 2.a, this report contained seven recommendations related to developing and implementing GPC program policy and training requirements, including training on the common cybersecurity risks and impacts to the mission from those risks for COTS information technology items, and updating DoD policy to require an assessment of supply chain risks as a condition for approval to be included on the Unified Capabilities Approved Products List. Of the eight recommendations, two are closed and six remain resolved and open.

**Information Required to Close the Recommendation:** The DoD OIG will close Recommendation 2.a once the USD(A&S) provides the updated version of DoD Instruction 5200.44 and the GPC policy memorandum and the DoD OIG verifies that the documents and the risk assessment system address requirements for evaluating COTS items cybersecurity risks prior to their purchase, regardless of the purchase method. This recommendation has been open for 2 years and 8 months. This is the second year this recommendation has appeared on the Compendium’s list of high-priority recommendations.

**Why the Recommendation Is Important:** The DoD relies on a wide variety of COTS information technology items and integrates many of those items into DoD systems and networks. The DoD needs an enterprise approach to ensure cybersecurity risks associated with COTS information technology items are identified, assessed, and mitigated before they compromise missions critical to national security. Purchasing COTS information technology items that are secure or free of cybersecurity risks could decrease the risk of adversaries exploiting vulnerabilities that could compromise operations. Purchasing secure COTS items also reduces the need to replace unsecure COTS information technology items that are later banned or pose unacceptable cybersecurity risks to the DoD.



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### **Report No. DODIG-2020-067, “Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions (Full Report is Classified),” March 13, 2020**

**FY 2022 Management Challenge** – Strengthening DoD Cyberspace Operations and Securing Systems, Networks, and Data

**Objective:** The objective of this audit was to determine whether DoD Cyber Red Teams and DoD Components took actions to correct problems identified in Report No. DODIG-2013-035, “Better Reporting and Certification Processes Can Improve Red Teams’ Effectiveness,” December 21, 2012. In addition, the DoD OIG determined whether DoD Cyber Red Teams supported operational testing and combatant command exercises to identify network vulnerabilities, threats, and other security weaknesses affecting DoD systems, networks, and facilities, and whether corrective actions were taken to address DoD Cyber Red Team findings. The audit also assessed risks affecting the ability of DoD Cyber Red Teams to support DoD missions and priorities.

**Background:** DoD Cyber Red Teams are independent, multi-disciplinary groups of DoD personnel that are certified, accredited, and authorized to identify vulnerabilities that impact the confidentiality, integrity, or availability of DoD systems and networks by portraying the tactics, techniques, and procedures of adversaries. The DoD uses DoD Cyber Red Teams to highlight vulnerabilities, improve joint cyberspace operations, and protect the DoD Information Network and DoD weapons systems from vulnerabilities and threats that affect the DoD’s security posture.

**(~~CUH~~) Finding:** The DoD OIG determined that DoD Components did not consistently mitigate or include unmitigated vulnerabilities identified by DoD Cyber Red Teams during combatant command exercises, operational testing assessments, and agency-specific assessments in plans of action and milestones. Specifically, of the [REDACTED] DoD Cyber Red Team-identified vulnerabilities that the DoD OIG reviewed, DoD Components:

- (~~CUH~~) mitigated [REDACTED] vulnerabilities,
- (~~CUH~~) did not mitigate [REDACTED] vulnerabilities, and
- (~~CUH~~) partially mitigated [REDACTED] vulnerabilities.

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DoD Components did not consistently mitigate vulnerabilities or include unmitigated vulnerabilities in plans of action and milestones because they failed to assess the impact of the vulnerabilities to their mission, prioritize resources to implement risk mitigation solutions, or coordinate the results of DoD Cyber Red Team reports with stakeholders responsible for mitigating the vulnerabilities. In addition, the DoD did not have an organization responsible for ensuring that DoD Components took action to manage risks and vulnerabilities identified by DoD Cyber Red Teams, and the DoD did not establish processes that held DoD Components responsible for mitigating those vulnerabilities.

(CUI) [REDACTED]  
 [REDACTED]  
 [REDACTED]  
 [REDACTED]  
 [REDACTED]  
 [REDACTED]  
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 [REDACTED] Ensuring DoD Components mitigate vulnerabilities is not only essential to achieving a better return on investment [REDACTED] DoD Cyber Red Team activities [REDACTED] alone), [REDACTED]  
 [REDACTED].

**Recommendation A.5.a:** The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to review and assess DoD Cyber Red Team reports for systemic vulnerabilities and coordinate the development and implementation of enterprise solutions to mitigate those vulnerabilities affecting DoD systems, networks, and operations.

**Recommendation A.5.b:** The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to ensure DoD Components develop and implement a risk-based process to assess the impact of DoD Cyber Red Team-identified vulnerabilities and prioritize funding for corrective actions for high-risk vulnerabilities.

<sup>21</sup> A Category 1 vulnerability is a critical vulnerability that if exploited, could immediately result in the loss of confidentiality, integrity, and availability of data.

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**Management Response to the Recommendations:** The Deputy to the Principal Cyber Advisor, responding for the Secretary of Defense, agreed, stating that the DoD would review the roles, responsibilities, and processes for adjudicating, disseminating, and monitoring DoD Cyber Red Team activities, in conjunction with the joint assessment mandated by Section 1660 of the National Defense Authorization Act for FY 2020 (FY 2020 NDAA).<sup>22</sup> The Deputy also acknowledged that the DoD must improve follow up and implementation actions to mitigate DoD Cyber Red Team findings affecting weapon systems, warfighting platforms, and defense critical infrastructure, but would defer assigning an organization until the DoD completes the joint assessment. Furthermore, the Deputy stated that, while the DoD took action to meet Section 1660, the Office of the Principal Cyber Advisor to the Secretary of Defense; the Director, Operational Test and Evaluation (DOT&E); Joint Staff; and U.S. Cyber Command would develop a plan to track and mitigate the unmitigated vulnerabilities identified in the DoD OIG report.

**(CUI) Implementation Status:** On February 24, 2021, the Deputy to the Principal Cyber Advisor notified the DoD OIG that the Principal Cyber Advisor, DoD Chief Information Officer, and Director of Test and Evaluation completed a joint assessment, in accordance with Section 1660 of the FY 2020 NDAA, “Joint Assessment of Department of Defense Cyber Red Team Capabilities, Capacity, Demand, and Requirements.” The purpose of the assessment was to evaluate systemic issues faced by DoD Cyber Red Teams, as well as the processes and governance necessary to implement DoD Cyber Red Team findings. Overall, the joint assessment team produced several recommendations for improving DoD Cyber Red Team training standards, certification and accreditation procedures, tool development standards, and external partnerships. [REDACTED]

[REDACTED] As of March 31, 2021, the DoD had not:

- identified responsibilities for reviewing and assessing DoD Cyber Red Team reports for systemic vulnerabilities, or
- required DoD Components to develop and implement a risk-based process to assess the impact of DoD Cyber Red Team-identified vulnerabilities and prioritize funding for corrective actions for high-risk vulnerabilities.

<sup>22</sup> Section 1660 is the Joint assessment of Department of Defense cyber red team capabilities, capacity, demand, and requirements.

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In June 2021, after the FY 2020 NDAA Section 1660 report was submitted to Congress, leaders from the Office of the Principal Cyber Advisor to the Secretary of Defense, DoD Office of the CIO, and Office of the DOT&E met with congressional Professional Staff Members to discuss the report findings. As a result of that engagement, the scope of a proposed DoD Instruction 8560.XX was expanded to include all cyber assessment teams and has since been designated as DoD Instruction 8585.XX. On November 29, 2021, the Acting Director, Cyber Workforce Integration and Readiness, Office of the Principal Cyber Advisor to the Secretary of Defense, stated that the DoD Chief Information Officer (CIO), Principal Cyber Advisor and DOT&E were developing a DoD CIO interim guidance memorandum to specifically address gaps in existing DoD Cyber Red Team guidance, identified in DODIG-2020-067 and the FY 2020 NDAA Section 1660 report to Congress.

In February 2022, the Director, Cyber Workforce Integration and Readiness, Office of the Principal Cyber Advisor to the Secretary of Defense stated that the DoD CIO interim guidance memorandum for DoD Cyber Red Teams entered into the formal staffing process with DoD CIO signature approval expected by April 2022. Concurrent with the development of the DoD CIO interim guidance, the DoD CIO continues to lead the development of DoD Instruction 8585.XX, with the support of the Office of the Principal Cyber Advisor to the Secretary of Defense, Office of DOT&E, U.S. Cyber Command, National Security Agency, and cyber assessment community stakeholders. According to the Director, Cyber Workforce Integration and Readiness, Office of the Principal Cyber Advisor to the Secretary of Defense, both the interim guidance and DoD instruction will address the roles and responsibilities for analysis of cyber assessment team findings, reporting requirements, and Component mitigation tracking.

In addition to Recommendations A.5.a and A.5.b, this report contained 12 recommendations. The recommendations related to performing a joint DoD-wide mission-impact analysis to determine the number of DoD Cyber Red Teams, minimum staffing levels of each team, and the composition of the staffing levels needed to meet current and future DoD Cyber Red Team mission requests. The recommendations also related to revising guidance to include requirements for addressing DoD Cyber Red Team-identified vulnerabilities and reporting actions taken to mitigate those vulnerabilities and assessing and prioritizing the risk of each unmitigated vulnerability identified in the Red Team assessments, taking immediate actions to mitigate high-risk vulnerabilities, and including them on a command-approved plan of action and milestones. Of the 14 recommendations, 1 is closed and 13 remain resolved and open.

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**Information Required to Close the Recommendations:** The DoD OIG will close Recommendations A.5.a and A.5.b once the Deputy to the Principal Cyber Advisor assigns an entity or position with the responsibility for DoD-level oversight of DoD Cyber Red Team findings and component-level vulnerability mitigation efforts. In addition, to close the recommendations the DoD OIG needs documentation that describes the DoD's actions for assessing DoD Cyber Red Team assessments for systemic vulnerabilities, implementing enterprise solutions to mitigate systemic vulnerabilities, and requiring a risk-based process to assess the impact of DoD Cyber Red Team-identified vulnerabilities. These recommendations have been open for 2 years. This is the second year these recommendations have appeared on the Compendium's list of high-priority recommendations.

**Why the Recommendations Are Important:** Assessing DoD Cyber Red Team reports for systemic vulnerabilities and the impact of those vulnerabilities, and prioritizing funding to address high-risk vulnerabilities, are critical to protecting DoD information networks, systems, and infrastructure. Although the DoD uses DoD Cyber Red Teams to identify vulnerabilities that affect the confidentiality, integrity, and availability of DoD systems and networks, the DoD has not identified an organization with oversight responsibility. Without an organization to identify systemic vulnerabilities and to ensure DoD Components review, assess, and mitigate those vulnerabilities, the DoD will be unable to limit malicious actors from compromising weapon systems, warfighting platforms, and defense critical infrastructure.



## Report No. DODIG-2020-112, “Evaluation of Access to Mental Health Care in the Department of Defense,” August 10, 2020

**FY 2022 Management Challenge** – Protecting the Health and Wellness of Service Members and Their Families

**Objective:** The objective of this evaluation was to determine whether the DoD met outpatient mental health access to care standards for active duty Service members and their families, in accordance with law and applicable DoD policies.

**Background:** The DoD’s Military Health System provides mental health care to active duty Service members and their families through military medical treatment facilities (direct care system) and through networks of civilian providers operated by civilian managed care support contractors (purchased care system).

**Finding:** The DoD did not consistently meet outpatient mental health access to care standards for active duty Service members and their families, in accordance with law and applicable DoD policies. The DoD did not consistently meet outpatient mental health access to care standards because the DHA:

- lacked a Military Health System-wide model to identify appropriate levels of staffing in direct and purchased care;
- published inconsistent and unclear access to mental health care policies;
- did not have visibility of patients who attempted, but were unable, to obtain mental health appointments in the purchased care system; and
- measured the 28-day specialty access to care standard differently between the direct and purchased care systems, both of which included only those patients who were able to get an appointment, excluded patients who self-referred, and considered only the patients’ first appointment.

As a result, thousands of active duty Service members and their families might have experienced delays in obtaining mental health care. The delays could have involved numerous members being unable to: (1) see the right provider at the right time, (2) obtain mental health care at all, or (3) receive timely followup treatment. All of these types of delays in mental health care increase the risk of jeopardizing patient safety and affecting the readiness of the force.

## CHAPTER 1

**Recommendation 2.a:** The DoD OIG recommended that the DHA Director develop a single Military Health System-wide staffing approach for the Behavioral Health System of Care that estimates the number of appointments and personnel required to meet the enrolled population's demand for mental health services.

**Recommendation 2.f.3-4:** The DoD OIG recommended that the DHA Director develop standardized mental health access to care measures for direct and purchased care for active duty Service members and their families, to include tracking the:

- number and percentage of mental health referrals that are not used, and
- reasons patients are unable to book an appointment.

**Management Response to the Recommendations:** On July 26, 2020, the DHA Director agreed with Recommendation 2.a and stated that the TRICARE network was currently executing care under a standard staffing model, which will continue in the next generation of TRICARE managed care support contracts. The DHA Director stated that authority over military treatment facilities (MTFs) does not extend to active duty manning models, and that the DHA was in the early of stages of establishing the markets through which the DHA will implement its authority over the MTFs.<sup>23</sup>

On October 2, 2020, the DHA Director agreed with Recommendations 2.f.3 and 2.f.4, stating that the DHA was in the process of implementing the recommendations, though some would not be fully implemented until the next generation of TRICARE contracts takes effect.

**Implementation Status:** In October 2020, the DHA reported that as it assumes authority over MTFs and markets through the transition process, the DHA would develop a Military Health System-wide staffing approach. The Director also stated that the DHA would base the staffing approach on aligning behavioral health providers and support staff at MTFs and markets and will rely on the TRICARE network to provide behavioral health care not available in the direct care system. The TRICARE network is currently executing care under a standard staffing model and included this model in the next-generation "T-5 TRICARE managed care support contracts." According to the DHA, the model in T-5 will use the anticipated number of appointments required to determine the minimum number of network providers required in a given geographic area, based on a retrospective assessment of patient demand and predictive analytics based on a population health model.

<sup>23</sup> A market is a group of MTFs that operate as a system: sharing patients, providers, functions, and budgets, across facilities to improve the delivery and coordination of health services to drive value for beneficiaries.



## CHAPTER 1

On January 18, 2022, the DHA reported that it had developed the first draft of a Behavioral Health staffing model, which focuses on supply and demand. The DHA indicated that it would work with the Services and internal partners over the next 6 months to finalize the staffing model. The DHA also stated that it expected the staffing model to be complete in July 2022 and ready for piloting in October 2022. According to the DHA, the pilot will occur from October 2022 to September 2024 to allow for synchronization with the military assignment cycle and to collect data. The DHA Director explained that the DHA would use data and feedback to improve the staffing model before launching Military Health System wide in September 2024.

For Recommendations 2.f.3 and 2.f.4, the DHA reported on March 24, 2022, that the policy was delayed because of COVID-19. The Healthcare Operations team responsible for publishing this policy has shifted to writing policy guidance on COVID operations in the MTFs. The new timeline for publication of this policy is July 2022.

In addition to Recommendations 2.a, 2.f.3, and 2.f.4, this report contained 11 recommendations related to improving access to mental health care in the DoD, identifying appropriate staffing levels, updating and clarifying DoD and DHA policies, and developing standardized mental health access to care measures. All 14 recommendations remain resolved and open.

*Mental health department  
directional signage*

*Source: U.S. Air Force.*



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**Information Required to Close the Recommendations:** The DoD OIG will close Recommendation 2.a, when we receive and review a copy of the single Military Health System-wide staffing approach for the Behavioral Health System of Care that estimates the number of appointments and personnel required to meet the enrolled population's demand for mental health services.

The DoD OIG will close recommendation 2.f.3 when we receive and review a copy of the direct and purchased care measures that track the number and percentage of mental health referrals that are not used. We will close recommendation 2.f.4 when we receive and review a copy of the measures that track the reasons patients are unable to book an appointment in both the direct and purchased care systems. These recommendations have been open for 1 year and 7 months. This is the second year recommendation 2.a has appeared on the Compendium's list of high-priority recommendations and the first year recommendations 2.f.3 and 2.f.4 have appeared on the Compendium's list of high-priority recommendations.

**Why the Recommendations Are Important:** Delays in mental health care increase the risk of jeopardizing patient safety and can negatively affect the readiness of the force. The DoD's inability to consistently meet standards for mental health appointment availability was partially due to the DHA not having a Military Health System-wide model to identify appropriate levels of staffing in the direct and purchased care systems. The Military Health System is undergoing a transformation intended to improve the readiness of the force and the health care provided to warfighters and their families. As part of this effort, the DoD has an opportunity to resolve its inability to meet access to care standards by identifying the number of mental health care providers and the number of appointments required across the Military Health System to meet patient demand for mental health services.



## **Report No. DODIG-2021-105, “Evaluation of the Department of Defense’s Actions to Control Contaminant Effects From Perfluoroalkyl and Polyfluoroalkyl Substances at Department of Defense Installations,” July 22, 2021 (Full Report is CUI)**

**FY 2022 Management Challenges** – Protecting the Health and Wellness of Service Members and Their Families

Building Resiliency to Environmental Stresses

**Objective:** The objective of this evaluation was to determine the extent that the DoD took steps to: 1) identify, mitigate, and remediate contamination from perfluoroalkyl and polyfluoroalkyl substances (PFAS) at DoD installations; and 2) identify populations exposed to PFAS at DoD installations and inform them of the associated health and safety concerns.

**Background:** PFAS are fire-resistant, manmade chemicals that repel oil, grease, and water. Products that contain PFAS can be found in almost every U.S. home and business; however, some products containing PFAS are largely limited to the DoD and other heavy industries. One such product is Aqueous Film Forming Foam (AFFF), which the DoD began using in the 1970s as a fire suppressant to fight dangerous petroleum-based fires. In DoD Instruction 4715.18 there is a requirement for DoD officials to mitigate the effects of contaminants.

**Finding:** DoD officials took steps to identify, mitigate, and remediate contaminant effects from PFAS-containing AFFF at DoD installations, including restricting nonessential use of AFFF and initiating Federal cleanup response actions. However, DoD Instruction 4715.18 requires DoD officials to proactively mitigate contaminant effects from emerging chemicals (ECs) at DoD installations when risk management actions are endorsed by the Emerging Chemicals Concern Governance Council (ECGC). EC Program officials included Perfluorooctane sulfonic acid (PFOS) and Perfluorooctanoic acid (PFOA) on the EC Watch List and commissioned impact assessment reports.

EC Program officials issued a risk alert in 2011 that described risks to DoD areas of concern, including risks to human health and the environment. However, the 2011 risk alert was not a risk management action because it was not endorsed by the ECGC. Therefore, DoD officials were not required to plan, program, and budget for any actions in response to the 2011 risk alert. EC Program officials did not require proactive risk management actions for PFAS-containing AFFF until 2016. This occurred because

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DoD Instruction 4715.18 does not include objective requirements for EC Program officials to use when determining when to initiate risk management actions or to elevate an EC from the EC Watch List to the EC Action List. As a result, people and the environment may have been exposed to preventable risks from PFAS-containing AFFF.

Additionally, DoD Instruction 4715.18 requires DoD officials to apply an enterprise-wide approach to mitigate contaminant effects from ECs. An enterprise-wide approach would address all sources of potential EC exposure caused by DoD activities and the impacts of that exposure to DoD areas of concern. EC Program officials identified PFOS and PFOA as ECs and commissioned impact assessment reports. However, DoD officials have not proactively identified, mitigated, and remediated contaminant effects from PFAS-containing materials other than AFFF at DoD installations. Therefore, DoD officials did not apply an enterprise-wide approach to mitigate the contaminant effects of all sources of potential PFAS exposure caused by DoD activities, as required by DoD Instruction 4715.18. This occurred because DoD officials were focused on AFFF, a major source of potential PFAS exposure, and not on all sources of potential PFAS exposure caused by DoD activities. As a result, people and the environment may continue to be exposed to preventable risks from other PFAS-containing materials.

Additionally, DoD officials developed a plan to implement PFAS blood testing for DoD firefighters by FY 2021, as required by the FY 2020 National Defense Authorization Act. However, DoD officials do not plan to track, trend, and analyze the results of PFAS blood tests conducted on DoD firefighters at a DoD-wide level, as required by DoD Instruction 6055.05. This occurred because DoD officials were focused on the immediate collection of the PFAS blood test results to address the FY 2020 National Defense Authorization Act requirement to test the blood of DoD firefighters and not on the analysis of the blood test results at a DoD-wide level. As a result, the DoD is missing an opportunity to capture comprehensive PFAS exposure data for DoD firefighters to be used for risk management, including future studies to assess significant long-term health effects relating to PFAS.

**Recommendation A.1:** The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment (USD[A&S]) revise DoD Instruction 4715.18 to include requirements for EC Program officials to:

- a. Initiate proactive risk management actions based on measureable risks to the DoD areas of concern to mitigate contaminant effects of emerging chemicals at DoD installations.

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- b. Develop risk management options and initiate proactive risk management actions, which may be warranted to identify and mitigate the contaminant effects of emerging chemicals as early as possible in the Emerging Chemical Process, regardless of whether an emerging chemical is on the Emerging Chemical Watch List or the Emerging Chemical Action List.
- c. Formally inform DoD users of EC and of their status in the EC Process.

**Recommendation A.2:** The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Environment and Energy Resilience) complete the EC Process for potential PFAS exposure caused by DoD activities from PFAS-containing materials other than AFFF by developing and presenting validated risk management options for the PFAS on the EC Action List to the ECGC, as required by DoD Instruction 4715.18.

**Recommendation B:** The DoD OIG recommended that the Assistant Secretary of Defense (Readiness) (ASD[R]) develop a plan to track, trend, and analyze DoD firefighter PFAS blood test results at a DoD-wide level, in accordance with DoD Instruction 6055.05.

**Management Response to the Recommendations:** The Acting Assistant Secretary of Defense (Sustainment) (ASD[S]), responding for the USD(A&S), partially agreed with Recommendation A.1. Specifically, the Acting ASD(S) stated that DoD Instruction 4715.18 should be revised to more clearly articulate EC Program activities. The Acting ASD(S) stated that the USD(A&S) will revise DoD Instruction 4715.18 to:

- develop risk measures consistent with the “Department of Defense Risk Management Guide for Defense Acquisition Programs” to quantify risks to the DoD areas of concern;
- require EC Program officials to apply the measurable risk management requirements and, when warranted, present risk management options to the ECGC;
- include the development of risk management options for EC on the EC Watch List and the EC Action List; and
- include a process to formally inform DoD users of EC and of their status in the EC Process.

The Acting ASD(S) also stated that the USD(A&S) plans to issue these requirements in a policy memorandum by January 2022 and to incorporate the requirements in the next update to DoD Instruction 4715.18, which is anticipated by September 2025.

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In response to Recommendation A.2, the Acting ASD(S), responding for the Deputy Assistant Secretary of Defense (Environment and Energy Resilience), agreed, stating that validated risk management options will be presented to the ECGC in the second quarter of FY 2022.

In response to Recommendation B, the Acting ASD(R), agreed, stating that to support occupational illness surveillance and trend analysis, the DoD will:

- provide firefighter PFAS blood level data to the National Institute for Occupational Safety and Health to assist in their Fire Fighter Cancer Cohort study that includes PFAS research; and
- conduct trend analysis of PFAS serum laboratory results at the Navy and Marine Corps Public Health Center's EpiData Center, including measures of central tendencies, with confidence intervals, for six PFAS compounds.

The Acting ASD(R) stated that these actions would take approximately 4 years. Additionally, the Acting ASD(R) stated that to perform occupational exposure surveillance, the DoD will:

- use its toxicology expertise in the Tri-Service Toxicology Consortium—with external peer review (for example, National Research Council Committee on Toxicology)—to understand the relationship of PFAS blood levels to firefighter workplace exposures; and
- use the relationship of PFAS blood levels to firefighter workplace exposures and work with the National Institute for Occupational Safety and Health (NIOSH) to develop exposure measurement technologies that are predictive of PFAS blood levels.

The Acting ASD(R) stated that the development of PFAS exposure limits and exposure assessment procedures will take more than 4 years.

**Implementation Status:** For Recommendation A.1.a-c, the Deputy Assistant Secretary of Defense (Environment and Energy Resilience) stated that on February 7, 2022, the DoD had reviewed the relevant sections of DoD Instruction 4715.18 and drafted a policy memorandum to document the changes necessary to more clearly articulate EC Program activities and address the DoD OIG recommendations. The Deputy also stated that the DoD was coordinating the draft policy memorandum with the appropriate DoD stakeholders and planned to issue the final memorandum by February 2022. In addition, the Deputy stated that this information will be incorporated into the next update of DoD Instruction 4715.18 by September 2025. The Deputy further stated that for

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Recommendation A.2, the DoD developed draft risk management options, which are in coordination with relevant offices across the DoD. The DoD expected to present the draft risk management options to the ECGC on March 23, 2022. As of March 31, 2022, an update had not been provided to the DoD OIG.

For Recommendation B, the Deputy Director for Occupational Safety and Health stated that on November 19, 2021, the DoD collaborated with the Department of Health and Human Services (HHS) and the NIOSH in their research to identify health effects associated with environmental and occupational PFAS exposures. The DoD collaborated with HHS and NIOSH to conduct additional research and development to identify methods for PFAS exposure surveillance and exposure control. The Director stated that this research effort was expected to take at least 4 years. Upon completion of the research, the DoD will develop exposure surveillance and controls, policies, and procedures, which the DoD anticipates it will take another 4 years to develop.

In addition, on February 9, 2022, the Director of Force Safety and Occupational Health stated that the Navy and Marine Corps Public Health Center's EpiData Center will draft a report of their statistical analysis of the FY 2021 blood test results by February 28, 2022. This report will be coordinated by March 31, 2022, with relevant DoD stakeholders. The Navy and Marine Corps Public Health Center's EpiData Center will continue performing this analysis on each year's blood test results, and will begin performing longitudinal analysis across each year. The expected completion date for these actions is FY 2029.

**Information Required to Close the Recommendations:** The DoD OIG will close Recommendations A.1.a, A.1.b, and A.1.c once the USD(A&S) provides the January 2022 policy memorandum and the updated version of DoD Instruction 4715.18 and verifies that it contains language that addresses the issues identified in the report. In addition, the DoD OIG will close Recommendation A.2 once USD(A&S) provides the risk management options that were presented to the ECGC. Furthermore, the DoD OIG will close Recommendation B once we verify that the ASD(R): 1) collected the PFAS blood test clinical examination results, 2) collected the work-place exposure and illness outcome data, and 3) analyzed this data in accordance with DoD Instruction 6055.05.

These recommendations have been open for 8 months. This is the first year these recommendations have appeared on the Compendium's list of high priority recommendations.



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**Why the Recommendations Are Important:** Protecting the health and wellness of Service members and their families is critical for the DoD to maintain a ready force that can meet the demands of its assigned missions. The DoD has a responsibility to protect the land, air, and water resources that it owns and in which it operates. When the DoD uses AFFF, PFAS can penetrate the ground and groundwater, and it may eventually reach sources of drinking water. As of September 30, 2020, DoD officials identified 687 sites, including active and National Guard installations, former military installations, and Defense Logistics Agency sites, where the DoD used or released AFFF. Additionally, PFAS contaminant effects may be present in unexpected locations and resulting from unknown sources, including PFAS-containing materials other than AFFF. If the DoD does not implement the recommendations, failure to identify and mitigate the effects of PFAS-containing materials will not only cost the DoD more in remediation and cleanup, but also negatively affect the DoD's readiness. Furthermore, if the DoD does not implement the recommendations in a timely manner, the health and well-being of DoD personnel and their families, surrounding communities, and the environment may continue to be exposed to preventable risks from PFAS-containing materials.



## **Report No. DODIG-2021-106, “Audit of the Department of Defense’s Controls on Health Information of Well-Known Department of Defense Personnel,” August 25, 2021 (Full Report is CUI)**

**FY 2022 Management Challenges** – Strengthening DoD Cyberspace Operations and Securing Systems, Networks, and Data

Preserving Trust and Confidence in the DoD

**Objective:** The objective of this audit was to determine whether the DoD effectively controlled access to health information of well-known DoD personnel. We defined well-known DoD personnel as individuals who became well-known from a high-media incident and senior DoD executives, consisting of presidential appointees and senior military officers. A high-media incident is when a large audience learns of an event through media communications, such as social media, broadcasting, or newspapers.

**Background:** The DoD maintains millions of electronic health records on its DoD beneficiaries, including senior DoD leaders. DoD personnel who are granted access to health information to perform their official duties may access, without an official reason, a patient’s protected health information, such as medical diagnoses, mental health notes, medications, and personally identifiable information, such as a social security number. However, the Health Insurance Portability and Accountability Act (HIPAA) and DoD guidance state that authorized users of health information must access only data that they are authorized to access, must have a need to know, and must assume only authorized roles and privileges.

**Finding:** The DoD did not effectively control access to health information of well-known DoD personnel and possibly of any DoD personnel, as exemplified by what we found regarding well-known DoD personnel. Specifically, for our nonstatistical sample of 44 DoD personnel (viewers) who accessed health information for well-known individuals:

- 7 viewers were confirmed by the applicable DoD Components as authorized to access the health information;
- 15 viewers were confirmed by the applicable DoD Components as unauthorized to access health information (these individuals violated HIPAA and DoD guidance); and
- 22 viewers were not confirmed by the applicable DoD Components as authorized or unauthorized to access the health information of DoD well-known personnel; however, the access was likely unauthorized.

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**Recommendation 1.b:** The DoD OIG recommended that the DHA Director, in coordination with the Military Department Surgeons General: (1) perform a review of unauthorized and undetermined access of protected health information of all personnel identified in this audit, (2) based on the results, initiate the appropriate disciplinary actions for individuals that were not authorized to access the information of all personnel, and (3) report the incident in accordance with applicable laws and DoD guidance.

**Management Response to the Recommendation:** On June 28, 2021, the DHA Director agreed with Recommendation 1.b, stating that the DHA is in the process of reviewing what the DoD OIG presented as unauthorized and undetermined access of protected health information of all personnel identified in this audit, and anticipates completion

Unique  
Identifying  
Characteristic

+

Individual  
Health  
Information

=

**PHI**  
Protected  
Health  
Information

of the review in calendar year 2021. Additionally, the Director stated that incidents found to be in violation of unauthorized access or disclosure will be dealt with in accordance with applicable laws and DoD guidance.

**Implementation Status:** On February 2, 2022, the Defense Health Agency Chief Information Officer stated that they had started to perform reviews of unauthorized and undetermined access of protected health information and will initiate appropriate disciplinary actions and report incidents in accordance with applicable laws and DoD guidance once the reviews have been completed. Estimated completion date for these actions is first quarter, FY 2023.

In addition to Recommendation 1.b, the report contained one other recommendation related to developing information system controls to detect potential unauthorized access of protected health information of all personnel and take appropriate action when unauthorized access occurs. This recommendation is closed.

**Information Required to Close the Recommendation:** The DoD OIG will close Recommendation 1.b once the DHA provides documentation that shows the results of the review of unauthorized and undetermined access of protected health information and resulting disciplinary actions, and reporting of incidents.

This recommendation has been open for 7 months. This is the first year this recommendation has appeared on the Compendium's list of high-priority recommendations.

**(CUI) Why the Recommendation Is Important:**

[REDACTED]

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### **Report No. DODIG-2021-135, “Management Advisory Regarding the Continued Use of Unauthorized ‘For Official Use Only’ Markings and the Ineffective Implementation of the Controlled Unclassified Information Program,” September 23, 2021**

**FY 2022 Management Challenge** – Strengthening DoD Cyberspace Operations and Securing Systems, Networks, and Data

**Objective:** The purpose of the management advisory was to inform DoD leadership about the continued use of unauthorized “For Official Use Only” (FOUO) markings on new DoD documents, the failure of DoD officials to effectively implement the DoD Controlled Unclassified Information (CUI) Program, and the impact of these practices on DoD Office of Inspector General (DoD OIG) oversight operations.

**Background:** The DoD OIG regularly collects large amounts of DoD Component source documents and incorporates information from the documents into DoD OIG reports and other written products. In FY 2021, the DoD OIG collected a significant number of DoD Component source documents marked FOUO. Some documents predated the publication of DoD Instruction 5200.48.<sup>24</sup> However, the DoD OIG collected a significant number of new DoD Component source documents created after the publication of DoD Instruction 5200.48 that included unauthorized FOUO markings.

To ensure DoD OIG reports are properly marked and DoD information is properly protected, when drafting our reports we request that the originating DoD Components review their FOUO and other information included in our reports and determine, as required by DoD Instruction 5200.48, whether the information meets CUI requirements.<sup>25</sup> If it does, we then request DoD Components to provide the appropriate CUI marking information (registry categories and dissemination controls) so that we can properly mark the reports.

**Finding:** Over the past year, DoD Components have not complied with the requirements of DoD Instruction 5200.48 when responding to DoD OIG requests that they review and determine if their FOUO information in DoD OIG reports meets CUI requirements. Often, DoD Components did not provide CUI determinations or information on CUI

<sup>24</sup> DoD Instruction 5200.48, “Controlled Unclassified Information (CUI),” March 6, 2020. This Instruction cancelled prior DoD policy guidance authorizing the use of FOUO as an information protective marking. As a result, since March 6, 2020, any new documents, including new documents created with information derived from legacy FOUO materials, must be marked as CUI if the information qualifies as CUI.

<sup>25</sup> This includes properly marked legacy FOUO information and improperly marked FOUO information created after the publication of DoD Instruction 5200.48.

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markings, including applicable registry categories, dissemination controls, and points of contact. This affected the reporting efforts of the DoD OIG because it generally does not have the independent knowledge to determine if a DoD Component's source information meets the CUI requirements and how that information should be marked in our reports. On October 1, 2021, the DoD OIG will stop the practice of including FOUO markings in new DoD OIG reports to account for the failure of DoD Components to comply with the requirements of DoD Instruction 5200.48.

The management advisory also stated that the DoD OIG's experience indicates the DoD CUI Program remains at an early stage and has not been implemented effectively in many of the DoD Components reviewed by the DoD OIG after DoD Instruction 5200.48 was published. We identified examples where DoD Components displayed limited familiarity with CUI, which significantly delayed the processing of our reports.

As a result, the DoD OIG has issued reports since March 2020 with FOUO markings in violation of Executive Order 13556, DoD Instruction 5200.48, and other authorities.

**Recommendation 1:** The DoD OIG recommended that the Under Secretary of Defense for Intelligence and Security (USD[I&S]) develop and implement an action plan, with milestones, to oversee CUI training within the DoD and the effective implementation of the DoD Controlled Unclassified Information Program by all DoD Components.

**Management Response to the Recommendation:** The DoD OIG provided a copy of the management advisory to the USD(I&S) and requested that they provide comments on the results and recommendation by February 14, 2022. Despite multiple requests, the USD(I&S) has not provided comments to the management advisory as of March 31, 2022. The DoD OIG will continue to make efforts to obtain management comments in response to this recommendation.

**Implementation Status:** As of March 31, 2022, the DoD OIG has not received a response to the management advisory from the USD(I&S). Therefore, this recommendation is unresolved. Once the USD(I&S) has agreed to implement the recommendation and describes the actions that have been taken or are planned to be taken to implement the recommendation, the DoD OIG will monitor the status of DoD management's implementation of corrective actions.

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**Information Required to Close the Recommendation:** We will close this recommendation when the USD(I&S) provides an action plan, including milestones, to oversee CUI training within the DoD and the effective implementation of the DoD CUI Program by all DoD Components.

**Why the Recommendation Is Important:** All documents containing CUI must carry CUI markings. All DoD CUI must be controlled until authorized for public release in accordance with DoD Instructions 5230.09, 5230.29, and 5400.04, or DoD Manual 5400.07. Improperly marked or controlled CUI documents may cause inadvertent release of controlled information. Conversely, unnecessarily marking information as CUI and thereby failing to share it with the public could impair trust in the DoD.





## Report No. DODIG-2022-043, “Audit of the Business Model for TransDigm Group Inc. and Its Impact on Department of Defense Spare Parts Pricing,” December 13, 2021 (Full Report is CUI)

**FY 2022 Management Challenge** – Increasing Agility in the DoD’s Acquisition and Contract Management

**Objective:** The objective of this audit was to determine whether TransDigm Group Inc.’s business model impacted the DoD’s ability to pay fair and reasonable prices for spare parts.

**Background:** The two most reliable sources of information that a contracting officer can use to ensure that the U.S. Government obtains the best prices when negotiating contracts for goods and services are: certified or uncertified cost data. However, section 2306a, title 10, United States Code, (10 U.S.C. § 2306a), the Federal Acquisition Regulation (FAR), and the Defense Federal Acquisition Regulation Supplement (DFARS) do not require contracting officers to request certified or uncertified cost data for contracts under the Truth in Negotiations Act (TINA) threshold, and the FAR and DFARS require the use of other price analysis methods, such as historical price comparisons, for contracting officers when negotiating contracts.

The FY 2018 National Defense Authorization Act increased the TINA threshold from \$750,000 to \$2 million. TransDigm executes a business model that results in the acquisition of companies that specialize in highly engineered, sole-source spare parts. The DoD generally purchases spare parts from these TransDigm operating units in small quantities, resulting in lower-dollar-value contracts. Contractors are required to provide certified cost or pricing data only for contracts valued at or above the TINA threshold. From January 2017 to June 2019, more than 95 percent of the contracts that the DoD awarded to TransDigm, with a total valued of \$268.2 million, were below the TINA threshold.

**Finding:** Contracting officers used price analysis methods authorized by the FAR and DFARS, including historical price comparisons and competition, to determine whether prices were fair and reasonable for the 107 spare parts the DoD OIG reviewed. Price analysis methods can be effective in determining fair and reasonable prices; however, in this sole-source market-based pricing environment, without competition, the methods were not effective for identifying excessive pricing. This occurred because 10 U.S.C. § 2306a, Federal, and DoD policies do not compel contractors to provide uncertified cost data for contracts below the TINA threshold when requested.

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Therefore, contracting officers were unable to use cost analysis to determine fair and reasonable prices for sole-source spare parts that the DoD bought in small quantities at low dollar values. Instead, the contracting officers used other price analysis methods required by the FAR and DFARS, including historical price comparisons. In addition, 10 U.S.C. § 2306a, Federal, and DoD policies do not require contracting officers to use cost analysis when the DoD is making fair and reasonable price determinations for sole-source spare part contracts below the TINA threshold. However, the DoD OIG was able to obtain uncertified cost data from TransDigm for 152 out of the 153 contracts in our sample. By using the uncertified cost data, which is one of the most reliable sources of information to perform cost analysis, the DoD OIG determined that TransDigm earned excess profit of at least \$20.8 million on 105 spare parts on 150 contracts.<sup>26</sup> The DoD will continue to pay higher prices if contracting officers are not enabled to use cost analysis to determine price reasonableness for sole-source spare parts procured using market-based pricing on contracts valued under the TINA threshold.

**Recommendation 1:** The DoD OIG recommended that the Defense Pricing and Contracting Principal Director review the DFARS and DFARS Procedures, Guidance, and Information, to determine whether current policy adequately addresses when cost analysis should be required by contracting officials to determine price reasonableness for sole-source spare parts not subject to TINA. If the Principal Director determines that current policy is not sufficient, the Principal Director should initiate actions to revise and update policy and guidance.

**Management Response to the Recommendation:** On November 4, 2021, the Defense Pricing and Contracting Principal Director agreed with the recommendation, stating that the Defense Pricing and Contracting will review and, if necessary, update the DFARS and DFARS Procedures, Guidance, and Information for when cost analysis should be required to determine price reasonableness for sole-source parts not subject to TINA.

**Implementation Status:** Because this report was recently issued, the Defense Pricing and Contractor Principal Director had not provided a status update as of March 31, 2022. The DoD OIG will follow up with Defense Pricing and Contracting to obtain a status update on the corrective actions taken to address Recommendation 1 in third quarter, FY 2022. In addition to Recommendation 1, this report contained four recommendations related to identifying alternative contracting strategies for procuring items from companies that sell sole-source spare parts in small quantities at low dollar values to the DoD more

<sup>26</sup> The DoD OIG defined excess profit as profit exceeding 15 percent of the contractor's cost to manufacture the spare part.

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efficiently and at a lower price, and seeking \$20.8 million in voluntary refunds from TransDigm for excess profits identified in the report. All five recommendations remain resolved and open.

**Information Required to Close the Recommendation:** The DoD OIG will close Recommendation 1 when Defense Pricing and Contracting provides evidence that the DFARS and DFARS Procedures, Guidance, and Information has been reviewed and provides copies of any updates made to the policies.

This recommendation has been open for 3 months. This is the first year this recommendation has appeared on the Compendium's list of high-priority recommendations.

**Why the Recommendation Is Important:** This project is the second in a series of audits requested by Congress on TransDigm and the recommendations in this report build upon the recommendations presented in the first report. Both reports emphasized the need for legislative change to allow contracting officers to obtain uncategorized cost data when requested with sole source contractors like TransDigm to determine fair and reasonable prices. In response to the first TransDigm report, the DoD submitted legislative language for consideration, however it was not implemented.



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During a January 15, 2022 hearing on the recent TransDigm report, the Chairwoman of the House Committee on Oversight and Reform stated that she submitted a bill to strengthen the contracting officers' ability to obtain uncertified cost data. In the interim, prior to these legislative changes being enacted, we recommended the DoD update its guidance in the DFARS and DFARS Procedures, Guidance, and Information to address when to use cost analysis for determining price reasonableness on sole source items under the TINA threshold. The use of cost analysis for procuring low dollar value, sole source items when deemed necessary by the contracting officers will ultimately result in the DoD paying less for items procured within this category.

### Status of 2021 High-Priority Open Recommendations

In our 2021 Compendium, we highlighted 20 recommendations that the DoD OIG considered high-priority.<sup>27</sup> As of March 31, 2022, the DoD had implemented 3 of the 20 high-priority recommendations identified in the 2021 Compendium. Of the 20 high-priority recommendations in the 2021 Compendium, 9 are highlighted again in Chapter 1 of this year's Compendium. The eight remaining recommendations that were highlighted in last year's Compendium, while not highlighted in this year's Compendium, are still important and the DoD should continue to prioritize them. The table below provides a status on the 17 unclassified recommendations highlighted in the 2021 Compendium.

**Table. Status of 2021 High-Priority Recommendations as of March 31, 2022**

Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
1	Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014	<b>Rec. 1.a:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete, and use it to validate General Fund Enterprise Business System general ledger account postings.	This recommendation is resolved but remains open. It is included in the 2022 list of high priority recommendations.
2	Report No. DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014	<b>Rec. 1.b:</b> The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.	This recommendation is resolved but remains open. It is included in the 2022 list of high-priority recommendations.

<sup>27</sup> Of the 20 high-priority recommendations, 3 are from classified reports and summarized in Appendix B of the 2021 Compendium.

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Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
3	Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014	<p><b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions. For each suicide death, the board should:</p> <ol style="list-style-type: none"> <li>1. be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review;</li> <li>2. include participation by unit leadership, medical and mental health organizations, and Military Criminal Investigative organizations; and</li> <li>3. articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations.</li> </ol>	<p>This recommendation is resolved but remains open. The DoD OIG will close the recommendation when the Assistant Secretary of Defense for Health Affairs provides the DoD instruction that includes guidance that addresses the specifics of the recommendation, requiring suicide event boards to establish a multidisciplinary approach to obtain data to make comprehensive DoD Suicide Event Report submissions.</p> <p>To highlight other recommendations, this recommendation is not included in the 2022 list of high-priority recommendations.</p>
4	Report No. DODIG-2017-004, "Summary Report—Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016	<p><b>Rec. C:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, establish a permanent policy for the sustainment of facilities, including standardized facility inspections. This policy should incorporate the requirements in the September 10, 2013, "Standardizing Facility Condition Assessments," and in the April 29, 2014, "Facility Sustainment and Recapitalization Policy," memorandums.</p>	<p>This recommendation is resolved but remains open. The DoD OIG will close the recommendation when the Office of the Deputy Assistant Secretary of Defense for Construction provides the permanent DoD guidance that incorporates the requirements in the September 10, 2013, and April 29, 2014 policy memorandums. The estimated completion date is end calendar year 2022.</p> <p>To highlight other recommendations, this recommendation is not included in the 2022 list of high-priority recommendations.</p>

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Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
5	Report No. DODIG-2018-018, "Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces," November 16, 2017	<b>Rec. B.2:</b> The DoD OIG recommended that the Secretary of Defense establish the specific process by which DoD Leahy Law credible information determinations are made.	This recommendation is resolved but remains open. The DoD OIG will close the recommendation when the Office of the Under Secretary of Defense for Policy provides the Deputy Assistant Secretary of Defense for Stability and Humanitarian Affairs memorandum with a checklist of credibility determination processes.  To highlight other recommendations, this recommendation is not included in the 2022 list of high-priority recommendations.
		<b>Rec. B.3:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia to maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.	This recommendation is resolved but remains open. The DoD OIG will close the recommendation when the Office of the Under Secretary of Defense for Policy provides a records management memorandum from the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia, stating that the Afghanistan gross violation of human rights database will be used and identifying the office responsible for its maintenance. The memorandum also needs to discuss maintaining documentation of credibility determinations and maintaining the information that was used to make the credibility determination.  To highlight other recommendations, this recommendation is not included in the 2022 list of high-priority recommendations.

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Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
6	Report No. DODIG-2019-062, "Audit of Management of Government-Owned Property Supporting the F-35 Program," March 13, 2019	<b>Rec. 2.c:</b> The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.	This recommendation is resolved but remains open. The DoD OIG will close the recommendation when the DoD OIG has reviewed the documentation that shows the completed baseline inventory and the documentation showing that the inventory is in the Accountable Property System of Record (APSR). In addition, the DoD OIG must receive documentation that addresses the resolution of the potential monetary benefits (PMB) associated with the unsupported costs.  To highlight other recommendations, this recommendation is not included in the 2022 list of high-priority recommendations.



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Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
7	Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)	<p><b>Rec. A.2:</b> The DoD OIG recommended that the Principal Director for Defense Pricing and Contracting, in coordination with the appropriate DoD Component responsible for developing policy:</p> <ul style="list-style-type: none"> <li>a. Revise its current policy to require DoD Component contracting offices, as part of the Request for Proposal and source selection processes, and requiring activities, during the performance of the contract, to assess whether contractors comply with the National Institute of Standards and Technology requirements for protecting controlled unclassified information before contract award and throughout the contracts' period of performance.</li> <li>b. Develop and implement policy requiring DoD Component contracting offices and requiring activities to maintain an accurate accounting of contractors that access, maintain, or develop controlled unclassified information as part of their contractual obligations.</li> <li>c. Revise its current policy to include language that will require DoD Component contracting offices and requiring activities to validate contractor compliance with National Institute of Standards and Technology Special Publication 800-171 requirements.</li> <li>d. Require DoD Component contracting offices, in coordination with DoD requiring activities, to develop and implement a risk-based process to verify that contractors comply with the Defense Federal Acquisition Regulation Supplement clause 252.204-7012 for protecting controlled unclassified information.</li> <li>e. Require DoD Component contracting offices, in coordination with DoD requiring activities, to take corrective actions against contractors that fail to meet the National Institute of Standards and Technology and contract requirements for protecting controlled unclassified information.</li> </ul>	This recommendation is resolved but remains open. It is included in the 2022 list of high-priority recommendations.

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Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
8	Report No. DODIG-2019-106, "Audit of the DoD's Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items," July 26, 2019 (Full Report is Classified)	<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment update existing DoD acquisition policies or develop and implement new policy to require organizations to review and evaluate cybersecurity risks, including supply chain and counterintelligence risks, for high-risk commercial off-the-shelf items prior to purchase, regardless of purchase method.	This recommendation is resolved but remains open. It is included in the 2022 list of high-priority recommendations.
9	Report No. DODIG-2019-128, "Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico," September 30, 2019	<b>Rec. A.2.a:</b> The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all labor and material costs for contracts F-0003 and F-0032 and determine whether they are supportable and allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."	This recommendation is resolved but remains open. The DoD OIG will close the recommendation after the U.S. Army Corps of Engineers provides summary results of the voucher audits and supporting documentation on the power grid repair and restoration contracts, and any Defense Contract Audit Agency reports, along with any applicable costs that were determined unallowable.  To highlight other recommendations, this recommendation is not included in the 2022 list of high-priority recommendations.
		<b>Rec. B.3:</b> The DoD OIG recommended that the Commander of the U.S. Army Corps of Engineers, Jacksonville District, direct contracting officials to review all labor and material costs for contract C-0003 and determine whether they are supportable and allowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability," and provide the DoD OIG with the results of the review. If contracting officials are unable to determine whether costs are allowable, they should work with Defense Contract Audit Agency officials to develop a total contract cost reduction to reduce total costs for contract C-0003.	This recommendation is resolved but remains open. The DoD OIG will close the recommendation after the U.S. Army Corps of Engineers provides summary results of the voucher audits and supporting documentation on the power grid repair and restoration contracts, and any Defense Contract Audit Agency reports, along with any applicable costs that were determined unallowable.  To highlight other recommendations, this recommendation is not included in the 2022 list of high-priority recommendations.

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Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
10	Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," May 13, 2020 (Full Report is Classified)	<b>Rec. A.5.a:</b> The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to review and assess DoD Cyber Red Team reports for systemic vulnerabilities and coordinate the development and implementation of enterprise solutions to mitigate those vulnerabilities affecting DoD systems, networks, and operations.	This recommendation is resolved but remains open. It is included in the 2022 list of high-priority recommendations.
		<b>Rec. A.5.b:</b> The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to ensure DoD Components develop and implement a risk-based process to assess the impact of DoD Cyber Red Team-identified vulnerabilities and prioritize funding for corrective actions for high-risk vulnerabilities.	This recommendation is resolved but remains open. It is included in the 2022 list of high-priority recommendations.

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Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
11	Report No. DODIG-2020-098, "Audit of Governance and Protection of Department of Defense Artificial Intelligence Data and Technology," June 29, 2020 (Full Report is FOUO)	<b>Rec. A.1.e:</b> The DoD OIG recommended that the Director of the Joint Artificial Intelligence Center establish an artificial intelligence governance framework that includes a central repository for storing and sharing tools, data, policies, and procedures related to artificial intelligence projects and technologies.	This recommendation was closed on February 21, 2022. The Director of the Joint Artificial Intelligence Center established the Joint Common Foundation as a central repository for artificial intelligence projects and technologies. A review of the central repository was conducted that verified it included shared services, such as tools and resources for sharing code and data, collaboration tools, and a dedicated area for user guides, best practices, policies, and procedures. Therefore, it is not included in the 2022 list of high-priority recommendations.
		<b>Rec. A.1.f:</b> The DoD OIG recommended that the Director of the Joint Artificial Intelligence Center establish an artificial intelligence governance framework that includes standards for assessing legal and privacy considerations when developing and using artificial intelligence data and technologies.	This recommendation was closed on February 21, 2022. The Director of the Joint Artificial Intelligence Center established standards for assessing legal and privacy considerations when developing and using artificial intelligence data and technologies. The Joint Artificial Intelligence Center collaborated with the Deputy Secretary of Defense to publish "Implementing Responsible Artificial Intelligence in the Department of Defense" on May 26, 2021, that ensures legal and privacy concerns are considered when developing artificial intelligence and when publishing standards for the responsible and ethical use of the artificial intelligence. Therefore, it is not included in the 2022 list of high-priority recommendations.

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Status of 2021 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
11	Report No. DODIG-2020-098, "Audit of Governance and Protection of Department of Defense Artificial Intelligence Data and Technology," June 29, 2020 (Full Report is FOUO) (cont'd)	<b>Rec. A.1.g:</b> The DoD OIG recommended that the Director of the Joint Artificial Intelligence Center establish an artificial intelligence governance framework that includes a strategy for identifying similar artificial intelligence projects and promoting the collaboration of artificial intelligence efforts across the DoD.	This recommendation was closed on June 15, 2021. The Director of the Joint Artificial Intelligence Center worked with the Military Departments and the DoD Artificial Intelligence Working Group to create the Annual Artificial Intelligence Inventory Baseline Assessment – FY 2021 Report for Research & Development and Procurement. The report provided the methodology, key findings, and limitations for the initial inventory of DoD artificial intelligence programs. The Director disseminated the report to the Chairmen of the House and Senate Armed Services and Appropriations committees and other congressional defense committees. Therefore, it is not included in the 2022 list of high-priority recommendations.
12	Report No. DODIG-2020-112, "Evaluation of Access to Mental Health Care in the Department of Defense," August 10, 2020	<b>Rec. 2.a:</b> The DoD OIG recommended that the Defense Health Agency Director develop a single Military Health System-wide staffing approach for the Behavioral Health System of Care that estimates the number of appointments and personnel required to meet the enrolled population's demand for mental health services.	This recommendation is resolved but remains open. It is included in the 2022 list of high-priority recommendations.





## CHAPTER 2

### Open Recommendations Containing Potential Monetary Benefits

DoD OIG reports also provide information on the estimated potential monetary benefits that the DoD OIG believes the DoD can achieve if DoD management implements the report recommendations. The DoD OIG staff calculates projected potential monetary benefits during the performance of an audit or evaluation and includes them as part of a recommendation when providing the draft report to DoD management for comments. The potential monetary benefits are also included in the publicly released final report. However, reported potential monetary benefits do not always translate into an equal amount of recovered funds or savings upon further review by DoD management or implementation of actions in response to the associated recommendations. This is because potential monetary benefits are estimates at a point in time based on available information. While potential monetary benefits may be addressed by the recovery of funds, they also may be addressed by:

- providing contemporaneous supporting documentation that was unavailable to the auditors at the time of the audit;
- applying additional allowable costs to a project or program to offset the reported potential monetary benefit; or
- providing a justification by a properly authorized DoD official, such as the Deputy Secretary of Defense, explaining why the recommendation containing the potential monetary benefit will not be implemented.

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As of March 31, 2022, there were 50 open recommendations from 26 DoD OIG reports, with associated potential monetary benefits of \$6.1 billion. This chapter lists the 26 final reports, the 50 open recommendations, and the associated potential monetary benefits. The vast majority of the potential monetary benefits associated with the 50 open recommendations resulted from reports related to acquisition and contract management. These recommendations affect the DoD's management of the third-party collection program for medical claims, procurement of parts at fair and reasonable prices, and DoD compliance with acquisition regulations.

Potential monetary benefits can be classified as questioned costs or funds that could be put to better use. Questioned costs are identified from an alleged violation of a law, regulation, contract, grant, cooperative agreement, or other agreements or documents governing the expenditures of funds or reimbursement of costs. Questioned costs may also be costs that were not supported by adequate documentation at the time of the DoD OIG review or unnecessary or unreasonable expenditure of funds for an intended purpose. For example, in Report No. DODIG-2021-071, "Audit of DoD Hotline Allegation Concerning U.S. Army Communications-Electronics Command Billings to Customers," April 5, 2021, the DoD OIG determined that, due to ineffective internal controls, the U.S. Army Communications-Electronics Command (CECOM) lacked appropriate documentation and did not bill customers in accordance with the statutory requirements reflected in its support agreements. As a result, CECOM could return approximately \$7.8 million in funding to customers, who could potentially put the funds to a better use or return the funds to the U.S. Treasury.

Funds put to better use are funds that could be used more efficiently if management takes action to implement and complete the recommendations in the report, such as reducing expenditures, de-obligating funds from programs or operations, implementing improvements to operations, or taking actions that will result in avoiding costs. For example, in Report No. DODIG-2021-091, "Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease–2019 Pandemic Response in the U.S. Northern Command Area of Responsibility," June 9, 2021, the DoD OIG determined that DoD tasked-unit personnel did not submit timely requests for partial or final reimbursement from the Federal Emergency Management Agency (FEMA) for mission assignment support. The audit indicated that this issue was pervasive and systemic. As a result, FEMA did not reimburse the DoD, and the DoD was not able to use \$221.6 million as intended in the DoD appropriations. If DoD tasked-unit personnel had submitted timely and supported reimbursement requests, FEMA would have



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reimbursed the DoD. The DoD could have then used the \$221.6 million from its support of COVID-19 pandemic response mission assignments to support DoD operations, rather than leaving the funds obligated and unused in FEMA's reimbursable account.

Achieving potential monetary benefits is dependent on various factors, such as whether the agreed-upon corrective action is completed in a timely manner. Actual savings may be less than projected, depending on costs associated with implementing the corrective action, or denied due to missed opportunities by the DoD in issuing effective guidance, following established guidance, effectively negotiating contracts, or conducting proper contractor oversight. For example, in DODIG-2021-088, "Evaluation of the Air Force Systems Engineering Processes Used in the Development of the Refueling Boom for the KC-46A Tanker," May 21, 2021, the DoD OIG determined that KC-46 Program Office officials did not effectively manage the development of the refueling boom for the KC-46A tanker. As a result, in 2018, when Boeing attempted to test full functionality of the KC-46A tanker refueling boom after Milestone C, flight test reports documented that refueling boom performance remained a problem during in-flight refueling of the A-10, C-17, and F-16 receiver aircraft. In August 2019 and March 2020, the Air Force issued contract modifications, valued at \$100 million, for the redesign of the KC-46A tanker refueling boom. Had KC-46 Program Office officials effectively managed the development and testing of the refueling boom for the KC-46A tanker, the Air Force would not have had to spend an additional \$100 million for the redesign of the refueling boom to achieve its required performance. The Air Force could have put those funds, plus any funds needed in the future for the updated boom fabrication and installation, to better use, such as funding other acquisition programs.

In another example, Report No. DODIG-2020-072, "Audit of DoD Hotline Allegations Concerning the Defense Microelectronics Activity," March 24, 2020, the DoD OIG determined that, while the Defense Microelectronics Activity (DMEA) was able to resolve the majority of customer requests for microelectronics using the Advanced Reconfigurable Manufacturing for Semiconductors (ARMS) facilities, it was not clear whether the DoD's use of the ARMS foundry was justified.<sup>28</sup> The DMEA spent

<sup>28</sup> The ARMS facilities include the ARMS foundry and other ARMS labs, such as the Science and Engineering Gamma Irradiation Test Lab, for radiation testing; the Packaging and Assembly Substrate Lab, for cutting and packaging wafers and for producing higher-level assemblies, such as circuit boards; and labs for conducting assurance and forensic activities, reliability testing, and other device testing.



## CHAPTER 2

\$32.4 million between January 1, 2014, and June 30, 2019, to maintain the ARMS foundry while using it to address only five DoD customer requests. The DMEA also budgeted \$35.8 million to maintain the ARMS foundry from July 1, 2019, through June 30, 2024. In response to the DoD OIG's recommendation to complete an assessment of the use of the ARMS foundry and determine whether the foundry is still needed, a panel of microelectronics subject matter experts conducted an independent technical review of the DMEA ARMS foundry. The panel was unable to derive justification for the DMEA's ARMS foundry and recommended that it be shut down in an orderly fashion. On May 7, 2021, the Principal Deputy Assistant Secretary of Defense for Logistics, Office of the Assistant Secretary of Defense for Sustainment, informed the DoD OIG that the DoD has a statutory requirement to maintain the organic capability to repair and maintain military equipment, including mission-essential weapons systems. This includes the ability to re-engineer, reverse engineer, and manufacture targeted technologies no longer produced by industry, such as those offered by the ARMS foundry. The Principal Deputy determined that the DMEA ARMS foundry is still needed, therefore negating any potential monetary savings.

The previous examples show how potential and actual monetary benefits can differ. Continued communication between DoD management and the DoD OIG regarding the actions being taken to realize the potential benefits is critical to ensure that monetary benefits are appropriately reported.

Following the issuance of the 2021 Compendium, DoD Components provided documentation that resulted in the closure of 9 of the 45 recommendations with the potential monetary benefits in the 2021 Compendium, totaling \$62.5 million. Achieved monetary benefits were \$1.2 million for these 9 recommendations.

**Table. Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022**

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2019-062, Audit of Management of Government-Owned Property Supporting the F-35 Program</b> <b>Recommendation 2.c:</b> The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.	\$2,087,515,481	Questioned Costs	3/13/2019	F-35 Joint Program Office
<b>DODIG-2020-063, Audit of DoD Service-Disabled Veteran-Owned Small Business Contract Awards</b> <b>Recommendation 1.a:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review, in coordination with the Military Departments and Defense agencies, of all 17 contractors that received DoD Service-Disabled Veteran-Owned Small Business set-aside or sole-source contracts but were denied Service-Disabled Veteran-Owned Small Business status by the Department of Veterans Affairs Center for Verification and Evaluation to determine if they meet the requirements for Service-Disabled Veteran-Owned Small Business status. Based on the review, the Director should take action, as appropriate, against any contractors found to have misrepresented their Service-Disabled Veteran-Owned Small Business status to the DoD to obtain contracts by coordinating with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	\$876,800,000	Questioned Costs	2/18/2020	Under Secretary of Defense for Acquisition and Sustainment

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2019-085, Audit of the Defense Security Cooperation Agency-Security Assistance Accounts</b> <b>Recommendation A.1.f.8:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to recover and transfer into the Special Defense Acquisition Fund account all authorized collections dating back to FY 2012 that the Defense Finance and Accounting Service did not transfer into the Special Defense Acquisition Fund account.	\$736,000,000	Funds Put to Better Use	5/8/2019	Defense Security Cooperation Agency
<b>DODIG-2013-100, Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed</b> <b>Recommendation 1.a:</b> The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.	\$631,700,000 <sup>1</sup>	Funds Put to Better Use	7/2/2013	Defense Logistics Agency
<b>DODIG-2018-151, Military Sealift Command's Maintenance of Prepositioning Ships</b> <b>Recommendation 2.b:</b> The DoD OIG recommended that the Military Sealift Command Contracting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, ensure that contracting officers appoint a qualified contracting officer's representative or contracting officer's technical representative to conduct regular surveillance of contractors at sea and during shipyard availabilities. Military Sealift Command should also ensure the contracting officer's representative or contracting officer's technical representative executes quality assurance using a quality assurance surveillance plan.	\$544,743,015	Questioned Costs	9/24/2018	Department of the Navy

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2021-047, Evaluation of Department of Defense Contracting Officer Actions on Questioned Direct Costs</b> <b>Recommendation A.1.a:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the Defense Contract Management Agency divisional contracting officers to reopen the 12 Defense Contract Audit Agency audit reports in the Contract Audit Follow-Up System because the questioned direct costs have not been settled.	\$231,500,000	Questioned Costs	1/21/2021	Defense Contract Management Agency
<b>DODIG-2014-077, Hotline Complaint Regarding the Settlement of the Pratt &amp; Whitney Commercial Engine Cost Accounting Standards Case</b> <b>Recommendation F.5:</b> The DoD OIG recommended that the Defense Contract Management Agency Director follow the procedures in Federal Acquisition Regulation clause 30.605, paragraphs (c) through (h), to correct the noncompliant cost accounting practice in a timely manner and ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 and resulting from the contractor's use of a cost accounting practice determined by DCMA to be noncompliant with CAS 418 on June 5, 2006.	\$210,968,414 <sup>1</sup>	Questioned Costs	5/30/2014	Defense Contract Management Agency

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<p><b>DODIG-2021-091, Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease-2019 Pandemic Response in the U.S. Northern Command Area of Responsibility</b></p> <p><b>Recommendation 2.e:</b> The DoD OIG recommended that the Comptroller of the National Guard Bureau immediately require tasked units to review costs incurred for mission assignments 4480DR-NY-DOD-05, 4481DR-WA-DOD-05, 4482DR-CA-DOD-07, and 4491DR-MD-DOD-01 as of July 31, 2020, for completeness and accuracy, as well as any additional costs incurred and identified after July 31, 2020, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.</p>	\$135,284,210	Funds Put to Better Use	6/9/2021	National Guard Bureau
<p><b>DODIG-2019-047, Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles</b></p> <p><b>Recommendation C.1:</b> The DoD OIG recommended that the Marine Corps Installations and Logistics Deputy Commandant require Installations and Logistics officials to initiate and complete depot maintenance float allowance annual reviews and approve all depot maintenance float allowance authorization changes according to Marine Corps Order 5311.1E.</p>	\$103,000,000	Funds Put to Better Use	1/18/2019	U.S. Marine Corps

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<p><b>DODIG-2021-056, Evaluation of Defense Contract Management Agency Actions Taken on Defense Contract Audit Agency Report Findings Involving Two of the Largest Department of Defense Contractors</b></p> <p><b>Recommendation 1.a-c:</b> The DoD OIG recommended that the Defense Contract Management Agency Director take the following steps for the eight audit reports for which the contracting officer did not adequately document or adequately explain the reason for disagreeing with the Defense Contract Audit Agency:</p> <ul style="list-style-type: none"> <li>a. reopen the audit report in the Contract Audit Follow-up System until all findings are settled;</li> <li>b. review the contracting officer's decision to not uphold the \$97 million in Defense Contract Audit Agency questioned costs and determine whether the costs are unallowable in accordance with the Federal Acquisition Regulation; and</li> <li>c. disallow and recoup any unallowable costs not previously disallowed.</li> </ul>	\$97,000,000	Questioned Costs	2/26/2021	Defense Contract Management Agency
<p><b>DODIG-2014-101, Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight</b></p> <p><b>Recommendation 1.b:</b> The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.</p>	\$69,184,113	Funds Put to Better Use	8/13/2014	Department of the Army
<p><b>DODIG-2018-110, Defense Contract Management Agency's Information Technology Contracts</b></p> <p><b>Recommendation A.1.a.1:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop contract performance work statements for service acquisitions that include performance requirements in terms of defined deliverables, contractor performance objectives and standards, and a quality assurance plan.</p>	\$57,296,830	Questioned Costs	4/25/2018	Defense Contract Management Agency

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## Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2021-091, Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease-2019 Pandemic Response in the U.S. Northern Command Area of Responsibility</b> <b>Recommendation 2.a:</b> The DoD OIG recommended that the Director, Army Budget, Office of the Assistant Secretary of the Army (Financial Management and Comptroller), immediately require tasked units to review costs incurred for mission assignments 4480DR-NY-DOD-10, 4480DR-NY-DOD-11, 4480DR-NY-DOD-12, and 4481DR-WA-DOD-02 as of July 31, 2020, for completeness and accuracy, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.	\$25,585,293	Funds Put to Better Use	6/9/2021	Department of the Army
<b>DODIG-2017-045, Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight</b> <b>Recommendation 1.a:</b> The DoD OIG recommended that the Commander of U.S. Army Medical Command review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure that all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible.	\$40,211,568	Funds Put to Better Use	1/27/2017	Assistant Secretary of Defense for Health Affairs
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 8.d:</b> The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$36,508,515	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<p><b>DODIG-2019-128, Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico</b></p> <p><b>Recommendation B.3:</b> The DoD OIG recommended that the Commander of the U.S. Army Corps of Engineers, Jacksonville District, direct contracting officials to review all labor and material costs for contract W912EP-18-C-0003 and determine whether they are supportable and allowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability," and provide the DoD Office of Inspector General with the results of the review. If contracting officials are unable to determine whether costs are allowable, they should work with Defense Contract Audit Agency officials to develop a total contract cost reduction to reduce total costs for contract W912EP-18-C-0003.</p>	\$29,200,000	Questioned Costs	9/30/2019	Department of the Army
<p><b>DODIG-2019-128, Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico</b></p> <p><b>Recommendation A.2.a:</b> The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all labor and material costs for contracts W912DY-18-F-0003 and W912DY-18-F-0032 and determine whether they are supportable and allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."</p>	\$20,900,000	Questioned Costs	9/30/2019	Department of the Army



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## Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2019-112, Audit of TRICARE Payments for Health Care Services and Equipment That Were Paid Without Maximum Allowable Reimbursement Rates</b> <b>Recommendation 1.a:</b> The DoD OIG recommended that the Defense Health Agency Director identify the reasons why TRICARE region contractors did not use existing TRICARE maximum allowable reimbursement rates, and take immediate actions to confirm that TRICARE claims for vaccines and contraceptive systems are paid using the TRICARE maximum allowable reimbursement rates. Further, the Director should recoup overpayments for which the TRICARE contractors did not use existing TRICARE maximum allowable reimbursement rates.	\$19,500,000	Funds Put to Better Use	8/20/2019	Assistant Secretary of Defense for Health Affairs
<b>DODIG-2018-110, Defense Contract Management Agency's Information Technology Contracts</b> <b>Recommendation B.1.a.4:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors to ensure that the contracting officer or contracting officer's representative determines whether the contractor performed satisfactorily and ensure the work progressed according to the contract before approving invoices.	\$17,096,393	Questioned Costs	4/25/2018	Defense Contract Management Agency
<b>DODIG-2020-114, Audit of Department of Defense Use of Security Assistance Program Funds and Asset Accountability</b> <b>Recommendation A.1.c:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to identify and recover all security assistance-related salary expenses for each DoD civilian employee between FYs 2014 and 2019 from the Defense Security Cooperation Agency.	\$16,700,000	Funds Put to Better Use	8/17/2020	Under Secretary of Defense (Comptroller)

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2022-043, Audit of the Business Model for TransDigm Group Inc. and Its Impact on Department of Defense Spare Parts Pricing</b> <b>Recommendation 3.b:</b> The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including but not limited to, directing the Defense Logistics Agency Aviation contracting officers to seek a voluntary refund from TransDigm for approximately \$10.9 million in excess profits for the 84 contracts that we identified contained excess profit.	\$10,885,788	Funds Put to Better Use	12/13/2021	Defense Logistics Agency
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 5.d:</b> The DoD OIG recommended that the Commander of Brooke Army Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$9,628,106	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs
<b>DODIG-2022-043, Audit of the Business Model for TransDigm Group Inc. and Its Impact on Department of Defense Spare Parts Pricing</b> <b>Recommendation 3.a:</b> The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including but not limited to, directing the Defense Logistics Agency Aviation contracting officers to seek a voluntary refund from TransDigm for approximately \$9.6 million in excess profit for the 63 contracts that we identified contained excess profit.	\$9,550,597	Funds Put to Better Use	12/13/2021	Defense Logistics Agency

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2020-114, Audit of Department of Defense Use of Security Assistance Program Funds and Asset Accountability</b> <b>Recommendation A.1.k:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to develop, document, and implement Component-level policies and procedures to identify, track, and recover all operating costs for DoD facilities used to support security assistance programs in future years. This includes identifying where employees who support security assistance programs sit at all DoD facilities.	\$8,400,000	Funds Put to Better Use	8/17/2020	Under Secretary of Defense (Comptroller)
<b>DODIG-2021-091, Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease-2019 Pandemic Response in the U.S. Northern Command Area of Responsibility</b> <b>Recommendation 2.c:</b> The DoD OIG recommended that the Deputy for Budget, Office of the Assistant Secretary of the Air Force (Financial Management and Comptroller), immediately require tasked units to review costs incurred for mission assignment 4480DR-NY-DOD-10 as of July 31, 2020, for completeness and accuracy, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.	\$8,133,643	Funds Put to Better Use	6/9/2021	Department of the Air Force
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 6.c:</b> The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$8,073,425	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2021-071, Audit of DoD Hotline Allegation Concerning U.S. Army Communications-Electronics Command Billings to Customers</b> <b>Recommendation 4.a.3.b:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate reduce the use of assessments without creating and maintaining supporting documentation with sufficient detail. Specifically, discontinue the process of using assessments and centralized accounts to hold excess funds, and instead return excess funds to customers.	\$7,800,000	Questioned Costs	4/5/2021	Department of the Army
<b>DODIG-2019-004, DoD Oversight of Bilateral Agreements With the Republic of the Philippines</b> <b>Recommendation 3.b:</b> The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 15 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	\$7,288,225	Questioned Costs	11/2/2018	U.S. Marine Corps
<b>DODIG-2013-123, Army Needs to Improve Mi-17 Overhaul Management and Contract Administration</b> <b>Recommendation A.2.a:</b> The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.	\$6,438,032	Questioned Costs	8/30/2013	Department of the Army

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 10.b:</b> The DoD OIG recommended that the Commander of the Kimbrough Ambulatory Care Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$6,193,785	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 9.c:</b> The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$4,897,345	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs
<b>DODIG-2016-079, Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight</b> <b>Recommendation 1.a:</b> The DoD OIG recommended that the Regional Health Command-Europe Commander review, research, and pursue collection on the delinquent medical service accounts that remain open.	\$4,287,085	Funds Put to Better Use	4/28/2016	Assistant Secretary of Defense for Health Affairs

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<p><b>DODIG-2022-069, Audit of Department of Defense Small Business Subcontracting Requirements</b></p> <p><b>Recommendation A.4:</b> The DoD OIG recommended that the Commanding Officer of the Naval Facilities Engineering Systems Command-Mid-Atlantic perform a review of the contract for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractor complied with subcontracting limitations. The review should include, at a minimum, collecting information related to amounts the prime contractor paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractor paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractor by the Government. If the percentage exceeds established subcontracting limitations, the Commanding Officer should determine whether the small business prime contractor is subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Commanding Officer should report the non-compliance with subcontracting limitations in the contractor's performance information.</p>	\$3,850,696	Questioned Costs	3/7/2022	Department of the Navy
<p><b>DODIG-2020-114, Audit of Department of Defense Use of Security Assistance Program Funds and Asset Accountability</b></p> <p><b>Recommendation A.1.j:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to recover all operating costs that the implementing agencies did not recover for providing administrative space to security assistance personnel at all DoD facilities between FYs 2014 and 2019 from the Defense Security Cooperation Agency.</p>	\$3,000,000	Funds Put to Better Use	8/17/2020	Under Secretary of Defense (Comptroller)

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## Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<p><b>DODIG-2021-096, Audit of the Military Departments' Purchases of Aviation Fuel and Non-Fuel Services Using the Aviation Into-Plane Reimbursement (AIR) Card</b></p> <p><b>Recommendation 3.b:</b> The DoD OIG recommended that the Defense Logistics Agency–Energy Commander require that the Defense Logistics Agency Program Management Office and the Defense Logistics Agency Tax Branch perform a comprehensive review of all taxes applied to Aviation Into-Plane Reimbursement Card transactions, compile a list of the erroneous taxes identified with the corresponding cause, develop a plan to prevent future erroneous taxes and fees, and recoup the erroneous charges.</p>	\$2,920,280	Questioned Costs	6/25/2021	Defense Logistics Agency
<p><b>DODIG-2022-069, Audit of Department of Defense Small Business Subcontracting Requirements</b></p> <p><b>Recommendation A.7:</b> The DoD OIG recommended that the Commander of the Mission and Installation Contracting Command perform a review of the two contracts for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractors complied with subcontracting limitations. The reviews should include, at a minimum, collecting information related to amounts the prime contractors paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractors paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractors by the Government. If the percentages exceed established subcontracting limitations, the Commander should determine whether the small business prime contractors are subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Commander should report the non-compliance with subcontracting limitations in the contractors' performance information.</p>	\$1,988,253	Questioned Costs	3/7/2022	Department of the Army

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 3.b:</b> The DoD OIG recommended that the Commander of Naval Medical Center San Diego review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$1,845,005	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 7.g:</b> The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$1,751,110	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 4.f:</b> The DoD OIG recommended that the Commander of Madigan Army Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$1,718,474	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs



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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<p><b>DODIG-2022-069, Audit of Department of Defense Small Business Subcontracting Requirements</b></p> <p><b>Recommendation A.3:</b> The DoD OIG recommended that the Director of the Defense Information Systems Agency perform a review of the contract for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractor complied with subcontracting limitations. The review should include, at a minimum, collecting information related to amounts the prime contractor paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractor paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractor by the Government. If the percentage exceeds established subcontracting limitations, the Director should determine whether the small business prime contractor is subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Director should report the non-compliance with subcontracting limitations in the contractor's performance information.</p>	\$1,545,351	Questioned Costs	3/7/2022	Defense Information Systems Agency

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<p><b>DODIG-2022-069, Audit of Department of Defense Small Business Subcontracting Requirements</b></p> <p><b>Recommendation A.5:</b> The DoD OIG recommended that the Commanding Officer of the Naval Facilities Engineering Systems Command-Southwest perform a review of the contract for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractor complied with subcontracting limitations. The review should include, at a minimum, collecting information related to amounts the prime contractor paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractor paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractor by the Government. If the percentage exceeds established subcontracting limitations, the Commanding Officer should determine whether the small business prime contractor is subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Commanding Officer should report the non-compliance with subcontracting limitations in the contractor's performance information.</p>	\$1,540,706	Questioned Costs	3/7/2022	Department of the Navy

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Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2022-069, Audit of Department of Defense Small Business Subcontracting Requirements</b> <b>Recommendation A.6:</b> The DoD OIG recommended that the Director of the Regional Contracting Office Marine Corps Installation–West perform a review of the contract for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractor complied with subcontracting limitations. The review should include, at a minimum, collecting information related to amounts the prime contractor paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractor paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractor by the Government. If the percentage exceeds established subcontracting limitations, the Director should determine whether the small business prime contractor is subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Director should report the non-compliance with subcontracting limitations in the contractor’s performance information.	\$1,078,069	Questioned Costs	3/7/2022	Department of the Navy
<b>DODIG-2020-114, Audit of Department of Defense Use of Security Assistance Program Funds and Asset Accountability</b> <b>Recommendation A.1.h:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to identify and recover any storage costs that they did not recover for storing security assistance assets at all DoD facilities between FYs 2014 and 2019 from the Defense Security Cooperation Agency.	\$800,000	Funds Put to Better Use	8/17/2020	Under Secretary of Defense (Comptroller)
<b>DODIG-2022-047, Audit of TRICARE Telehealth Payments</b> <b>Recommendation 1.d:</b> The DoD OIG recommended that the Director of the Defense Health Agency review FY 2020 telehealth claims payments to recover improperly paid claims.	\$620,162	Funds Put to Better Use	2/3/2022	Assistant Secretary of Defense for Health Affairs

## CHAPTER 2

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2022-043, Audit of the Business Model for TransDigm Group Inc. and Its Impact on Department of Defense Spare Parts Pricing</b> <b>Recommendation 3.c:</b> The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including but not limited to, directing the Defense Logistics Agency Troop Support contracting officers to seek a voluntary refund from TransDigm for approximately \$0.4 million in excess profit for the 3 contracts that we identified contained excess profit.	\$368,768	Funds Put to Better Use	12/13/2021	Defense Logistics Agency
<b>DODIG-2020-114, Audit of Department of Defense Use of Security Assistance Program Funds and Asset Accountability</b> <b>Recommendation B.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Deputy Assistant Secretary of the Army for Defense Exports and Cooperation to recover funds from the Defense Security Cooperation Agency in the amount equal to the current market price of the chemical-biological masks that Army personnel shipped to foreign customers.	\$200,000	Funds Put to Better Use	8/17/2020	Under Secretary of Defense (Comptroller)
<b>DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims</b> <b>Recommendation 2.f:</b> The DoD OIG recommended that the Commander of Naval Hospital Bremerton review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$98,541	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs

## CHAPTER 2

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<p><b>DODIG-2018-076, Chemical Demilitarization-Assembled Chemical Weapons Alternatives Program</b></p> <p><b>Recommendation 1.d:</b> The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, based on the cost of additional construction rework, either recoup funds paid by the Government or obtain other appropriate consideration.</p>	Open <sup>2</sup>	Questioned Costs	3/28/2018	Department of the Army
<p><b>DODIG-2021-056, Evaluation of Defense Contract Management Agency Actions Taken on Defense Contract Audit Agency Report Findings Involving Two of the Largest Department of Defense Contractors</b></p> <p><b>Recommendation 3.a-c:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the contracting officers for Audit Report Numbers 6631-2016C19200001 and 6631-2016C19200002 to take the following actions in accordance with Federal Acquisition Regulation 30.605 and Defense Contract Management Agency Instruction 108:</p> <ul style="list-style-type: none"> <li>a. Reopen the audit report in the Contract Audit Follow-Up System until all findings are settled.</li> <li>b. Make a determination of Cost Accounting Standards compliance (if applicable).</li> <li>c. Based on the results of the review in Recommendation 3.b, take steps to:               <ul style="list-style-type: none"> <li>1. notify the contractor of the compliance determination;</li> <li>2. make a determination on the cost impact; and</li> <li>3. recoup any cost increase to the Government as a result of the noncompliances.</li> </ul> </li> </ul>	Open <sup>2</sup>	Questioned Costs	2/26/2021	Defense Contract Management Agency

## CHAPTER 2

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2022				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
<b>DODIG-2021-091, Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease-2019 Pandemic Response in the U.S. Northern Command Area of Responsibility</b>  <b>Recommendation 2.b:</b> The DoD OIG recommended that the Assistant Director of the Office of Budget Fiscal Management, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller), immediately require tasked units to review costs incurred for mission assignments 4482DR-CA-DOD-01, 4482DR-CA-DOD-02, and 4488DR-NJ-DOD-04 as of July 31, 2020, for completeness and accuracy, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.	Open <sup>3</sup>	Funds Put to Better Use	6/9/2021	Department of the Navy
<b>Total</b>	<b>\$6,101,595,278<sup>4</sup></b>			

<sup>1</sup> The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

<sup>2</sup> Potential monetary benefits are anticipated but cannot be quantified or estimated until management actions have been completed.

<sup>3</sup> The DoD has recovered \$16.5 million in monetary benefits based on actions taken so far by DoD management; additional recovery of monetary benefits is expected but cannot be quantified or estimated.

<sup>4</sup> The total does not include the potential monetary benefit from DODIG-2020-060 because the amount reported was reclassified as CUI//LEI.

Source: The DoD OIG.



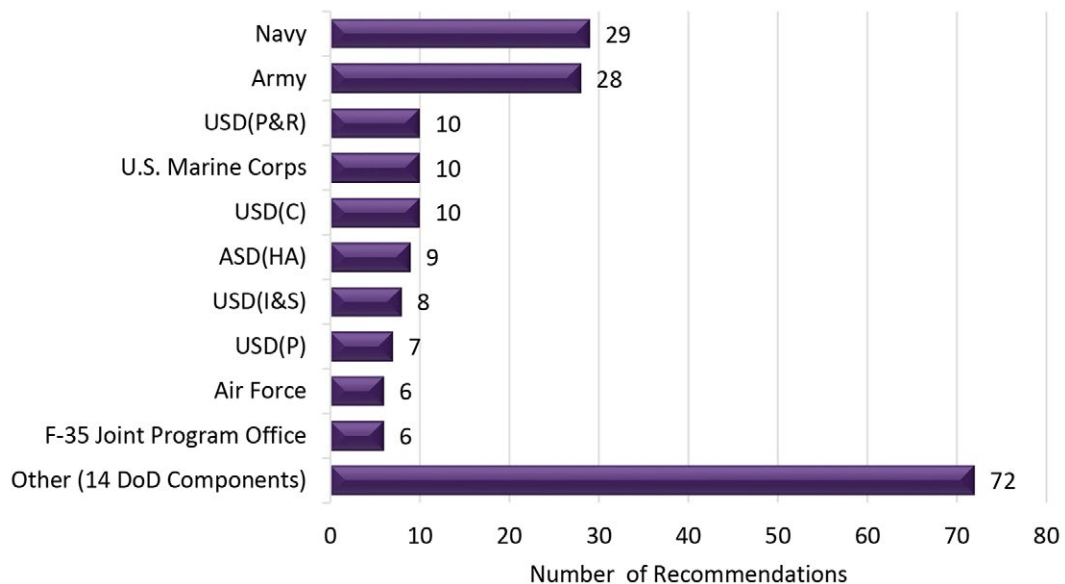


## CHAPTER 3

### Recommendations Open for at Least 5 Years

The DoD OIG relies on documentation from DoD Components to determine whether all agreed-upon corrective actions have been implemented before closing a recommendation. This chapter highlights the 195 DoD OIG recommendations that have been open for at least 5 years. Figure 7 illustrates the number of aged recommendations by responsible component. Of the 195 recommendations, 123 were issued to the 10 DoD Components listed in Figure 7. The remaining 72 recommendations were issued to 14 other DoD Components, including 50 recommendations for which the DoD Component is considered classified.

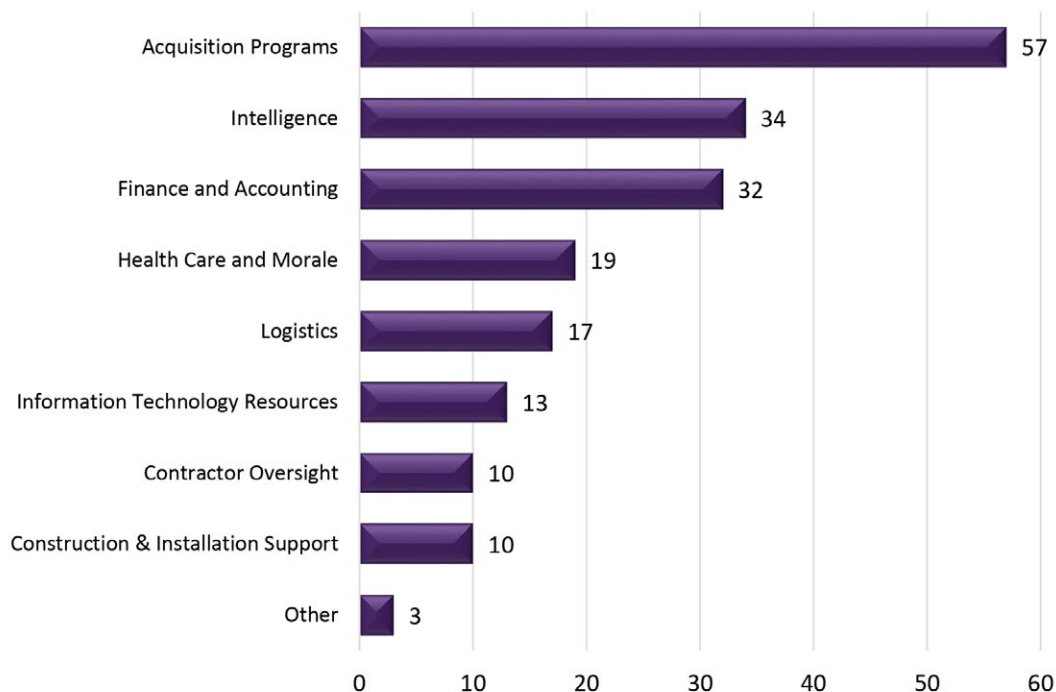
*Figure 7. Number of Recommendations Open for at Least 5 Years by Responsible Component*



Source: The DoD OIG.

The 195 recommendations were related to the topic areas of Intelligence, Health Care and Morale, Acquisition Programs, Logistics, Information Technology Resources, Finance and Accounting, Contractor Oversight, Construction and Installation Support, and Other. Figure 8 illustrates the number of recommendations in each topic area.

*Figure 8. Number of Recommendations Open for at Least 5 Years by Topic Area*



Source: The DoD OIG.

Although there are different reasons for the DoD Components' inability to implement the 195 recommendations, one of the biggest challenges continues to involve the revision or implementation of policies, procedures, and guidance. Of the 195 recommendations, 51 were related to revising or implementing policy. Specifically, revising or implementing policy at the DoD level also required changes to existing policies at the Component level to ensure consistent guidance across the DoD.

For example, in Report No. DODIG-2017-038, "Assessment of Warriors in Transition Program Oversight," December 31, 2016, the DoD OIG recommended that the Deputy Assistant Secretary of Defense for Warrior Care Policy establish guidance for writing Recovery Coordination Program (RCP) oversight reports that include the requirement to specifically assign an individual or organization to take action on all RCP oversight



## CHAPTER 3

report recommendations. In addition, the DoD OIG recommended that the Deputy Assistant Secretary establish policy that ensures followup on all RCP oversight report recommendations until corrective actions are complete.

In 2018, the Acting Deputy Assistant Secretary of Defense for Health Services Policy and Oversight (HSP&O) revised DoD Instruction 1300.24, "Recovery Coordination Program (RCP)," to incorporate and clarify the RCP oversight authorities and responsibilities; however, as of March 31, 2022, it has not yet been published. Once published, draft DoD Instruction 1300.24 will assign oversight reporting responsibilities to the Secretaries of the Military Departments; Commander, U.S. Special Operations Command; and the Director, Defense Health Agency to aggregate and make RCP reports available to the Office of the Deputy Assistant Secretary of Defense for Health Services Policy and Oversight. However, the draft Instruction does not prescribe specific processes for preparing oversight reports and therefore the Office of the Deputy Assistant Secretary of Defense for Health Services Policy and Oversight will evaluate whether there is a need to develop a DoD manual to provide specific quality assurance reporting processes. On January 18, 2022, the DoD Office of General Counsel completed a legal objection review of draft DoD Instruction 1300.24.<sup>29</sup> As of March 31, 2022, the draft DoD Instruction 1300.24 was going through the Washington Headquarters Services formal coordination issuance process. All DoD Component comments will be adjudicated and any necessary revisions will be applied to the guidance. Final publication of draft DoD Instruction 1300.24 is now expected in July 2022. Despite efforts to revise DoD Instruction 1300.24, as currently drafted, it will still not meet the intent of the DoD OIG recommendations, which will remain open until additional DoD guidance is developed for writing RCP oversight reports. Without proper guidance, DoD is at risk that deficiencies identified during the RCP site visits will not be addressed and will remain unresolved. This leaves the DoD OIG recommendation open for 5 years and 3 months.

Delays also occur when implementation of corrective actions are postponed due to a lack of available funding. For Report No. DODIG-2015-181, "Continental United States Military Housing Inspections-Southeast," September 24, 2015, the DoD OIG recommended that the Naval Station Mayport Commander conduct an effective root cause analysis and perform corrective actions for all fire protection deficiencies identified in the DoD OIG report. In response to the recommendation, the Navy has conducted a root cause analysis

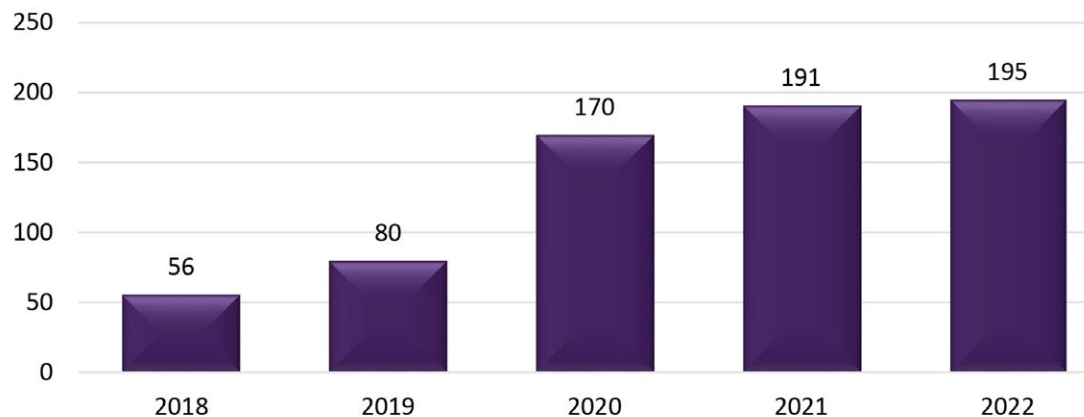
<sup>29</sup> There are two legal reviews in the DoD issuance process: legal objection review and legal sufficiency review. The Office of General Counsel, DoD, reviews DoD issuances to ensure that they don't contain any information that contradicts U.S. law or existing DoD policy. Legal objection reviews may be done after a pre-coordination review has been completed. Legal sufficiency reviews are done after the pre-signature review has been completed. Every DoD issuance must have a legal sufficiency review.

## CHAPTER 3

and surveys, developed plans for corrective actions, and began implementing corrective actions for electrical and fire protection deficiencies identified in both unaccompanied and family housing units with an initial estimated completion date of July 2016. However, as of March 31, 2022, correction of an unaccompanied housing fire protection deficiency remained unaddressed. According to the Commander, Navy Region Southeast, the deficiencies have not been addressed because additional funding is required. Specifically, funding to establish adequate paved ground access for the fire department to one of the installation's barracks remains unavailable, leaving the DoD OIG recommendation open for over 6 years. The Navy has indicated that it is scheduled to complete the last remaining corrective action to address fire protection deficiencies by March 31, 2023, pending FY 2023 funding. The delay in establishing adequate ground access diminishes the fire department first responders' effectiveness in providing emergency services and may pose a risk of injury or death.

The number of DoD OIG recommendations that have been open for at least 5 years has increased over the last 4 years. Figure 9 illustrates the increase in the number of recommendations open for at least 5 years. Overall, the number of recommendations that have been open for at least 5 years has increased by 139 (248 percent) since the 2018 Compendium was issued. Although the DoD Components provided documentation to close 34 of the aged recommendations listed in last year's Compendium, 157 of the 191 recommendations (82 percent) remain open. Of these 157 recommendations, 6 have been reported as aged in the last five editions of the Compendium.

*Figure 9. Increase in the Number of Recommendations Open for at Least 5 Years From March 31, 2018, to March 31, 2022*

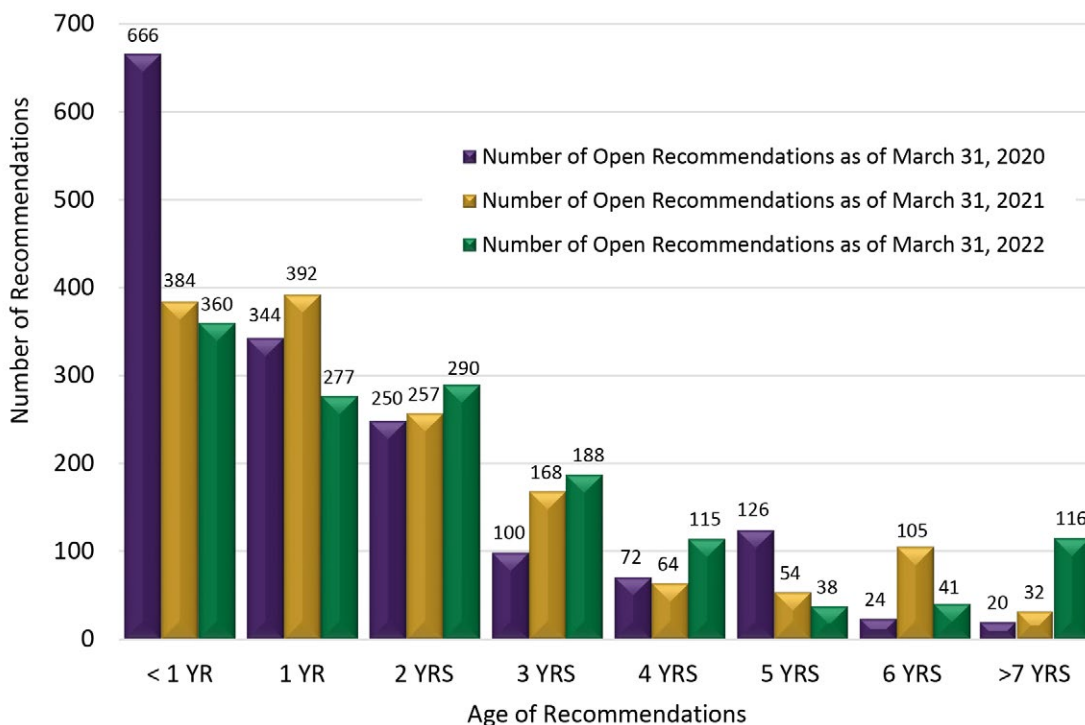


Source: The DoD OIG.

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We believe that DoD leadership should continue to focus attention on the implementation of open recommendations, with particular attention on aged recommendations since there was a significant increase in the number of recommendations more than 7 years old since the last Compendium. The number of open recommendations by age is shown in Figure 10. While the overall number of aged recommendations remained relatively steady, the number of recommendations more than 7 years old increased by more than 260 percent since the 2021 Compendium.

*Figure 10. Number and Age of Open Recommendations as of March 31, 2022*



Source: The DoD OIG.

Public Law 104-106, “National Defense Authorization Act for FY 1996,” requires the Secretary of Defense to complete final action on each DoD management decision within 12 months after report issuance. If action is not completed within 12 months, the DoD OIG must identify the overdue actions in its Semiannual Report to the Congress until final action on the DoD management decision is completed. While the DoD does not always meet the legislative requirement to implement recommendations with one year, we believe the maximum benefits are generally achieved when recommendations are

## CHAPTER 3

implemented within 3 years. However, some recommendations, such as those relating to cybersecurity or health and safety, require immediate implementation since noncompliance can have far-reaching, devastating consequences. Moreover, not taking action to correct deficiencies identified in the DoD OIG reports can adversely impact the efficiency and effectiveness of DoD programs and waste taxpayer dollars.

## Tables. Recommendations Open for at Least 5 years

The tables below list 195 recommendations that have been open for at least 5 years.

### Department of the Army

**Report No. D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.a-f:</b> The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Naval Criminal Investigative Service Director; the Air Force Director of Security Forces, Information Security; the Defense Information Systems Agency Director; and the Defense Logistics Agency Director update policies for the DoD personnel security clearance program to include the following areas:</p> <ul style="list-style-type: none"> <li>a. program management responsibilities;</li> <li>b. agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities;</li> <li>c. security clearance systems for tracking security clearance information;</li> <li>d. Personnel Security Investigation submission processes;</li> <li>e. the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations to include documentation required for each PSI; and</li> <li>f. training requirements for security personnel.</li> </ul>	15 years 11 months 12 days

**Report No. DODIG-2013-097, "Improvements Needed in the Oversight of the Medical-Support Services and Award-Fee Process Under the Camp As Sayliyah, Qatar, Base Operation Support Services Contract," June 26, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.1:</b> The DoD OIG recommended that the U.S. Army Medical Command Commander revise Army Regulation 40-68, "Clinical Quality Management," to align the regulation with supervision requirements set forth in Federal Acquisition Regulation 37.4.</p>	8 years 9 months 5 days

## CHAPTER 3

*Department of the Army (cont'd)*

**Report No. DODIG-2013-123, "Army Needs To Improve Mi-17 Overhaul Management and Contract Administration," August 30, 2013 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.a:</b> The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments paid to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.	8 years 7 months 1 day

**Report No. DODIG-2013-130, "Army Needs to Improve Controls and Audit Trails for the General Fund Enterprise Business System Acquire-to-Retire Business Process," September 13, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop and implement the identified functionality into the General Fund Enterprise Business System, including the capability to generate an Army-wide real property universe.	8 years 6 months 18 days
<b>Rec. A.6:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to perform a review of all real property data in the General Fund Enterprise Business System to ensure that the General Fund Enterprise Business System contains the correct data going forward and track the costs associated with this effort and other data cleansing efforts so they can be calculated as part of the cost of the General Fund Enterprise Business System implementation or as part of the Army's audit readiness efforts.	8 years 6 months 18 days
<b>Rec. A.7.b:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to record in-house costs incurred in the construction of a real property asset to the corresponding project's construction-in-progress account.	8 years 6 months 18 days

## CHAPTER 3

### *Department of the Army (cont'd)*

**Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete, and use it to validate General Fund Enterprise Business System general ledger account postings.	7 years 8 months 29 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) direct the General Fund Enterprise Business System Program Management Office to reconfigure the General Fund Enterprise Business System to properly record Budget-to-Report transactions, including implementing system controls to address items identified in this report.	7 years 8 months 29 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) use the General Fund Enterprise Business System to execute all Army General Fund appropriations.	7 years 8 months 29 days

**Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.b:</b> The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	7 years 4 months 17 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> <li>1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and</li> <li>2. adapt and implement the proposed standard operating procedure/ guidelines for the Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report.</li> </ol>	7 years 4 months 17 days

## CHAPTER 3

*Department of the Army (cont'd)*

**Report No. DODIG-2015-128, "Army Needs to Improve Processes Over Government-Furnished Material Inventory Actions," May 21, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) create a subaccount within the Logistics Modernization Program system to track receipt, acceptance, and consumption of Government-furnished material within an "Inventory, Work-in-Process" account.	6 years 10 months 10 days
<b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) expedite efforts to transition to the consumption method of accounting for Government-furnished material, unless it can document a strong business case for using the purchase method to recognize operating materials and supplies expenses. In developing this business case, consider the capitalization effect of Government-furnished material items included for upgrades, modifications, or assembly of end items, including general equipment.	6 years 10 months 10 days
<b>Rec. 3:</b> The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, and the Assistant Secretary of the Army (Financial Management and Comptroller), in collaboration with the Commander, Army Materiel Command, develop a business process and the Logistics Modernization Program posting logic to identify and track Army Working Capital Fund inventory provided to contractors as Government-furnished material within the Logistics Modernization Program system. Specifically, the Army should use the Logistics Modernization Program system to report Army Working Capital Fund inventory provided to contractors as Government-furnished material to meet requirements in the DoD Manual 4140.01, volume 9, and DoD 7000.14-R, DoD Financial Management Regulation, volume 4, chapter 4.	6 years 10 months 10 days

**Report No. DODIG-2015-181, "Continental United States Military Housing Inspections-Southeast," September 24, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. I.1:</b> The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment review and update its policy to ensure that Army publications properly and consistently address radon assessment and mitigation requirements.	6 years 6 months 7 days

## CHAPTER 3

**Department of the Army (cont'd)**

**Report No. DODIG-2016-080, "Army's Management of Gray Eagle Spare Parts Needs Improvement," April 29, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Unmanned Aircraft System Project Manager complete the actions necessary to include the Gray Eagle spare parts in an Army Accountable Property System of Record.	5 years 11 months 2 days

**Report No. DODIG-2016-108, "Army Needs Greater Emphasis on Inventory Valuation," July 12, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command establish policies and procedures focused on computing inventory valuation at moving average cost, including monitoring moving average cost values for National Item Identification Numbers at plants and making supported corrections of moving average cost values.	5 years 8 months 19 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command review and correct moving average cost valuation for all Inventory, Available and Purchased for Resale.	5 years 8 months 19 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement procedures to properly maintain historical cost when transferring inventory between plants and properly capture and record all appropriate purchase, transportation, and production costs to bring the inventory items to their current condition and location.	5 years 8 months 19 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement continuous training for Army logistics and inventory management personnel involved with inventory movements that affect inventory valuation at moving average cost in the Logistics Modernization Program system. The training should cover how to properly record inventory receipt, acceptance, transfer, and sale transactions within the system to ensure that the historical costs of the inventory are properly captured for inventory valuation.	5 years 8 months 19 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command develop procedures that post reconciling transactions to the same general ledger accounts as the original transactions.	5 years 8 months 19 days
<b>Rec. 1.h:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command direct the Logistics Modernization Program Product Office to implement standard tolerance levels and other validation controls for processing inventory transactions.	5 years 8 months 19 days
<b>Rec. 1.i:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command establish Non-Army Managed Items unit of measure elements properly in the Logistics Modernization Program system. Review the Logistics Modernization Program system Material Master data to identify all items with incorrect unit of measure elements and develop a strategy to correct them.	5 years 8 months 19 days



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### *Department of the Army – Report No. DODIG-2016-108 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.j:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement controls, including management oversight, to review and approve all Material Master file data changes prior to implementing them within the Logistics Modernization Program system and issue guidance on how personnel should properly establish and maintain unit of measure elements in the Logistics Modernization Program system.	5 years 8 months 19 days

### **Report No. DODIG-2017-004, “Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts,” October 14, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements.	5 years 5 months 17 days

### **Report No. DODIG-2017-057, “Army Officials Need to Improve the Management of Relocatable Buildings,” February 16, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Installations, Housing, and Partnerships, revise Army Regulation 420-1, "Army Facilities Management," to align the Army's definition of relocatable buildings to the definition in DoD Instruction 4165.56, "Relocatable Buildings," thus eliminating the requirement for the analysis pertaining to the disassembly, repackaging, and nonrecoverable costs of relocatable buildings.	5 years 1 month 15 days
<b>Rec. 4.a:</b> The DoD OIG recommended that the Chief, Directorate of Public Works at Joint Base Lewis-McChord perform the steps necessary to convert the six nonrelocatable buildings from relocatable to real property.	5 years 1 month 15 days

## CHAPTER 3

***Department of the Army (cont'd)***

**Report No. DODIG-2017-069, "Ineffective Fund Balance With Treasury Reconciliation Process for Army General Fund," March 23, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.a-c:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop and implement:</p> <ul style="list-style-type: none"> <li>a. system changes to ensure that Fund Balance With Treasury transaction data for the Army General Fund are transmitted, processed, maintained, and accessed in a standardized format for all non-legacy accounting and financial systems;</li> <li>b. a methodology for standardizing data from legacy accounting and financial systems; and</li> <li>c. the system functionality to demonstrate posting logic for all non-legacy accounting and financial systems containing Fund Balance With Treasury transactions to ensure that the Army posts transactions in a standard format.</li> </ul>	5 years 8 days

***Department of the Navy***

**Report No. DODIG-2012-122, "The DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access," August 29, 2012 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. B.1.b:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs), in conjunction with the Assistant Secretary of the Army (Installations, Energy, and Environment); Commander of Headquarters Air Force Security Forces Center; Deputy Chief of Naval Operations for Fleet Readiness and Logistics; and Assistant Deputy Commandant of Plans, Policies and Operations (Security), U.S. Marine Corps, before approving physical access control systems for a location require installation security personnel to be involved during the site surveys.</p>	9 years 7 months 2 days

**Report No. DODIG-2015-081, "Evaluation of Department of Defense Compliance with Criminal History Data Reporting Requirements," February 12, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1:</b> The DoD OIG recommended that the Secretaries of the Navy and Air Force take prompt action to submit the missing 304 fingerprints and 334 final disposition reports to the Federal Bureau of Investigation for inclusion into the Integrated Automated Fingerprint Identification System.</p>	7 years 1 month 19 days

## CHAPTER 3

*Department of the Navy (cont'd)***Report No. DODIG-2015-102, "Additional Actions Needed to Effectively Reconcile Navy's Fund Balance With Treasury Account," April 3, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a reconciliation process that is based on detail-level transaction data from Department of the Navy's general ledger systems. As part of this process, the Department of the Navy needs to demonstrate how these detail-level transactions are used in the preparation of their financial statements.	6 years 11 months 28 days
<b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review the control weaknesses identified for the Defense Cash Accountability System and Program Budget Information System during Federal Information System Controls Audit Manual testing and implement a plan to reduce ineffective or untested controls.	6 years 11 months 28 days
<b>Rec. 3:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review and approve current standard operating procedures to ensure the Fund Balance With Treasury reconciliation is completed according to Treasury and DoD policies and that reconciliations are tested and proven to be a sustainable and repeatable process.	6 years 11 months 28 days

**Report No. DODIG-2015-114, "Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance," May 1, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Naval Sea Systems Command Commander develop and implement procedures for contract registration, including procedures to validate that personnel properly register contracts.	6 years 10 months 30 days
<b>Rec. 4.a:</b> The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to prepare performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy.	6 years 10 months 30 days
<b>Rec. 4.b:</b> The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement quality control procedures for evaluating performance assessment report narratives and descriptions of the contract purpose.	6 years 10 months 30 days
<b>Rec. 4.c:</b> The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to take initial and periodic refresher quality and narrative writing training for the Contractor Performance Assessment Reporting System.	6 years 10 months 30 days

## CHAPTER 3

**Department of the Navy – Report No. DODIG-2015-114 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 5:</b> The DoD OIG recommended that the Commanders of Naval Air Systems Command, Naval Sea Systems Command, Space and Naval Warfare Systems Center Atlantic, and Space and Naval Warfare Systems Center Pacific and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, train or re-emphasize to assessors the definitions of the ratings and what is required to justify each rating, as outlined in the Federal Acquisition Regulation.	6 years 10 months 30 days

**Report No. DODIG-2015-122, “Naval Air Systems Command Needs to Improve Management of Waiver Requests,” May 15, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.1:</b> The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition and the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology, update Secretary of the Navy Instruction 5000.2E, “Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System,” and Secretary of the Navy Manual, M-5000.2, “Acquisition and Capabilities Guidebook,” May 9, 2012, Section 4.6, “Certification of Readiness for Operational Testing,” to:</p> <ol style="list-style-type: none"> <li>emphasize that program managers must request waivers whenever they do not meet any of the 20 criteria the Secretary of the Navy Instruction 5000.2E requires programs to meet to certify readiness for initial operational test and evaluation; and,</li> <li>clarify that Operational Test Readiness Review briefings to stakeholder groups should include specific explanations of program accomplishments against each of the 20 certification criteria to clearly document either that the criteria was met or a waiver or deferral request was coordinated with the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology; the program sponsors; and the Commander of Operational Test and Evaluation Force.</li> </ol>	6 years 10 months 16 days

## CHAPTER 3

*Department of the Navy (cont'd)***Report No. DODIG-2015-142, "Navy's Contract/Vendor Pay Process Was Not Auditable," July 1, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a process or system interface between Navy Enterprise Resource Planning and Wide Area Work Flow that provides timely processing of transactions and update the Department of the Navy's system business processes to ensure transactions are processed in compliance with the Statement of Federal Financial Accounting Standards 1.	6 years 8 months 30 days

**Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel," July 6, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b:</b> The DoD OIG recommended that the Commander of the Naval Supply Systems Command implement controls in the Defense Travel System to automatically route all travel orders for travel outside of the continental United States to transportation office personnel to check Patriot Express availability before booking commercial transportation.	6 years 8 months 25 days

**Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 6:</b> The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel, the Chief of Naval Personnel, and the Air Force Deputy Chief of Staff for Manpower, Personnel, and Services ensure that programs of instruction for commissioned and noncommissioned officers include the updated guidance regarding religious accommodations contained in Department of Defense Instruction 1300.17.	6 years 8 months 9 days

**Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Secretary of the Navy revise Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," September 1, 2011, after the Vice Chairman of the Joint Chiefs of Staff revises the Joint Capabilities Integration and Development System Manual in response to Recommendation 1.	6 years 6 months 17 days

## CHAPTER 3

### **Department of the Navy – Report No. DODIG-2015-172 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Naval Sea Systems Command Commander revise Naval Sea System Command Instruction 3960.2D, “Test and Evaluation,” April 22, 1988, to implement the Navy policy in the planned revision of Secretary of the Navy Instruction 5000.2E, “Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System,” planned for designation as Secretary of the Navy Instruction 5000.2E.	6 years 6 months 17 days

### **Report No. DODIG-2015-181, “Continental United States Military Housing Inspections-Southeast,” September 24, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.1:</b> The DoD OIG recommended that the Naval Station Mayport Commander conduct an effective root cause analysis and perform corrective actions for all fire protection deficiencies identified.	6 years 6 months 7 days

### **Report No. DODIG-2016-026, “Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions,” November 24, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and the U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	6 years 4 months 7 days

### **Report No. DODIG-2016-054, “Navy Controls for Invoice, Receipt, Acceptance, and Property Transfer System Need Improvement,” February 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to develop and communicate comprehensive procedures to out-process Invoice, Receipt, Acceptance, and Property Transfer system users who leave the commands. Both users and supervisors should provide a formal notification to the Invoice, Receipt, Acceptance, and Property Transfer system group administrator indicating that a user is separating from the command and the corresponding system access should end.	6 years 1 month 6 days

## CHAPTER 3

### *Department of the Navy – Report No. DODIG-2016-054 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review the Invoice, Receipt, Acceptance, and Property Transfer system to verify that the Defense Logistics Agency's automated control for inactive users is working properly and ensure separated employees user accounts were automatically disabled.	6 years 1 month 6 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review other commands that use the Navy Enterprise Resource Planning system and direct the commands to disable the certifying officer role in the Invoice, Receipt, Acceptance, and Property Transfer system if their duties do not require it.	6 years 1 month 6 days

### **Report No. DODIG-2016-107, "Advanced Arresting Gear Program Exceeded Cost and Schedule Baselines," July 5, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Program Manager for the Aircraft Launch and Recovery Equipment update the Advanced Arresting Gear Test and Evaluation Master Plan to revise the planned test strategy, test schedule, developmental and operational funding and add measures to support the program's reliability growth plan before the Acquisition Category IC Acquisition Program Baseline is finalized.	5 years 8 months 26 days

### **Report No. DODIG-2016-130, "The Navy Needs More Comprehensive Guidance for Evaluating and Supporting Cost-Effectiveness of Large-Scale Renewable Energy Projects," August 25, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Assistant Secretary of the Navy for Energy, Installations, and Environment, once new or modified guidance is issued, determine whether approved renewable energy projects are cost-effective based on these policies and procedures and take appropriate action based on that determination.	5 years 7 months 6 days

## CHAPTER 3

**Department of the Navy (cont'd)**

**Report No. DODIG-2017-043, "Management of Excess Material in the Navy's Real-Time Reutilization Asset Management Facilities Needs Improvement," January 23, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Chief of Naval Operations develop and implement retention and disposition guidance for excess consumable material in the Real-Time Reutilization Asset Management facilities that includes, at a minimum, standardized procedures for retaining material based on demand, validating material for continued need if the retention decision is not based on demand, and properly categorizing material.	5 years 2 months 8 days

**Report No. DODIG-2017-063, "(FOUO) Surface Electronic Warfare Improvement Program [REDACTED]  
[REDACTED]" March 13, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Program Blocks 1B1 and 1B2 deficiencies.	5 years 18 days
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Block 2 system deficiencies.	5 years 18 days

**Report No. DODIG-2017-067, "Navy Inaccurately Reported Costs for Operation Freedom's Sentinel in the Cost of War Reports," March 16, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Financial Operations, Accounting and Financial Reporting Division, and Deputy Assistant Secretary for Budget, in coordination with the Comptroller, Pacific Fleet Command, and the Comptroller, U.S. Fleet Forces Command, reengineer processes to identify the Navy's transactions for overseas contingency operations.	5 years 15 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary for Budget develop and issue updated guidance that requires Navy activities to, at a minimum, use a consistent methodology for allocating incremental operations and depot-level maintenance costs, as required by Public Law 113-235, "The Consolidated and Further Continuing Appropriations Act, 2015," and defined by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 12, chapter 23.	5 years 15 days



## CHAPTER 3

**Department of the Navy – Report No. DODIG-2017-067 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary for Budget develop and issue updated guidance that requires Navy activities to, at a minimum, use a consistent methodology for allocating incremental operations and depot-level maintenance costs, as required by Public Law 113-235, "The Consolidated and Further Continuing Appropriations Act, 2015," and defined by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 12, chapter 23.	5 years 15 days

**U.S. Marine Corps****Report No. D-2011-060, "Marine Corps Inventory of Small Arms Was Generally Accurate but Improvements Are Needed for Related Guidance and Training," April 22, 2011**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Deputy Commandant, Plans, Policies, and Operations, update the small arms accountability guidance in Marine Corps Order 5530.14A consistent with Marine Corps Bulletin 4440 and the updates to Marine Corps Order 8300.1C.	10 years 11 months 9 days

**Report No. DODIG-2014-100, "Assessment of DoD Wounded Warrior Matters: Selection and Training of Warrior Transition Unit and Wounded Warrior Battalion Leaders and Cadre," August 28, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.b:</b> The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs revalidate whether the manning precedence level category of Wounded Warrior Battalion-East and Wounded Warrior Battalion-West should be changed in the Commandant of the Marine Corps Precedence Levels for Manning and Staffing.	7 years 7 months 3 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard formalized screening, selection, and assignment process for Enlisted Active Component Marines filling Wounded Warrior Battalion positions similar to the process currently used for Reserve Individual Mobilization augmentee Marines.	7 years 7 months 3 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard review process whereby regiment and battalion leaders can interview potential Enlisted Active Component U.S. Marine Corps Wounded Warrior Battalion candidates to ensure they are the "best fit" and most qualified to better serve the Marines in the Wounded Warrior Battalions.	7 years 7 months 3 days

## CHAPTER 3

### *U.S. Marine Corps (cont'd)*

**Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.b:</b> The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	7 years 4 months 17 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> <li>1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and</li> <li>2. adapt and implement the proposed standard operating procedure/guidelines for Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report.</li> </ol>	7 years 4 months 17 days
<b>Rec. 4.e:</b> The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	7 years 4 months 17 days

**Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel," July 6, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 5:</b> The DoD OIG recommended that the Marine Corps Logistics Plans, Policy, and Strategic Mobility Director, in coordination with the Commander of U.S. Transportation Command perform a review to determine the primary reasons why passengers do not show up for, or cancel, booked Patriot Express flights and implement any necessary changes to the program, such as developing cancellation guidelines, to minimize the burden of no-show passengers.	6 years 8 months 25 days

**Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that addresses strategies to build, grow, and sustain the Cyber Mission Force.	6 years 4 months 7 days

## CHAPTER 3

**U.S. Marine Corps – Report No. DODIG-2016-026 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and the U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	6 years 4 months 7 days

**Department of the Air Force****Report No. DODIG-2015-052, “Air Force Life Cycle Management Center’s Management of F119 Engine Spare Parts Needs Improvement,” December 19, 2014 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.5:</b> The DoD OIG recommended that the Air Force Life Cycle Management Center Director clarify the Defense Contract Management Agency’s responsibility to formally accept F119 engine spare parts on behalf of the Life Cycle Management Center.	7 years 3 months 12 days

**Report No. DODIG-2015-090, “Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors,” March 9, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B:</b> The DoD OIG recommended that the Navy and Air Force review and update the Joint Service Specification Guide to reflect changes in policy and technology that have occurred in the last 16 years.	7 years 22 days

**Report No. DODIG-2015-162, “Continental United States Military Housing Inspections-National Capital Region,” August 13, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. E.1:</b> The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all fire protection deficiencies identified in this report.*	6 years 7 months 18 days
<b>Rec. F.1:</b> The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all environmental health and safety deficiencies identified in this report.*	6 years 7 months 18 days

\* Responsibility for implementing Recommendations E.1, and F.1 was transferred from the Department of the Navy to the Department of the Air Force.

## CHAPTER 3

***Department of the Air Force (cont'd)***

**Report No. DODIG-2015-168, "Air Force Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," September 3, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.5.a:</b> The DoD OIG recommended that the Air Force Chief, Information Dominance Chief Information Officer review the deficiencies identified, require a thorough review of the Air Force Secret Internet Protocol Router Network security safeguards performed at each command, and apply corrective actions as necessary.	6 years 6 months 28 days

**Report No. DODIG-2016-114, "Actions Needed to Improve Reporting of Marine Corps, Navy, and Air Force Operating Materials and Supplies," July 26, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.3:</b> The DoD OIG recommended that the Assistant Secretary of the Air Force (Financial Management and Comptroller) completely reconcile amounts reported by field locations in the summary data submission to General Accounting and Finance System-Re-Engineered on a quarterly basis and resolve differences.	5 years 8 months 5 days

***U.S. Space Force***

**Report No. DODIG-2016-133, "Evaluation of Integrated Tactical Warning/Attack Assessment Ground-Based Radars," September 8, 2016 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Commander of Air Force Space Command ensure that all scheduled Integrated Threat Warning/Attack Assessment Electromagnetic Pulse projects, to include final verification testing, are completed.*	5 years 6 months 23 days

\* U.S. Space Force (formerly Air Force Space Command) was established on December 20, 2019 with the enactment of FY 2020 National Defense Authorization Act.

## CHAPTER 3

*Joint Chiefs of Staff*

**Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015  
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff update Chairman of the Joint Chiefs of Staff Instruction 3110.04, "Nuclear Supplement to the Joint Strategic Capabilities Plan" planning guidance to identify geographic combatant command planning responsibilities and requirements for developing nuclear response options. Guidance should include type and level of planning information required for directed contingency plans.	6 years 9 months 13 days

**Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Joint Chiefs of Staff Vice Chairman revise the Joint Capabilities Integration and Development System Manual to require sponsors of Acquisition Category I programs, or programs of interest to the Joint Chiefs of Staff, to notify the Joint Chiefs of Staff when deferrals to operationally testing system performance will delay demonstrating primary system requirements beyond the scheduled date for initial operational capability, as defined in the requirements document.	6 years 6 months 17 days

**Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products," January 31, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 19:</b> The DoD OIG recommended that the Joint Staff update Joint Publication 2-0 to bring it into compliance with the 2015 version of Intelligence Community Directive 203. The Expressions of Uncertainties in Appendix A and Figure A-1 should match the Intelligence Community Directive 203's expressions of likelihood or probability (Para D.6.e.(2)(a)).	5 years 2 months

## CHAPTER 3

***Under Secretary of Defense for Acquisition and Sustainment***

**Report No. DODIG-2015-062, "DoD Needs Dam Safety Inspection Policy To Enable the Services To Detect Conditions That Could Lead to Dam Failure," December 31, 2014 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish DoD dam safety inspection policy that is in accordance with the Federal Guidelines for Dam Safety, which define inspection frequency, scope, and inspector qualifications and outline the need to develop and maintain inspection support documentation.	7 years 3 months

**Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence," October 15, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director for the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, direct the Defense Acquisition Regulations Council to revise the Defense Federal Acquisition Regulation Supplement to address interim and final contractor requirements for the prevention of workplace violence.	6 years 5 months 16 days

**Report No. DODIG-2017-004, "Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, establish a permanent policy for the sustainment of facilities, including standardized facility inspections. This policy should incorporate the requirements in the September 10, 2013, "Standardizing Facility Condition Assessments," and in the April 29, 2014, "Facility Sustainment and Recapitalization Policy," memorandums.	5 years 5 months 17 days

## CHAPTER 3

*Under Secretary of Defense for Research and Engineering*

Report No. DODIG-2014-049, "DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed," March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1.a:</b> The DoD OIG recommended that the Administrator, DoD Office of Small Business Programs, Small Business Innovation Research Office, issue single, overarching guidance and related training for all DoD organizations to follow that will provide for the uniform application of intellectual property protections across DoD. Guidance and training should include:</p> <ol style="list-style-type: none"> <li>1. standard intellectual property protections within the Small Business Innovation Research Program, including the use and application of the data assertions table; and</li> <li>2. when the protection period begins and when it can be extended.</li> </ol>	8 years 4 days
<p><b>Rec. 1.b:</b> The DoD OIG recommended that the Administrator for the DoD Office of Small Business Programs, Small Business Innovation Research Office, issue clarifying guidance to address the requirement for organizations to provide the Small Business Administration a complete and timely notification detailing why a proposed Small Business Innovation Research Phase III contract could not be awarded to the developer. The clarifying guidance should provide a single DoD interpretation of the requirement and address reporting requirements outlined in the Small Business Administration Small Business Innovation Research Policy Directive.</p>	8 years 4 days

*Under Secretary of Defense for Policy*

Report No. DODIG-2015-070, "Evaluation of Alternative Compensatory Control Measures Program," January 28, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program management and oversight, develop a plan of assistance, oversight, and inspections of the Components' Alternative Compensatory Control Measures programs.</p>	7 years 2 months 3 days
<p><b>Rec. B:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program management and oversight, revise DoD Manual 5200.01, volume 3, to include additional definitions, guidance, requirements, formats, and rules for the Alternative Compensatory Control Measures program.</p>	7 years 2 months 3 days
<p><b>Rec. C:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program management and oversight, develop a centralized database of Alternative Compensatory Control Measures programs, along with users' need-to-know and access status.</p>	7 years 2 months 3 days

## CHAPTER 3

***Under Secretary of Defense for Policy – Report No. DODIG-2015-070 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program management and oversight, develop a standard initial Alternative Compensatory Control Measures training program.	7 years 2 months 3 days
<b>Rec. E:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program congressional reporting, either obtain written legal advice against reporting Alternative Compensatory Control Measures program status to Congress, or resume regular yearly reports.	7 years 2 months 3 days

**Report No. DODIG-2016-081, “Evaluation of U.S. Intelligence and Information Sharing with Coalition Partners in Support of Operation Inherent Resolve,” April 25, 2016 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. A.1:</b> The DoD OIG recommended that the [REDACTED] [REDACTED]	5 years 11 months 6 days
<b>Rec. A.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Directive 5230.20, "Visits and Assignments of Foreign Nationals," June 22, 2005, to include the establishment of criteria for granting exceptions to policy and clarification of guidance on the use of extended visit requests.	5 years 9 months 16 days
(CUI)	

***Under Secretary of Defense (Comptroller)*****Report No. D-2009-062, “Internal Controls Over DoD Cash and Other Monetary Assets,” March 25, 2009**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, charge a proper appropriation, or coordinate with the Office of Management and Budget and the U.S. Treasury to: <ol style="list-style-type: none"> <li>1. obtain a waiver to hold a certain amount of cash without charging an appropriation, or</li> <li>2. establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury.</li> </ol>	13 years 6 days



## CHAPTER 3

***Under Secretary of Defense (Comptroller) – Report No. DODIG-2009-062 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise DoD Financial Management Regulation 7000.14, volume 5, to reflect the implementation of Recommendation A.1.a.(1)-(2).	13 years 6 days

**Report No. DODIG-2012-107, “Defense Finance and Accounting Service Needs to Improve the Process for Reconciling the Other Defense Organizations’ Fund Balance With Treasury,” July 9, 2012**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop a systems infrastructure that will allow personnel to readily retrieve the detailed transactions supporting all open appropriations that the Accounts Maintenance and Control branch is responsible for accounting for and reconciling on the Cash Management Report.*	9 years 8 months 22 days

\* Responsibility for implementing Recommendation 1.a was transferred from DFAS to USD(C).

**Report No. DODIG-2013-070, “Defense Agencies Initiative Did Not Contain Some Required Data Needed to Produce Reliable Financial Statements,” April 19, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the guidance contained in the DoD Financial Management Regulation, volume 6B, chapter 5, paragraph 050301.B. to require costs of program reported in the Statement of Net Cost to be accounted for by program costs and not by appropriation, enabling the use of the Program Indicator Code attribute.	8 years 11 months 12 days

**Report No. DODIG-2015-056, “Opportunities to Improve the Elimination of Intragovernmental Transactions in DoD Financial Statements,” December 22, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, develop cost estimates and obtain funding for implementing the Invoice Processing Platform across the DoD.	7 years 3 months 9 days

## CHAPTER 3

***Under Secretary of Defense (Comptroller) (cont'd)***

**Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Deputy Chief Management Officer develop a coordinated and standardized strategy with the Defense Finance and Accounting Service and the Other Defense Organizations to exchange and manage problem disbursement data. The strategy should focus on the end-to-end integrated business process that includes the identification of key internal and compensating controls at the Defense Finance and Accounting Service and the Other Defense Organizations.	6 years 3 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Deputy Chief Management Officer oversee the implementation of the strategy developed to exchange and manage problem disbursements to ensure that the process is standardized and systemized for the Other Defense Organizations.	6 years 3 days

**Report No. DODIG-2016-066, "Improvements Could Be Made in Reconciling Other Defense Organizations' Civilian Pay to the General Ledger," March 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.e:</b> The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director centralize the Other Defense Organizations civilian payroll reconciliations processes.*	6 years 6 days

\* Responsibility for implementing Recommendation 1.e was transferred from DFAS to USD(C).

**Report No. DODIG-2016-086, "DoD Met Most Requirements of the Improper Payments Elimination and Recovery Act in FY 2015, but Improper Payment Estimates Were Unreliable," May 3, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, determine the source of all disbursed obligations not reviewed for improper payments and whether those disbursements are subject to improper payment reporting requirements.	5 years 10 months 28 days

## CHAPTER 3

***Under Secretary of Defense (Comptroller) (cont'd)***

**Report No. DODIG-2016-126, "Improvements Needed In Managing the Other Defense Organizations' Suspense Accounts," August 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus obtain the complete universe of detailed transactions supporting the suspense account balances, perform regular and recurring reconciliations of the data, and remediate any deficiencies that impact the accuracy of the balances.*	5 years 7 months 6 days

\* Responsibility for implementing Recommendation A.1.b was transferred from DFAS to USD(C).

***Under Secretary of Defense for Personnel and Readiness***

**Report No. DODIG-2014-121, "Military Housing Inspections – Japan," September 30, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.2.a-b:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: a. control and remediation of mold; and b. radon evaluation and mitigation.*	7 years 6 months 1 day

\* Responsibility for implementing Recommendations D.2.a-b was transferred from USD(A&S) to USD(P&R).

**Report No. DODIG-2015-002, "Assessment of DoD-Provided Healthcare for Members of the United States Armed Forces Reserve Components," October 8, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish policy that assigns responsibilities to Commanders and medical authorities to manage medical histories and line of duty documentation for deployed or temporary duty Reserve Component service members in a standardized manner across all Services so that both are complete and available to their units in a timely manner.	7 years 5 months 23 days
<b>Rec. 5:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish standardized DoD form(s) and procedures that provide access for all Reserve Component service members to line of duty care at all military treatment facilities.	7 years 5 months 23 days

## CHAPTER 3

### *Under Secretary of Defense for Personnel and Readiness (cont'd)*

**Report No. DODIG-2015-013, "Military Housing Inspections – Republic of Korea," October 28, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.2.a-b:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: <ul style="list-style-type: none"> <li>a. control and remediation of mold; and</li> <li>b. radon evaluation and mitigation.*</li> </ul>	7 years 5 months 3 days

\* Responsibility for implementing Recommendation D.2.a-b was transferred from USD(A&S) to USD(P&R).

**Report No. DODIG-2015-078, "Evaluation of the Defense Criminal Investigative Organizations' Compliance with the Lautenberg Amendment Requirements and Implementing Guidance," February 6, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to incorporate language requiring commanders and supervisors to advise all employees (military and civilian) found to have a qualifying conviction to dispose of their privately owned firearms and ammunitions in accordance with the law.	7 years 1 month 25 days
<b>Rec. C.1:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to require all employees (military and civilian) serving in a covered position to complete the DD Form 2760 annually, at a minimum.	7 years 1 month 25 days
<b>Rec. D:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 by removing the requirement for a separate memorandum of understanding executed between civilian law enforcement and the Military Criminal Investigative Organizations.	7 years 1 month 25 days

**Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 7:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Office of Diversity Management and Equal Opportunity, the Service Military Equal Opportunity and Inspectors General Offices, and the Defense Privacy and Civil Liberties Division, develop a more efficient and effective means of gathering data in support of the mandated report to Congress detailing DoD civil liberties oversight efforts, including the data regarding the status of rights of conscience protections for service members.	6 years 8 months 9 days

## CHAPTER 3

### *Under Secretary of Defense for Personnel and Readiness (cont'd)*

Report No. DODIG-2017-038, "Assessment of Warriors in Transition Program Oversight," December 31, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish guidance for writing Recovery Coordination Program oversight reports that include the requirement to specifically assign a person or organization to take action on each recommendation.	5 years 3 months
<b>Rec. B.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish policy that ensures followup of all Recovery Coordination Program oversight report recommendations until corrective actions are complete.	5 years 3 months

### *Under Secretary of Defense for Intelligence and Security*

Report No. DODIG-2013-112, "Assessment of DoD Long-Term Intelligence Analysis Capabilities," August 5, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence, partnering with the Defense Intelligence Agency, the Combatant Commands, and the Services, develop an All-Source Analysis certification program that leads to training, developing, and retaining a more experienced and robust workforce. We recommend that such a program includes common core analytical skills and performance standards, and an enterprise-wide all-source analysis occupational-specialty career track and development program.	8 years 7 months 26 days

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop and issue an overarching policy governing operation of the System of Record for Personnel Security Clearances.	7 years 11 months 17 days
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence prepare an overarching policy governing the operation of the Defense Central Index of Investigations, including identification of the categories of investigations to be titled and indexed, and the retention criteria for investigations so titled and indexed.	7 years 11 months 17 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence direct the Defense intelligence agencies to review the procedures that their Offices of Security use to ensure that the Joint Personnel Adjudicative System and SCATTERED CASTLES system are being properly populated.	7 years 11 months 17 days

## CHAPTER 3

***Under Secretary of Defense for Intelligence and Security – Report No. DODIG-2014-060 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. B.1.d:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence conduct one of the following actions to ensure subjects of past investigations are titled and indexed in the Defense Central Index of Investigations (DCII):</p> <ol style="list-style-type: none"> <li>1. initiate action with the Office of Personnel and Management to require that OPM investigators conducting background investigations on current and former civilian employees, military assignees, and contract employees of the Defense intelligence agencies conduct name checks with the inspectors general of those agencies; or</li> <li>2. direct that the directors of the agencies ensure that the subjects of past agency inspector general criminal investigations are titled and indexed in the Defense Central Index of Investigations.</li> </ol>	7 years 11 months 17 days

**Report No. DODIG-2015-064, “Assessment of Intelligence Support to In-Transit Force Protection,” January 2, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. B:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence, coordinate with the appropriate Department of State office to update the 2003 Memorandum of Understanding to reflect DoD policy and requirements with the Force Protection Detachment program and the Embassy’s Country Team environment.</p>	7 years 2 months 29 days

**Report No. DODIG-2016-002, “DoD Needs a Comprehensive Approach to Address Workplace Violence,” October 15, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence.*</p>	6 years 5 months 16 days
<p><b>Rec. 2.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures.*</p>	6 years 5 months 16 days

\* Responsibility for implementing Recommendations 2.a and 2.b was transferred from USD(P&R) to USD(I&S).

## CHAPTER 3

***U.S. Central Command***

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015  
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> Recommendation is Classified.	6 years 9 months 13 days

***U.S. Special Operations Command***

Report No. DODIG-2017-030, "USSOCOM Needs to Improve Management of Sensitive Equipment,"  
December 12, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director require U.S. Special Operations Command, Directorate of Logistics, to conduct a 100-percent inventory of sensitive equipment to establish a sensitive equipment baseline and reconcile inventory discrepancies.	5 years 3 months 19 days

***DoD Office of the General Counsel***

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.3:</b> The DoD OIG recommended that the DoD Office of General Counsel prepare an update to or replacement for DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency.	7 years 11 months 17 days

## CHAPTER 3

***Assistant Secretary of Defense for Homeland Defense and Global Security***

**Report No. DODIG-2013-119, "Better Procedures and Oversight Needed to Accurately Identify and Prioritize Task Critical Assets," August 16, 2013 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Instruction 3020.45, "Defense Critical Infrastructure Program Management," April 21, 2008, to require that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs perform comprehensive Defense Critical Infrastructure Program reviews to help identify and resolve challenges in implementing the Critical Asset Identification Process across all DoD Components and Defense Infrastructure Sector Lead Agents.	8 years 7 months 15 days
<b>Rec. 2.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs implement a comprehensive program review process to verify that the critical asset identification and prioritization process is working effectively for DoD Components and Defense Infrastructure Sector Lead Agents to identify, prioritize, and coordinate critical asset information that could affect each other's missions or functions.	8 years 7 months 15 days

***Assistant Secretary of Defense for Health Affairs***

**Report No. DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.*	7 years 7 months 18 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the Brooke Army Medical Center Commander provide U.S. Army Medical Command all the Medicaid-eligible claims denied by Texas Medicaid Health Partnership for missing the 95-day filing requirement to identify the value and impact of those claims to Brooke Army Medical Center.*	7 years 7 months 18 days

\* Responsibility for implementing Recommendations 1.b and 1.g was transferred from Army to ASD(HA).



## CHAPTER 3

***Assistant Secretary of Defense for Health Affairs (cont'd)***

**Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive Department of Defense Suicide Event Report submissions. For each suicide death, this board should:</p> <ol style="list-style-type: none"> <li>1. be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review;</li> <li>2. include participation by unit leadership, medical/mental health organizations, and Military Criminal Investigative organizations; and</li> <li>3. articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations.*</li> </ol>	7 years 4 months 17 days
<p><b>Rec. 3.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in accordance with Recommendation 2.a, publish guidance requiring a suicide event board to enable a multidisciplinary approach for obtaining the data required to make a comprehensive Department of Defense Suicide Event Report submission.*</p>	7 years 4 months 17 days
<p><b>Rec. 4.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Service and DoD trends.*</p>	7 years 4 months 17 days

\* Responsibility for implementing Recommendations 2.a, 3.a, and 4.a were transferred from USD(P&R) to ASD(HA).

**Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2:</b> The DoD OIG recommended that the Directors of the Other Defense Organizations and Defense Finance and Accounting Service revise service-level agreements based on the end-to-end business process identified. Service-level agreements should include clearly defined roles and responsibilities, internal controls, performance metrics, and quality assurance plans to ensure that detail-level data for problem disbursements are provided and problem disbursements are reduced and corrected in a timely manner.</p>	6 years 3 days

## CHAPTER 3

***Assistant Secretary of Defense for Health Affairs (cont'd)***

**Report No. DODIG-2016-079, "Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight," April 28, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Regional Health Command-Europe Commander review, research, and pursue collection on the delinquent medical service accounts that remain open.*	5 years 11 months 3 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the U.S. Army Medical Command, take action to collect the 619 medical service accounts elevated by Regional Health Command Europe to the U.S. Army Medical Command in 2008 or coordinate with the Assistant Secretary of Defense (Health Affairs) to determine what action is needed to write off the debt.*	5 years 11 months 3 days

\* Responsibility for implementing Recommendations 1.a and 2.b was transferred from Army to ASD(HA).

**Report No. DODIG-2017-045, "Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight," January 27, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of U.S. Army Medical Command review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible.*	5 years 2 months 4 days

\* Responsibility for implementing Recommendation 1.a was transferred from Army to ASD(HA).

***National Security Agency***

**Report No. DODIG-2017-061, "Evaluation of the National Security Agency Counterterrorism Tasking Process Involving Second Party Partners," March 1, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> Recommendation is Classified.	5 years 30 days

## CHAPTER 3

***Defense Threat Reduction Agency***

**Report No. DODIG-2014-055, “Investigation of a Hotline Allegation of a Questionable Intelligence Activity Concerning the Joint IED Defeat Organization (JIEDDO) Counter-IED Operations/Intelligence Integration Center (COIC),” April 4, 2014 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2:</b> The DoD OIG recommended that the Deputy Secretary of Defense approve DoD Directive 2000.19E to reflect the Joint Improvised Explosive Device Defeat Organization Counter-Improvised Explosive Device Operations/Intelligence Integration Center’s authorized intelligence functions, roles, and responsibilities, and assign an executive agent for the Joint Improvised Explosive Device Defeat Organization’s external intelligence oversight.*	7 years 11 months 27 days
<b>Rec. A.6:</b> The DoD OIG recommended that the Joint Improvised Explosive Device Defeat Organization Director cease intelligence collection activities, pending Office of the Secretary of Defense authorization.	7 years 11 months 27 days

\* Responsibility for implementing Recommendation A.2 was transferred from DEPSECDEF to DTRA.

***Defense Logistics Agency***

**Report No. DODIG-2013-100, “Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed,” July 2, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander implement corrective actions to address all recommendations, with the exception of Recommendations A.1.b, A.2.a, A.2.b, A.2.c, A.3, A.4.a, A.4.b, B.1, and B.2, in DoD OIG Report No. D-2011-047, “Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan,” March 2, 2011. Specifically, initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.*	8 years 8 months 29 days

\* The agreed-upon corrective actions have been completed by DoD management; however, the Department’s recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

## CHAPTER 3

***Defense Finance and Accounting Service***

**Report No. DODIG-2016-126, "Improvements Needed In Managing the Other Defense Organizations' Suspense Accounts," August 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus develop an estimate using relevant, sufficient, and reliable information to record the consolidated Other Defense Organizations' suspense account balances on the individual Other Defense Organizations' financial statements.	5 years 7 months 6 days

**Report No. DODIG-2017-015, "Application Level General Controls for the Defense Cash Accountability System Need Improvement," November 10, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.c.1:</b> The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, develop and implement procedures to require Information System Security Officers to comply with the certification requirements established in DoD Manual 8570.01-M, "Information Assurance Workforce Improvement Program."	5 years 4 months 21 days

## CHAPTER 3

**Defense Contract Management Agency**

**Report No. DODIG-2014-077, "Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case," May 30, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. F:</b> The DoD OIG recommended that the Defense Contract Management Agency Director take the following actions in a timely manner to ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 resulting from the contractor's use of a cost accounting practice determined by the Defense Contract Management Agency to be noncompliant with CAS 418 on June 5, 2006:</p> <ol style="list-style-type: none"> <li>1. if legally required, make a second determination of compliance or noncompliance in accordance with FAR 30.605(b)(3)(ii);</li> <li>2. if legally required, notify the contractor of this determination in accordance with FAR 30.605(b)(3)(iii);</li> <li>3. make a determination of materiality in accordance with the requirements of FAR 30.605(b)(4);</li> <li>4. in making the decision on materiality as required by FAR 30.605(b)(4), abide by the decision of the United States Court of Appeals for the Federal Circuit and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts;</li> <li>5. follow the procedures in paragraphs (c) through (h) of FAR 30.605 to correct the noncompliant cost accounting practice;</li> <li>6. when evaluating a general dollar magnitude proposal (FAR 30.605(d)) or a detailed cost impact proposal (FAR 30.605(f)), abide by the decision of the Court and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts;</li> <li>7. obtain a legal counsel opinion regarding the applicability, if any, of the requirement in the Contracts Disputes Act that the government submit a claim to the contractor within 6 years after the accrual of the claim and how this may impact the U.S. Government's ability to recover any increased costs paid since 2005; and</li> <li>8. provide semiannual updates to the DoD OIG Assistant Inspector General, Audit Policy &amp; Oversight, until all recommendations have been implemented.*</li> </ol>	<p>7 years 10 months 1 day</p>

\* The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

## CHAPTER 3

***F-35 Joint Program Office***

**Report No. DODIG-2013-031, “Audit of the F-35 Lightning II Autonomic Logistics Information Systems (ALIS),” December 10, 2012 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B:</b> The DoD OIG recommended that the Joint Program Office modify the contract to include security architecture tests for all the systems that affect Autonomic Logistics Information System and any system that processes or maintains government data.	9 years 3 months 21 days
<b>Rec. D.1:</b> The DoD OIG recommended that the F-35 Lightning II Joint Program Office include the Program Security Officer, U.S. Air Force Office of Special Investigations, Air Force and Navy certifying officials in the approval process to ensure special access program requirements are taken into consideration as early as possible.	9 years 3 months 21 days
<b>Rec. D.3:</b> The DoD OIG recommended that the F-35 Lightning II Joint Program Office develop and maintain a listing of all foreign developed software, as well as all documentation of third party testing approvals.	9 years 3 months 21 days
<b>Rec. D.4:</b> The DoD OIG recommended that the F-35 Lightning II Joint Program Office implement and require the use of either the Navy developed checklist or a comparable checklist for software approval.	9 years 3 months 21 days
<b>Rec. D.5:</b> The DoD OIG recommended that the F-35 Lightning II Joint Program Office test the Autonomic Logistics Information System in realistic, deployable settings.	9 years 3 months 21 days

**Report No. DODIG-2015-111, “F-35 Engine Quality Assurance Inspection,” April 27, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the F-35 Joint Program Office ensure that critical safety item contractual requirements and Pratt & Whitney’s critical safety item program processes and specifications meet the intent of the Joint Critical Safety Item Instruction and supplemental guidance of the Joint Aeronautical Commanders Group Aviation Critical Safety Item Management Handbook.	6 years 11 months 4 days

## CHAPTER 3

**Armed Forces Retirement Home****Report No. DODIG-2014-093, "Inspection of the Armed Forces Retirement Home," July 23, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 53.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, under the authority given to the Secretary of Defense in section 411(d)(3), title 24, United States Code, issue a directive-type memorandum for immediate action (followed by a revision of Department of Defense Instruction 1000.28, "Armed Forces Retirement Home," February 1, 2010) to codify the results from Recommendation 53.a.*	7 years 8 months 8 days

\* Responsibility for implementing Recommendation 53.b was transferred from DoD CMO to AFRH.

**Classified****Report No. DODIG-2015-057, "Audit of a Classified Program," December 19, 2014 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.1:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.2.2:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.2.3:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.2.4:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.2.5:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.3.1:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.3.2:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.3.3:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.3.4:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.1:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.2:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.3:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.4:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.5:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.6:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.7:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.8:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.9:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. A.4.10:</b> Recommendation is Classified.	7 years 3 months 12 days

## CHAPTER 3

**Classified – Report No. DODIG-2015-057 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.4.11:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.1:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.2:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.3:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.4:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.5:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.6:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.7:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.8:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.9:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.10:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.11:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.12:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.13:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.14:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.2:</b> Recommendation is Classified.	7 years 3 months 12 days

**Report No. DODIG-2015-065, “Evaluation of the Defense Sensitive Support Program,” January 5, 2015  
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.1.b:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.2.a:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.2.b:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.2.c:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. B.1.a:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. B.1.b:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. B.1.c:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. B.2:</b> Recommendation is Classified.	7 years 2 months 26 days



## CHAPTER 3

**Classified** – Report No. DODIG-2015-065 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.1.a:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.1.b:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.2.b.1:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.2.b.2:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.2.b.3:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.2.b.4:</b> Recommendation is Classified.	7 years 2 months 26 days





## CHAPTER 4

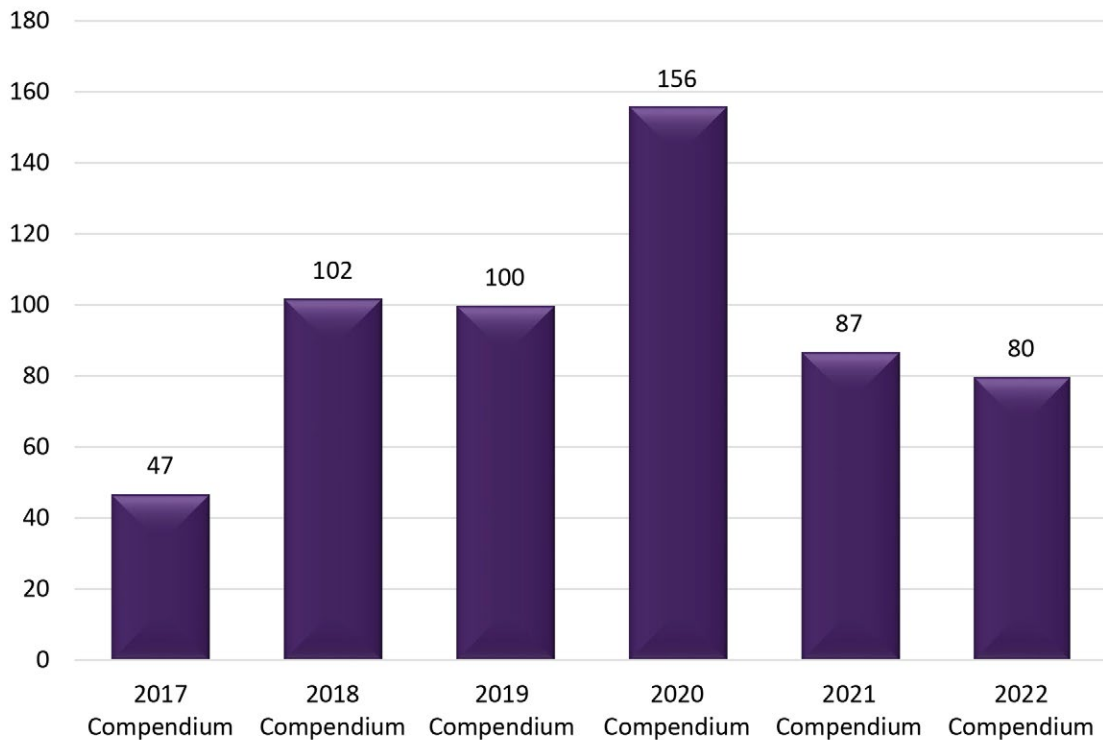
### Reports With Unresolved Recommendations

Open recommendations can be either resolved or unresolved. Resolved recommendations are those that DoD management has agreed to implement but for which it has not yet completed the agreed-upon actions. DoD OIG recommendations remain unresolved when DoD management either disagrees with the recommendation and does not propose an alternative corrective action that addresses the reported finding, or does not adequately explain in its response to a DoD OIG draft report the actions it plans to take to implement the recommendation.

Although the number of unresolved recommendations is 70 percent higher than the number of unresolved recommendations reported in the first Compendium in 2017, the DoD has sustained improvement in this area over the past 2 years. Since unresolved recommendations peaked at 156 in the 2020 Compendium, the number of unresolved recommendations has decreased by 49 percent. Last year's Compendium included 87 unresolved recommendations as of March 31, 2021. This year the number decreased by 8 percent, to 80 unresolved recommendations. See Figure 11 for the number of unresolved recommendations reported in each Compendium since 2017.

## CHAPTER 4

*Figure 11. Number of Unresolved Recommendations Reported in Compendiums by Year*



Source: The DoD OIG.

When DoD management disagrees with a recommendation, the DoD OIG and DoD management enter a resolution process in an attempt to reach agreement on the recommendation. During the resolution process, the unresolved recommendations may be elevated through DoD OIG and DoD management. If agreement is not reached on a recommendation, the DoD OIG may elevate the unresolved recommendation to the Deputy Secretary of Defense who has final decision making authority on whether to implement a recommendation. As the final decision making authority on recommendations, the Deputy Secretary of Defense can either direct the responsible Component to take a specific action or inform the DoD OIG that the DoD considers the recommendation closed. When applicable, the Deputy Secretary of Defense will explain why no further action will be taken to implement the recommendation.

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In addition to DoD management disagreeing with a recommendation, a recommendation may be unresolved because: (1) management does not provide comments on the draft or final report addressing the report's recommendations, (2) management does not agree with or comment on potential monetary benefits (PMBs), or (3) management's response to the recommendation does not adequately explain what actions it plans to take to implement the recommendation. For example, DoD management provides a response that is vague or noncommittal with regard to the DoD OIG recommendation (such as management saying that it will "consider" implementing corrective actions), or the response does not address the DoD OIG recommendation or propose an alternative corrective action that addresses the reported finding. In such cases, the DoD OIG requests that DoD management clarify or provide additional comments on the final report that address the corrective actions that have been taken or are planned to be taken to implement the recommendation.

Although there are various reasons why the status of a recommendation is unresolved, communication is critical to avoiding unnecessary delays in resolving the recommendation. Prior to issuance of the final report, the assigned DoD OIG project team should communicate all identified issues to the client for discussion. Through frequent, positive, and constructive interactions the DoD OIG and DoD management can be successful in attaining resolution of the recommendation and its closure. For example, in Report No. DODIG-2019-108, "Audit of the DoD's Management of the Third Party Collection Program for Medical Claims," September 16, 2019, the DoD OIG recommended that the DHA review all medical facilities in the Military Health System to determine which medical facilities are not collecting other health insurance information at all clinics in accordance with Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to enforce existing other health insurance collection regulations, and as appropriate, take administrative action for noncompliance. In addition, the DoD OIG recommended that the DHA Director coordinate with medical facility commanders to implement procedures to ensure claims are accurate before submission to the insurance provider and coordinate with medical facility commanders to develop a course of action and enforce existing DHA requirements that Uniform Business Office personnel review previous patient encounters for potentially billable events when new other health insurance is identified for a

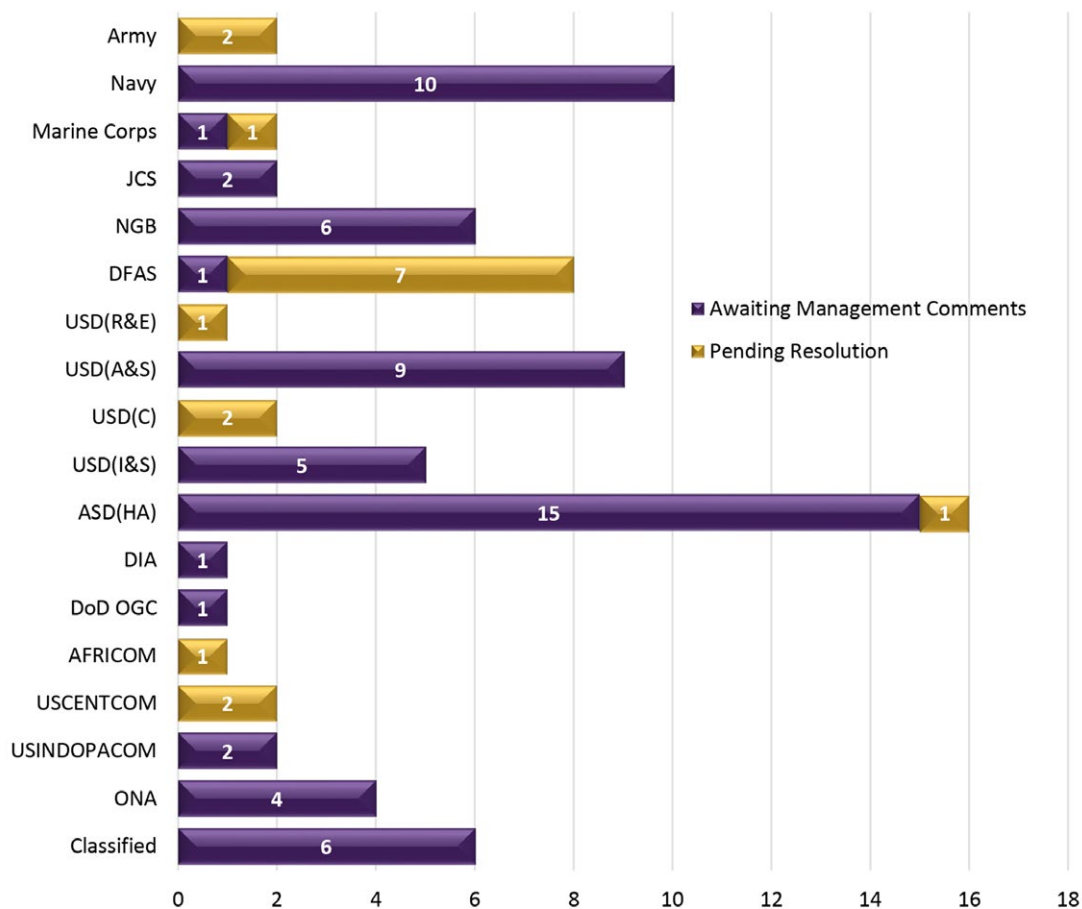
## CHAPTER 4

beneficiary. Further, the DoD OIG recommended the DHA Director review and verify, at least annually, that billing personnel at all medical facilities in the Military Health System are meeting the Defense Health Agency Procedures Manual 6015.01 and the DoD Financial Management Regulation Volume 16, Chapter 2, requirements for following up on delinquent debt. Although required to comment on the report and recommendations, the DHA Director did not originally provide management comments to the 16 recommendations made to the DHA. Since report issuance in 2019, the DHA has adequately responded to resolve 12 of the 16 original recommendations. However, in January 2022, the DHA assumed responsibility for unresolved recommendations made to Service components, which resulted in two additional unresolved recommendations requiring DHA comments, totaling six unresolved recommendations addressed to the DHA Director.

In an attempt to resolve the recommendations, the DoD OIG has been meeting with the DHA periodically to discuss the unresolved recommendations and DHA planned actions. The meetings have been beneficial, as DHA personnel continue to ask questions about the internal control weaknesses identified during the audit to ensure improvement as the DHA establishes new policy and processes. The DHA estimated that it would have a plan of action developed to attempt to resolve the six unresolved recommendations by August 2022.

As of March 31, 2022, there were 80 unresolved recommendations. For 63 of the 80 unresolved recommendations (79 percent), DoD management has either not provided a response or the response provided did not fully address the recommendation. DoD Components could greatly reduce the number of unresolved recommendations by providing specific responses to their recommendations or proposing alternative actions that they suggest to address the associated findings. DoD management disagreed with the other 17 recommendations (21 percent), and the DoD OIG is working with the DoD Components to resolve these issues. See Figure 12 for a breakdown of the 80 unresolved recommendations awaiting management comments and resolution for each DoD Component. The Assistant Secretary of Defense for Health Affairs accounted for 20 percent of the unresolved recommendations.

Figure 12. Unresolved Recommendations by DoD Component

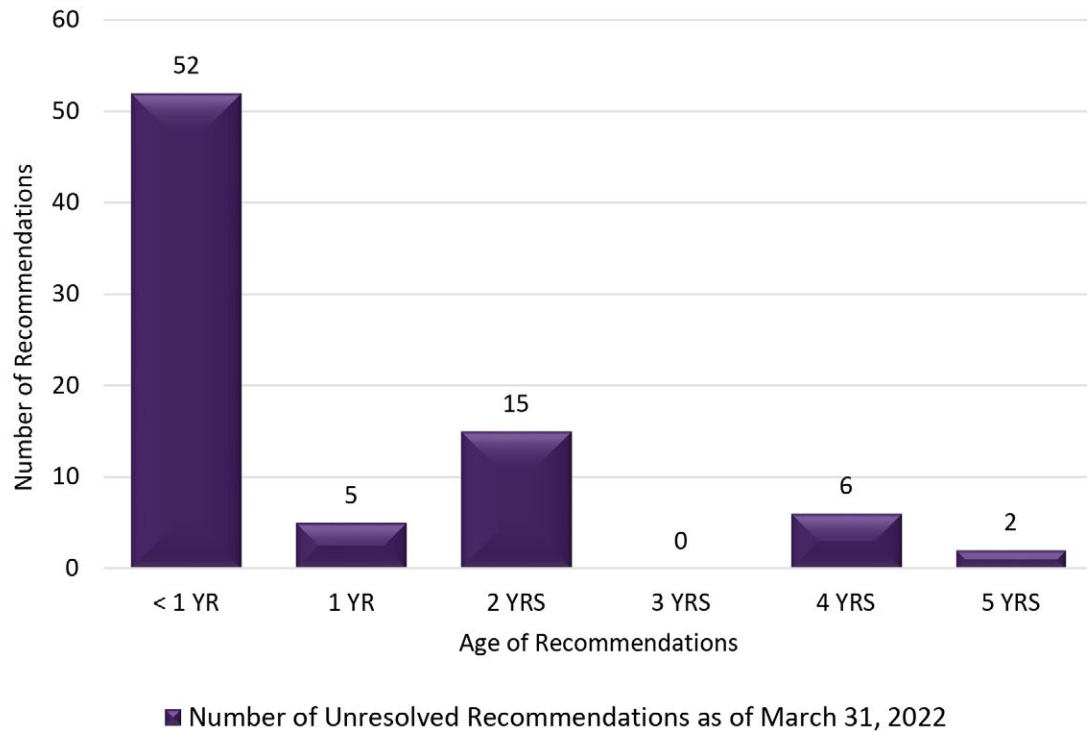


Source: The DoD OIG.

The Office of Management and Budget (OMB) Circular No. A-50, “Audit Followup,” Revised, September 29, 1982, requires agency management officials and auditors to establish systems to assure the prompt and proper resolution and implementation of audit recommendations. The Circular also requires that resolution be made within a maximum of 6 months after a final report is issued. The preponderance of unresolved recommendations are generally from newly issued final reports. For the 2022 Compendium, the majority of unresolved recommendations, 52 of the 80 (65 percent), were unresolved for less than 1 year, 37 of which have been unresolved for less than 6 months. For the remaining 2022 unresolved recommendations, 29 of the 80 (29 percent) have remained unresolved for more than 2 years after the recommendations were made. See Figure 13 for the age of the 80 unresolved recommendations.

## CHAPTER 4

*Figure 13. Number and Age of Unresolved Recommendations as of March 31, 2022*



Source: The DoD OIG.

While the DoD continues to show improvement in decreasing the number of unresolved recommendations since the issuance of last year's Compendium, DoD Components could continue to reduce the number of unresolved recommendations by either being more specific in their responses to the recommendations or proposing alternative actions to address the associated findings. We believe the DoD should focus on recommendations that have been unresolved for longer than a year and, moving forward, work toward resolving recommendations within 6 months, as required by OMB Circular No. A-50.

## Tables. Reports With Unresolved Recommendations

The tables below list 80 unresolved recommendations that remain open. Reasons for unresolved status include management responses that:

- did not fully address the intent of the recommendations (Type of Action: Management Comments), or
- did not agree to implement the recommendations; therefore, the recommendations are in the resolution process (Type of Action: Resolution).

The tables do not include the recommendation text for 26 unresolved classified recommendations. This information is provided in Appendixes B, C, and D.

### Department of the Army

**Report No. DODIG-2021-094, “Audit of Department of Defense Joint Bases,” June 18, 2021**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 5:</b> Based on the results of actions taken by the Assistant Secretary of Defense for Sustainment to address Recommendation 4.d, the DoD OIG recommended that the Joint Base Commander, Joint Base Lewis-McChord, work with the Joint Base Management Oversight Structure to determine whether the Joint Base Partnership Council should submit a policy variance request to the Joint Base Management Oversight Structure to permit the dual-hatting of the deputy commander position.	Resolution	9 months 13 days

**Report No. DODIG-2022-013, “Evaluation of Department of Defense Compliance at Sites Conducting Open Burning or Open Detonation of Waste Military Munitions in the United States,” November 4, 2021**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Commander of Joint Munitions Command, nominate contracting officer representatives to provide oversight of contractor open burning at the Government owned, contractor operated facilities.	Resolution	4 months 27 days



## CHAPTER 4

**Department of the Navy**

Report No. DODIG-2020-066, "Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems," March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
Rec. A.3.a.1: Recommendation is Classified.	Management Comments	2 years 29 days
Rec. A.3.a.2: Recommendation is Classified.	Management Comments	2 years 29 days
Rec. A.3.a.3: Recommendation is Classified.	Management Comments	2 years 29 days
Rec. A.3.b: Recommendation is Classified.	Management Comments	2 years 29 days

Report No. DODIG-2020-106, "Evaluation of Security Controls for Intelligence, Surveillance, and Reconnaissance Supply Chains," July 22, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
Rec. 2: Recommendation is Classified.	Management Comments	1 year 8 months 9 days

Report No. DODIG-2022-077, "Evaluation of Integrated Undersea Surveillance System Capabilities," March 28, 2022 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
Rec. 1.a: Recommendation is Classified	Management Comments	3 days
Rec. 1.b: Recommendation is Classified.	Management Comments	3 days
Rec. 2: Recommendation is Classified.	Management Comments	3 days
Rec. 3: Recommendation is Classified.	Management Comments	3 days
Rec. 4: Recommendation is Classified.	Management Comments	3 days

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**U.S. Marine Corps**

**Report No. DODIG-2021-090, "Audit of Munitions Storage in the U.S. European Command," June 10, 2021 (Full Report is Classified)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. A.8:</b> The DoD OIG recommended that the Commanders of U.S. Special Operations Command Europe, U.S. Special Operations Command Africa, and U.S. Marine Corps Forces Europe provide all units in the U.S. European Command area of responsibility with access to the applicable accountable property systems of record. In addition, the Commanders should enforce the requirements that units record all munitions inventory in a timely manner in the systems of record; conduct 100 percent semiannual inventories; and prescribe more frequent inventories for units with known deficiencies, including the units identified in this report.	Resolution	9 months 21 days

**Report No. DODIG-2022-071, "Audit of Active Duty Service Member Alcohol Misuse Screening and Treatment," March 10, 2022**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. B.5:</b> The DoD OIG recommended that the Commandant of the Marine Corps update Marine Corps Order 5300.17A, "Marine Corps Substance Abuse Program," June 25, 2018, to require training components to review annually a sample of Service members to determine whether Service members have received their required substance use training.	Management Comments	21 days

**Joint Chiefs of Staff**

**Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 2:</b> The DoD OIG recommended that the [REDACTED]	Management Comments	2 years 3 months 1 day
(CUI)		

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*Joint Chiefs of Staff – Report No. DODIG-2020-045 (cont'd)*

(CUI)	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
(CUI)	Rec. 3: The DoD OIG recommended that the [REDACTED]	Management Comments	2 years 3 months 1 day
(CUI)			

*Under Secretary of Defense for Acquisition and Sustainment*

Report No. DODIG-2020-106, "Evaluation of Security Controls for Intelligence, Surveillance, and Reconnaissance Supply Chains," July 22, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
Rec. 1: Recommendation is Classified.	Management Comments	1 year 8 months 9 days

Report No. DODIG-2021-126, "Evaluation of the Department of Defense's Mitigation of Foreign Suppliers in the Pharmaceutical Supply Chain," September 20, 2021 (Full Report is CUI)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment coordinate with the U.S. Department of Health and Human Services to communicate the importance of the Food and Drug Administration implementing the recommendation outlined in the 100-Day Reviews under Executive Order 14017 to "Seek Additional Authority Through Which FDA Can Collect Additional Data and Take Action to Improve Surveillance, Oversight, and Resilience of the Supply Chain."	Management Comments	6 months 11 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment pursue Federal legislation requiring pharmaceutical manufacturers to include active pharmaceutical ingredients and final drug product country of origin information of the pharmaceuticals' lot on the pharmaceuticals' packaging through modification of the Drug Quality and Security Act, Public Law 113-54 §582(b)(2)(A), or through development or modification of other statutes.	Management Comments	6 months 11 days

## CHAPTER 4

***Under Secretary of Defense for Acquisition and Sustainment (cont'd)***

**Report No. DODIG-2022-004, "Evaluation of the Department of Defense's Implementation of Oversight Provisions of Privatized Military Housing," October 21, 2021**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Housing, in coordination with the Military Departments, develop a plan of action and milestones for issuing comprehensive implementation guidance for the remaining Fiscal Year 2020 National Defense Authorization Act Military Housing Privatization Initiative oversight provisions. In addition, in coordination with the Military Departments, develop a comprehensive implementation proposal for presentation to the landlords.	Management Comments	5 months 10 days

**Report No. DODIG-2022-007, "Management Advisory Regarding Proposed Changes to the Concept of Operations for the Space Based Infrared System SBIRS Survivable and Endurable Evolution (S2E2) System," November 1, 2021 (Full Report is Classified)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> Recommendation is Classified.	Management Comments	4 months 30 days

**Report No. DODIG-2022-069, "Audit of Department of Defense Small Business Subcontracting Requirements," March 7, 2022**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. A.1.a.1:</b> The DoD OIG recommended that the Director of the DoD Office of Small Business Programs, in coordination with Defense Pricing and Contracting issue supplemental guidance to increase contracting personnel understanding of Federal Acquisition Regulation requirements related to determining small business prime contractor compliance with subcontracting limitations. The guidance should include, at a minimum, contracting personnel responsibilities for identifying subcontractors, monitoring the amounts small business prime contractors pay to subcontractors, and determining prime contractor compliance with limitations. In addition, guidance should require maintaining a list of subcontractors and any documentation to support compliance in the official contract file, in order to assist in transferring subcontractor information when a contract is assigned from one contracting officer to another. Furthermore, the guidance should require contracting personnel to report instances of non-compliance with subcontracting limitations in the contractor's performance information.	Management Comments	24 days

## CHAPTER 4

***Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2022-069 (cont'd)***

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. A.1.a.2:</b> The DoD OIG recommended that the Director of the DoD Office of Small Business Programs, in coordination with Defense Pricing and Contracting issue supplemental guidance to implement procedures for DoD contracting personnel to pursue the collection of penalties from any contractor that does not comply with subcontracting limitations. The guidance should address procedures for documenting contractor non-compliance with the limitations, calculating the applicable penalty, and initiating collection action.	Management Comments	24 days
<b>Rec. A.1.a.3:</b> The DoD OIG recommended that the Director of the DoD Office of Small Business Programs, in coordination with Defense Pricing and Contracting issue supplemental guidance to increase contracting personnel understanding of the Federal Acquisition Regulation requirements related to ensuring all prime contractors, including small businesses, comply with first-tier subcontract award reporting requirements. The guidance should also address requirements for contracting personnel to review first-tier subcontract award information on a quarterly basis and include any prime contractor's non-compliance with the reporting requirements in the contractor's performance information.	Management Comments	24 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Director of the DoD Office of Small Business Programs, in coordination with Defense Pricing and Contracting direct all DoD contracting personnel who monitor contract performance and are responsible for ensuring compliance with subcontracting requirements, including contracting officers and contracting officer's representatives, to take Defense Acquisition University (DAU) course CLC 059, "Management of Subcontracting Compliance." The course should be required for existing personnel and new personnel assigned to a role that involves monitoring compliance with contract terms and conditions. Refresher training should also be offered and conducted as needed.	Management Comments	24 days

***Under Secretary of Defense for Research and Engineering***

**Report No. DODIG-2022-061, "Audit of the Protection of Military Research Information and Technologies Developed by Department of Defense Academic and Research Contractors," February 22, 2022**  
**(Full Report is CUI//NOFORN)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 5.b:</b> The DoD OIG recommended that the Director of Defense Research and Engineering for Research and Technology direct contracting officers to verify that Contractor I identifies and mitigates vulnerabilities and develops plans of action and milestones for vulnerabilities that cannot be mitigated in a timely manner; encrypts controlled unclassified information stored on workstations; and implements technical security controls to protect controlled unclassified information stored on removable media.	Resolution	1 month 9 days

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***Under Secretary of Defense (Comptroller)*****Report No. DODIG-2021-095, "Audit of Accounting Corrections on the SF 1081," June 25, 2021**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. B.4.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Director of the Defense Finance and Accounting Service and the DoD Components to ensure that certifying officers are appointed on a DD Form 577 that explains the types of transactions in each system that the certifying officer is authorized to approve.	Resolution	9 months 6 days
<b>Rec. B.5:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, identify and direct the appropriate official to conduct an analysis of the various cost components that the Defense Finance and Accounting Service uses to compute the rate it charges DoD customers for its services. From this analysis, identify and implement improvements to the Defense Finance and Accounting Service operations to reduce the DoD's cost of accounting and financial management operations.	Resolution	9 months 6 days

***Under Secretary of Defense for Intelligence and Security*****Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence," October 15, 2015**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence.*	Management Comments	6 years 5 months 16 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures.*	Management Comments	6 years 5 months 16 days

\* Responsibility for implementing Recommendations 2.a and 2.b was transferred from USD(P&amp;R) to USD(I&amp;S).

**Report No. DODIG-2020-106, "Evaluation of Security Controls for Intelligence, Surveillance, and Reconnaissance Supply Chains," July 22, 2020 (Full Report is Classified)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> Recommendation is Classified.	Management Comments	1 year 8 months 9 days

## CHAPTER 4

***Under Secretary of Defense for Intelligence and Security – Report No. DODIG-2020-106 (cont'd)***

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> Recommendation is Classified.	Management Comments	1 year 8 months 9 days

**Report No. DODIG-2021-135, “Management Advisory Regarding the Continued Use of Unauthorized “For Official Use Only” Markings and the Ineffective Implementation of the Controlled Unclassified Information Program,” September 23, 2021**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence and Security develop and implement an action plan, with milestones, to oversee CUI training within the DoD and the effective implementation of the DoD CUI Program by all DoD Components.	Management Comments	6 months 8 days

***National Guard Bureau*****Report No. DODIG-2022-074, “Audit of Entitlements for Activated Army National Guard and Air National Guard Members Supporting the Coronavirus Disease–2019 Mission,” March 30, 2022**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to require the Army National Guard and Air National Guard to complete a review of proof of residency documentation when the member's primary residency is established or changed for the Basic Allowance for Housing entitlement to ensure consistency throughout all Army National Guard and Air National Guard units and organizations within every state, territory, and the District. The policies and procedures should also include requirements that: document a member's primary residence address; require members to provide proof of their primary residence address; certify the primary address of members and review supporting documentation; and provide oversight to ensure primary residence address information is complete and accurate.	Management Comments	1 day

## CHAPTER 4

**National Guard Bureau – Report No. DODIG-2022-074 (cont'd)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<p><b>Rec. 2.b:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to outline the process and frequency of recertification for Basic Allowance for Housing entitlement that Army National Guard and Air National Guard officials will use to verify and fully document the dependency status of members to provide clarification to the DoD Financial Management Regulation, volume 7A, chapter 26. These procedures should include:</p> <ul style="list-style-type: none"> <li>• how recertifications will be completed;</li> <li>• which members will complete a recertification; and</li> <li>• how Army National Guard and Air National Guard officials will provide oversight to ensure that information is complete and accurate.</li> </ul>	Management Comments	1 day
<p><b>Rec. 2.c:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to require Army National Guard and Air National Guard officials to review and document the status of a military member married to another military member regardless of which Military Service, Reserve or Active Component, or Army National Guard or Air National Guard unit the member's spouse belongs to, and identify which member will claim any applicable dependents.</p>	Management Comments	1 day
<p><b>Rec. 2.d:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to specify the methods for confirming eligibility and paying Family Separation Allowance for Army National Guard and Air National Guard members, in accordance with the DoD Financial Management Regulation, volume 7A, chapter 27, and include the:</p> <ul style="list-style-type: none"> <li>• timeliness of payments;</li> <li>• determination of Family Separation Allowance eligibility for back to back orders;</li> <li>• members assigned to their normal duty locations; and</li> <li>• requirement to track members to know when they return to their primary residence.</li> </ul>	Management Comments	1 day
<p><b>Rec. 2.e:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to establish formal dissemination and communication procedures for National Guard Bureau policies related to entitlements provided to the Army National Guard and Air National Guard, including the policies in the preceding recommendations. The procedures should require:</p> <ul style="list-style-type: none"> <li>• creation of a central location where policies and procedures will be kept for easy access by all states, territories, and the District; and</li> <li>• confirmation of receipt from all of the states, territories, and the District when procedures are communicated or obtained.</li> </ul>	Management Comments	1 day



## CHAPTER 4

**National Guard Bureau – Report No. DODIG-2022-074 (cont'd)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement additional internal control procedures for the review of transactions manually submitted by the Army National Guard and Air National Guard to the payment system prior to payment to ensure the completeness and accuracy of transactions.	Management Comments	1 day

**U.S. Indo-Pacific Command**

**Report No. DODIG-2022-076, “Evaluation of Combatant Commands Communication Challenges with Foreign Partner Nations during Coronavirus Disease-2019 Pandemic and Mitigation Efforts,” March 28, 2022 (Full Report is Classified)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<p><b>Rec. 3.a:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command issue command-level guidance clarifying the use of non-DoD-controlled electronic messaging systems. This guidance should include:</p> <ul style="list-style-type: none"> <li>i. any area of responsibility-specific conditions that permit personnel to use non-DoD-controlled messaging systems;</li> <li>ii. what information can be shared over the electronic messaging system;</li> <li>iii. how personnel are to maintain records generated on non-DoD systems in accordance with records management regulations;</li> <li>iv. how to report any security violations or misuse of a system;</li> <li>v. a process to ensure that any use of non-DoD-controlled electronic messaging systems meets the exception criteria in DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021;</li> <li>vi. additional training criteria for personnel that addresses the risks of using non-DoD electronic messaging systems, violating operations security regulations, and consequences of noncompliance.</li> </ul>	Management Comments	3 days
<p><b>Rec. 3.b:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command establish risk assessment procedures to evaluate and monitor combatant command use of current and emerging information technologies to identify opportunities for use and to assess risks in accordance with DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021.</p>	Management Comments	3 days

## CHAPTER 4

**U.S. Central Command**

**Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. D.2:</b> The DoD OIG recommended that the Commander of U.S. Central Command create designated Combating Trafficking in Person Inspection Teams and personnel billets to provide oversight of DoD contracts in countries for which it has command responsibility and that have potential trafficking in persons problems.*	Resolution	2 years 9 months 20 days

\* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

**Report No. DODIG-2022-038, "Evaluation of U.S. Central Command and U.S. Special Operations Command Implementation of the Administrative Requirements Related to the Department of Defense's Law of War Policies," November 16, 2021 (Full Report is CUI)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of U.S. Central Command develop procedures to execute and document a periodic review of component command staff and subordinate joint command training programs to ensure they are consistent with the DoD law of war program.	Resolution	4 months 15 days

**U.S. Africa Command**

**Report No. DODIG-2020-077, "Evaluation of Niger Air Base 201 Military Construction," March 31, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Commander of U.S. Africa Command establish a coordination and decision-making process with key stakeholders for troop labor construction projects, including a forum to directly communicate with the military construction program manager, designer of record, construction provider, and base support integrator, as applicable.	Resolution	2 years

## CHAPTER 4

***DoD Office of the General Counsel***

Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait,"  
June 11, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. C.4:</b> The DoD OIG recommended that the General Counsel of the Department of Defense coordinate with the DoD organizations responsible for overseeing contracting in Kuwait, such as U.S. Central Command, U.S. Army Contracting Command-Rock Island, Deputy Assistant Secretary (Contracting), and Army and Air Force Exchange Service, to determine the appropriate DoD organization responsible for determining definitive guidance on Kuwaiti labor laws that apply to DoD contracts with performance in Kuwait, work to resolve disagreements among the organizations, and advise the Secretary of Defense and the Deputy Secretary of Defense on a comprehensive solution.*	Management Comments	2 years 9 months 20 days

\* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

***Director of the Office of Net Assessment***

Report No. DODIG-2022-075, "Evaluation of the Office of Net Assessment," March 25, 2022  
(Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> Recommendation is Classified.	Management Comments	6 days
<b>Rec. 1.b:</b> Recommendation is Classified.	Management Comments	6 days
<b>Rec. 1.c:</b> Recommendation is Classified.	Management Comments	6 days
<b>Rec. 1.e:</b> Recommendation is Classified.	Management Comments	6 days

## CHAPTER 4

*Assistant Secretary of Defense for Health Affairs*

Report No. DODIG-2019-108, "Audit of the DoD's Management of the Third Party Collection Program for Medical Claims," September 16, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 1.a.1:</b> The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not collecting other health insurance information at all clinics in accordance with Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to enforce existing other health insurance collection regulations, and as appropriate, take administrative action for noncompliance.	Management Comments	2 years 6 months 15 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Director of the Defense Health Agency coordinate with medical facility commanders to implement procedures to ensure claims are accurate before submission to the insurance provider.	Management Comments	2 years 6 months 15 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the Director of the Defense Health Agency coordinate with medical facility commanders to develop a course of action and enforce existing Defense Health Agency requirements that Uniform Business Office personnel review previous patient encounters for potentially billable events when new other health insurance is identified for a beneficiary.	Management Comments	2 years 6 months 15 days
<b>Rec. 1.i:</b> The DoD OIG recommended that the Director of the Defense Health Agency review and verify, at least annually, that billing personnel at all medical facilities in the Military Health System are meeting the Defense Health Agency Procedures Manual 6015.01 and the DoD Financial Management Regulation Volume 16, Chapter 2, requirements for following up on delinquent debt.	Management Comments	2 years 6 months 15 days
<b>Rec. 9.b:</b> The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	Management Comments	2 years 6 months 15 days
<b>Rec. 9.d:</b> The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital provide sufficient legal support to pursue collections through the Third Party Collection Program.	Management Comments	2 years 6 months 15 days

## CHAPTER 4

*Assistant Secretary of Defense for Health Affairs (cont'd)*

**Report No. DODIG-2022-030, "Evaluation of the Department of Defense's Implementation of Suicide Prevention Resources for Transitioning Uniformed Service Members," November 9, 2021**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Health Affairs, in coordination with the Director of the Defense Health Agency and the Service Surgeons General, establish consistent policies and procedures to manage suicide risk screening and referral as part of the medical process for transitioning Service members. At a minimum, the policies and procedures should designate an organization to have responsibility for the clinical implementation of the policy.	Management Comments	4 months 22 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Health Affairs, in coordination with the Director of the Defense Health Agency and the Service Surgeons General, establish consistent policies and procedures to manage suicide risk screening and referral as part of the medical process for transitioning Service members. At a minimum, the policies and procedures should designate an organization to have oversight responsibility for mental health assessment processes for transitioning Service members.	Management Comments	4 months 22 days

**Report No. DODIG-2022-047, "Audit of TRICARE Telehealth Payments," February 3, 2022**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Director of the Defense Health Agency establish controls that prevent payment of originating site fee claims when the originating site and distant site provider are the same.	Management Comments	1 month 28 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Director of the Defense Health Agency establish controls that prevent payment of improperly coded telehealth claims.	Management Comments	1 month 28 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Director of the Defense Health Agency establish controls that require both patient and provider location for telehealth claims.	Management Comments	1 month 28 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Director of the Defense Health Agency review FY 2020 telehealth claims payments to recover improperly paid claims.	Management Comments	1 month 28 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Director of the Defense Health Agency establish controls that prevent payment of services inappropriate for telehealth delivery.	Management Comments	1 month 28 days

## CHAPTER 4

### *Assistant Secretary of Defense for Health Affairs (cont'd)*

**Report No. DODIG-2022-058, "Audit of DoD Implementation of the DoD Coronavirus Disease–2019 Vaccine Distribution Plan," February 1, 2022**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<p><b>Rec. 1:</b> The DoD OIG recommended that the Defense Health Agency Director, with input from the Military Departments, the National Guard Bureau, and other stakeholders, review challenges and difficulties encountered during the distribution and administration of the coronavirus disease–2019 vaccine, compile a report detailing the issues, and determine if corrective actions are necessary to support future pandemic response planning. At a minimum, the review should include the following challenges and difficulties:</p> <ul style="list-style-type: none"> <li>• Determining the vaccine-eligible population at each military treatment facility;</li> <li>• Reporting vaccine administration data;</li> <li>• Communicating vaccination tier eligibility;</li> <li>• Coordinating tier movement between military treatment facilities;</li> <li>• Allocating vaccine to overseas locations; and</li> <li>• Vaccinating local nationals who work alongside U.S. personnel at overseas locations.</li> </ul>	Resolution	1 month 30 days

**Report No. DODIG-2022-071, "Audit of Active Duty Service Member Alcohol Misuse Screening and Treatment," March 10, 2022**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<p><b>Rec. A.2:</b> The DoD OIG recommended that the Defense Health Agency Director require a standardized mechanism that will track when Service members are due for their annual Alcohol Use Disorder Identification Test–Consumption screenings, until this capability is implemented in Military Health System GENESIS and fully deployed to all medical treatment facilities.</p>	Management Comments	21 days
<p><b>Rec. B.2:</b> The DoD OIG recommended that the Defense Health Agency Director require substance abuse centers to implement a standardized tracking mechanism in the electronic health care record to track the progress of a Service member's substance use treatment, including uploading referrals, documenting delays in intake assessments and treatment, and transferring treatment when Service members have a permanent change of station.</p>	Management Comments	21 days

## CHAPTER 4

*Defense Intelligence Agency*

Report No. DODIG-2020-106, "Evaluation of Security Controls for Intelligence, Surveillance, and Reconnaissance Supply Chains," July 22, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. 4:</b> Recommendation is Classified.	Management Comments	1 year 8 months 9 days

*Defense Finance and Accounting Service*

Report No. DODIG-2021-095, "Audit of Accounting Corrections on the SF 1081," June 25, 2021

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. A.2.a:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service design and implement internal controls in the Defense Finance and Accounting Service Transaction Interface Module to ensure that transactions are created, stored, and cataloged in a complete and accurate manner. The Director should document the controls in standard operating procedures, process narratives, and process maps.	Resolution	9 months 6 days
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service develop and implement functional area training to ensure that staff can correctly extract data from the Standard Contract Reconciliation Tool for management and other stakeholders.	Resolution	9 months 6 days
<b>Rec. B.2.a:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service perform a comprehensive review of the Defense Finance and Accounting Service commercial pay processes to identify procedures that rely on manual inputs and implement automated solutions, when feasible.	Resolution	9 months 6 days
<b>Rec. B.2.b:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service develop, implement, and document compensating and preventative internal controls, when automated solutions are not feasible, to ensure that errors are identified before the transactions are processed.	Resolution	9 months 6 days
<b>Rec. B.2.c:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service implement procedures to inform DoD Component management when the Component is charged for Defense Finance and Accounting Service errors.	Resolution	9 months 6 days
<b>Rec. B.2.f:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service identify other processes or systems that may be using suspense accounts to record known transactions and implement corrective actions, to include updating applicable process documentation, as appropriate.	Resolution	9 months 6 days

## CHAPTER 4

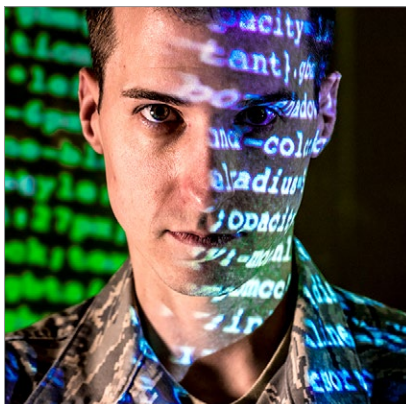
**Defense Finance and Accounting Service – Report No. DODIG-2021-095 (cont'd)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. B.2.g :</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service revise the Mechanization of Contract Administration Services Accounts Receivable Posting Collections standard operating procedures to include: <ol style="list-style-type: none"> <li>1. Steps to verify that the vendor refund is accurate before processing an SF 1081 transaction.</li> <li>2. Steps to coordinate the refund with the DoD Component responsible for the contract.</li> <li>3. A process map that depicts the updated collection process.</li> </ol>	Resolution	9 months 6 days
<b>Rec. B.2.h:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service, once the revisions in Recommendation B.2.g are implemented, review and sign the updated "Mechanization of Contract Administration Services Accounts Receivable Posting Collections" standard operating procedures. Defense Finance and Accounting Service leadership should review the standard operating procedures annually.	Management Comments	9 months 6 days

**Classified****Report No. DODIG-2018-037, "Evaluation of the Long Range Strike-Bomber Program Security Controls," December 1, 2017 (Full Report is Classified)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> Recommendation is Classified.	Management Comments	4 years 3 months 30 days
<b>Rec. A.1.b:</b> Recommendation is Classified.	Management Comments	4 years 3 months 30 days
<b>Rec. A.2.a:</b> Recommendation is Classified.	Management Comments	4 years 3 months 30 days
<b>Rec. A.2.b:</b> Recommendation is Classified.	Management Comments	4 years 3 months 30 days
<b>Rec. B.1.d:</b> Recommendation is Classified.	Management Comments	4 years 3 months 30 days
<b>Rec. B.1.f:</b> Recommendation is Classified.	Management Comments	4 years 3 months 30 days





## CHAPTER 5

### Recommendations Made in Financial Statement Audits

The Compendium traditionally reports on open recommendations developed and communicated to management during performance audits, evaluations, and investigations conducted by the DoD OIG. However, since FY 2018, the DoD OIG has also audited the DoD Agency-Wide financial statements and overseen annual financial statement audits and attestations performed by independent public accounting firms' (IPAs). Those annual audits and attestations result in numerous Notices of Finding and Recommendations (NFRs).

NFRs express to management the weaknesses in financial processes, the impact of those weaknesses, and the reason the weaknesses exist. NFRs also communicate to management recommendations for how to correct the weaknesses. The following sections discuss the NFRs issued to the DoD and its Components, the number of material weaknesses reported, and the overall results of the audits.

### Corrective Action Plans for Notices of Findings and Recommendations

The DoD is required to create a plan to address recommendations provided within NFRs, known as a Corrective Action Plan (CAP). Auditors review completed CAPs throughout the audit and close the related NFRs when they determine the CAPs effectively address the deficiency identified.

The process for assessing whether the DoD and its Components adequately addressed the NFR is different from tracking the status of resolved and unresolved recommendations for performance audits. Specifically, Federal financial statement

auditing standards require that auditors evaluate whether the DoD and its Components took appropriate corrective action to address all of the findings from previous engagements that could have a material impact on the financial statements. CAPs for the NFRs vary widely in their proposed timelines for correcting the identified deficiencies.

Complex, multiphase corrective action plans can span several fiscal years. Typically, if the DoD or its Components stated that they corrected the condition identified in the NFR, the financial statement auditor will review the CAP and perform additional audit procedures to validate the DoD or its Components' assertion. The financial statement auditor also assesses whether the CAP affects the assessment of material weaknesses and significant deficiencies and conclusions contained in the prior year's opinion report. If the CAP resolves all identified issues within the NFR, the auditor can close it. If the CAP does not resolve all identified issues, the auditor will reissue the NFR in the current FY audit.

## Reporting Material Weaknesses

Auditors assess the cumulative effect of NFRs that have not been cleared by a CAP when issuing material weaknesses. Auditors conclude there is a material weakness if they determine that there is a reasonable possibility that a material misstatement of the Component's financial statement will not be prevented, or will not be detected and corrected in a timely manner. Auditors conclude there is a significant deficiency if they identify a deficiency or a combination of deficiencies that are less severe than a material weakness yet important enough to merit the attention of those charged with governance.

Figure 14 shows the relationship between the number of issued NFRs and the total material weaknesses by Component. In general, Components that are issued a higher number of NFRs receive more material weaknesses related to the issuance of financial statements. For example, auditors issued the Department of the Army 445 NFRs and 27 material weaknesses in FY 2021. Auditors also issued the U.S. Marine Corps 194 NFRs and 8 material weaknesses in FY 2021. The DoD OIG expects that Components that close NFRs from year to year will begin to see a decrease in the number of material weaknesses issued.

## CHAPTER 5

*Table. FY 2021 Material Weaknesses by Component*

Component	Total Issued NFRs FY 2020	Total Material Weaknesses FY 2020	Total Issued NFRs FY 2021	Total Material Weaknesses FY 2021
Department of the Army <sup>1</sup>	458	25	445	27
Department of the Navy <sup>1</sup>	1,160	28	1,121	27
Department of the Air Force <sup>1</sup>	521	22	476	19
U.S. Marine Corps <sup>2</sup>	151	8	194	8
U.S. Army Corps of Engineers <sup>3</sup>	57	9	56	6
Defense Health Program	155	11	111	11
Defense Information Systems Agency <sup>1</sup>	69	10	67	8
Defense Logistics Agency <sup>1</sup>	457	20	445	20
U.S. Special Operations Command <sup>1</sup>	101	5	130	5
U.S. Transportation Command	161	5	138	6
Defense Health Agency—Contract Resource Management	13	0	11	0
Medicare—Eligible Retiree Health Care Fund	14	1	15	1
Military Retirement Fund	10	0	11	0
DoD Agency-Wide	200	26	148	28
<b>TOTAL</b>	<b>3,527</b>	<b>170</b>	<b>3,368</b>	<b>166</b>

<sup>1</sup>These entities receive separate opinions for each of their fund types. We combined the material weaknesses from the associated Components into a summary total for each entity.

<sup>2</sup>The U.S. Marine Corps financial statement audit fell under the review of a new independent public accounting (IPA) firm in FY 2021. The IPA did not reissue any of the previous IPA firm's NFRs.

<sup>3</sup>The total material weaknesses for the U.S. Army Corps of Engineers includes those from both Civil Works and Military Programs' sub-allotted fund accounts.

Source: The DoD OIG.

DoD auditors have provided management with NFRs, and DoD management is now tasked with developing and implementing CAPs to address these findings. DoD auditors will continue to perform the annual financial statement audit of the DoD and its Components and will reflect any changes in their audit reports.

## Financial Statement Audits

Each year, DoD and Component management prepare Financial Reports to communicate the financial position and results of their operations. The DoD OIG audited the DoD's financial statements and oversaw six independent public accounting firms' financial statement audits of 24 DoD entities in FY 2021. The fundamental purpose of a financial statement audit is to express an opinion on whether management's financial statements are fairly presented, in all material respects, in accordance with Generally Accepted Accounting Principles.

There are two categories of opinions: unmodified and modified. Modified opinions are further subcategorized as Qualified, Adverse, and Disclaimer of Opinion. For each audit, the auditor's report can express one of the following four potential opinions on the financial statements.

- **Unmodified Opinion** – sometimes referred to as a clean opinion, expressed when the auditor concluded that management has presented the financial statements fairly.
- **Qualified Opinion** – expressed when the auditor concluded that there are misstatements that are material but not significant to the overall presentation of the financial statements.
- **Adverse Opinion** – expressed when the auditor concluded that the misstatements are both material and significant to the financial statements.
- **Disclaimer of Opinion** – expressed when the auditor is unable to obtain sufficient, appropriate audit evidence on which to base an opinion.

The auditor's report is not only an opinion on the presentation of the financial statements; it also identifies material weaknesses and significant deficiencies in internal control over the financial reporting process. Auditors also report on whether the DoD and Components complied with provisions of applicable laws and regulations, contracts, and grant agreements that have a direct and material effect on the basic financial statements, and compliance with OMB regulations and audit requirements for financial reporting. For example, the DoD OIG reported that during FY 2021, the DoD did not comply with certain provisions of the Antideficiency Act, Federal Financial Management Improvement Act, Federal Managers' Financial Integrity Act, and Federal Information Security Modernization Act.

*For more information, please see the FY 2021 DoD and Component Financial Reports at <https://comptroller.defense.gov/ODCFO/afr/>.*



## CHAPTER 6

### Recommendations Closed, Not Implemented

Generally, DoD OIG recommendations remain open until DoD management has completed agreed-upon actions. However, on rare occasions, DoD OIG recommendations may be closed unimplemented due to circumstances which preclude DoD management from completing agreed-upon actions. Since the 2021 Compendium, the DoD OIG closed 17 recommendations that had not been implemented by the DoD. Of these 17 recommendations, 14 pertained to operations in Afghanistan and 3 pertained to financial management operations.

#### Cessation of Ground Operations in Afghanistan

In August 2021, the U.S. Government withdrew its military forces from Afghanistan and concluded ground operations in Afghanistan. The withdrawal of U.S. military forces and cessation of operations on the ground in Afghanistan impacted the viability and relevance of DoD OIG recommendations that pertained to the U.S. military conducting operations on the ground in Afghanistan.

On August 31, 2021, the Commander, U.S. Central Command, requested the DoD OIG close 11 recommendations, made in 5 reports, that had not been implemented. The DoD OIG agreed to this request. In addition to these 11 recommendations, the DoD OIG identified 3 additional recommendations from three reports that should also be closed due to the cessation of United States operations in Afghanistan. The following table lists those recommendations that were closed, but not implemented, as a result of the cessation of operations in Afghanistan.

## Financial Management Operations

Since the 2021 Compendium, the DoD OIG closed three financial management related recommendations that were not implemented. The Office of the Under Secretary of Defense (Comptroller)/Acting Deputy Chief Financial Officer requested the DoD OIG close two long standing recommendations made in Report No. DODIG-2018-120, “The Treasury Index 97 Cash Management Report,” May 23, 2018, because the Office of the Under Secretary of Defense (Comptroller) could not implement the recommendations. Specifically, the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer stated the recommended actions could not be implemented due to the lack of legal authority and the impact on the DoD budget process. For Report Number DODIG-2019-085, “Audit of the Defense Security Cooperation Agency—Security Assistance Accounts,” May 8, 2019, the Defense Security Cooperation Agency and DoD General Counsel did not agree with the report recommendation to recover and transfer into the Special Defense Acquisition Fund lease payments that were incorrectly returned to the U.S. Treasury. The Defense Security Cooperation Agency returned these lease payments to the U.S. Treasury even though it was a DoD Financial Management Regulation requirement to put these funds in the Special Defense Acquisition Fund. After issuance of the DoD OIG report, the DoD revised the Financial Management Regulation to remove the requirement for transferring lease payments into the Special Defense Acquisition Fund. The DoD OIG decided to close these three recommendations after extensive efforts and discussion with representatives from the Office of the Under Secretary of Defense (Comptroller), the Defense Finance and Accounting Service, and Defense Security Cooperation Agency did not result in any agreed-upon way forward.

The following table lists the aforementioned recommendations that were closed, but not implemented.



## CHAPTER 6

## Tables. Recommendations Closed, Not Implemented

*Department of the Army*

Report No. DODIG-2021-062, "Audit of Coalition Partner Reimbursements for Contracted Rotary Wing Air Transportation Services in Afghanistan," March 22, 2021

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. 2:</b> The DoD OIG recommended that the U.S. Army Central Multinational Logistics Branch Chief, in coordination with the U.S. Forces-Afghanistan Multinational Logistics Branch Chief, conduct a review of all reimbursable services provided in Afghanistan to Coalition partners and establish internal controls over the Acquisition and Cross-Servicing Agreement program in Afghanistan to ensure that Acquisition and Cross-Servicing Agreement orders for Coalition partners' air transportation services are initiated in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	USCENTCOM	5 months 19 days

Report No. DODIG-2020-094, "Audit of Army Contracting Command-Afghanistan's Award and Administration of Contracts," June 18, 2020

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. 2.a:</b> The DoD OIG recommended that the Commander of the Army Contracting Command-Afghanistan develop and implement a plan to improve the hiring process for civilian contracting personnel. The plan should include written hiring procedures that specify a timeline for the hiring process.	DoD OIG	1 year 2 months 23 days

*Under Secretary of Defense for Policy*

Report No. DODIG-2018-090, "Summary Report on U.S. Direct Funding Provided to Afghanistan," March 21, 2018

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy evaluate whether using Bilateral Financial Commitment Letters is the most effective method to manage and oversee the administering and expending of U.S. direct funding to the Ministry of Defense and the Ministry of Interior and, if not, identify a more effective method. The most effective method should identify more realistic and achievable terms and conditions for the Ministry of Defense and the Ministry of Interior to accomplish and show incremental improvement and develop a formal documented process for assessing penalties against the Ministry of Defense and the Ministry of Interior for continued commitment letter violations.	DoD OIG	3 years 6 months 9 days

## CHAPTER 6

***Under Secretary of Defense (Comptroller)*****Report No. DODIG-2018-120, “The Treasury Index 97 Cash Management Report,” May 23, 2018**

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer, create individual Defense Working Capital Fund accounts at the Department of the Treasury for the Defense Information Systems Agency, Defense Logistics Agency, and Defense Finance and Accounting Service.	DoD OIG	3 years 2 months 10 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer, work with the Department of the Treasury to either establish Fund Balance With Treasury accounts for select Other Defense Organizations when appropriate or establish four-digit limits for Treasury Index 97 Fund Balance With Treasury accounts in the Central Accounting and Reporting System.	DoD OIG	3 years 2 months 10 days

**Report No. DODIG-2019-085, “Audit of the Defense Security Cooperation Agency—Security Assistance Accounts,” May 8, 2019**

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. A.1.f.7:</b> The DoD OIG recommended that the Director, Defense Security Cooperation Agency, recover and transfer into the Special Defense Acquisition Fund account all lease payments dating back to FY 2012 that the DFAS did not transfer into the Special Defense Acquisition Fund.	DoD OIG	2 years 10 months 22 days

***U.S. Central Command*****Report No. DODIG-2015-107, “Challenges Exist for Asset Accountability and Maintenance and Sustainment of Vehicles Within the Afghan National Security Forces,” April 17, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. A.1:</b> The DoD OIG recommended that the Commander of the Combined Security Transition Command–Afghanistan instruct the Security Assistance Office to reconcile information in Operational Verification of Reliable Logistics Oversight Database against information in Security Cooperation Information Portal to ensure vehicle information is accurate and complete.	USCENTCOM	6 years 5 months 5 days
<b>Rec. A.2:</b> The DoD OIG recommended that the Commander of the Combined Security Transition Command–Afghanistan assess the accuracy of property transfer records after the Security Assistance Office completes its reconciliation and take the necessary steps to maintain the completeness and accuracy of these records.	USCENTCOM	6 years 5 months 5 days



## CHAPTER 6

**U.S Central Command – Report No. DODIG-2015-107 (cont'd)**

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. A.6:</b> The DoD OIG recommended that the Commander of the Combined Security Transition Command–Afghanistan obtain a complete inventory of vehicles received by the Afghan National Security Forces and reconcile this list to help identify any missing records in the Security Cooperation Portal.	USCENTCOM	6 years 5 months 5 days

**Report No. DODIG-2018-058, “Progress of U.S. and Coalition Efforts to Train, Advise, and Assist the Afghan Air Force,” January 4, 2018 (Full Report is Classified)**

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. C:</b> The DoD OIG recommended that the Train, Advise, Assist Command–Air coordinate with the Commander of Combined Security Transition Command–Afghanistan to identify requirements and modify aircraft Contractor Logistic Support contracts as appropriate to increase emphasis on building the Afghan aircraft maintenance capability, increase the Afghan responsibility for daily aircraft maintenance, and identify the transition criteria for Afghan-led maintenance within the Afghan Air Force.	USCENTCOM	3 years 8 months 26 days

**Report No. DODIG-2019-110, “Evaluation of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Afghan Tactical Air Coordinators and Air Liaison Officers,” August 8, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. 3:</b> The DoD OIG recommended that the Train, Advise, Assist Command–Air Commander and the North Atlantic Treaty Organization Special Operations Component Command–Afghanistan Commander direct air-to-ground integration advisors to use the operational data collected in response to Recommendation 2 to inform and adjust train, advise, assist, and equip efforts for Afghan tactical air coordinators, air Liaison officers, and Afghan air targeting officers.	USCENTCOM	2 years 1 month 5 days
<b>Rec. 4:</b> The DoD OIG recommended that the North Atlantic Treaty Organization Special Operations Component Command–Afghanistan Commander develop a plan with specific objectives and milestones for Afghan Special Security Forces’ air-to-ground integration capability that includes all Afghan Special Security Forces elements with Afghan tactical air coordinators and Afghan air targeting officers.	USCENTCOM	2 years 1 month 5 days

## CHAPTER 6

### *U.S Central Command (cont'd)*

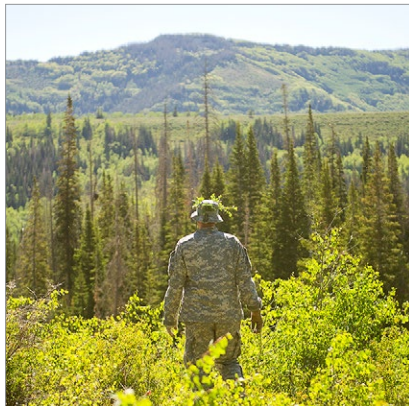
**Report No. DODIG-2021-062, "Audit of Coalition Partner Reimbursements for Contracted Rotary Wing Air Transportation Services in Afghanistan," March 22, 2021**

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. 1.a:</b> The DoD OIG recommended that the U.S. Forces–Afghanistan Multinational Logistics Branch Chief, in coordination with U.S. Army Central Multinational Logistics Branch Chief obtain Effective Visible Execution flight usage data on a regular basis.	USCENTCOM	5 months 19 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the U.S. Forces–Afghanistan Multinational Logistics Branch Chief, in coordination with U.S. Army Central Multinational Logistics Branch Chief establish an agreement, including costs and reimbursement methods, with each Pay-to-Play Coalition partner in order to seek reimbursement for air transportation services.	USCENTCOM	5 months 19 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the U.S. Forces–Afghanistan Multinational Logistics Branch Chief, in coordination with U.S. Army Central Multinational Logistics Branch Chief initiate Acquisition and Cross-Servicing Agreement orders in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System for Pay-to-Play Coalition partners' air transportation services.	USCENTCOM	5 months 19 days
<b>Rec. 2:</b> The DoD OIG recommended that the U.S. Army Central Multinational Logistics Branch Chief, in coordination with the U.S. Forces–Afghanistan Multinational Logistics Branch Chief, conduct a review of all reimbursable services provided in Afghanistan to Coalition partners and establish internal controls over the Acquisition and Cross-Servicing Agreement program in Afghanistan to ensure that Acquisition and Cross-Servicing Agreement orders for Coalition partners' air transportation services are initiated in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	USCENTCOM	5 months 19 days

### *Defense Security Cooperation Agency*

**Report No. DODIG-2013-005, "Performance Framework and Better Management of Resources Needed for the Ministry of Defense Advisors Program," October 23, 2012**

Recommendation Number and Text	Requested Closure	Age of Recommendation at Closure
<b>Rec. A.1:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Partnership Strategy and Stability Operations, in coordination with the Commander, U.S. Combined Security Transition Command–Afghanistan, develop a performance management framework to include goals, objectives, and performance indicators to assess progress and measure program results. The performance management framework should also consider environmental and external factors that could affect the goals and objectives from being accomplished.	DoD OIG	8 years 10 months 17 days



## APPENDIX A

### All Open Recommendations as of March 31, 2022

This appendix provides a comprehensive table of all 1,425 open recommendations as of March 31, 2022.<sup>30</sup> The recommendations are listed according to responsible DoD Component. This appendix also lists 201 classified open recommendations that are summarized in detail in Appendixes B, C, and D of this Compendium.<sup>31</sup>

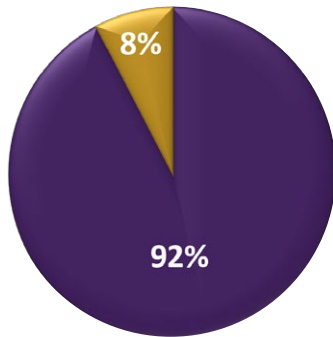
For each DoD Component, we present information on the progress that has been made since the 2019 Compendium. Additionally, there is a table for each DoD Component showing the current number of unresolved and aged recommendations, as well as potential savings that could be achieved by implementing the recommendations that have associated potential monetary benefits.

<sup>30</sup> For tracking purposes, recommendations made to multiple Components are split into individual recommendations for each Component. For example, a recommendation made to the Army, Navy, and Air Force would equate to three recommendations for tracking purposes.

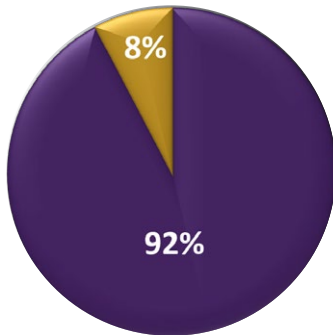
<sup>31</sup> All table notes appear at the end of the corresponding table.


## Statistics – Secretary of Defense


### Recommendations from 2020 Compendium



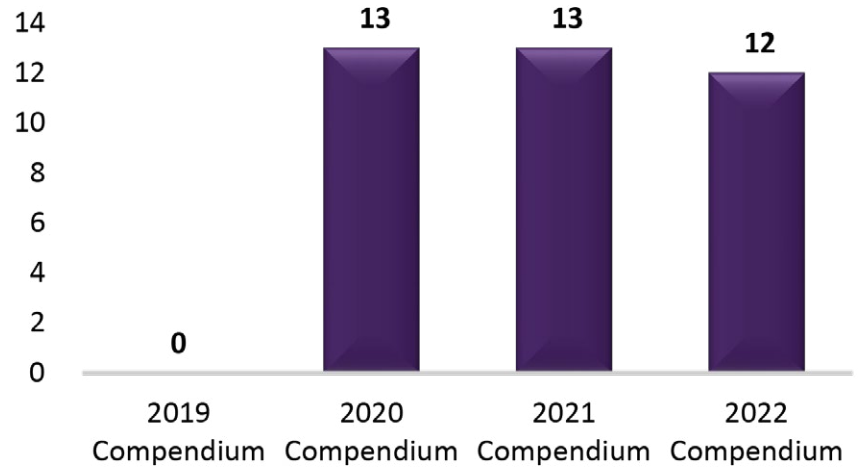
### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

*Secretary of Defense*

**Report No. DODIG-2019-106, “Audit of the DoD’s Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items,” July 26, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a risk-based approach to prioritize commercial off-the-shelf items for further evaluation.	2 years 8 months 5 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a process to test high-risk commercial off-the-shelf items.	2 years 8 months 5 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a process to prohibit the purchase and use of high-risk commercial off-the-shelf items, when necessary, until mitigation strategies can limit the risk to an acceptable level.	2 years 8 months 5 days

**Report No. DODIG-2020-067, “Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions,” March 13, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.5.a:</b> The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to review and assess DoD Cyber Red Team reports for systemic vulnerabilities and coordinate the development and implementation of enterprise solutions to mitigate those vulnerabilities affecting DoD systems, networks, and operations.	2 years 18 days
<b>Rec. A.5.b:</b> The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to ensure DoD Components develop and implement a risk-based process to assess the impact of DoD Cyber Red Team-identified vulnerabilities and prioritize funding for corrective actions for high-risk vulnerabilities.	2 years 18 days
<b>Rec. A.5.c:</b> The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to ensure DoD Components develop and implement processes for providing reports with DoD Cyber Red Team findings and recommendations to all organizations and personnel within the DoD Component with responsibility for corrective actions.	2 years 18 days
<b>Rec. A.5.d:</b> The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to ensure DoD Components document and monitor whether actions were taken to accept, mitigate, or remediate all DoD Cyber Red Team-identified vulnerabilities.	2 years 18 days
<b>Rec. A.5.e:</b> The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to require DoD Components to report actions taken to manage risks identified by DoD Cyber Red Teams within a DoD-defined period.	2 years 18 days

## APPENDIX A

**Secretary of Defense – Report No. DODIG-2020-067 (cont'd)**

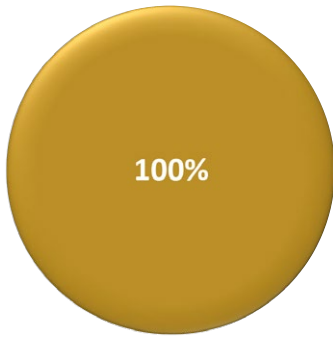
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Secretary of Defense, in conjunction with the implementation of Recommendation A.5, assign an organization with responsibility to develop processes and procedures to oversee DoD Cyber Red Team activities, including synchronizing and prioritizing DoD Cyber Red Team missions, to ensure these activities align with DoD priorities.	2 years 18 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Secretary of Defense, in conjunction with the implementation of Recommendation A.5, assign an organization with responsibility to perform a joint DoD-wide mission-impact analysis to determine the number of DoD Cyber Red Teams, minimum staffing levels of each team, and the composition of the staffing levels needed to meet current and future DoD Cyber Red Team mission requests.	2 years 18 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Secretary of Defense, in conjunction with the implementation of Recommendation A.5, assign an organization with responsibility to assess and identify a baseline of core and specialized training standards, based on the three DoD Cyber Red Team roles that DoD Cyber Red Team staff must meet for the team to be certified and accredited.	2 years 18 days
<b>Rec. B.1.d:</b> The DoD OIG recommended that the Secretary of Defense, in conjunction with the implementation of Recommendation A.5, assign an organization with responsibility to identify and develop baseline tools needed by DoD Cyber Red Teams to perform missions.	2 years 18 days



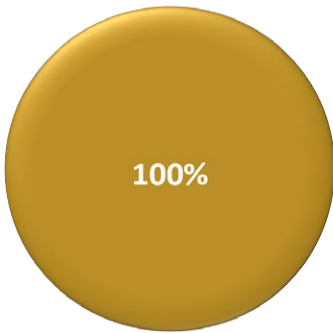
## APPENDIX A

### Statistics – Deputy Secretary of Defense

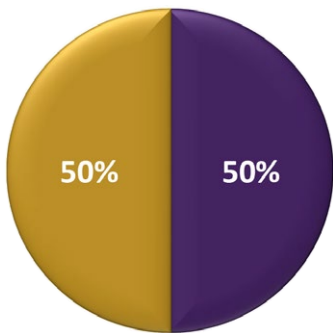
#### Recommendations from 2019 Compendium



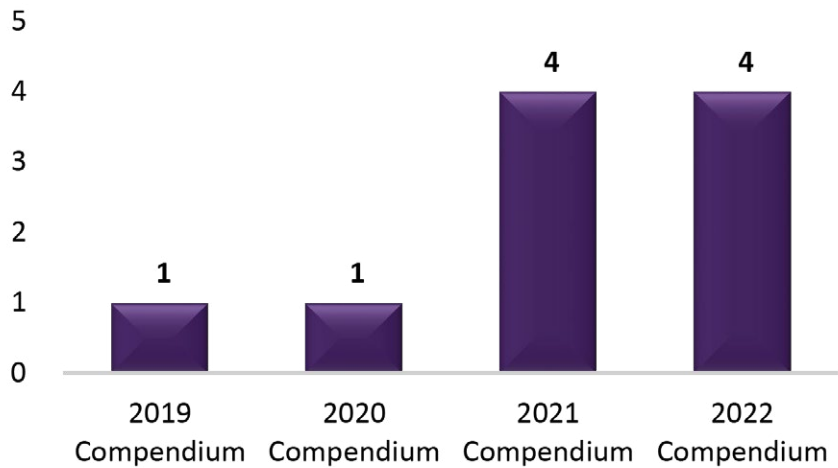
#### Recommendations from 2020 Compendium



#### Recommendations from 2021 Compendium




#### Open Recommendations




Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

## APPENDIX A

***Deputy Secretary of Defense***

**Report No. DODIG-2020-114, "Audit of Department of Defense Use of Security Assistance Program Funds and Asset Accountability," August 17, 2020**

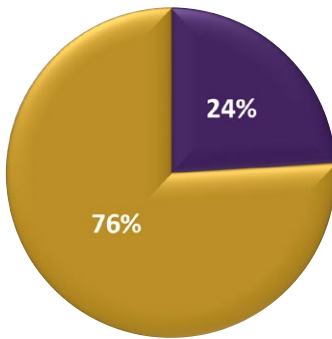
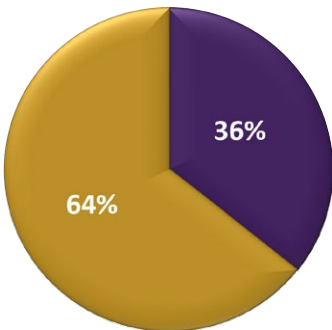
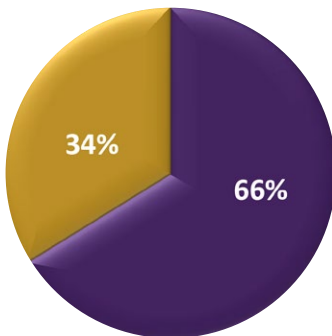
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.3.a:</b> The DoD OIG recommended that the Deputy Secretary of Defense identify and direct the appropriate official to perform a comprehensive analysis of the functions performed by DoD Components and determine whether the current administrative rates charged to foreign customers are adequate for the DoD to recover its costs for providing security assistance support.	1 year 7 months 14 days
<b>Rec. A.3.b:</b> The DoD OIG recommended that the Deputy Secretary of Defense identify and direct the appropriate official to develop, document, and implement detailed guidance to the DoD Components that identifies which costs should be recovered and the process for recovering the costs.	1 year 7 months 14 days


**Report No. DODIG-2021-100, "Audit of the Department of Defense's Implementation of the Memorandums Between the Department of Defense and the Department of Homeland Security Regarding Cybersecurity and Cyberspace Operations," July 9, 2021 (Full Report is Classified)**


Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Deputy Secretary of Defense and the Chairman of the Joint Chiefs of Staff direct the DoD co-chairs of the Joint DoD-Department of Homeland Security Cyber Protection and Defense Steering Group to work with the Department of Homeland Security co-chair of the Joint DoD-Department of Homeland Security Cyber Protection and Defense Steering Group to develop and approve plans of action and milestones for each line of effort.	8 months 22 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Deputy Secretary of Defense and the Chairman of the Joint Chiefs of Staff direct the DoD co-chairs of the Joint DoD-Department of Homeland Security Cyber Protection and Defense Steering Group to work with the Department of Homeland Security co-chair of the Joint DoD-Department of Homeland Security Cyber Protection and Defense Steering Group to track activities executed and identify gaps that limit the DoD and the Department of Homeland Security in fully implementing all lines of effort in the 2018 memorandum.	8 months 22 days

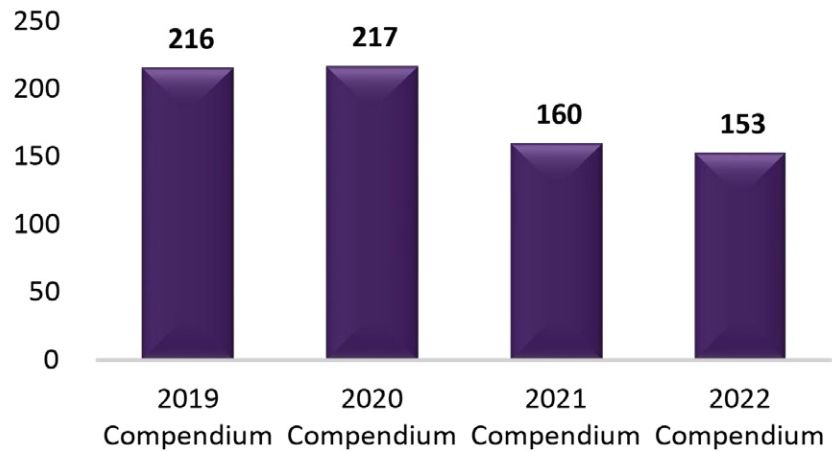


## APPENDIX A

*Statistics – Department of the Army***Recommendations from  
2019 Compendium****Recommendations from  
2020 Compendium****Recommendations from  
2021 Compendium**

 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

**Open Recommendations**

Number of Unresolved Recommendations	2
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Number of Recommendations Open Longer than 5 Years	28
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Potential Monetary Benefits	\$92 million
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## APPENDIX A

### *Department of the Army*

**Report No. D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.a-f:</b> The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Naval Criminal Investigative Service Director; the Air Force Director of Security Forces, Information Security; the Defense Information Systems Agency Director; and the Defense Logistics Agency Director update policies for the DoD personnel security clearance program to include the following areas:</p> <ul style="list-style-type: none"> <li>a. program management responsibilities;</li> <li>b. agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities;</li> <li>c. security clearance systems for tracking security clearance information;</li> <li>d. Personnel Security Investigation submission processes;</li> <li>e. the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations including documentation required for each PSI; and</li> <li>f. training requirements for security personnel.</li> </ul>	15 years 11 months 12 days

**Report No. DODIG-2013-097, "Improvements Needed in the Oversight of the Medical-Support Services and Award-Fee Process Under the Camp As Sayliyah, Qatar, Base Operation Support Services Contract," June 26, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.1:</b> The DoD OIG recommended that the U.S. Army Medical Command Commander revise Army Regulation 40-68, "Clinical Quality Management," to align the regulation with supervision requirements set forth in Federal Acquisition Regulation 37.4.</p>	8 years 9 months 5 days

**Report No. DODIG-2013-123, "Army Needs To Improve Mi-17 Overhaul Management and Contract Administration," August 30, 2013 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.2.a:</b> The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments paid to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.</p>	8 years 7 months 1 day

## APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2013-130, "Army Needs to Improve Controls and Audit Trails for the General Fund Enterprise Business System Acquire-to-Retire Business Process," September 13, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop and implement the identified functionality into the General Fund Enterprise Business System, including the capability to generate an Army-wide real property universe.	8 years 6 months 18 days
<b>Rec. A.6:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to perform a review of all real property data in the General Fund Enterprise Business System to ensure that the General Fund Enterprise Business System contains the correct data going forward and track the costs associated with this effort and other data cleansing efforts so they can be calculated as part of the cost of the General Fund Enterprise Business System implementation or as part of the Army's audit readiness efforts.	8 years 6 months 18 days
<b>Rec. A.7.b:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to record in-house costs incurred in the construction of a real property asset to the corresponding project's construction-in-progress account.	8 years 6 months 18 days

**Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete, and use it to validate General Fund Enterprise Business System general ledger account postings.	7 years 8 months 29 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) direct the General Fund Enterprise Business System Program Management Office to reconfigure the General Fund Enterprise Business System to properly record Budget-to-Report transactions, including implementing system controls to address items identified in this report.	7 years 8 months 29 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) use the General Fund Enterprise Business System to execute all Army General Fund appropriations.	7 years 8 months 29 days

## APPENDIX A

**Department of the Army (cont'd)**

**Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.b:</b> The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	7 years 4 months 17 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> <li>1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and</li> <li>2. adapt and implement the proposed standard operating procedure/guidelines for the Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report.</li> </ol>	7 years 4 months 17 days

**Report No. DODIG-2015-128, "Army Needs to Improve Processes Over Government-Furnished Material Inventory Actions," May 21, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) create a subaccount within the Logistics Modernization Program system to track receipt, acceptance, and consumption of Government-furnished material within an "Inventory, Work-in-Process" account.	6 years 10 months 10 days
<b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) expedite efforts to transition to the consumption method of accounting for Government-furnished material, unless it can document a strong business case for using the purchase method to recognize operating materials and supplies expenses. In developing this business case, consider the capitalization effect of Government-furnished material items included for upgrades, modifications, or assembly of end items, including general equipment.	6 years 10 months 10 days
<b>Rec. 3:</b> The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, and the Assistant Secretary of the Army (Financial Management and Comptroller), in collaboration with the Commander, Army Materiel Command, develop a business process and the Logistics Modernization Program posting logic to identify and track Army Working Capital Fund inventory provided to contractors as Government-furnished material within the Logistics Modernization Program system. Specifically, the Army should use the Logistics Modernization Program system to report Army Working Capital Fund inventory provided to contractors as Government-furnished material to meet requirements in the DoD Manual 4140.01, volume 9, and DoD 7000.14-R, DoD Financial Management Regulation, volume 4, chapter 4.	6 years 10 months 10 days

## APPENDIX A

### *Department of the Army (cont'd)*

**Report No. DODIG-2015-181, "Continental United States Military Housing Inspections-Southeast," September 24, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. I.1:</b> The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment review and update its policy to ensure that Army publications properly and consistently address radon assessment and mitigation requirements.	6 years 6 months 7 days

**Report No. DODIG-2016-080, "Army's Management of Gray Eagle Spare Parts Needs Improvement," April 29, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Unmanned Aircraft System Project Manager complete the actions necessary to include the Gray Eagle spare parts in an Army Accountable Property System of Record.	5 years 11 months 2 days

**Report No. DODIG-2016-108, "Army Needs Greater Emphasis on Inventory Valuation," July 12, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command establish policies and procedures focused on computing inventory valuation at moving average cost, including monitoring moving average cost values for National Item Identification Numbers at plants and making supported corrections of moving average cost values.	5 years 8 months 19 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command review and correct moving average cost valuation for all Inventory, Available and Purchased for Resale.	5 years 8 months 19 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement procedures to properly maintain historical cost when transferring inventory between plants and properly capture and record all appropriate purchase, transportation, and production costs to bring the inventory items to their current condition and location.	5 years 8 months 19 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement continuous training for Army logistics and inventory management personnel involved with inventory movements that affect inventory valuation at moving average cost in the Logistics Modernization Program system. The training should cover how to properly record inventory receipt, acceptance, transfer, and sale transactions within the system to ensure that the historical costs of the inventory are properly captured for inventory valuation.	5 years 8 months 19 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command develop procedures that post reconciling transactions to the same general ledger accounts as the original transactions.	5 years 8 months 19 days

## APPENDIX A

### Department of the Army – Report No. DODIG-2016-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.h:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command direct the Logistics Modernization Program Product Office to implement standard tolerance levels and other validation controls for processing inventory transactions.	5 years 8 months 19 days
<b>Rec. 1.i:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command establish Non-Army Managed Items unit of measure elements properly in the Logistics Modernization Program system. Review the Logistics Modernization Program system Material Master data to identify all items with incorrect unit of measure elements and develop a strategy to correct them.	5 years 8 months 19 days
<b>Rec. 1.j:</b> The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement controls, including management oversight, to review and approve all Material Master file data changes prior to implementing them within the Logistics Modernization Program system and issue guidance on how personnel should properly establish and maintain unit of measure elements in the Logistics Modernization Program system.	5 years 8 months 19 days

### Report No. DODIG-2017-004, “Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts,” October 14, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements.	5 years 5 months 17 days

### Report No. DODIG-2017-057, “Army Officials Need to Improve the Management of Relocatable Buildings,” February 16, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Installations, Housing, and Partnerships, revise Army Regulation 420-1, “Army Facilities Management,” to align the Army’s definition of relocatable buildings to the definition in DoD Instruction 4165.56, “Relocatable Buildings,” thus eliminating the requirement for the analysis pertaining to the disassembly, repackaging, and nonrecoverable costs of relocatable buildings.	5 years 1 month 15 days
<b>Rec. 4.a:</b> The DoD OIG recommended that the Chief, Directorate of Public Works at Joint Base Lewis-McChord perform the steps necessary to convert the six nonrelocatable buildings from relocatable to real property.	5 years 1 month 15 days

## APPENDIX A

**Department of the Army (cont'd)****Report No. DODIG-2017-069, "Ineffective Fund Balance With Treasury Reconciliation Process for Army General Fund," March 23, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a-c:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop and implement: <ul style="list-style-type: none"> <li>a. system changes to ensure that Fund Balance With Treasury transaction data for the Army General Fund are transmitted, processed, maintained, and accessed in a standardized format for all non-legacy accounting and financial systems;</li> <li>b. a methodology for standardizing data from legacy accounting and financial systems; and</li> <li>c. the system functionality to demonstrate posting logic for all non-legacy accounting and financial systems containing Fund Balance With Treasury transactions to ensure that the Army posts transactions in a standard format.</li> </ul>	5 years 8 days

**Report No. DODIG-2017-114, "Documentation to Support Costs for Army Working Capital Fund Inventory Valuation," August 25, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.g:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a process to maintain credit values given for returns for credit and unserviceable credit transactions.	4 years 7 months 6 days

**Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.f:</b> The DoD OIG recommended that the Secretary of the Army take prompt action to direct the U.S. Army Provost Marshal General to revise Army Regulation 190-45 to align with the fingerprint card and final disposition report submission requirements in DoD Instruction 5505.11.	4 years 3 months 27 days

**Report No. DODIG-2018-042, "Evaluation of Army Recovered Chemical Warfare Materiel Response Actions," December 14, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment issue policy to replace the Army Interim Guidance and direct the Commander of the U.S. Army Corps of Engineers to update Engineering Pamphlet 75-1-3 to comply with Army Regulation 25-30.	4 years 3 months 17 days

## APPENDIX A

**Department of the Army (cont'd)**

**Report No. DODIG-2018-076, "Chemical Demilitarization-Assembled Chemical Weapons Alternatives Program," February 22, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.c:</b> The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, analyze the rework performed at the Pueblo Chemical Agent-Destruction Pilot Plant and the Blue Grass Chemical Agent-Destruction Pilot Plant to determine the cost of additional rework.	4 years 1 month 9 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, based on the cost of additional construction rework, either recoup funds paid by the Government or obtain other appropriate consideration.	4 years 1 month 9 days

**Report No. DODIG-2018-099, "Army Internal Controls Over Foreign Currency Accounts and Payments," March 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.4:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Army (Financial Operations) update the Army accounting systems once the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer issues the DoD standard general ledger transactions and guidance for recording foreign currency exchange rate gains and losses, as required by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 6a, chapter 7.	4 years 2 days

**Report No. DODIG-2018-122, "U.S. Strategic Command Facility Construction Project," May 31, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General issue guidance to implement lessons learned from the U.S. Strategic Command Facility Construction Project into other military construction projects that contain DoD-unique requirements.	3 years 10 months
<b>Rec. 3.d:</b> The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General complete an after-action review following the construction of the U.S. Strategic Command replacement facility.	3 years 10 months



## APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-125, "The Fort Bliss Hospital Replacement Military Construction Project," June 6, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the U.S. Army Corps of Engineers Commander review the actions of the individuals involved in the Fort Bliss Hospital Replacement project to determine whether any actions resulted in the cost and time increase related to design errors and omissions and initiate action to hold the individuals accountable as appropriate.	3 years 9 months 25 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the U.S. Army Corps of Engineers Commander issue guidance to improve technical expertise and discipline for medical infrastructure projects and improve understanding of performance specifications and extensions of design and performance metrics for projecting a project at risk.	3 years 9 months 25 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the U.S. Army Corps of Engineers Commander complete an after action review following the construction of the Fort Bliss Hospital Replacement project.	3 years 9 months 25 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the U.S. Army Corps of Engineers Commander issue guidance directing contracting personnel to issue annual past performance evaluations for contractors in the Contractor Performance Assessment Reporting System as required by Federal Acquisition Regulation Subpart 42.15.	3 years 9 months 25 days

**Report No. DODIG-2018-132, "Management of Army Equipment in Kuwait and Qatar," June 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B:</b> The DoD OIG recommended that the Chief of Staff of the Army direct the Deputy Chief of Staff of the Army, G-4, in conjunction with the Commander, Army Materiel Command, to review and update Army Regulations 710-1, 725-50, 740-26, and 735-5 with procedures to ensure 100-percent accountability of Army Prepositioned Stock equipment.	3 years 9 months 2 days

**Report No. DODIG-2018-152, "Management of Army and Marine Corps Prepositioned Stocks in U.S. European Command," September 17, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4 (Logistics), in conjunction with the Commander of U.S. Army Materiel Command, update Army Technical Manual 38-470 to include requirements that specify who is responsible for maintaining controlled humidity levels and performing inspections for the controlled humidity facilities.	3 years 6 months 14 days

## APPENDIX A

### *Department of the Army – Report No. DODIG-2018-152 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4 (Logistics), in conjunction with the Commander of U.S. Army Materiel Command, update Army Technical Manual 38-470 to include requirements that clearly state how often preventive maintenance on APS weapons should be maintained and ensure consistency in other applicable criteria.	3 years 6 months 14 days

### **Report No. DODIG-2019-042, “Evaluation of Social Media Exploitation Procedures Supporting Operation Inherent Resolve,” December 28, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> Recommendation is Classified.*	3 years 3 months 3 days

\* Responsibility for implementing Recommendation 1 was transferred from USCENTCOM to Army.

### **Report No. DODIG-2019-056, “Accounting and Financial Reporting for the Military Housing Privatization Initiative,” February 12, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.5.a:</b> The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to reconcile their privatized housing inventories with the private partners’ housing inventories and update the records as needed to establish a baseline.	3 years 1 month 19 days

### **Report No. DODIG-2019-061, “Audit of the DoD’s Implementation of Recommendations on Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations,” March 7, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Commander of U.S. Army Installation Management Command and the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, conduct a review of all general public tenants leasing privatized housing on military installations as of January 1, 2019, to ensure that those tenants receive complete and adequate background checks and that access badge expiration dates do not exceed lease expiration dates in accordance with current Military Department guidance.	3 years 24 days

## APPENDIX A

**Department of the Army (cont'd)**

Report No. DODIG-2019-063, "Followup Audit on the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> Recommendation is Classified.	3 years 13 days
<b>(CUI) Rec. A.1.d:</b> The DoD OIG recommended that the Army Chief Information Officer, in coordination with the Commander of Army Cyber Command, direct Army Command officials [REDACTED]	3 years 13 days
<b>(CUI) Rec. A.1.e:</b> The DoD OIG recommended that the Army Chief Information Officer, in coordination with the Commander of Army Cyber Command, direct Army Command officials to [REDACTED]	3 years 13 days
<b>(CUI) Rec. B.4:</b> The DoD OIG recommended that the [REDACTED]	3 years 13 days
<b>(CUI) Rec. B.5.a:</b> The DoD OIG recommended that the Army Provost Marshal General direct Army command officials to conduct [REDACTED]	3 years 13 days
<b>(CUI) Rec. B.5.b:</b> The DoD OIG recommended that the Army Provost Marshal General direct Army command officials to [REDACTED]	3 years 13 days
(CUI)	

Report No. DODIG-2019-075, "Evaluation of Military Services' Law Enforcement Responses to Domestic Violence Incidents," April 19, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that a comprehensive review of all criminal investigative databases and files is conducted to verify that all subjects of domestic violence incidents from 1998 to present are titled and indexed in the Defense Central Index of Investigation, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	2 years 11 months 12 days

## APPENDIX A

**Department of the Army (cont'd)**

**Report No. DODIG-2019-084, "Evaluation of the Operations and Management of Military Cemeteries," May 20, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> The DoD OIG recommended that, once the DoD issues its instruction, the Secretaries of the Army, Navy, and Air Force update the cemetery regulations accordingly.	2 years 10 months 11 days

**Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers include Federal Acquisition Regulation clause 52.222-50 in all contracts, and update the clause, as needed, to reflect the current version of the clause.*	2 years 9 months 20 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers ensure that quality assurance surveillance plans are prepared for all contracts that require them, and include appropriate methods for monitoring the contractor's performance regarding trafficking in persons.*	2 years 9 months 20 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers document in the contract file how monitoring for compliance with the Combating Trafficking in Persons clause will be accomplished, and by whom, if a contract does not require a quality assurance surveillance plan.*	2 years 9 months 20 days
<b>Rec. B.1.d:</b> The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers verify that contracting officer's representatives accomplish and document proper monitoring of contractor compliance with DoD combating trafficking in persons regulations.*	2 years 9 months 20 days
<b>Rec. B.1.e:</b> The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers enter contractor past performance information, including combating trafficking in persons violations, promptly into the Contractor Performance Assessment Reporting System.*	2 years 9 months 20 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Army Contracting Command-Rock Island Executive Director require contracting officers to update surveillance and reporting documents for contracting officer's representatives to record that monitoring of the contractor's performance regarding trafficking in persons is accomplished.*	2 years 9 months 20 days

## APPENDIX A

### Department of the Army – Report No. DODIG-2019-088 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.1:</b> The DoD OIG recommended that the Army Contracting Command-Rock Island Executive Director establish a process or identify a resource for DoD contracting officials to obtain definitive guidance on Kuwaiti labor laws that apply to DoD contracts.*	2 years 9 months 20 days
<b>Rec. D.1:</b> The DoD OIG recommended that the 408th Contract Support Brigade Commander request designated mission and permanent billets to support the brigade's Combating Trafficking in Persons Inspections Team.*	2 years 9 months 20 days

\* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

### Report No. DODIG-2019-093, "Evaluation of U.S. European Command's Nuclear Command and Control Between the President and Theater Nuclear Forces," June 10, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> Recommendation is Classified.	2 years 9 months 21 days

### Report No. DODIG-2019-128, "Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico," September 30, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.a:</b> The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all labor and material costs for contracts W912DY-18-F-0003 and W912DY-18-F-0032 and determine whether they are supportable and allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."	2 years 6 months 1 day
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all contractor and subcontractor timekeeping records and corresponding travel documentation to determine whether employees were charging time on contracts W912DY-18-F0003 and W912DY-18-0032 before arriving in Puerto Rico. If employees were charging time before arriving in Puerto Rico, contracting officials should require the contractor to provide adequate supporting documentation showing that those costs are allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability." If the contractor cannot support the costs, the contracting officers should determine those costs as unallowable and take action to recoup those costs.	2 years 6 months 1 day

# APPENDIX A

## Department of the Army – Report No. DODIG-2019-128 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.c:</b> The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all contractor and subcontractor timekeeping records and additional supporting documentation to determine whether contractor and subcontractor employees were appropriately charging standby time and charging overtime when not performing power grid repair and restoration work. If employees were charging overtime while on standby, contracting officials should require the contractor to provide adequate supporting documentation showing that the overtime was reasonable and allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability." If the contractor cannot support the costs, the contracting officers should determine those costs as unallowable and take action to recoup those costs.	2 years 6 months 1 day
<b>Rec. A.2.d:</b> The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review qualifications for all labor categories contained in contracts W912DY-18-F-0003 and W912DY-18-F-0032 and determine whether they meet the requirements of the contract and U.S. Army Corps of Engineers Safety and Health Requirements Manual EM 385-1-1. If labor costs do not meet the contractual or manual requirements, the contracting officer should determine those associated costs as unallowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."	2 years 6 months 1 day
<b>Rec. A.2.e:</b> The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all labor hours billed and paid for contracts W912DY-18-F-0003 and W912DY-18-F-0032 and determine whether they exceed the maximum allowable weekly hours contained in U.S. Army Corps of Engineers Safety and Health Requirements Manual EM 385-1-1. If labor costs exceed the manual requirements, the contracting officer should determine those associated costs as unallowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."	2 years 6 months 1 day
<b>Rec. B.2:</b> The DoD OIG recommended that the Commanding General of the U.S. Army Corps of Engineers perform a review of the concerns addressed in this report regarding contract W912EP-18-C-0003, identify responsible personnel, and initiate as appropriate any administrative actions warranted by the review.	2 years 6 months 1 day
<b>Rec. B.3:</b> The DoD OIG recommended that the Commander of the U.S. Army Corps of Engineers, Jacksonville District, direct contracting officials to review all labor and material costs for contract W912EP-18-C-0003 and determine whether they are supportable and allowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability," and provide the DoD Office of Inspector General with the results of the review. If contracting officials are unable to determine whether costs are allowable, they should work with Defense Contract Audit Agency officials to develop a total contract cost reduction to reduce total costs for contract W912EP-18-C-0003.	2 years 6 months 1 day

## APPENDIX A

**Department of the Army (cont'd)**

**Report No. DODIG-2020-003, "Audit of DoD's Use of Additive Manufacturing for Sustainment Parts," October 17, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Military Service Secretaries and the Marine Corps Commandant require the additive manufacturing leads to implement a process that compiles a complete list of all parts produced using additive manufacturing and parts waiting for approval to share within each Military Service and update the list as needed.	2 years 5 months 14 days
<b>Rec. 4:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, Under Secretary of Defense for Acquisition and Sustainment, Military Service Secretaries, and the Marine Corps Commandant conduct a review to identify the appropriate funding and number of personnel to pursue benefits of additive manufacturing throughout the DoD.	2 years 5 months 14 days

**Report No. DODIG-2020-035, "Followup Audit of the Army's Implementation of the Acquire-to-Retire and Budget-to-Report Business Processes in the General Fund Enterprise Business System," November 26, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and the Assistant Chief of Staff for Installation Management determine whether the land data can be removed from the General Fund Enterprise Business System, or, as an alternative, if the system can be updated to match the Real Estate Management Information System's land data to ensure that the General Fund Enterprise Business System's land data are consistent with the Real Estate Management Information System's land data.	2 years 4 months 5 days

**Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 3:</b> The DoD OIG recommended that the [REDACTED]	2 years 3 months 1 day
(CUI)	

## APPENDIX A

**Department of the Army (cont'd)**

**Report No. DODIG-2020-064, "Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation," February 21, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 7:</b> The DoD OIG recommended that the Secretary of the Army revise Army Regulation 190-47 to require military correctional facility commanders to send DD Form 2791 to the U.S. Army Crime Records Center and the U.S. Marshals Service National Sex Offender Targeting Center as required by DoD Instruction 5525.20.	2 years 1 month 10 days

**Report No. DODIG-2020-071, "Audit of the Department of Defense's Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States," March 23, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology; the Assistant Secretary of the Navy for Research, Development, and Acquisition; and the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics, in coordination with the Commander of Military Surface Deployment and Distribution Command, develop and implement training for secure hold requirements at their respective military installations and direct the base commanders with secure hold areas to implement the training with appropriate staff.	2 years 8 days

**Report No. DODIG-2020-082, "Evaluation of the DoD's Management of Health and Safety Hazards in Government-Owned and Government-Controlled Military Family Housing," April 30, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Office of the Assistant Secretary of the Army for Installations, Energy and Environment update Service policies to align with revisions to DoD policy for health and safety hazard management.	1 year 11 months 1 day
<b>Rec. 2.b:</b> The DoD OIG recommended that the Office of the Assistant Secretary of the Army for Installations, Energy and Environment develop oversight policies and procedures to assess the management of health and safety hazards in Government-Owned/Government-Controlled military family housing.	1 year 11 months 1 day
<b>Rec. 2.c:</b> The DoD OIG recommended that the Office of the Assistant Secretary of the Army for Installations, Energy and Environment direct installation officials to correct the specific lead-based paint, asbestos-containing material, radon, and fire safety health and safety hazard management deficiencies discussed in this report.	1 year 11 months 1 day



## APPENDIX A

*Department of the Army (cont'd)*

**Report No. DODIG-2020-087, "Audit of Training of Mobile Medical Teams in the U.S. Indo-Pacific Command and U.S. Africa Command Areas of Responsibility," June 8, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Surgeons General of the Army, Navy, and Air Force issue guidance implementing the Joint Trauma Education and Training Branch's standardized training program for all mobile medical teams.	1 year 9 months 23 days
<b>Rec. 5:</b> The DoD OIG recommended that the Surgeons General of the Army, Navy, and Air Force, in coordination with the Command Surgeons of the U.S. Indo-Pacific Command and U.S. Africa Command, require that all mobile medical team personnel individually complete standardized post-deployment after action reports, using the Joint Trauma System Performance Improvement Branch template, before redeploying to their home station, and submit them to the Joint Lessons Learned Information System for the purposes of the Lessons Learned program.	1 year 9 months 23 days

**Report No. DODIG-2020-094, "Audit of Army Contracting Command-Afghanistan's Award and Administration of Contracts," June 18, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Procurement develop and implement a written plan to engage the Army Contracting Command-Headquarters in developing and testing the new Army Contract Writing System to ensure the new system provides contingency contracting personnel with the capabilities necessary to effectively award and administer contracts in a contingency environment, such as Afghanistan.	1 year 9 months 13 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Procurement develop and implement a written plan to provide contracting officials access to the Army Contract Writing System in the field for testing before the system achieves full operational capability and for identifying any potential issues or challenges unique to the contingency operating environment, including the ability to operate the system effectively under unreliable network conditions.	1 year 9 months 13 days

**Report No. DODIG-2020-097, "Audit of Protective Security Details in the Department of Defense," June 30, 2020 (Full Report is FOUO-Law Enforcement Sensitive)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.5.a:</b> The DoD OIG recommended that the Commandant of the U.S. Army Military Police School update Army Techniques Publication 3-39.35, "Protective Services," May 2013, to comply with any changes to DoD Instruction O-2000.22 regarding the number of personnel and number of days of advance work, an agent should perform at the mission location.	1 year 9 months 1 day

## APPENDIX A

**Department of the Army – Report No. DODIG-2020-097 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.5.b:</b> The DoD OIG recommended that the Commandant of the U.S. Army Military Police School update Army Techniques Publication 3-39.35, “Protective Services,” May 2013, to comply with any changes to DoD Instruction O-2000.22 regarding the use of security control rooms.	1 year 9 months 1 day
<b>Rec. C.2:</b> The DoD OIG recommended that the Commandant of the U.S. Army Military Police School update Army Techniques Publication 3-39.35, “Protective Services,” May 2013, to comply with any changes to the DoD Instruction O-2000.22 regarding the number of agents necessary to perform a protective security detail by high-risk personnel level.	1 year 9 months 1 day
<b>Rec. C.3:</b> The DoD OIG recommended that the Commanding General of the U.S. Army Criminal Investigation Command modify the number of personnel assigned to protect each individual HRP and the number of personnel used on each mission to comply with the DoD Instruction O-2000.22.	1 year 9 months 1 day

**Report No. DODIG-2020-098, “Audit of Governance and Protection of Department of Defense Artificial Intelligence Data and Technology,” June 29, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to configuring all systems to lock automatically after 15 minutes of inactivity.	1 year 9 months 2 days

**Report No. DODIG-2020-127, “Evaluation of the Department of Defense and Department of Defense Education Activity Responses to Incidents of Serious Juvenile-on-Juvenile Misconduct on Military Installations,” September 4, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force update Military Law Enforcement Organization and Military Criminal Investigative Organization policies to require personnel to document in all investigative case files all notifications to civilian legal authorities and installation commanders, and, when possible, the legal and administrative actions taken.	1 year 6 months 27 days

## APPENDIX A

**Department of the Army (cont'd)**

**Report No. DODIG-2021-043, "Audit of Depot-Level Reparable Items at Tobyhanna Army Depot,"  
January 8, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of Army Communications-Electronics Command evaluate the implementation of the corrective actions for long lead items and the Commanders' Critical Information Requirements, determine whether these corrective actions resolved the challenges identified, and if the corrective actions do not resolve the challenges to parts availability, make appropriate adjustments to the actions taken.	1 year 2 months 23 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of Army Communications-Electronics Command evaluate the implementation of the corrective actions for improving parts availability for the Army-managed, the Defense Logistics Agency-managed, and inter-Service-managed parts, as well as accuracy of parts listings. In addition, determine whether these corrective actions resolved the challenges identified, and if resolved, incorporate into policy; if not resolved, make appropriate adjustments to the actions taken.	1 year 2 months 23 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Commander of Army Communications-Electronics Command submit the 463 manufacturer parts that we identified as meeting the criteria for national stock number assignment to the Defense Logistics Agency Logistics Information Service for national stock number assignment.	1 year 2 months 23 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Commander of Army Communications-Electronics Command analyze transactions from February 1, 2020, through the present to identify additional manufacturer parts that meet the national stock number assignment criteria and submit those parts for national stock number assignment.	1 year 2 months 23 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Commander of Army Communications-Electronics Command establish a formal process or procedure for identifying and reporting parts that meet the national stock number assignment criteria and submitting those parts to the Defense Logistics Agency Logistics Information Service for national stock number assignment.	1 year 2 months 23 days

**Report No. DODIG-2021-063, "Audit of Host Nation Logistical Support in the U.S. European Command,"  
March 23, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the U.S. Army Europe Commander identify host nation support requirements needed to execute Operation Plan 4020-19 through the U.S. European Command's Support Access Working Group.	1 year 8 days

## APPENDIX A

### Department of the Army – Report No. DODIG-2021-063 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b:</b> The DoD OIG recommended that the U.S. Army Europe Commander provide the host nation support requirements to the Director of Logistics of the U.S. European Command through the U.S. European Command's Support Access Working Group.	1 year 8 days

### Report No. DODIG-2021-071, "Audit of DoD Hotline Allegation Concerning U.S. Army Communications-Electronics Command Billings to Customers," April 5, 2021

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) initiate a preliminary review of the potential Antideficiency Act violations resulting from our samples. The review should be completed within 4 months of the Assistant Secretary's direction to initiate the review, and the results of the preliminary review should be provided to the DoD Office of Inspector General.	11 months 26 days
<b>Rec. 3.c.1:</b> The DoD OIG recommended that the Commander of U.S. Army Communications-Electronics Command establish processes to reduce the yearend number of productive hours not assigned to specific Work Breakdown Structures. Specifically, require employees to update their timecards throughout the year to reflect the correct Work Breakdown Structures on which they are working in order to utilize automated calculations to determine expenses and reduce the need for manual assessments.	11 months 26 days
<b>Rec. 3.c.2:</b> The DoD OIG recommended that the Commander of U.S. Army Communications-Electronics Command establish processes to reduce the yearend number of productive hours not assigned to specific Work Breakdown Structures. Specifically, require supervisors to identify and reconcile employees' productive hours not assigned to a specific Work Breakdown Structure on a biweekly basis.	11 months 26 days
<b>Rec. 4.a.1:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate reduce the use of assessments without creating and maintaining supporting documentation with sufficient detail. Specifically, establish a procedure for creating a Work Breakdown Structure once the availability of customer funding can be certified, allowing employees to assign their productive hours to the Work Breakdown Structures instead of a cost center.	11 months 26 days
<b>Rec. 4.a.2:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate reduce the use of assessments without creating and maintaining supporting documentation with sufficient detail. Specifically, maintain supporting documentation that identifies why the assessments were made; what the assessments represent; and how the assessments' actual costs were determined.	11 months 26 days

## APPENDIX A

*Department of the Army – Report No. DODIG-2021-071 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4.a.3.a:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate reduce the use of assessments without creating and maintaining supporting documentation with sufficient detail. Specifically, discontinue the process of using assessments and centralized accounts to hold excess funds, and instead transfer funds directly between Work Breakdown Structures using obligations and deobligations (provided the funds are for the same customer and the support agreement permits the transfer).	11 months 26 days
<b>Rec. 4.a.3.b:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate reduce the use of assessments without creating and maintaining supporting documentation with sufficient detail. Specifically, discontinue the process of using assessments and centralized accounts to hold excess funds, and instead return excess funds to customers.	11 months 26 days
<b>Rec. 4.a.3.c:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate reduce the use of assessments without creating and maintaining supporting documentation with sufficient detail. Specifically, discontinue the process of using assessments and centralized accounts to hold excess funds, and instead retain documentation identifying the nature, amounts, and approvals of transfers.	11 months 26 days
<b>Rec. 4.b.1:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate provide substantiated actual labor charges. Specifically, provide staff a thorough understanding and training on the General Fund Enterprise Business System's labor charge calculations and maintaining appropriate supporting documentation.	11 months 26 days
<b>Rec. 4.b.2:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate provide substantiated actual labor charges. Specifically, maintain records of timecards to properly support the hours charged to each Work Breakdown Structure.	11 months 26 days
<b>Rec. 4.c:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate implement procedures requiring the creation and maintenance of sufficient documentation to substantiate funding activities, indicating at a minimum, amount received or returned; date of the transaction; appropriation providing the funds; Work Breakdown Structure receiving the funds; and approval of the transfer.	11 months 26 days
<b>Rec. 4.d:</b> The DoD OIG recommended that the Director of the U.S. Army Communications-Electronics Command Resource Management Directorate establish a process to identify and correct charges that were made to a Work Breakdown Structure before the receipt of an established support agreement and order.	11 months 26 days

## APPENDIX A

**Department of the Army (cont'd)**

**Report No. DODIG-2021-090, "Audit of Munitions Storage in the U.S. European Command," June 10, 2021  
(Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Commander of Panzer Kaserne provide a corrective action plan, within 90 days of the date of this report, outlining the Commander plans to correct the deficiencies in Appendix B, Table 5, with estimated dates of completion or provide documentation that appropriate leadership has assessed and accepted the risk of the remaining deficiencies.	9 months 21 days
<b>(CUI) Rec. A.1.b:</b> The DoD OIG recommended that the Commander of Panzer Kaserne [REDACTED]	9 months 21 days
<b>(CUI) Rec. A.1.c:</b> The DoD OIG recommended that the Commander of Panzer Kaserne [REDACTED]	9 months 21 days
<b>(CUI) Rec. A.1.e:</b> The DoD OIG recommended that the Commander of Panzer Kaserne [REDACTED]	9 months 21 days
<b>Rec. A.2.a:</b> Recommendation is Classified.	9 months 21 days
<b>Rec. A.2.b:</b> Recommendation is Classified.	9 months 21 days
(CUI)	

**Report No. DODIG-2021-091, "Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease–2019 Pandemic Response in the U.S. Northern Command Area of Responsibility," June 9, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Director, Army Budget, Office of the Assistant Secretary of the Army (Financial Management and Comptroller), immediately require tasked units to review costs incurred for mission assignments 4480DR-NY-DOD-10, 4480DR-NY-DOD-11, 4480DR-NY-DOD-12, and 4481DR-WA-DOD-02 as of July 31, 2020, for completeness and accuracy, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.	9 months 22 days

## APPENDIX A

*Department of the Army – Report No. DODIG-2021-091 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a.1:</b> The DoD OIG recommended that the Director, Army Budget, Office of the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Financial Management Augmentation Team, develop and provide to tasked units, an Army-specific desk manual for mission assignments that includes, at a minimum, step-by-step procedures for initial set-up, real-time cost input, cost tracking, cost reporting, and submitting partial and final billings to ensure compliance with DoD policy.	9 months 22 days
<b>Rec. 3.a.2:</b> The DoD OIG recommended that the Director, Army Budget, Office of the Assistant Secretary of the Army (Financial Management and Comptroller) train tasked-unit personnel on how to navigate the Army-specific desk manual and apply the procedures appropriately.	9 months 22 days

**Report No. DODIG-2021-093, “Audit of the Department of Defense’s Sea Transportation and Storage of Arms, Ammunition, and Explosives,” June 11, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Commander of Joint Munitions Command implement a control for depots to follow the Defense Transportation Regulation requirement to place copies of DD Form 2890, “DoD Multimodal Dangerous Goods Declaration,” in waterproof envelopes, and attach the envelopes to the outside and inside door of the container.	9 months 20 days

**Report No. DODIG-2021-094, “Audit of Department of Defense Joint Bases,” June 18, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Secretary of Defense for Sustainment, take appropriate action to resolve the disagreements at Joint Base Lewis-McChord surrounding the designation and authority of the joint base commander.	9 months 13 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Secretary of Defense for Sustainment, take appropriate action to resolve the disagreements at Joint Base Lewis-McChord surrounding the transfer and delivery of logistics support functions.	9 months 13 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment establish clear order of precedence between the memorandum of agreement, joint base policy, and Service policies for joint bases.	9 months 13 days

## APPENDIX A

### Department of the Army – Report No. DODIG-2021-094 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment develop internal procedures to ensure joint base needs are considered in Service-specific processes and decisions.	9 months 13 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment develop collaborative processes between the Services to identify and implement best practices at joint bases.	9 months 13 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment conduct a review of all joint bases, to include the memorandum of agreement to identify potential command authority and organizational conflicts, and assess the effectiveness of support systems to joint bases.	9 months 13 days
<b>Rec. 3.e:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment establish training or direct joint base commanders to issue local guidance to ensure installation personnel comply with established processes to update the memorandum of agreement and implement its current provisions.	9 months 13 days
<b>Rec. 5:</b> Based on the results of actions taken by the Assistant Secretary of Defense for Sustainment to address Recommendation 4.d, the DoD OIG recommended that the Joint Base Commander, Joint Base Lewis-McChord, work with the Joint Base Management Oversight Structure to determine whether the Joint Base Partnership Council should submit a policy variance request to the Joint Base Management Oversight Structure to permit the dual-hatting of the deputy commander position.	9 months 13 days

### Report No. DODIG-2021-095, “Audit of Accounting Corrections on the SF 1081,” June 25, 2021

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.3:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) implement capabilities within General Fund Enterprise Business System or find alternative automated solutions to maintain an audit trail for systemically generated SF 1081 transactions. The capabilities should ensure that an adequate audit trail for system-generated transactions is readily available for management, service providers, and other stakeholders.	9 months 6 days



## APPENDIX A

*Department of the Army (cont'd)*

**Report No. DODIG-2021-096, "Audit of the Military Departments' Purchases of Aviation Fuel and Non-Fuel Services Using the Aviation Into-Plane Reimbursement (AIR) Card," June 25, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 5.a:</b> The DoD OIG recommended that the Division Chief, Supply, Headquarters Department of the Army, Office of the Deputy Chief of Staff, direct the Component Program Manager to require program officials to reconcile fuel receipts for purchases made from non-contract merchants against the contract fuel price available at fueling locations.	9 months 6 days
<b>Rec. 5.b:</b> The DoD OIG recommended that the Division Chief, Supply, Headquarters Department of the Army, Office of the Deputy Chief of Staff, direct the Component Program Manager to hold card users, accountable officials, and certifying officials accountable for non-contract purchases that result in wasted funds.	9 months 6 days
<b>Rec. 5.c:</b> The DoD OIG recommended that the Division Chief, Supply, Headquarters Department of the Army, Office of the Deputy Chief of Staff, direct the Component Program Manager to review the Fiscal Year 2020 Fuel Capacity Report, determine the reasons capacity was exceeded, provide what actions the program officials took to correct violations of policy, and describe the impact of the actions.	9 months 6 days
<b>Rec. 5.d:</b> The DoD OIG recommended that the Division Chief, Supply, Headquarters Department of the Army, Office of the Deputy Chief of Staff, direct the Component Program Manager to review all Aviation Into-Plane Reimbursement Card accounts and correct inaccurate or incomplete fuel capacity information.	9 months 6 days
<b>Rec. 5.e:</b> The DoD OIG recommended that the Division Chief, Supply, Headquarters Department of the Army, Office of the Deputy Chief of Staff, direct the Component Program Manager to review, at least monthly, the report created to identify transactions at high risk of being unreasonable and ensure Aviation Into-Plane Reimbursement Card officials dispute charges within the required timeframe.	9 months 6 days

**Report No. DODIG-2021-127, "Followup Audit of Army Oversight of Logistics Civil Augmentation Program IV Government-Furnished Property in Afghanistan," September 22, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Commanding General of the Army Contracting Command review the issues discussed in this report and publish lessons learned related to Government-furnished property accountability for Logistics Civil Augmentation Program contracts. These issues include reconciliation of Government-furnished property contract records and implementation of the item-unique identification requirement.	6 months 9 days

## APPENDIX A

### Department of the Army (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Commanding General of the Army Sustainment Command review the issues discussed in this report and publish lessons learned related to Government-furnished property accountability for Logistics Civil Augmentation Program contracts. These issues include backlogs of Government-furnished property transactions; lack of internal communication and implementation of Government-furnished property reconciliation and accountability policy; and implementation of the item-unique identification requirement.	6 months 9 days

### Report No. DODIG-2022-013, "Evaluation of Department of Defense Compliance at Sites Conducting Open Burning or Open Detonation of Waste Military Munitions in the United States," November 4, 2021

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Commander of Joint Munitions Command, nominate contracting officer representatives to provide oversight of contractor open burning at the Government owned, contractor operated facilities.	4 months 27 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Senior Contracting Official of Army Contracting Command-Rock Island, appoint trained contracting officer representatives that are nominated by the Commander of Joint Munitions Command.	4 months 27 days
<b>Rec. B.2.a:</b> The DoD OIG recommended that the Commander of Joint Munitions Command, submit clear requirements to the Commander of Army Contracting Command-Rock Island for use when developing performance work statement requirements and quality assurance surveillance plans to evaluate contractor open burning of waste munitions and waste munition items.	4 months 27 days
<b>Rec. B.2.b:</b> The DoD OIG recommended that the Senior Contracting Official of Army Contracting Command-Rock Island, modify the existing munitions performance work statements to include specific requirements for evaluating contractor open burning of waste munitions and waste munition items in recommendation B.2.a.	4 months 27 days
<b>Rec. B.3:</b> The DoD OIG recommended that the Senior Contracting Official of Army Contracting Command-Rock Island and the Commander of Joint Munitions Command contracting officers and the appointed contracting officer's representatives develop quality assurance surveillance plans for all existing Government-owned, contractor-operated munitions contracts that details how and when the Government will survey, observe, test, sample, evaluate, and document contractor performance according to the specific open burning requirements established in Recommendation B.2.b.	4 months 27 days

## APPENDIX A

**Department of the Army – Report No. DODIG-2022-013 (cont'd)**

**Report No. DODIG-2022-028, “Audit of the U.S. Army Corps of Engineers Compliance With the Digital Accountability and Transparency Act of 2014,” November 8, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the U.S. Army Corps of Engineers Chief of Engineers and Commanding General provide documentation to support that the coding error that removed coronavirus disease–2019 outlays from File C was corrected for the fourth quarter of FY 2020 and subsequent submissions.	4 months 23 days
<b>Rec. 2:</b> The DoD OIG recommended that the U.S. Army Corps of Engineers Chief of Engineers and Commanding General revise and implement the U.S. Army Corps of Engineers data quality plan to be consistent with Federal guidance, by including a process for ensuring that disaster and emergency relief funds are accurate and complete before making monthly and quarterly Digital Accountability and Transparency Act submissions.	4 months 23 days

**Report No. DODIG-2022-035, “Evaluation of Special Victim Investigation and Prosecution Capability Within the Department of Defense,” November 10, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the U.S. Army Criminal Investigation Division Director, Naval Criminal Investigative Service Director, and Office of Special Investigations Commander, conduct a review to determine the resource requirements to train, certify, and assign additional criminal investigators to serve as lead investigators in investigations of covered offenses. The review should be completed in conjunction with the Service Secretaries with the authority to provide additional resources.	4 months 21 days

**Report No. DODIG-2022-053, “Audit of the Department of Defense Foreign Military Sales Acquisition Process,” January 12, 2022 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology provide the results, upon completion, of all corrective actions initiated during the audit to improve the accuracy of the foreign military sales acquisition milestone data in the Army automated information systems used to meet the reporting requirements of Section 887 of the National Defense Authorization Act for FY 2018.	2 months 19 days

## APPENDIX A

*Department of the Army (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. B.1.b:</b> The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology establish guidance, controls and oversight to improve the accuracy of the foreign military sales acquisition milestone data in the Army automated information systems used to meet the reporting requirements of Section 887 of the National Defense Authorization Act for FY 2018. These actions should, at a minimum, include the following.</p> <ul style="list-style-type: none"> <li>• Identify the specific Army organizations responsible for developing and inputting the dates for acquisition requirements package required, acquisition requirements package complete, estimated or target contract award, and actual contract award.</li> <li>• Provide instructions on how the dates should be established and modified for the different types of acquisition scenarios including if a case will require a new contract or if the case requirements will be added onto an existing government contract through a modification or other means.</li> <li>• Require documentation of the process flow and calculation methodologies for system-calculated or system-fed dates.</li> <li>• Require the investigation and correction of inaccurate and blank (incomplete) dates.</li> <li>• Require the retention of evidence supporting the establishment of the dates for management, congressional, and audit use.</li> </ul>	2 months 19 days

**Report No. DODIG-2022-061, "Audit of the Protection of Military Research Information and Technologies Developed by Department of Defense Academic and Research Contractors," February 22, 2022**  
**(Full Report is CUI//NOFORN)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2:</b> The DoD OIG recommended that the Commanding General of the Army Contracting Command direct contracting officers to verify that Contractor J implements physical security controls to detect unauthorized access to contractor facilities.</p>	1 month 9 days

## APPENDIX A

**Department of the Army (cont'd)****Report No. DODIG-2022-069, "Audit of Department of Defense Small Business Subcontracting Requirements," March 7, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.7:</b> The DoD OIG recommended that the Commander of the Mission and Installation Contracting Command perform a review of the two contracts for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractors complied with subcontracting limitations. The reviews should include, at a minimum, collecting information related to amounts the prime contractors paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractors paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractors by the Government. If the percentages exceed established subcontracting limitations, the Commander should determine whether the small business prime contractors are subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Commander should report the non-compliance with subcontracting limitations in the contractors' performance information.	24 days

**Report No. DODIG-2022-071, "Audit of Active Duty Service Member Alcohol Misuse Screening and Treatment," March 10, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.3.a:</b> The DoD OIG recommended that the Director of the Army Resilience Directorate should update Army Regulation 600-85, "The Army Substance Abuse Program," July 23, 2020, to require commanders and other unit leadership to receive substance use training annually.	21 days
<b>Rec. B.3.b:</b> The DoD OIG recommended that the Director of the Army Resilience Directorate should update Army Regulation 600-85, "The Army Substance Abuse Program," July 23, 2020, to require training components to review annually a sample of Service members to determine whether Service members have received their required substance use training.	21 days

## APPENDIX A

**Department of the Army (cont'd)**

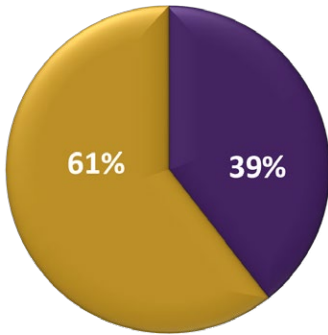
**Report No. DODIG-2022-073, "Audit of DoD Hotline Allegations Concerning the DoD Ordnance Technology Consortium Award Process," March 21, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the DoD Ordnance Technology Consortium Program Office, in coordination with Army contracting personnel, implement controls over the source selection process to ensure that all individual technical evaluators document their evaluations in the Business Information Database System and ensure those evaluations are included in the contract files. Further, the DoD Ordnance Technology Consortium Program Office should update training provided to the technical evaluators emphasizing that each individual must upload their own technical evaluations into the Broad Agency Announcement Information Delivery System.	10 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the DoD Ordnance Technology Consortium Program Office, in coordination with Army contracting personnel, provide additional training to source selection officials to highlight what information can and cannot be included in source selection decision making, and what supporting documentation is required.	10 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the DoD Ordnance Technology Consortium Program Office, in coordination with Army contracting personnel, implement controls over the source selection decision process to ensure that selection decisions properly reflect only the documentation obtained during the source selection process, and that those decisions are properly documented to ensure transparency of awards in accordance with the Office of the Under Secretary of Defense for Acquisition and Sustainment Other Transactions Guide.	10 days
<b>Rec. A.1.d:</b> The DoD OIG recommended that the DoD Ordnance Technology Consortium Program Office, in coordination with Army contracting personnel, implement controls over the award process to ensure source selection officials request additional proposal and cost information when needed to make informed selection decisions based on complete information and do not wait until a contractor is selected to address all information gaps during statement of work negotiations.	10 days
<b>Rec. A.1.e:</b> The DoD OIG recommended that the DoD Ordnance Technology Consortium Program Office, in coordination with Army contracting personnel, update the training provided to requiring activities to include how to properly obtain additional source selection information prior to award. The training should also include additional guidance regarding the existing two-step process to ensure requiring activities understand the two-step process and when it can be used to the Government's advantage.	10 days

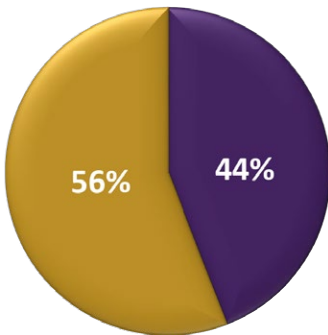
## APPENDIX A

### Statistics – Department of the Navy

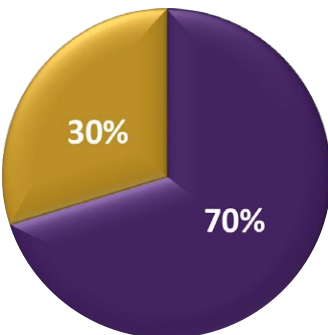
**Recommendations from  
2019 Compendium**





**Recommendations from  
2020 Compendium**

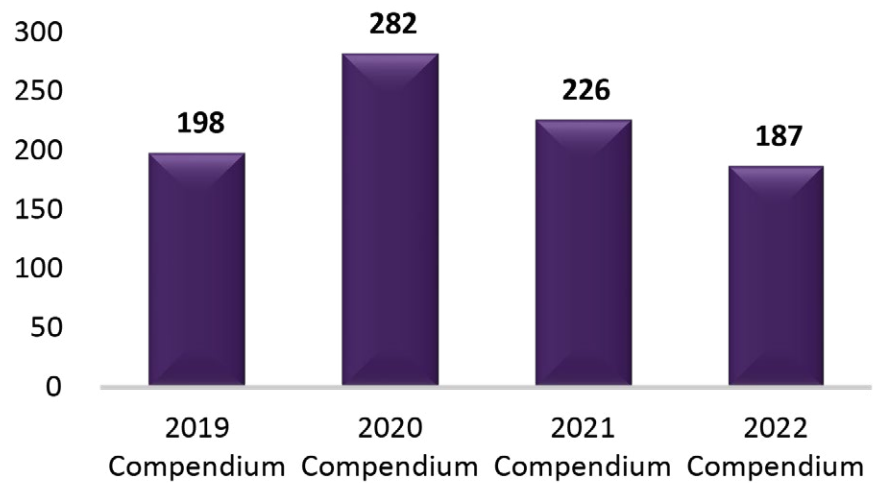


**Recommendations from  
2021 Compendium**



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

**Open Recommendations**



Number of Unresolved Recommendations	10
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Number of Recommendations Open Longer than 5 Years	29
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Potential Monetary Benefits	\$551.1 million
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## APPENDIX A

### *Department of the Navy*

**Report No. DODIG-2012-122, “DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access,” August 29, 2012 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs), in conjunction with the Assistant Secretary of the Army (Installations, Energy, and Environment); Commander of Headquarters Air Force Security Forces Center; Deputy Chief of Naval Operations for Fleet Readiness and Logistics; and Assistant Deputy Commandant of Plans, Policies and Operations (Security), U.S. Marine Corps, before approving physical access control systems for a location require installation security personnel to be involved during the site surveys.	9 years 7 months 2 days

**Report No. DODIG-2015-081, “Evaluation of Department of Defense Compliance with Criminal History Data Reporting Requirements,” February 12, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Secretaries of the Navy and Air Force take prompt action to submit the missing 304 fingerprints and 334 final disposition reports to the Federal Bureau of Investigation for inclusion into the Integrated Automated Fingerprint Identification System.	7 years 1 month 19 days

**Report No. DODIG-2015-102, “Additional Actions Needed to Effectively Reconcile Navy’s Fund Balance With Treasury Account,” April 3, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a reconciliation process that is based on detail-level transaction data from Department of the Navy’s general ledger systems. As part of this process, the Department of the Navy needs to demonstrate how these detail-level transactions are used in the preparation of their financial statements.	6 years 11 months 28 days
<b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review the control weaknesses identified for the Defense Cash Accountability System and Program Budget Information System during Federal Information System Controls Audit Manual testing and implement a plan to reduce ineffective or untested controls.	6 years 11 months 28 days
<b>Rec. 3:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review and approve current standard operating procedures to ensure the Fund Balance With Treasury reconciliation is completed according to Treasury and DoD policies and that reconciliations are tested and proven to be a sustainable and repeatable process.	6 years 11 months 28 days



## APPENDIX A

*Department of the Navy (cont'd)*

**Report No. DODIG-2015-114, "Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance," May 1, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Naval Sea Systems Command Commander develop and implement procedures for contract registration, including procedures to validate that personnel properly register contracts.	6 years 10 months 30 days
<b>Rec. 4.a:</b> The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to prepare performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy.	6 years 10 months 30 days
<b>Rec. 4.b:</b> The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement quality control procedures for evaluating performance assessment report narratives and descriptions of the contract purpose.	6 years 10 months 30 days
<b>Rec. 4.c:</b> The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to take initial and periodic refresher quality and narrative writing training for the Contractor Performance Assessment Reporting System.	6 years 10 months 30 days
<b>Rec. 5:</b> The DoD OIG recommended that the Commanders of Naval Air Systems Command, Naval Sea Systems Command, Space and Naval Warfare Systems Center Atlantic, and Space and Naval Warfare Systems Center Pacific and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, train or re-emphasize to assessors the definitions of the ratings and what is required to justify each rating, as outlined in the Federal Acquisition Regulation.	6 years 10 months 30 days

## APPENDIX A

### *Department of the Navy (cont'd)*

**Report No. DODIG-2015-122, "Naval Air Systems Command Needs to Improve Management of Waiver Requests," May 15, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.1:</b> The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition and the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology, update Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," and Secretary of the Navy Manual, M-5000.2, "Acquisition and Capabilities Guidebook," May 9, 2012, Section 4.6, "Certification of Readiness for Operational Testing," to:</p> <ol style="list-style-type: none"> <li>emphasize that program managers must request waivers whenever they do not meet any of the 20 criteria the Secretary of the Navy Instruction 5000.2E requires programs to meet to certify readiness for initial operational test and evaluation; and,</li> <li>clarify that Operational Test Readiness Review briefings to stakeholder groups should include specific explanations of program accomplishments against each of the 20 certification criteria to clearly document either that the criteria was met or a waiver or deferral request was coordinated with the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology; the program sponsors; and the Commander of Operational Test and Evaluation Force.</li> </ol>	6 years 10 months 16 days

**Report No. DODIG-2015-142, "Navy's Contract/Vendor Pay Process Was Not Auditable," July 1, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a process or system interface between Navy Enterprise Resource Planning and Wide Area Work Flow that provides timely processing of transactions and update the Department of the Navy's system business processes to ensure transactions are processed in compliance with the Statement of Federal Financial Accounting Standards 1.</p>	6 years 8 months 30 days

**Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel," July 6, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.b:</b> The DoD OIG recommended that the Commander of the Naval Supply Systems Command implement controls in the Defense Travel System to automatically route all travel orders for travel outside of the continental United States to transportation office personnel to check Patriot Express availability before booking commercial transportation.</p>	6 years 8 months 25 days

## APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 6:</b> The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel, the Chief of Naval Personnel, and the Air Force Deputy Chief of Staff for Manpower, Personnel, and Services ensure that programs of instruction for commissioned and noncommissioned officers include the updated guidance regarding religious accommodations contained in Department of Defense Instruction 1300.17.	6 years 8 months 9 days

**Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Secretary of the Navy revise Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," September 1, 2011, after the Vice Chairman of the Joint Chiefs of Staff revises the Joint Capabilities Integration and Development System Manual in response to Recommendation 1.	6 years 6 months 17 days
<b>Rec. 3:</b> The DoD OIG recommended that the Naval Sea Systems Command Commander revise Naval Sea System Command Instruction 3960.2D, "Test and Evaluation," April 22, 1988, to implement the Navy policy in the planned revision of Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," planned for designation as Secretary of the Navy Instruction 5000.2E.	6 years 6 months 17 days

**Report No. DODIG-2015-181, "Continental United States Military Housing Inspections-Southeast," September 24, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.1:</b> The DoD OIG recommended that the Naval Station Mayport Commander conduct an effective root cause analysis and perform corrective actions for all fire protection deficiencies identified.	6 years 6 months 7 days

## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and the U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	6 years 4 months 7 days

**Report No. DODIG-2016-054, "Navy Controls for Invoice, Receipt, Acceptance, and Property Transfer System Need Improvement," February 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to develop and communicate comprehensive procedures to out-process Invoice, Receipt, Acceptance, and Property Transfer system users who leave the commands. Both users and supervisors should provide a formal notification to the Invoice, Receipt, Acceptance, and Property Transfer system group administrator indicating that a user is separating from the command and the corresponding system access should end.	6 years 1 month 6 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review the Invoice, Receipt, Acceptance, and Property Transfer system to verify that the Defense Logistics Agency's automated control for inactive users is working properly and ensure separated employees user accounts were automatically disabled.	6 years 1 month 6 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review other commands that use the Navy Enterprise Resource Planning system and direct the commands to disable the certifying officer role in the Invoice, Receipt, Acceptance, and Property Transfer system if their duties do not require it.	6 years 1 month 6 days

## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2016-107, "Advanced Arresting Gear Program Exceeded Cost and Schedule Baselines," July 5, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Program Manager for the Aircraft Launch and Recovery Equipment update the Advanced Arresting Gear Test and Evaluation Master Plan to revise the planned test strategy, test schedule, developmental and operational funding and add measures to support the program's reliability growth plan before the Acquisition Category IC Acquisition Program Baseline is finalized.	5 years 8 months 26 days

**Report No. DODIG-2016-130, "The Navy Needs More Comprehensive Guidance for Evaluating and Supporting Cost-Effectiveness of Large-Scale Renewable Energy Projects," August 25, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Assistant Secretary of the Navy for Energy, Installations, and Environment, once new or modified guidance is issued, determine whether approved renewable energy projects are cost-effective based on these policies and procedures and take appropriate action based on that determination.	5 years 7 months 6 days

**Report No. DODIG-2017-043, "Management of Excess Material in the Navy's Real-Time Reutilization Asset Management Facilities Needs Improvement," January 23, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Chief of Naval Operations develop and implement retention and disposition guidance for excess consumable material in the Real-Time Reutilization Asset Management facilities that includes, at a minimum, standardized procedures for retaining material based on demand, validating material for continued need if the retention decision is not based on demand, and properly categorizing material.	5 years 2 months 8 days

**Report No. DODIG-2017-063, "(CUI) Surface Electronic Warfare Improvement Program (CUI)" March 13, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Program Blocks 1B1 and 1B2 deficiencies.	5 years 18 days
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Block 2 system deficiencies.	5 years 18 days

## APPENDIX A

### Department of the Navy (cont'd)

**Report No. DODIG-2017-067, "Navy Inaccurately Reported Costs for Operation Freedom's Sentinel in the Cost of War Reports," March 16, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Financial Operations, Accounting and Financial Reporting Division, and Deputy Assistant Secretary for Budget, in coordination with the Comptroller, Pacific Fleet Command, and the Comptroller, U.S. Fleet Forces Command, reengineer processes to identify the Navy's transactions for overseas contingency operations.	5 years 15 days
<b>Rec. B.1:</b> The DoD OIG recommended that the Deputy Assistant Secretary for Budget in coordination with Navy budget submitting offices and support activities, develop and implement standard operating procedures that cover end-to-end Cost of War reporting processes. These standard operating procedures should include, at a minimum, procedures for the receipt, review, and reporting of obligations and disbursements for Operation Freedom's Sentinel to ensure costs are accurately reflected in the Cost of War reports.	5 years 15 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary for Budget develop and issue updated guidance that requires Navy activities to, at a minimum, use a consistent methodology for allocating incremental operations and depot-level maintenance costs, as required by Public Law 113-235, "The Consolidated and Further Continuing Appropriations Act, 2015," and defined by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 12, chapter 23.	5 years 15 days

**Report No. DODIG-2017-087, "U.S.-Controlled and -Occupied Military Facilities Inspection-Camp Lemonnier, Djibouti," June 2, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Commander of Navy Region Europe, Africa, Southwest Asia, conduct a root cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report. Ensure that all facility operations and maintenance comply with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and corrective action plan within 90 days of the issuance of this report.	4 years 9 months 29 days
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Commander of Navy Region Europe, Africa, Southwest Asia, conduct a root cause analysis and implement a corrective action plan for all deficiencies identified in this report. Ensure that all facility operations and maintenance complies with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and corrective action plan within 90 days of the issuance of this report.	4 years 9 months 29 days

## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A:</b> The DoD OIG recommended that the Director of Expeditionary Warfare, Office of the Chief of Naval Operations, update Instruction F3501.97H with the new manpower authorization for Explosive Ordnance Disposal Mobile Unit 6, Shore Based Detachment Kings Bay, Georgia; and Explosive Ordnance Disposal Mobile Unit 11, Shore Based Detachment Bangor, Washington.	4 years 8 months 3 days
<b>Rec. C:</b> The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Director, Defense Threat Reduction Agency and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.	4 years 8 months 3 days

**Report No. DODIG-2017-107, "Followup Audit: U.S. Naval Academy Museum Management of Heritage Assets," August 7, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to prioritize the completion of a baseline inventory of all U.S. Naval Academy Museum assets and document the inventory results.	4 years 7 months 24 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to reconcile the U.S. Naval Academy Museum Found-in-Collection and duplicate items with already accessioned inventory items when possible.	4 years 7 months 24 days

**Report No. DODIG-2017-121, "U.S. Africa Command's Management of Acquisition and Cross-Servicing Agreements," September 21, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Research, Development, and Acquisition) designate a Chief of Naval Operations and Headquarters Marine Corps Office of Prime Responsibility to oversee the execution of the Acquisition and Cross-Servicing Agreement program for their respective Service Components.	4 years 6 months 10 days

## APPENDIX A

### Department of the Navy (cont'd)

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.1.b:</b> The DoD OIG recommended that the Secretary of the Navy immediately and comprehensively review all Naval Criminal Investigative Service criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.	4 years 3 months 27 days
<b>Rec. C.1.e:</b> The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	4 years 3 months 27 days
<b>Rec. D.1.a:</b> The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database.	4 years 3 months 27 days
<b>Rec. D.1.b:</b> The DoD OIG recommended that the Secretary of the Navy to immediately and comprehensively review all Navy Security Forces criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.	4 years 3 months 27 days
<b>Rec. D.1.c:</b> The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that Navy Security Forces command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in future Inspector General inspections and is actually conducted.	4 years 3 months 27 days
<b>Rec. D.1.d:</b> The DoD OIG recommended that the Secretary of the Navy take prompt action to comprehensively review Navy Security Forces criminal history reporting programs to ensure that all applicable agency policy, training, fingerprinting, and final disposition report submission processes are consistent with DoD Instruction 5505.11 and have been implemented.	4 years 3 months 27 days
<b>Rec. D.1.e:</b> The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	4 years 3 months 27 days



## APPENDIX A

**Department of the Navy – Report No. DODIG-2018-035 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.1.f:</b> The DoD OIG recommended that the Secretary of the Navy take prompt action to direct the Commander of Naval Installations Command to revise Navy Tactics, Techniques, and Procedures 3-07.2.3 to align with fingerprint card submission requirements set forth in DoD Investigation 5505.11.	4 years 3 months 27 days

**Report No. DODIG-2018-063, “Navy and Marine Corps Management of Relocatable Buildings,”  
January 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.3.a:</b> The DoD OIG recommended that the Commander of Navy Installations Command issue guidance to emphasize that tenant organization personnel on Navy installations should coordinate the acquisition of relocatable buildings with the installation’s Department of Public Works personnel.	4 years 2 months 2 days
<b>Rec. B.4:</b> The DoD OIG recommended that the Chief of Naval Operations revise the Office of the Chief of Naval Operations Instruction 11010.33C to reflect updates made to Department of Defense Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings.	4 years 2 months 2 days

**Report No. DODIG-2018-069, “Navy’s Single-Award Indefinite-Delivery Indefinite-Quantity (IDIQ) Contracts,”  
February 1, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy (Acquisition and Procurement) review the adequacy of existing Navy policies and procedures pertaining to the preparation, review, and reporting of determination and findings documents for single-award, indefinite-delivery indefinite-quantity contracts and ensure that the processes used meet Federal and DoD requirements.	4 years 1 month 30 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy (Acquisition and Procurement), based on the results of the review, provide updated instructions to the workforce, through training or updated guidance, on any areas requiring clarification to ensure the application of Federal and DoD requirements. The updated instructions should clearly define what information must be in the determination and findings document to ensure that the standalone document fully supports a single-award determination, and the processes used to report a determination and findings document to Congress and Defense Procurement and Acquisition Policy.	4 years 1 month 30 days

## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 9:</b> The DoD OIG recommended that the Director for Shore Readiness, Office of Chief of Naval Operations, N46, reevaluate the requirement to field [REDACTED] based on updated threat assessments; potential impact should a [REDACTED] occur; and the current fiscal environment.	4 years 3 days

**Report No. DODIG-2018-109, "Protection of Patient Health Information at Navy and Air Force Military Treatment Facilities," May 2, 2018 (Full Report is FOUO)**

(CUI)	Recommendation Number and Text	Age of Recommendation on 3/31/22
	<b>Rec. 1.a:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center implement appropriate configuration changes to enforce the use of a Common Access Card to access all systems that process, store, and transmit patient health information or obtain a waiver that exempts the systems from using Common Access Cards.	3 years 10 months 29 days
	<b>Rec. 1.b:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center configure passwords for all systems that process, store, and transmit patient health information to meet DoD length and complexity requirements.	3 years 10 months 29 days
	<b>Rec. 1.c:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center develop a plan of action and milestones and take appropriate steps to mitigate known network vulnerabilities in a timely manner.	3 years 10 months 29 days
	<b>Rec. 1.d:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center require written justification for obtaining access to all systems that process, store, and transmit patient health information and implement procedures to grant access to the systems based on roles that align with user responsibilities.	3 years 10 months 29 days
	<b>Rec. 1.e:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center configure all systems that process, store, and transmit patient health information to lock automatically after 15 minutes of inactivity.*	3 years 10 months 29 days
(CUI)		

## APPENDIX A

*Department of the Navy – Report No. DODIG-2018-109 (cont'd)*

(CUI)	Recommendation Number and Text	Age of Recommendation on 3/31/22
	<b>Rec. 1.e:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center configure all systems that process, store, and transmit patient health information to lock automatically after 15 minutes of inactivity.*	3 years 10 months 29 days
	<b>Rec. 1.f:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center appropriately configure and regularly review system audit reports and logs to identify user and system activity anomalies.*	3 years 10 months 29 days
	<b>Rec. 1.f:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center appropriately configure and regularly review system audit reports and logs to identify user and system activity anomalies.*	3 years 10 months 29 days
	<b>Rec. 1.g:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center develop and maintain standard operating procedures for granting access, assigning and elevating privileges, and deactivating user access.	3 years 10 months 29 days
	<b>Rec. 1.h:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center review and identify all systems used to process, store, and transmit patient health information, develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of systems.	3 years 10 months 29 days
	<b>Rec. 1.i:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center develop and maintain access request forms for all users of systems that process, store, and transmit patient health information, and verify, at least annually, the continued need for system access.*	3 years 10 months 29 days
	<b>Rec. 1.i:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center develop and maintain access request forms for all users of systems that process, store, and transmit patient health information, and verify, at least annually, the continued need for system access.*	3 years 10 months 29 days
(CUI)		

## APPENDIX A

**Department of the Navy – Report No. DODIG-2018-109 (cont'd)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that military treatment facilities enforce the use of Common Access Cards to access systems that process, store, and transmit patient health information or obtain a waiver that exempts the systems from using Common Access Cards.	3 years 10 months 29 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that military treatment facilities configure passwords for systems that process, store, and transmit patient health information to meet DoD length and complexity requirements.	3 years 10 months 29 days
<b>Rec. 2.c:</b> The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of systems.	3 years 10 months 29 days
<b>Rec. 2.d:</b> The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that privacy impact assessments are developed and updated for all systems that process, store, and transmit patient health information.	3 years 10 months 29 days
<b>(CUI) Rec. 4:</b> The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, U.S. Naval Ship Mercy, and Wright-Patterson Medical Center [REDACTED] and [REDACTED] for systems that process, store, and transmit patient health information.	3 years 10 months 29 days

\* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes

(CUI)

## APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-117, "Department of the Navy Qualified Recycling Programs," May 10, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller), Office of Financial Operations, develop and implement the following standard operating procedures to provide guidance to Department of the Navy Headquarters personnel on how to oversee the qualified recycling program. The procedures should include the following guidance:</p> <ol style="list-style-type: none"> <li>1. performing assessments of the qualified recycling programs, including review of the financial records and compliance with regulations;</li> <li>2. ensuring duties are appropriately segregated;</li> <li>3. depositing checks into the Department of the Treasury in a timely manner;</li> <li>4. developing complete business plans that are reviewed and updated annually;</li> <li>5. reconciling the revenue and expense transactions with the accounting system; and</li> <li>6. ensuring that checks are made to the Department of the Treasury, the collection of cash is not permitted, and appropriate remedial measures are taken when vendors do not comply with these requirements.</li> </ol>	3 years 10 months 21 days
<p><b>Rec. 2:</b> The DoD OIG recommended that the Commander of Navy Installations Command for Facility Services and the Commander of Marine Corps Installations Command improve the documentation of the sale of recycled materials. Specifically, Commander, Navy Installations Command Instruction 7300.1A and the U.S. Marine Corps Qualified Recycling Program Guidance, Version 2.x. should include a requirement for the qualified recycling program managers to document the list of bidders, response from the bidders, winning bids for recycling material, contract or sales agreement; weight tickets of the materials sold; and market price or other agreed-upon sale price.</p>	3 years 10 months 21 days

**Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) correct the Navy's financial systems to report Treasury Index 97 transactions with the limits established by Defense Finance and Accounting Service-Indianapolis and approved by the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer.</p>	3 years 10 months 8 days

## APPENDIX A

### Department of the Navy (cont'd)

**Report No. DODIG-2018-151, "Military Sealift Command's Maintenance of Prepositioning Ships," September 24, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Director of Military Sealift Command Engineering Directorate update the technical drawings and manuals for its prepositioning fleet.	3 years 6 months 7 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Military Sealift Command Contacting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, ensure that contracting officers appoint a qualified contracting officer's representative or contracting officer's technical representative to conduct regular surveillance of contractors at sea and during shipyard availabilities. Military Sealift Command should also ensure the contracting officer's representative or contracting officer's technical representative executes a quality assurance surveillance plan.	3 years 6 months 7 days

**Report No. DODIG-2019-047, "Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles," January 18, 2019 (Full report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Commander of Naval Air Systems Command require the F/A-18 and T-45 program offices to implement a plan to incorporate future program changes, as necessary. The plan should include the effects of delayed replacement programs and extension of the service life on aircraft maintenance, spare parts, and aircraft inventory management during replacement aircraft acquisition planning.	3 years 2 months 13 days

**Report No. DODIG-2019-063, "Followup Audit on the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.a:</b> Recommendation is Classified.	3 years 13 days
<b>Rec. A.2.c:</b> The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to implement processes to ensure that SECRET Internet Protocol Router Network users complete all required security training before receiving access to the network and ensure that all SECRET Internet Protocol Router Network users complete all required refresher training to maintain network access and ensure compliance with the processes.	3 years 13 days
(CUI)	

## APPENDIX A

**Department of the Navy – Report No. DODIG-2019-063 (cont'd)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. A.2.d:</b> The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to [REDACTED]	3 years 13 days
(CUI) <b>Rec. A.2.e:</b> The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to [REDACTED]	3 years 13 days
(CUI) <b>Rec. B.8:</b> The DoD OIG recommended that the [REDACTED]	3 years 13 days
(CUI)	

**Report No. DODIG-2019-066, “Summary Audit of Systemic Weaknesses in the Cost of War Reports,” March 22, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Under Secretary of the Navy, in coordination with the Assistant Secretary of the Navy for Financial Management and Comptroller and the Deputy Commandant of the Marine Corps for Programs and Resources, develop and implement procedures to capture the required level of detail of war related overseas contingency operation costs in the respective accounting system.	3 years 9 days

**Report No. DODIG-2019-072, “Audit of Consolidated Afloat Networks and Enterprise Services Security Safeguards,” April 8, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> Recommendation is Classified.	2 years 11 months 23 days
<b>Rec. 3.a:</b> Recommendation is Classified.*	2 years 11 months 23 days
<b>Rec. 3.a:</b> Recommendation is Classified.*	2 years 11 months 23 days
(CUI)	

# APPENDIX A

## Department of the Navy – Report No. DODIG-2019-072 (cont'd)

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.d:</b> The DoD OIG recommended that the USS <i>Abraham Lincoln</i> , USS <i>Ronald Reagan</i> , USS <i>Ramage</i> , and USS <i>Russell</i> Commanding Officers, in coordination with the ships' Combat Systems Officers, review and reconcile whether all personnel assigned to each ship have completed Operations Security training and cyber awareness training, and require personnel who have not completed the training to immediately complete the annual security-related training.	2 years 11 months 23 days
<b>(CUI) Rec. 4:</b> The DoD OIG recommended that the [REDACTED]	2 years 11 months 23 days
<b>(CUI) Rec. 4:</b> The DoD OIG recommended that the [REDACTED].*	2 years 11 months 23 days
<b>(CUI) Rec. 4:</b> The DoD OIG recommended that the [REDACTED].*	2 years 11 months 23 days
<b>Rec. 6:</b> Recommendation is Classified.	2 years 11 months 23 days
<b>(CUI) Rec. 7:</b> The DoD OIG recommended that the [REDACTED]	2 years 11 months 23 days
<b>Rec. 8.a:</b> Recommendation is Classified.	2 years 11 months 23 days
<b>Rec. 8.b:</b> Recommendation is Classified.	2 years 11 months 23 days

\* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

(CUI)



## APPENDIX A

### Department of the Navy (con't)

Report No. DODIG-2019-075, "Evaluation of Military Services' Law Enforcement Responses to Domestic Violence Incidents," April 19, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that a comprehensive review of all criminal investigative databases and files is conducted to verify that all subjects of domestic violence incidents from 1998 to present are titled and indexed in the Defense Central Index of Investigation, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	2 years 11 months 12 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that subject fingerprint cards and final disposition reports are collected and submitted to the Federal Bureau of Investigations Criminal Justice Information Services Division database for all subjects that we determined were not submitted, as required by DoD Instruction 5505.11, "Fingerprint Card and Final Disposition Report Submission Requirements," July 21, 2014, as amended.*	2 years 11 months 12 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that subject fingerprint cards and final disposition reports are collected and submitted to the Federal Bureau of Investigations Criminal Justice Information Services Division database for all subjects that we determined were not submitted, as required by DoD Instruction 5505.11, "Fingerprint Card and Final Disposition Report Submission Requirements," July 21, 2014, as amended.*	2 years 11 months 12 days
<b>Rec. B.1.d:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that DNA is collected and submitted to the Defense Forensics Science Center for submission to the Combined DNA Index System for all qualifying subjects that we determined were not submitted, as required by DoD Instruction 5505.14, "Deoxyribonucleic Acid (DNA) Collection Requirements for Criminal Investigations, Law Enforcement, Corrections, and Commanders," December 22, 2015.	2 years 11 months 12 days
<b>Rec. B.1.e:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that the importance of complying with DoD and supplemental Military Service policies related to law enforcement's response to domestic violence incidents when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations is emphasized in writing to all law enforcement organizations.	2 years 11 months 12 days
<b>Rec. B.1.f:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that law enforcement practices, equipment, and supervisory reviews are adequate to comply with DoD policies when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations.	2 years 11 months 12 days

\* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

## APPENDIX A

### Department of the Navy (cont'd)

**Report No. DODIG-2019-084, "Evaluation of the Operations and Management of Military Cemeteries," May 20, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> The DoD OIG recommended that, once the DoD issues its instruction, the Secretaries of the Army, Navy, and Air Force update the cemetery regulations accordingly.	2 years 10 months 11 days

**Report No. DODIG-2019-091, "Evaluation of the DoD's Management of Opioid Use Disorder for Military Health System Beneficiaries," June 10, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Secretary of the Navy modify Marine Corps orders and policies, and memorandums of understanding between the Marine Corps and the Bureau of Medicine and Surgery, to be consistent with Department of Defense Instruction 1010.04, Bureau of Medicine and Surgery Instruction 6010.30, and Department of Defense Instruction 6040.45, to reflect that substance Abuse Counseling Center counselors may not independently make substance use disorder diagnoses without clinical privileges, and all substance use disorder diagnoses must be documented in the DoD Health Record.	2 years 9 months 21 days
<b>Rec. A.3:</b> The DoD OIG recommended that the Secretary of the Navy review all historical records of individuals served by the Marine Corps Substance Abuse Counseling Centers and document all appropriate medical information about substance use disorder diagnosis and treatment within the DoD Health Record, consistent with Department of Defense Instruction 6040.45.	2 years 9 months 21 days
<b>Rec. A.4:</b> The DoD OIG recommended that the Secretary of the Navy certify that all substance use disorder diagnoses are made by a privileged health care provider and that all diagnoses are documented in the DoD Health Record.	2 years 9 months 21 days

**Report No. DODIG-2019-116, "Audit of Contingency Planning for DoD Information Systems," August 21, 2019 (Full Report is FOUO)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>(CUI) Rec. 3:</b> The DoD OIG recommended that the Secretary of the Navy, in coordination with the Navy Chief Information Officer, [REDACTED] based on the revisions made to DoD Instruction 8500.01, "Cybersecurity," March 14, 2014, or any additional guidance provided by the DoD Chief Information Officer.	2 years 7 months 10 days
(CUI)	

## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2020-003, "Audit of DoD's Use of Additive Manufacturing for Sustainment Parts," October 17, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, Under Secretary of Defense for Acquisition and Sustainment, Military Service Secretaries, and the Marine Corps Commandant conduct a review to identify the appropriate funding and number of personnel to pursue benefits of additive manufacturing throughout the DoD.	2 years 5 months 14 days

**Report No. DODIG-2020-006, "Evaluation of the V-22 Engine Air Particle Separator," November 7, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the V-22 Joint Program Office Commander conduct a review of alternatives for the Engine Air Particle Separator and V-22 engine so that the Engine Air Particle Separator adequately protects the V-22 engine in all desert environments.	2 years 4 months 24 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the V-22 Joint Program Office Commander develop a plan to include a sampling of additional soils, whose compositions and concentrations are representative of those found in actual V-22 operational environments, in the testing for the Engine Air Particle Separator and engine.	2 years 4 months 24 days

**Report No. DODIG-2020-030, "Audit of Navy and Defense Logistics Agency Spare Parts for F/A-18 E/F Super Hornets," November 19, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the PMA-265 Program Manager determine the parts or supplies that are obsolete or are limited in quantity and develop and implement a plan to minimize the impact of obsolete materials, including ensuring the parts or supplies are covered by the obsolescence program.	2 years 4 months 12 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the PMA-265 Program Manager develop alternative contracting sources to eliminate delivery delays.	2 years 4 months 12 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the PMA-265 Program Manager develop and implement a strategy to obtain technical data, to obtain access to technical data, or to mitigate the barriers when the contractor owns the data rights in order to increase the Navy's repair capability.	2 years 4 months 12 days

## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2020-040, "Audit of Cost Increases and Schedule Delays for Military Construction Projects at Joint Region Marianas," December 11, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.5:</b> The DoD OIG recommended that the Chief of Naval Operations revise and reissue Office of the Chief of Naval Operations Instruction 11010.20H, "Navy Facilities Projects," to ensure that all Navy military construction projects, including housing projects, follow the same planning and programming process.	2 years 3 months 20 days

**Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 3:</b> The DoD OIG recommended that the [REDACTED]	2 years 3 months 1 day
(CUI) <b>Rec. 5:</b> The DoD OIG recommended that the [REDACTED]	2 years 3 months 1 day
(CUI)	

**Report No. DODIG-2020-056, "Audit of Readiness of Arleigh Burke-Class Destroyers," January 31, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of U.S. Fleet Forces Command, in collaboration with the Commander of Naval Surface Force Atlantic, determine whether Arleigh Burke-class destroyers currently deployed or in the sustainment phase of the Optimized Fleet Response Plan have outstanding training deficiencies.	2 years 2 months
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of U.S. Fleet Forces Command, in collaboration with the Commander of Naval Surface Force Atlantic, direct Arleigh Burke-class destroyers identified under Recommendation 1.a to complete any outstanding training requirements immediately or as soon as the mission allows.	2 years 2 months

## APPENDIX A

**Department of the Navy – Report No. DODIG-2020-056 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Commander of U.S. Pacific Fleet, in collaboration with the Commander of Naval Surface Force, U.S. Pacific Fleet, determine whether Arleigh Burke-class destroyers currently deployed or in the sustainment phase of the Optimized Fleet Response Plan have outstanding training deficiencies.	2 years 2 months
<b>Rec. 2.b:</b> The DoD OIG recommended that the Commander of U.S. Pacific Fleet, in collaboration with the Commander of Naval Surface Force, U.S. Pacific Fleet, direct Arleigh Burke-class destroyers identified under Recommendation 2.a to complete any outstanding training requirements immediately or as soon as the mission allows.	2 years 2 months

**Report No. DODIG-2020-060, “Audit of Contract Costs for Hurricane Recovery Efforts at Navy Installations,” February 12, 2020 (Full Report is FOUO)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<del>(CUI)</del> <b>Rec. B.2.b:</b> The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Mid-Atlantic require the contracting officer to request a refund or a price adjustment for the excess payment identified for Recommendation B.2.a, which could include the [REDACTED] we identified [REDACTED].	2 years 1 month 19 days
(CUI)	

**Report No. DODIG-2020-064, “Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation,” February 21, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Secretary of the Navy take prompt action to require the Navy Security Forces to establish management oversight procedures to verify fingerprints and final disposition reports were submitted to the Federal Bureau of Investigation Criminal Justice Information Services Division.	2 years 1 month 10 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Secretary of Navy take prompt action to establish policy, processes, training, and management oversight procedures for Navy Security Forces personnel to collect and submit Deoxyribonucleic Acid samples to the U.S. Army Criminal Investigation Laboratory for entry into the Federal Bureau of Investigation Combined Deoxyribonucleic Acid Index System as required by DoD Instruction 5505.14.	2 years 1 month 10 days
<b>Rec. 8:</b> The DoD OIG recommended that the Secretary of the Navy revise Secretary of the Navy Instruction 5800.14A to require the DD Form 2791 be provided to the Naval Criminal Investigative Service and the U.S. Marshals Service National Sex Offender Targeting Center as required by DoD Instruction 5525.20.	2 years 1 month 10 days

## APPENDIX A

**Department of the Navy – Report No. DODIG-2020-064 (cont'd)**

**Report No. DODIG-2020-066, “Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems,” March 2, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> Recommendation is Classified.	2 years 29 days
<b>Rec. A.1.c:</b> Recommendation is Classified.	2 years 29 days
<b>Rec. A.3.a.1:</b> Recommendation is Classified.	2 years 29 days
<b>Rec. A.3.a.2:</b> Recommendation is Classified.	2 years 29 days
<b>Rec. A.3.a.3:</b> Recommendation is Classified.	2 years 29 days
<b>Rec. A.3.b:</b> Recommendation is Classified.	2 years 29 days

**Report No. DODIG-2020-082, “Evaluation of the DoD’s Management of Health and Safety Hazards in Government-Owned and Government-Controlled Military Family Housing,” April 30, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Office of the Assistant Secretary of the Navy for Energy, Installations and Environment (on behalf of the U.S. Navy and U.S. Marine Corps) update Service policies to align with revisions to DoD policy for health and safety hazard management.	1 year 11 months 1 day
<b>Rec. 3.b:</b> The DoD OIG recommended that the Office of the Assistant Secretary of the Navy for Energy, Installations and Environment (on behalf of the U.S. Navy and U.S. Marine Corps) develop oversight policies and procedures to assess the management of health and safety hazards in Government-Owned/ Government-Controlled military family housing.	1 year 11 months 1 day
<b>Rec. 3.c:</b> The DoD OIG recommended that the Office of the Assistant Secretary of the Navy for Energy, Installations and Environment (on behalf of the U.S. Navy and U.S. Marine Corps) direct installation officials to correct the specific lead-based paint, asbestos-containing material, and radon health and safety hazard management deficiencies discussed in this report.	1 year 11 months 1 day

## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2020-084, "Audit of Military Department Management of Undefined Contract Actions," May 11, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Procurement implement the use at Navy contracting activities of updated Defense Federal Acquisition Regulation Supplement Part 215 and DD Form 1547, "Weighted Guidelines," once it is issued, when determining profit for future Undefined Contract Actions.	1 year 10 months 20 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Procurement require the head of each contracting activity to establish a process by which the contracting officers who have not received a qualifying proposal in accordance with the Undefined Contract Action definitization schedule to immediately report to their Head of the Contracting Activity on why a qualifying proposal was not received, how they plan to obtain a qualifying proposal within 60 days or less, and if payments will be withheld.	1 year 10 months 20 days

**Report No. DODIG-2020-087, "Audit of Training of Mobile Medical Teams in the U.S. Indo-Pacific Command and U.S. Africa Command Areas of Responsibility," June 8, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Surgeons General of the Army, Navy, and Air Force issue guidance implementing the Joint Trauma Education and Training Branch's standardized training program for all mobile medical teams.	1 year 9 months 23 days
<b>Rec. 3:</b> The DoD OIG recommended that the Surgeons General of the Army, Navy, and Air Force update training curriculums at the Military medical training commands for tactical training of mobile medical teams.	1 year 9 months 23 days
<b>Rec. 5:</b> The DoD OIG recommended that the Surgeons General of the Army, Navy, and Air Force, in coordination with the Command Surgeons of the U.S. Indo-Pacific Command and U.S. Africa Command, require that all mobile medical team personnel individually complete standardized post-deployment after action reports, using the Joint Trauma System Performance Improvement Branch template, before redeploying to their home station, and submit them to the Joint Lessons Learned Information System for the purposes of the Lessons Learned program.	1 year 9 months 23 days

## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2020-095, "Audit of Purchases of Ammonium Perchlorate Through Subcontracts With a Single Department of Defense-Approved Domestic Supplier," July 9, 2020 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4:</b> The DoD OIG recommended that the Navy Strategic Systems Program Director require all contracting officers who negotiate a prime production contract for weapon systems involving American Pacific Corporation subcontracts that provide Ammonium Perchlorate, Grade 1 (AP1) under Government prime contracts to request uncertified cost data and perform a cost analysis of AP1 subcontract price unless adequate pricing information is available to establish that the price for AP1 included in the prime contractor's proposal is fair and reasonable.	1 year 8 months 22 days

**Report No. DODIG-2020-098, "Audit of Governance and Protection of Department of Defense Artificial Intelligence Data and Technology," June 29, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to regularly monitoring networks and systems to identify unusual user and system activity.	1 year 9 months 2 days
<b>Rec. B.1.e:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to securing data centers, server racks, and associated keys.	1 year 9 months 2 days

**Report No. DODIG-2020-101, "Naval Ordnance Data Classification Issues Identified During the Oversight of the U.S. Navy General Fund Financial Statement Audit for FY 2020," July 2, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Naval Supply Systems Command-Navy Ammunition Logistics Command (NAVSUP-NALC) and Deputy Chief of Naval Operations for Fleet Readiness and Logistics (OPNAV N4) officials review the security classification guides for all ordnance in ordnance information systems to ensure that the information is marked at the correct classification. NAVSUP-NALC and OPNAV N4 officials should also coordinate with Marine Corps officials to ensure that the handling of ordnance information is consistent throughout the Department of the Navy.	1 year 8 months 29 days



## APPENDIX A

**Department of the Navy (cont'd)**

**Report No. DODIG-2020-106, "Evaluation of Security Controls for Intelligence, Surveillance, and Reconnaissance Supply Chains," July 22, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> Recommendation is Classified.	1 year 8 months 9 days

**Report No. DODIG-2020-113, "Followup Audit on Recommendations to Correct Building Deficiencies at the Naval Station Great Lakes Fire Station," August 13, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Commander, Navy Region Mid-Atlantic, and Commanding Officer, Naval Station Great Lakes, in coordination with the Public Works Officer, Public Works Department Great Lakes, Naval Facilities Engineering Command, Mid-Atlantic, assess and correct the deficiencies identified in this report to ensure compliance with current Unified Facilities Criteria and National Fire Protection Association requirements.	1 year 7 months 18 days
<b>Rec. 4:</b> The DoD OIG recommended that the Public Works Officer, Public Works Department Great Lakes, Naval Facilities Engineering Command, Mid-Atlantic, in coordination with the Director, Facilities Management Division, Public Works Department, Naval Facilities Engineering Command, Mid-Atlantic, develop and implement a building monitor training program for Naval Station Great Lakes in accordance with Commander, Navy Region Mid-Atlantic Instruction 11000.2A.	1 year 7 months 18 days
<b>Rec. 5.a:</b> The DoD OIG recommended that the Commander, Navy Region Mid-Atlantic, in coordination with the Public Works Officer, Public Works Department Great Lakes, Naval Facilities Engineering Command, Mid-Atlantic, inspect building 2801 for noncompliance with current Unified Facilities Criteria 4-730-10 and incorporate corrective actions into the planned renovation project for building 2801.	1 year 7 months 18 days
<b>Rec. 5.b:</b> The DoD OIG recommended that the Commander, Navy Region Mid-Atlantic, in coordination with the Public Works Officer, Public Works Department Great Lakes, Naval Facilities Engineering Command, Mid-Atlantic, inspect building 2801 for noncompliance with current National Fire Protection Association requirements and take corrective actions.	1 year 7 months 18 days

**Report No. DODIG-2020-119, "Followup Evaluation of DODIG-2014-083, Insufficient Infrastructure Support to the Fixed Submarine Broadcast System," August 21, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Deputy Chief of Naval Operations for Shore Readiness integrate nuclear command and control requirements from the Chairman of the Joint Chiefs of Staff Instructions 6810.01 and 6811.01 into the mission area assessment process performed by the Navy at naval nuclear command and control facilities.	1 year 7 months 10 days

## APPENDIX A

**Department of the Navy – Report No. DODIG-2020-119 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Commander of U.S. Fleet Cyber Command develop Mission Essential Tasks for the Fixed Submarine Broadcast System sites that are focused on the ability to broadcast command and control orders to the submarine fleet. These Mission Essential Tasks should be developed and used as Defense Readiness Reporting System sites to report individually real-time site capacities and site readiness through the Defense Readiness Reporting System as required in DoD Directive 7730.65.	1 year 7 months 10 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Commander of U.S. Fleet Cyber Command develop Defense Readiness Reporting System guidelines, in coordination with U.S. Strategic Command, to ensure the Mission Essential Tasks and Defense Readiness Reporting System guidelines accurately report the ability of Fixed Submarine Broadcast System sites to support the broadcasting of nuclear command and control orders to submarines assigned to U.S. Strategic Command Global Citadel Operational Plan.	1 year 7 months 10 days
<b>Rec. 4:</b> The DoD OIG recommended that the Commander of Naval Information Warfare Systems Command conduct a review of the Low-Band Universal Communications System upgrade to the Fixed Submarine Broadcast System transmitters and report to the Chairman of the Joint Chiefs of Staff any shortfalls and a plan to mitigate the lack of dual path connectivity required by Joint Chiefs of Staff Instructions 6810.01 and 6811.01.	1 year 7 months 10 days
<b>Rec. 5:</b> The DoD OIG recommended that the Commander of Naval Information Warfare Systems Command update the Fleet Readiness Certification Board Handbook to include the Technical Warrant Holder for nuclear command, control, and communications high-voltage and power systems engineering as a review team member.	1 year 7 months 10 days

**Report No. DODIG-2020-122, “Audit of the Supply Chain Risk Management for the Navy’s Nuclear Weapons Delivery System,” September 1, 2020 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 2:</b> The DoD OIG recommended that the [REDACTED]	1 year 6 months 30 days
(CUI) <b>Rec. 3.a:</b> The DoD OIG recommended that the Director for the Navy Strategic Systems Programs [REDACTED]	1 year 6 months 30 days

(CUI)

## APPENDIX A

**Department of the Navy – Report No. DODIG-2020-122 (cont'd)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<del>(CUI)</del> Rec. 3.b: The DoD OIG recommended that the Director for the Navy Strategic Systems Programs [REDACTED]	1 year 6 months 30 days
Rec. 3.c: Recommendation is Classified.	1 year 6 months 30 days
<del>(CUI)</del> Rec. 3.d: The DoD OIG recommended that the Director for the Navy Strategic Systems Programs [REDACTED]	1 year 6 months 30 days
(CUI)	

**Report No. DODIG-2020-127, “Evaluation of the Department of Defense and Department of Defense Education Activity Responses to Incidents of Serious Juvenile-on-Juvenile Misconduct on Military Installations,” September 4, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
Rec. B.2: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force update Military Law Enforcement Organization and Military Criminal Investigative Organization policies to require personnel to document in all investigative case files all notifications to civilian legal authorities and installation commanders, and, when possible, the legal and administrative actions taken.	1 year 6 months 27 days

**Report No. DODIG-2021-049, “Evaluation of the Navy’s Plans and Response to the Coronavirus Disease–2019 Onboard Navy Warships and Submarines,” February 4, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
Rec. 3: The DoD OIG recommended that the Deputy Chief of Naval Operations for Operations, Plans, and Strategy develop a plan of action and milestones for Navy component commands to conduct biennial Pandemic Influenza and Infectious Disease exercises, in accordance with Office of the Chief of Naval Operations Instruction 3500.41A, “Pandemic Influenza and Infectious Disease Policy,” November 19, 2018.	1 year 1 month 27 days

## APPENDIX A

### *Department of the Navy (cont'd)*

**Report No. DODIG-2021-086, "Audit of Aircraft Readiness at the Naval Aviation Warfighting Development Center, Fallon, Nevada," May 25, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.a-b:</b> The DoD OIG recommended that the Commander of the Naval Aviation Warfighting Development Center develop guidance for Navy personnel maintaining Naval Aviation Warfighting Development Center aircraft that includes a clear definition of the following.</p> <ul style="list-style-type: none"> <li>a. Minimum number of mission-capable aircraft that aligns with Naval Aviation Warfighting Development Center's readiness standards to complete the required operational flights to meet carrier air wing training requirements.</li> <li>b. Aircraft system requirements in the Mission-Essential Subsystems Matrix for aircraft used as adversary aircraft for carrier air wing training.</li> </ul>	10 months 6 days

**Report No. DODIG-2021-091, "Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease–2019 Pandemic Response in the U.S. Northern Command Area of Responsibility," June 9, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.b:</b> The DoD OIG recommended that the Assistant Director of the Office of Budget Fiscal Management, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller), immediately require tasked units to review costs incurred for mission assignments 4482DR-CA-DOD-01, 4482DR-NJ-DOD-02, and 4488DR-NJ-DOD-04 as of July 31, 2020, for completeness and accuracy, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.</p>	9 months 22 days
<p><b>Rec. 3.b.1:</b> The DoD OIG recommended that the Assistant Director of the Office of Budget Fiscal Management, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller), in coordination with the Financial Management Augmentation Team, develop and provide to tasked units, a Navy-specific desk manual for mission assignments that includes, at a minimum, step-by-step procedures for initial set-up, real-time cost input, cost tracking, cost reporting, and submitting partial and final billings to ensure compliance with DoD policy.</p>	9 months 22 days
<p><b>Rec. 3.b.2:</b> The DoD OIG recommended that the Assistant Director of the Office of Budget Fiscal Management, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) train tasked-unit personnel on how to navigate the Navy-specific desk manual and apply the procedures appropriately.</p>	9 months 22 days

## APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2021-094, "Audit of Department of Defense Joint Bases," June 18, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment establish clear order of precedence between the memorandum of agreement, joint base policy, and Service policies for joint bases.	9 months 13 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment develop internal procedures to ensure joint base needs are considered in Service-specific processes and decisions.	9 months 13 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment develop collaborative processes between the Services to identify and implement best practices at joint bases.	9 months 13 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment conduct a review of all joint bases, to include the memorandum of agreement to identify potential command authority and organizational conflicts, and assess the effectiveness of support systems to joint bases.	9 months 13 days
<b>Rec. 3.e:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment establish training or direct joint base commanders to issue local guidance to ensure installation personnel comply with established processes to update the memorandum of agreement and implement its current provisions.	9 months 13 days

**Report No. DODIG-2021-096, "Audit of the Military Departments' Purchases of Aviation Fuel and Non-Fuel Services Using the Aviation Into-Plane Reimbursement (AIR) Card," June 25, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 6.a:</b> The DoD OIG recommended that the Director of the Department of the Navy Consolidated Card Program Management Division direct the Component Program Manager to require program officials to reconcile fuel receipts for purchases made from non-contract merchants against the contract fuel price available at fueling locations.	9 months 6 days

## APPENDIX A

**Department of the Navy – Report No. DODIG-2021-096 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 6.b:</b> The DoD OIG recommended that the Director of the Department of the Navy Consolidated Card Program Management Division direct the Component Program Manager to hold card users, accountable officials, and certifying officials accountable for non-contract purchases that result in wasted funds.	9 months 6 days
<b>Rec. 6.c:</b> The DoD OIG recommended that the Director of the Department of the Navy Consolidated Card Program Management Division direct the Component Program Manager to review the Fiscal Year 2020 Fuel Capacity Report, determine the reasons capacity was exceeded, provide what actions the program officials took to correct violations of policy, and describe the impact of the actions.	9 months 6 days
<b>Rec. 6.d:</b> The DoD OIG recommended that the Director of the Department of the Navy Consolidated Card Program Management Division direct the Component Program Manager to review all Aviation Into-Plane Reimbursement Card accounts and correct inaccurate or incomplete fuel capacity information.	9 months 6 days
<b>Rec. 6.e:</b> The DoD OIG recommended that the Director of the Department of the Navy Consolidated Card Program Management Division direct the Component Program Manager to review, at least monthly, the report created to identify transactions at high risk of being unreasonable and ensure Aviation Into-Plane Reimbursement Card officials dispute charges within the required timeframe.	9 months 6 days

**Report No. DODIG-2021-098, “Audit of the Cybersecurity of Department of Defense Additive Manufacturing Systems,” July 1, 2021 (Full Report is CUI)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the DoD Chief Information Officer, the Department of the Navy Chief Information Officer, U.S. Marine Corps Deputy Commandant for Information, U.S. Air Force Chief Information Officer, and Defense Health Agency Chief Information Officer, in coordination with designated Additive Manufacturing Leads, require all additive manufacturing systems to obtain an authority to operate in accordance with DoD policy before their use.	8 months 30 days
<b>(CUI) Rec. 5.a:</b> The DoD OIG recommended that the Navy Fleet Readiness Center Southwest Commander, in coordination with designated Additive Manufacturing Leads [REDACTED]	8 months 30 days
<b>(CUI) Rec. 5.b:</b> The DoD OIG recommended that the Navy Fleet Readiness Center Southwest Commander, in coordination with designated Additive Manufacturing Leads [REDACTED]	8 months 30 days
(CUI)	

## APPENDIX A

**Department of the Navy – Report No. DODIG-2021-098 (cont'd)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>(CUI) Rec. 5.c:</b> The DoD OIG recommended that the Navy Fleet Readiness Center Southwest Commander, in coordination with designated Additive Manufacturing Leads [REDACTED]	8 months 30 days
<b>(CUI) Rec. 6:</b> The DoD OIG recommended that the Naval Information Warfare Center Pacific Commander, in coordination with designated Additive Manufacturing Leads [REDACTED]	8 months 30 days
(CUI)	

**Report No. DODIG-2021-133, “Audit of Navy and Marine Corps Actions to Address Corrosion on F/A-18C-G Aircraft,” September 29, 2021 (Full Report is CUI)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>(CUI) Rec. 2:</b> The DoD OIG recommended that the Commander, Naval Air Forces, direct the Strike Fighter Wing Atlantic, Strike Fighter Wing Pacific, 2nd Marine Air Wing, and 3rd Marine Air Wing to review the facts and circumstances surrounding the [REDACTED] aircraft (Bureau Numbers [REDACTED]) that had [REDACTED] maintenance actions open as of December 1, 2020, to determine whether there is a systemic problem related to maintenance actions not being completed and closed for these aircraft and develop a solution to mitigate the problem. If no systemic problem exists, then the Commander, Naval Air Forces, should direct Wing officials to develop an internal control to ensure that maintenance actions are completed.	6 months 2 days
<b>Rec. 3:</b> The DoD OIG recommended that the Commander, Naval Air Forces, assess the actions implemented to address corrosion and determine whether these actions resulted in fewer instances of corrosion that should have been identified at the organizational level during the service life extension process, reduced costs associated with corrosion prevention or correction, or improved readiness measured in either operational availability or mission capability. If the actions have not reduced the number of instances of corrosion that should have been identified at the organizational level, reduced costs, or improved readiness, then the Commander should identify alternate initiatives to address organizational-level corrosion inspection and maintenance.	6 months 2 days
(CUI)	

## APPENDIX A

***Department of the Navy (cont'd)***

**Report No. DODIG-2022-035, "Evaluation of Special Victim Investigation and Prosecution Capability Within the Department of Defense," November 10, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Army Criminal Investigation Division Director, Naval Criminal Investigative Service Director, and Office of Special Investigations Commander develop procedures to standardize how their investigators document the communications with Special Victim Investigation and Prosecution team members so the documentation includes the member's name, position, organization, and the date of communication.	4 months 21 days

**Report No. DODIG-2022-061, "Audit of the Protection of Military Research Information and Technologies Developed by Department of Defense Academic and Research Contractors," February 22, 2022  
(Full Report is CUI//NOFORN)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b:</b> The DoD OIG recommended that the Commander of the Naval Sea Systems Command direct contracting officers to verify that Contractor B enforces multifactor authentication; disables user accounts after extended periods of inactivity; implements technical security controls to protect controlled unclassified information stored on removable media; and implements physical security controls.	1 month 9 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Commander of the Naval Sea Systems Command direct contracting officers to verify that Contractor C enforces multifactor authentication; encrypts controlled unclassified information stored on workstations; and implements technical security controls to protect controlled unclassified information stored on removable media.	1 month 9 days



## APPENDIX A

*Department of the Navy (cont'd)*

Report No. DODIG-2022-069, "Audit of Department of Defense Small Business Subcontracting Requirements," March 7, 2022

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.4:</b> The DoD OIG recommended that the Commanding Officer of the Naval Facilities Engineering Systems Command-Mid-Atlantic perform a review of the contract for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractor complied with subcontracting limitations. The review should include, at a minimum, collecting information related to amounts the prime contractor paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractor paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractor by the Government. If the percentage exceeds established subcontracting limitations, the Commanding Officer should determine whether the small business prime contractor is subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Commanding Officer should report the non-compliance with subcontracting limitations in the contractor's performance information.</p>	24 days
<p><b>Rec. A.5:</b> The DoD OIG recommended that the Commanding Officer of the Naval Facilities Engineering Systems Command-Southwest perform a review of the contract for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractor complied with subcontracting limitations. The review should include, at a minimum, collecting information related to amounts the prime contractor paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractor paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractor by the Government. If the percentage exceeds established subcontracting limitations, the Commanding Officer should determine whether the small business prime contractor is subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Commanding Officer should report the non-compliance with subcontracting limitations in the contractor's performance information.</p>	24 days

## APPENDIX A

### Department of the Navy – Report No. DODIG-2022-069 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.6:</b> The DoD OIG recommended that the Director of the Regional Contracting Office Marine Corps Installation-West perform a review of the contract for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractor complied with subcontracting limitations. The review should include, at a minimum, collecting information related to amounts the prime contractor paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractor paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractor by the Government. If the percentage exceeds established subcontracting limitations, the Director should determine whether the small business prime contractor is subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Director should report the non-compliance with subcontracting limitations in the contractor's performance information.	24 days

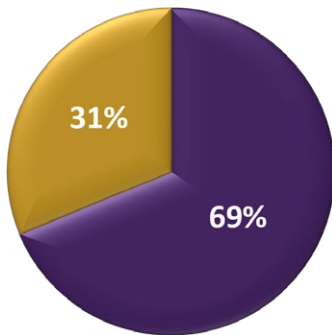
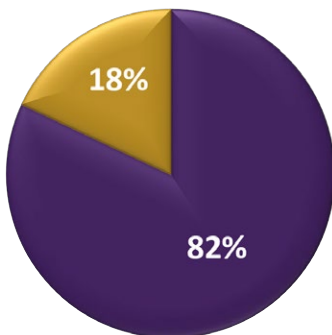
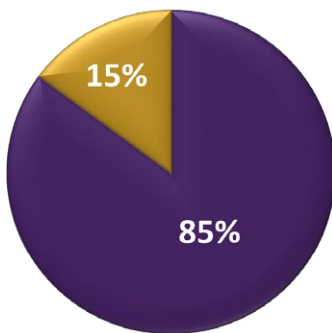
### Report No. DODIG-2022-071, "Audit of Active Duty Service Member Alcohol Misuse Screening and Treatment," March 10, 2022


Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.4.a:</b> The DoD OIG recommended that the Chief of Naval Operations update Office of the Chief of Naval Operations Instruction 5350.4D, "Naval Alcohol and Drug Abuse Prevention and Control," June 4, 2009, to require commanders and other unit leadership to receive substance use training annually.	21 days
<b>Rec. B.4.b:</b> The DoD OIG recommended that the Chief of Naval Operations update Office of the Chief of Naval Operations Instruction 5350.4D, "Naval Alcohol and Drug Abuse Prevention and Control," June 4, 2009, to require training components to review annually a sample of Service members to determine whether Service members have received their required substance use training.	21 days


### Report No. DODIG-2022-077, "Evaluation of Integrated Undersea Surveillance System Capabilities," March 28, 2022 (Full Report is Classified)

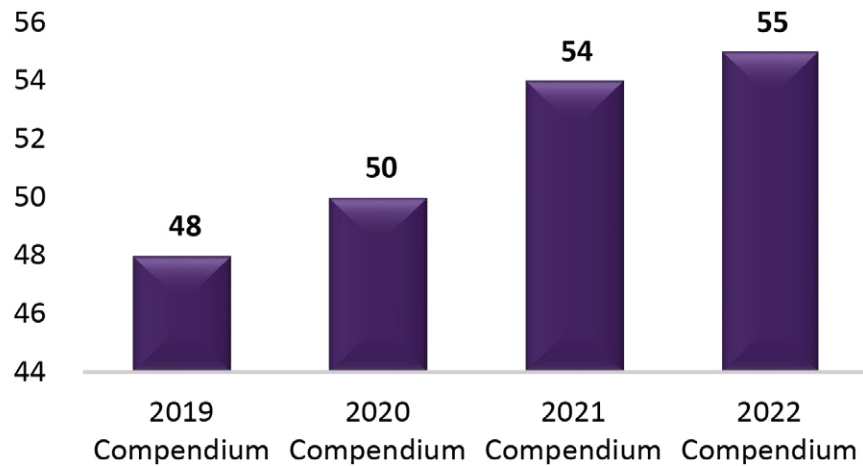
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> Recommendation is Classified.	3 days
<b>Rec. 1.b:</b> Recommendation is Classified.	3 days
<b>Rec. 1.d:</b> Recommendation is Classified.	3 days
<b>Rec. 2:</b> Recommendation is Classified.	3 days
<b>Rec. 3:</b> Recommendation is Classified.	3 days
<b>Rec. 4:</b> Recommendation is Classified.	3 days

## APPENDIX A

*Statistics – U.S. Marine Corps***Recommendations from  
2019 Compendium****Recommendations from  
2020 Compendium****Recommendations from  
2021 Compendium**

 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

**Open Recommendations**

Number of Unresolved Recommendations	2
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Number of Recommendations Open Longer than 5 Years	10
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Potential Monetary Benefits	\$110 million
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## APPENDIX A

**U.S. Marine Corps**

**Report No. D-2011-060, "Marine Corps Inventory of Small Arms Was Generally Accurate but Improvements Are Needed for Related Guidance and Training," April 22, 2011**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Deputy Commandant, Plans, Policies, and Operations, update the small arms accountability guidance in Marine Corps Order 5530.14A consistent with Marine Corps Bulletin 4440 and the updates to Marine Corps Order 8300.1C.	10 years 11 months 9 days

**Report No. DODIG-2014-100, "Assessment of DoD Wounded Warrior Matters: Selection and Training of Warrior Transition Unit and Wounded Warrior Battalion Leaders and Cadre," August 28, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.b:</b> The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs revalidate whether the manning precedence level category of Wounded Warrior Battalion-East and Wounded Warrior Battalion-West should be changed in the Commandant of the Marine Corps Precedence Levels for Manning and Staffing.	7 years 7 months 3 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard formalized screening, selection, and assignment process for Enlisted Active Component Marines filling Wounded Warrior Battalion positions similar to the process currently used for Reserve Individual Mobilization augmentee Marines.	7 years 7 months 3 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard review process whereby regiment and battalion leaders can interview potential Enlisted Active Component U.S. Marine Corps Wounded Warrior Battalion candidates to ensure they are the "best fit" and most qualified to better serve the Marines in the Wounded Warrior Battalions.	7 years 7 months 3 days

**Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.b:</b> The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	7 years 4 months 17 days

## APPENDIX A

**U.S. Marine Corps – Report No. DODIG-2015-016 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.d:</b> The DoD OIG recommended that the Chiefs of the Military Services update guidance to: 1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2. adapt and implement the proposed standard operating procedure/guidelines for the Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report.	7 years 4 months 17 days
<b>Rec. 4.e:</b> The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	7 years 4 months 17 days

**Report No. DODIG-2015-143, “Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel,” July 6, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 5:</b> The DoD OIG recommended that the Marine Corps Logistics Plans, Policy, and Strategic Mobility Director, in coordination with the Commander of U.S. Transportation Command perform a review to determine the primary reasons why passengers do not show up for, or cancel, booked Patriot Express flights and implement any necessary changes to the program, such as developing cancellation guidelines, to minimize the burden of no-show passengers.	6 years 8 months 25 days

**Report No. DODIG-2016-026, “Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions,” November 24, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that addresses strategies to build, grow, and sustain the Cyber Mission Force.	6 years 4 months 7 days
<b>Rec. 2:</b> The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and the U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	6 years 4 months 7 days

## APPENDIX A

**U.S. Marine Corps (cont'd)**

**Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. G.1.b:</b> The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps immediately and comprehensively review all Marine Corps criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau Investigation requirements.	4 years 3 months 27 days
<b>Rec. G.1.e:</b> The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	4 years 3 months 27 days

**Report No. DODIG-2018-063, "Navy and Marine Corps Management of Relocatable Buildings," January 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.4.a:</b> The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, apply for approval of relocatable buildings that initially were never submitted for approval.	4 years 2 months 2 days
<b>Rec. A.4.b:</b> The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, establish exit strategies for relocatable buildings that do not have one.	4 years 2 months 2 days
<b>Rec. A.4.c:</b> The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, request extensions for relocatable buildings that are past the established expiration date and still needed or terminate the use of the relocatable buildings that are no longer needed.	4 years 2 months 2 days
<b>Rec. A.5.a:</b> The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Lejeune, apply for approval of relocatable buildings that initially were never submitted for approval.	4 years 2 months 2 days
<b>Rec. A.5.b:</b> The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Lejeune, develop procedures to ensure that personnel are performing the required lease-versus-buy analysis before extending existing leases or obtaining additional relocatable buildings.	4 years 2 months 2 days
<b>Rec. B.3.a:</b> The DoD OIG recommended that the Commandant of the Marine Corps revise Marine Corps Order 11000.12, Appendix G, and the Marine Corps Headquarters GF-6 Real Estate and Real Property Accountability Handbook to reflect updates made to Department of Defense Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings.	4 years 2 months 2 days

## APPENDIX A

### *U.S. Marine Corps – Report No. DODIG-2018-063 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.3.b:</b> The DoD OIG recommended that the Commandant of the Marine Corps develop procedures to ensure that Department of Public Works personnel properly apply the interim facility requirement when classifying relocatable buildings as required by Department of Defense Instruction 4165.56.	4 years 2 months 2 days
<b>Rec. B.3.c:</b> The DoD OIG recommended that the Commandant of the Marine Corps revise guidance to separate non-relocatable buildings from properly classified relocatable buildings within the Internet Navy Facility Assets Data Store system for tracking all facilities if fire and emergency services are needed.	4 years 2 months 2 days

### **Report No. DODIG-2018-117, “Department of the Navy Qualified Recycling Programs,” May 10, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Commander of Navy Installations Command for Facility Services and the Commander of Marine Corps Installations Command improve the documentation of the sale of recycled materials. Specifically, Commander, Navy Installations Command Instruction 7300.1A and the U.S. Marine Corps Qualified Recycling Program Guidance, Version 2.x. should include a requirement for the qualified recycling program managers to document the list of bidders, response from the bidders, winning bids for recycling material, contract or sales agreement; weight tickets of the materials sold; and market price or other agreed-upon sale price.	3 years 10 months 21 days

### **Report No. DODIG-2018-129, “Department of the Navy Civilian Pay Budget Process,” June 20, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Deputy Commandant of the Marine Corps, Programs and Resources, determine budgeted civilian pay funding levels using full-time equivalents calculated based on projected hours to be worked, as required by Office of Management and Budget Circular No. A-11.	3 years 9 months 11 days

### **Report No. DODIG-2018-141, “United States Marine Corps Aviation Squadron Aircraft Readiness Reporting,” August 8, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Deputy Commandant for Aviation, Headquarters, Marine Corps, require all reporting units and organizations use the Optimized Organizational Maintenance Activity system as the sole source for reporting aircraft readiness.	3 years 7 months 23 days

## APPENDIX A

**U.S. Marine Corps – Report No. DODIG-2018-141 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation revise the Marine Corps Order 3000.13A to include a clear definition of present state, and clarify how the number of mission-capable aircraft should be reported in the mission essential task assessment and how a mission essential task should be properly reported as resourced.	3 years 7 months 23 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation implement training on reporting readiness in accordance with the revised Marine Corps Order 3000.13A for reporting units and organizations.	3 years 7 months 23 days
<b>Rec. 2.c:</b> The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation develop and implement procedures, in accordance with the revised Marine Corps Order 3000.13A, to ensure that intermediate commands verify the completeness and accuracy of their subordinate units' readiness reports.	3 years 7 months 23 days

**Report No. DODIG-2018-152, "Management of Prepositioned Stock in U.S. European Command," September 17, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b:</b> The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, update U.S. Marine Corps Technical Manual 4790-14/1G, "Logistics Support for Marine Corps Prepositioning Program-Norway (MCPN)," June 28, 2013, and the local bilateral agreement to include a requirement for the Norwegian Defense Logistics Organization to monitor and control the humidity levels within the caves where equipment is stored.	3 years 6 months 14 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop maintenance requirements for weapons stored in Level A packaging.	3 years 6 months 14 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop standard operating procedures for recording and documenting completed weapons and vehicle maintenance within Global Combat Support System-Marine Corps.	3 years 6 months 14 days
<b>Rec. 3.e:</b> The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop an automated process for monitoring completed maintenance cycles and include a requirement for the Norwegian Defense Logistics Organization to utilize the new process in the local bilateral agreement.	3 years 6 months 14 days



## APPENDIX A

**U.S. Marine Corps (cont'd)**

**Report No. DODIG-2019-004, "DoD Oversight of Bilateral Agreements With the Republic of the Philippines," November 2, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, designate an Acquisition and Cross-Servicing Agreement Finance Program Manager and ensure that the individual completes the Joint Knowledge Online-Training that will provide access and the basic instruction for the Acquisition and Cross-Servicing Agreement Finance Program Manager to build, track, and manage transactions in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	3 years 4 months 29 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 15 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	3 years 4 months 29 days

**Report No. DODIG-2019-047, "Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles," January 18, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.1:</b> The DoD OIG recommended that the Marine Corps Installations and Logistics Deputy Commandant require Installations and Logistics officials to initiate and complete depot maintenance float allowance annual reviews and approve all depot maintenance float allowance authorization changes according to Marine Corps Order 5311.1E.	3 years 2 months 13 days

**Report No. DODIG-2019-075, "Evaluation of Military Services' Law Enforcement Responses to Domestic Violence Incidents," April 19, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that all subjects that we determined were not properly titled and indexed in the Defense Central Index of Investigations are titled and indexed, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	2 years 11 months 12 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that subject fingerprint cards and final disposition reports are collected and submitted to the Federal Bureau of Investigations Criminal Justice Information Services Division database for all subjects that we determined were not submitted, as required by DoD Instruction 5505.11, "Fingerprint Card and Final Disposition Report Submission Requirements," July 21, 2014, as amended.	2 years 11 months 12 days

## APPENDIX A

### *U.S. Marine Corps – Report No. DODIG-2019-075 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.d:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that DNA is collected and submitted to the Defense Forensics Science Center for submission to the Combined DNA Index System for all qualifying subjects that we determined were not submitted, as required by DoD Instruction 5505.14, “Deoxyribonucleic Acid (DNA) Collection Requirements for Criminal Investigations, Law Enforcement, Corrections, and Commanders,” December 22, 2015.	2 years 11 months 12 days
<b>Rec. B.1.e:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that the importance of complying with DoD and supplemental Military Service policies related to law enforcement’s response to domestic violence incidents when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations is emphasized in writing to all law enforcement organizations.	2 years 11 months 12 days
<b>Rec. B.1.f:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that law enforcement practices, equipment, and supervisory reviews are adequate to comply with DoD policies when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations.	2 years 11 months 12 days

### **Report No. DODIG-2020-003, “Audit of DoD’s Use of Additive Manufacturing for Sustainment Parts,” October 17, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Military Service Secretaries and the Marine Corps Commandant require the additive manufacturing leads to implement a process that compiles a complete list of all parts produced using additive manufacturing and parts waiting for approval to share within each Military Service and update the list as needed.	2 years 5 months 14 days
<b>Rec. 4:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, Under Secretary of Defense for Acquisition and Sustainment, Military Service Secretaries, and the Marine Corps Commandant conduct a review to identify the appropriate funding and number of personnel to pursue benefits of additive manufacturing throughout the DoD.	2 years 5 months 14 days

## APPENDIX A

### *U.S. Marine Corps (cont'd)*

**Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," March 13, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.3:</b> The DoD OIG recommended that the Program Manager Advanced Amphibious Assault for the Amphibious Combat Vehicle prioritize the risk of each unmitigated vulnerability identified in adversarial assessments, take immediate actions to mitigate high-risk vulnerabilities, and if unable to immediately mitigate any of the vulnerabilities, include them on a command-approved plan of action and milestones.	2 years 18 days

**Report No. DODIG-2020-098, "Audit of Governance and Protection of Department of Defense Artificial Intelligence Data and Technology," June 29, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to enforcing the use of multifactor authentication and strong passwords, when necessary, to reduce the risk of disclosing sensitive DoD information.	1 year 9 months 2 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to regularly monitoring networks and systems to identify unusual user and system activity.	1 year 9 months 2 days
<b>Rec. B.1.e:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to securing data centers, server racks, and associated keys.	1 year 9 months 2 days

**Report No. DODIG-2020-127, "Evaluation of the Department of Defense and Department of Defense Education Activity Responses to Incidents of Serious Juvenile-on-Juvenile Misconduct on Military Installations," September 4, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force update Military Law Enforcement Organization and Military Criminal Investigative Organization policies to require personnel to document in all investigative case files all notifications to civilian legal authorities and installation commanders, and, when possible, the legal and administrative actions taken.	1 year 6 months 27 days

## APPENDIX A

***U.S. Marine Corps (cont'd)***

**Report No. DODIG-2021-069, "Audit of the Impact of Coronavirus Disease–2019 on Basic Training,"  
March 31, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Commanders of the U.S. Army Training and Doctrine Command; Marine Corps Training and Education Command; Naval Education and Training Command; Naval Service Training Command; and Air Education and Training Command develop procedures to ensure compliance with the implementation of COVID-19 guidance at basic training centers.	1 year

**Report No. DODIG-2021-075, "Audit of Department of Defense Infrastructure Planning in Australia,"  
April 8, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec 1:</b> The DoD OIG recommended that the Marine Corps Deputy Commandant for Plans, Policies, and Operations, in coordination with U.S. Indo-Pacific Command Chief of Staff, revalidate the Marine Rotational Force–Darwin 5-year plan to meet the mission requirements and to support strategic objectives related to the Marine Corps presence in Australia. The update should, at a minimum, address:</p> <ul style="list-style-type: none"> <li>• current use of temporary facilities provided by Australia and continued availability of those facilities (fighter replenishment apron and aluminum parking matting) throughout the duration of the 5-year plan or until more permanent infrastructure is in place;</li> <li>• U.S. force posture agreements, U.S. Force Posture Initiatives implementing arrangements with Australia, and non-written expectations regarding facility development with the Government of Australia; and</li> <li>• meeting both training and operational requirements in Australia.</li> </ul>	11 months 23 days

**Report No. DODIG-2021-090, "Audit of Munitions Storage in the U.S. European Command," June 10, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.8:</b> The DoD OIG recommended that the Commanders of U.S. Special Operations Command Europe, U.S. Special Operations Command Africa, and U.S. Marine Corps Forces Europe provide all units in the U.S. European Command area of responsibility with access to the applicable accountable property systems of record. In addition, the Commanders should enforce the requirements that units record all munitions inventory in a timely manner in the systems of record; conduct 100 percent semiannual inventories; and prescribe more frequent inventories for units with known deficiencies, including the units identified in this report.	9 months 21 days

## APPENDIX A

**U.S. Marine Corps (cont'd)**

**Report No. DODIG-2021-091, "Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease-2019 Pandemic Response in the U.S. Northern Command Area of Responsibility," June 9, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.d:</b> The DoD OIG recommended that the Comptroller of the United States Marine Corps immediately require tasked units to review costs incurred for 4482DR-CA-DOD-01, 4482DR-CA-DOD-02, and 4488DR-NJ-DOD-04 as of July 31, 2020, for completeness and accuracy, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.	9 months 22 days
<b>Rec. 3.d.1:</b> The DoD OIG recommended that the Comptroller of the United States Marine Corps, in coordination with the Financial Management Augmentation Team, develop and provide to tasked units, a Marine Corps-specific desk manual for mission assignments that includes, at a minimum, step-by-step procedures for initial set-up, real-time cost input, cost tracking, cost reporting, and submitting partial and final billings to ensure compliance with DoD policy.	9 months 22 days
<b>Rec. 3.d.2:</b> The DoD OIG recommended that the Comptroller of the United States Marine Corps train tasked-unit personnel on how to navigate the Marine Corps-specific desk manual and apply the procedures appropriately.	9 months 22 days

**Report No. DODIG-2021-098, "Audit of the Cybersecurity of Department of Defense Additive Manufacturing Systems," July 1, 2021 (Full Report is CUI)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the DoD Chief Information Officer, the Department of the Navy Chief Information Officer, U.S. Marine Corps Deputy Commandant for Information, U.S. Air Force Chief Information Officer, and Defense Health Agency Chief Information Officer, in coordination with designated Additive Manufacturing Leads, require all additive manufacturing systems to obtain an authority to operate in accordance with DoD policy before their use.	8 months 30 days
<b>(CUI) Rec. 4.a:</b> The DoD OIG recommended that the 1st Marine Expeditionary Force Commander, in coordination with designated Additive Manufacturing Leads [REDACTED]	8 months 30 days
<b>(CUI) Rec. 4.b:</b> The DoD OIG recommended that the 1st Marine Expeditionary Force Commander, in coordination with designated Additive Manufacturing Leads [REDACTED]	8 months 30 days
(CUI)	

## APPENDIX A

***U.S. Marine Corps (cont'd)***

**Report No. DODIG-2022-071, "Audit of Active Duty Service Member Alcohol Misuse Screening and Treatment," March 10, 2022**

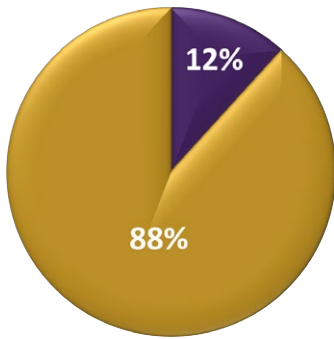
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.5:</b> The DoD OIG recommended that the Commandant of the Marine Corps update Marine Corps Order 5300.17A, "Marine Corps Substance Abuse Program," June 25, 2018, to require training components to review annually a sample of Service members to determine whether Service members have received their required substance use training.	21 days



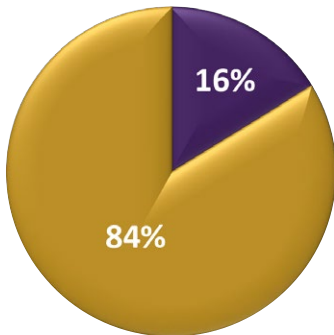
## APPENDIX A

### Statistics – Department of the Air Force

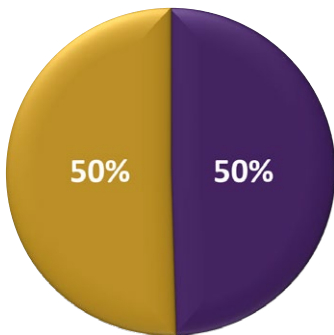
#### Recommendations from 2019 Compendium



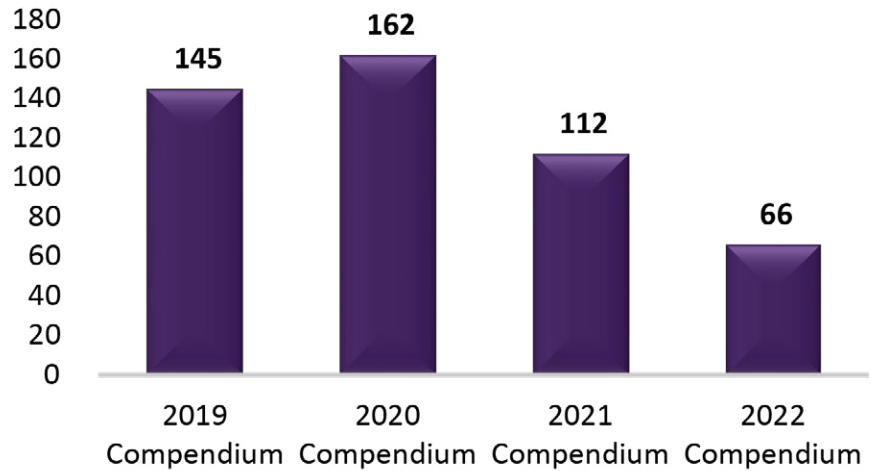
#### Recommendations from 2020 Compendium




#### Recommendations from 2021 Compendium




#### Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	6
Potential Monetary Benefits	\$8 million

 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

## APPENDIX A

***Department of the Air Force***

**Report No. DODIG-2015-052, "Air Force Life Cycle Management Center's Management of F119 Engine Spare Parts Needs Improvement," December 19, 2014 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.5:</b> The DoD OIG recommended that the Air Force Life Cycle Management Center Director clarify the Defense Contract Management Agency's responsibility to formally accept F119 engine spare parts on behalf of the Life Cycle Management Center.	7 years 3 months 12 days

**Report No. DODIG-2015-090, "Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors," March 9, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B:</b> The DoD OIG recommended that the Navy and Air Force review and update the Joint Service Specification Guide to reflect changes in policy and technology that have occurred in the last 16 years.	7 years 22 days

**Report No. DODIG-2015-162, "Continental United States Military Housing Inspections-National Capital Region," August 13, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. E.1:</b> The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all fire protection deficiencies identified in this report.*	6 years 7 months 18 days
<b>Rec. F.1:</b> The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all environmental health and safety deficiencies identified in this report.*	6 years 7 months 18 days

\* Responsibility for implementing Recommendations E.1 and F.1 was transferred from Navy to Air Force.

**Report No. DODIG-2015-168, "Air Force Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," September 3, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.5.a:</b> The DoD OIG recommended that the Air Force Chief, Information Dominance Chief Information Officer review the deficiencies identified, require a thorough review of the Air Force Secret Internet Protocol Router Network security safeguards performed at each command, and apply corrective actions as necessary.	6 years 6 months 28 days



## APPENDIX A

**Department of the Air Force (cont'd)**

**Report No. DODIG-2016-114, "Actions Needed to Improve Reporting of Marine Corps, Navy, and Air Force Operating Materials and Supplies," July 26, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.3:</b> The DoD OIG recommended that the Assistant Secretary of the Air Force (Financial Management and Comptroller) completely reconcile amounts reported by field locations in the summary data submission to General Accounting and Finance System-Re-Engineered on a quarterly basis and resolve differences.	5 years 8 months 5 days

**Report No. DODIG-2017-094, "Audit of Air Force Munitions Requirements and Storage Facilities in the Republic of Korea," June 26, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.1.a:</b> The DoD OIG recommended that the 7th Air Force Commander correct the maintenance deficiencies identified in Appendix B.	4 years 9 months 5 days
<b>Rec. C.1.e:</b> The DoD OIG recommended that the 7th Air Force Commander ensure the Republic of Korea Air Force complies with the terms established in the Munitions Activities Gained by Negotiations between the United States Air Force and Republic of Korea Air Force Memorandum of Understanding by addressing the maintenance deficiencies identified at those facilities.	4 years 9 months 5 days

**Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C:</b> The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Director, Defense Threat Reduction Agency and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.	4 years 8 months 3 days

## APPENDIX A

### *Department of the Air Force (cont'd)*

**Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. F.1.b:</b> The DoD OIG recommended that the Secretary of the Air Force immediately and comprehensively review all Air Force Security Forces criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements.	4 years 3 months 27 days
<b>Rec. F.1.e:</b> The DoD OIG recommended that the Secretary of the Air Force take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	4 years 3 months 27 days

**Report No. DODIG-2018-122, "U.S. Strategic Command Facility Construction Project," May 31, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4:</b> The DoD OIG recommended that the U.S. Air Force Civil Engineer Center Commander conduct program life-cycle evaluations to determine the success of the Cost Estimating Improvement Plan.	3 years 10 months

**Report No. DODIG-2018-145, "Air Force C-5 Squadrons' Capability to Meet U.S. Transportation Command Mission Requirements," August 13, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Air Mobility Command Commander request the Air Force Manpower Analysis Agency to create a C-5 logistics composite model to identify aircraft maintenance authorization ratios that better align with current C-5 maintenance needs for use in determining future authorization levels.	3 years 7 months 18 days

**Report No. DODIG-2019-056, "Accounting and Financial Reporting for the Military Housing Privatization Initiative," February 12, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.5.a:</b> The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to reconcile their privatized housing inventories with the private partners' housing inventories and update the records as needed to establish a baseline.	3 years 1 month 19 days

## APPENDIX A

**Department of the Air Force – Report No. DODIG-2019-056 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.5.b:</b> The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to develop and implement procedures to accurately record the additions and removals of housing records to ensure consistency between the Military Department and private partner systems.	3 years 1 month 19 days
<b>Rec. B.5.c:</b> The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to develop and implement controls to ensure that the enterprise Military Housing system and Military Department housing records reconcile once privatized housing records are in the enterprise Military Housing system.	3 years 1 month 19 days

**Report No. DODIG-2019-063, “Followup Audit on the Military Departments’ Security Safeguards Over Secret Internet Protocol Router Network Access Points,” March 18, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. A.3.e:</b> The DoD OIG recommended that the Air Force Chief Information Officer direct Air Force Command officials to [REDACTED] [REDACTED]	3 years 13 days
(CUI)	

**Report No. DODIG-2019-075, “Evaluation of Military Services’ Law Enforcement Responses to Domestic Violence Incidents,” April 19, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that a comprehensive review of all criminal investigative databases and files is conducted to verify that all subjects of domestic violence incidents from 1998 to present are titled and indexed in the Defense Central Index of Investigation, as required by DoD Instruction 5505.07, “Titrating and Indexing Subjects of Criminal Investigations in the Department of Defense,” January 27, 2012.	2 years 11 months 12 days

## APPENDIX A

### *Department of the Air Force (cont'd)*

**Report No. DODIG-2019-078, "Evaluation of the Air Force's Implementation of DoD OIG Recommendations Concerning Modifications of the Integrated Tactical Warning and Attack Assessment (ITW/AA) Mobile Ground System," April 17, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Secretary of the Air Force develop a plan to fund and field the Space Based Infrared System Survivable and Endurable Evolution program and the Universal Ground Nuclear Detonation Terminal in time to prevent a gap in survivable and endurable missile warning and nuclear detonation detection.	2 years 11 months 14 days

**Report No. DODIG-2019-084, "Evaluation of the Operations and Management of Military Cemeteries," May 20, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> The DoD OIG recommended that, once the DoD issues its instruction, the Secretaries of the Army, Navy, and Air Force update the cemetery regulations accordingly.	2 years 10 months 11 days

**Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2:</b> The DoD OIG recommended that the Commander of U.S. Air Forces Central Command develop and implement a combating trafficking in persons program, as required by Central Command Regulation 570-4.*	2 years 9 months 20 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers document in the contract file how monitoring for compliance with the Combating Trafficking in Persons clause will be accomplished, and by whom, if a contract does not require a quality assurance surveillance plan.*	2 years 9 months 20 days
<b>Rec. B.1.d:</b> The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers verify that contracting officer's representatives accomplish and document proper monitoring of contractor compliance with DoD combating trafficking in persons regulations.*	2 years 9 months 20 days
<b>Rec. C.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary (Contracting) establish a process or identify a resource for DoD contracting officials to obtain definitive guidance on Kuwaiti labor laws that apply to DoD contracts.*	2 years 9 months 20 days

\* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

## APPENDIX A

### *Department of the Air Force (cont'd)*

**Report No. DODIG-2019-093, "Evaluation of U.S. European Command's Nuclear Command and Control Between the President and Theater Nuclear Forces," June 10, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.b:</b> Recommendation is Classified.	2 years 9 months 21 days
<b>Rec. 2.c:</b> Recommendation is Classified.	2 years 9 months 21 days

**Report No. DODIG-2020-082, "Evaluation of the DoD's Management of Health and Safety Hazards in Government-Owned and Government-Controlled Military Family Housing," April 30, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4.a:</b> The DoD OIG recommended that the Office of the Assistant Secretary of the Air Force for Installations, Environment and Energy update Service policies to align with revisions to DoD policy for health and safety hazard management.	1 year 11 months 1 day
<b>Rec. 4.c:</b> The DoD OIG recommended that the Office of the Assistant Secretary of the Air Force for Installations, Environment and Energy direct installation officials to correct the specific lead-based paint, asbestos-containing material, radon, fire safety, and drinking water quality health and safety hazard management deficiencies discussed in this report.	1 year 11 months 1 day

**Report No. DODIG-2020-087, "Audit of Training of Mobile Medical Teams in the U.S. Indo-Pacific Command and U.S. Africa Command Areas of Responsibility," June 8, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Surgeons General of the Army, Navy, and Air Force issue guidance implementing the Joint Trauma Education and Training Branch's standardized training program for all mobile medical teams.	1 year 9 months 23 days
<b>Rec. 3:</b> The DoD OIG recommended that the Surgeons General of the Army, Navy, and Air Force update training curriculums at the Military medical training commands for tactical training of mobile medical teams.	1 year 9 months 23 days

## APPENDIX A

**Department of the Air Force (cont'd)**

**Report No. DODIG-2020-098, "Audit of Governance and Protection of Department of Defense Artificial Intelligence Data and Technology," June 29, 2020 (Full Report is FOUO)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to enforcing the use of multifactor authentication and strong passwords, when necessary, to reduce the risk of disclosing sensitive DoD information.	1 year 9 months 2 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to regularly monitoring networks and systems to identify unusual user and system activity.	1 year 9 months 2 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to configuring all systems to lock automatically after 15 minutes of inactivity.	1 year 9 months 2 days
<del>(CUI)</del> <b>Rec. B.1.d:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to [REDACTED] to monitor personnel and respond to security incidents.	1 year 9 months 2 days
<b>Rec. B.1.e:</b> The DoD OIG recommended that the Chief Information Officers for the Army, Marine Corps, Navy, and Air Force develop and implement a plan to correct the weaknesses identified at facilities that manage artificial intelligence projects related to securing data centers, server racks, and associated keys.	1 year 9 months 2 days
(CUI)	

**Report No. DODIG-2020-110, "Evaluation of U.S. Air Force Air Refueling Support to the U.S. Strategic Command's Nuclear Deterrence Mission," August 3, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Commander of the Air Force Material Command schedule threat-level electromagnetic pulse protection tests for the KC-135 Block 45 and implement protection efforts.	1 year 7 months 28 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Commander of the Air Force Material Command test the KC-46 for survivability against an electromagnetic pulse in accordance with the 20 decibel design margin required in Military Standard 3023.	1 year 7 months 28 days

## APPENDIX A

**Department of the Air Force – Report No. DODIG-2020-110 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Commander of Air Mobility Command, in conjunction with the Chief of the Air Force Reserve and the Director of the National Guard Bureau develop plans to ensure that Air Refueling Wing facilities meet Air Mobility Command Instruction 13-520 and Air Force Manual 32-1084 requirements.*	1 year 7 months 28 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Commander of Air Mobility Command, in conjunction with the Chief of the Air Force Reserve and the Director of the National Guard Bureau develop plans to ensure that Air Refueling Wing facilities meet Air Mobility Command Instruction 13-520 and Air Force Manual 32-1084 requirements.*	1 year 7 months 28 days
<b>Rec. 4:</b> The DoD OIG recommended that the Deputy Chief of Staff of the Air Force for Manpower, Personnel, and Services, in conjunction with the Chief of the Air Force Reserve and the Director of the National Guard Bureau, determine the anticipated future manning levels for aircrew, security forces, and maintenance crews, and develop recruiting and retention efforts to ensure that the U.S. Strategic Command nuclear mission can be performed.*	1 year 7 months 28 days
<b>Rec. 4:</b> The DoD OIG recommended that the Deputy Chief of Staff of the Air Force for Manpower, Personnel, and Services, in conjunction with the Chief of the Air Force Reserve and the Director of the National Guard Bureau, determine the anticipated future manning levels for aircrew, security forces, and maintenance crews, and develop recruiting and retention efforts to ensure that the U.S. Strategic Command nuclear mission can be performed.*	1 year 7 months 28 days
<b>Rec. 4:</b> The DoD OIG recommended that the Deputy Chief of Staff of the Air Force for Manpower, Personnel, and Services, in conjunction with the Chief of the Air Force Reserve and the Director of the National Guard Bureau, determine the anticipated future manning levels for aircrew, security forces, and maintenance crews, and develop recruiting and retention efforts to ensure that the U.S. Strategic Command nuclear mission can be performed.*	1 year 7 months 28 days

\* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

**Report No. DODIG-2021-046, “Evaluation of the Aircraft Monitor and Control System’s Nuclear Certification,” January 22, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Air Force Nuclear Weapons Center Commander establish a procedure for the Aircraft Monitor and Control Project Officers Group Chair to establish an annual Aircraft Monitor and Control testing schedule that complies with the joint Department of Defense-Department of Energy testing requirements.	1 year 2 months 9 days

## APPENDIX A

### Department of the Air Force – Report No. DODIG-2021-046 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Air Force Nuclear Weapons Center Commander establish a procedure for the Aircraft Monitor and Control Project Officers Group Chair to report any conflicts that would prevent future Aircraft Monitor and Control tests from being accomplished without the required number of aircraft, the types of tests, or the frequency of tests, to the Nuclear Weapons Council Standing and Safety Committee through Headquarters Air Force.	1 year 2 months 9 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Air Force Nuclear Weapons Center Commander establish a procedure for the Aircraft Monitor and Control Project Officers Group Chair to report the results of each Aircraft Monitor and Control test to Air Combat Command or Air Force Global Strike Command, as applicable.	1 year 2 months 9 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Air Force Nuclear Weapons Center Commander establish a procedure for the Aircraft Monitor and Control Project Officers Group Chair to provide an annual report to the Nuclear Weapons Council Standing and Safety Committee through Headquarters Air Force that includes the number of required and completed Aircraft Monitor and Control system tests and the results of those tests.	1 year 2 months 9 days
<b>Rec. 2:</b> The DoD OIG recommended that the Air Force Nuclear Weapon Center Commander, in conjunction with the National Nuclear Security Administration, develop and implement a plan for the Aircraft Monitor and Control Project Officers Group to meet all Project Officers Group requirements identified in Department of Defense Manual 5030.55, supplemented with Air Force Manual 63-103.	1 year 2 months 9 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Air Force Deputy Chief of Staff for Strategic Deterrence and Nuclear Integration, as the Headquarters Air Force accountable officer to the Secretary of the Air Force and Chief of Staff of the Air Force for the Air Force Nuclear Mission, in coordination with the National Nuclear Security Administration update the 2001 Memorandum of Understanding to reflect Aircraft Monitor and Control system test and certification roles and responsibilities.	1 year 2 months 9 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Air Force Deputy Chief of Staff for Strategic Deterrence and Nuclear Integration, as the Headquarters Air Force accountable officer to the Secretary of the Air Force and Chief of Staff of the Air Force for the Air Force Nuclear Mission, in coordination with the National Nuclear Security Administration direct a joint Department of Defense-Department of Energy review to determine if the correct number of tests and test aircraft have been performed to date to ensure the safety and surety of the Aircraft Monitor and Control systems currently deployed.	1 year 2 months 9 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Air Force Deputy Chief of Staff for Strategic Deterrence and Nuclear Integration, as the Headquarters Air Force accountable officer to the Secretary of the Air Force and Chief of Staff of the Air Force for the Air Force Nuclear Mission, in coordination with the National Nuclear Security Administration Direct a joint Department of Defense-Department of Energy study to determine the correct number of test aircraft to minimize risk.	1 year 2 months 9 days



## APPENDIX A

*Department of the Air Force (cont'd)*

**Report No. DODIG-2021-064, "Audit of Maintaining Cybersecurity in the Coronavirus Disease-2019 Telework Environment," March 29, 2021 (Full Report is CUI)**

(CUI)	
Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 6:</b> The DoD OIG recommended that the Air Force Chief Information Officer develop, implement, and enforce a plan to [REDACTED]	1 year 2 days
<b>Rec. 7:</b> The DoD OIG recommended that the Air Force Deputy Chief of Staff for Manpower, Personnel, and Services verify that DoD personnel completed telework agreements and the required DoD telework training before teleworking.	1 year 2 days
(CUI)	

**Report No. DODIG-2021-091, "Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease-2019 Pandemic Response in the U.S. Northern Command Area of Responsibility," June 9, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.c:</b> The DoD OIG recommended that the Deputy for Budget, Office of the Assistant Secretary of the Air Force (Financial Management and Comptroller), immediately require tasked units to review costs incurred for mission assignment 4480DR-NY-DOD-10 as of July 31, 2020, for completeness and accuracy, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.	9 months 22 days
<b>Rec. 3.c.1:</b> The DoD OIG recommended that the Deputy for Budget, Office of the Assistant Secretary of the Air Force (Financial Management and Comptroller), in coordination with the Financial Management Augmentation Team, develop and provide to tasked units, an Air Force-specific desk manual for mission assignments that includes, at a minimum, step-by-step procedures for initial set-up, real-time cost input, cost tracking, cost reporting, and submitting partial and final billings to ensure compliance with DoD policy.	9 months 22 days
<b>Rec. 3.c.2:</b> The DoD OIG recommended that the Deputy for Budget, Office of the Assistant Secretary of the Air Force (Financial Management and Comptroller) train tasked-unit personnel on how to navigate the Air Force-specific desk manual and apply the procedures appropriately.	9 months 22 days

**Report No. DODIG-2021-094, "Audit of Department of Defense Joint Bases," June 18, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Secretary of Defense for Sustainment, take appropriate action to resolve the disagreements at Joint Base Lewis-McChord surrounding the designation and authority of the joint base commander.	9 months 13 days

## APPENDIX A

### Department of the Air Force – Report No. DODIG-2021-094 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Secretary of Defense for Sustainment, take appropriate action to resolve the disagreements at Joint Base Lewis-McChord surrounding the transfer and delivery of logistics support functions.	9 months 13 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment establish clear order of precedence between the memorandum of agreement, joint base policy, and Service policies for joint bases.	9 months 13 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment develop internal procedures to ensure joint base needs are considered in Service-specific processes and decisions.	9 months 13 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment develop collaborative processes between the Services to identify and implement best practices at joint bases.	9 months 13 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment conduct a review of all joint bases, to include the memorandum of agreement to identify potential command authority and organizational conflicts, and assess the effectiveness of support systems to joint bases.	9 months 13 days
<b>Rec. 3.e:</b> The DoD OIG recommended that the Vice Chief of Staff of the Army; Vice Chief of Naval Operations; and the Vice Chief of Staff of the Air Force, in coordination with the Assistant Commandant of the Marine Corps and the Assistant Secretary of Defense for Sustainment establish training or direct joint base commanders to issue local guidance to ensure installation personnel comply with established processes to update the memorandum of agreement and implement its current provisions.	9 months 13 days

## APPENDIX A

**Department of the Air Force (cont'd)**

**Report No. DODIG-2021-096, "Audit of the Military Departments' Purchases of Aviation Fuel and Non-Fuel Services Using the Aviation Into-Plane Reimbursement (AIR) Card," June 25, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 7.e:</b> The DoD OIG recommended that the Readiness Division Chief, Headquarters Air Force, Operations Directorate, Training and Readiness Division, direct the Component Program Manager to review, at least monthly, the report created to identify transactions at high risk of being unreasonable and ensure Aviation Into-Plane Reimbursement Card officials dispute charges within the required timeframe.	9 months 6 days

**Report No. DODIG-2022-035, "Evaluation of Special Victim Investigation and Prosecution Capability Within the Department of Defense," November 10, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Army Criminal Investigation Division Director, Naval Criminal Investigative Service Director, and Office of Special Investigations Commander develop procedures to standardize how their investigators document the communications with Special Victim Investigation and Prosecution team members so the documentation includes the member's name, position, organization, and the date of communication.	4 months 21 days

**Report No. DODIG-2022-056, "Evaluation of the Ground Test and Evaluation Infrastructure Supporting Hypersonic Capabilities," February 1, 2022 (Full Report is CUI)**

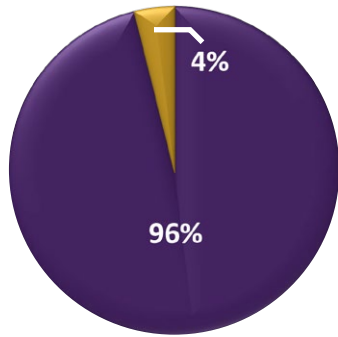
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Commander of the Arnold Engineering Development Complex develop an integrated scheduling and tracking process to document all unfilled test requests, test delays, cancellations, and the reasons for the delays and cancellations, for all Arnold Engineering Development Complex test and evaluation facilities. The process should also track conflict resolution between programs competing for the same test time and facility.	1 month 30 days

**Report No. DODIG-2022-071, "Audit of Active Duty Service Member Alcohol Misuse Screening and Treatment," March 10, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.6:</b> The DoD OIG recommended that the Air Force Surgeon General update Air Force Instruction 44-121, "Alcohol and Drug Prevention and Treatment (ADAPT) Program," July 18, 2018, to require training components to review annually a sample of Service members to determine whether Service members have received their required substance use training.	21 days

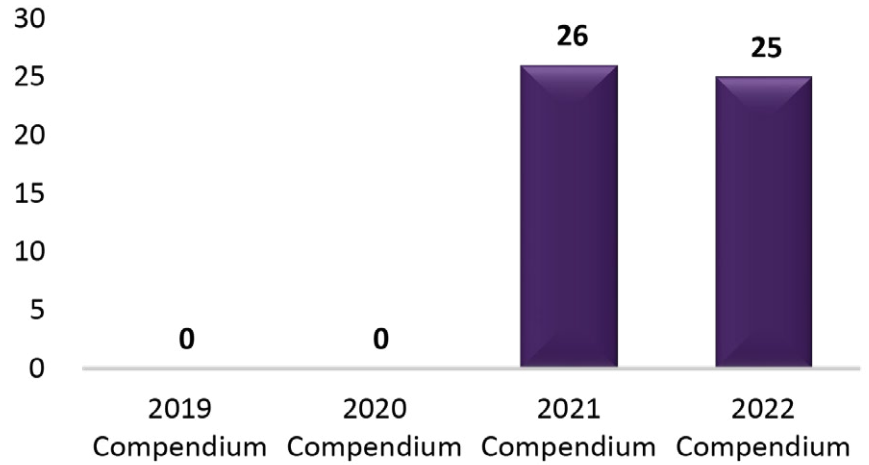
## Statistics – U.S. Space Force

### Recommendations from 2021 Compendium



- Percentage remaining open as of 3/31/2022
- Percentage closed as of 3/31/2022

### Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	1
Potential Monetary Benefits	–

## APPENDIX A

**U.S. Space Force**

**Report No. DODIG-2016-133, “Evaluation of Integrated Tactical Warning/Attack Assessment Ground-Based Radars,” September 8, 2016 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Commander of Air Force Space Command ensure that all scheduled Integrated Threat Warning/Attack Assessment Electromagnetic Pulse projects, to include final verification testing, are completed.*	5 years 6 months 23 days

\* U.S. Space Force (formerly Air Force Space Command) was established on December 20, 2019 with the enactment of FY 2020 National Defense Authorization Act.

**Report No. DODIG-2021-054, “Audit of Cybersecurity Controls Over the Air Force Satellite Control Network,” February 17, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.b:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.c:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.d:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.e:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.f:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.g:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.h:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.i:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 1.j:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 2.a:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 2.b:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 2.c:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 2.d:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 2.e:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 2.f:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 2.g:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 3.a:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 3.b:</b> Recommendation is Classified.	1 year 1 month 14 days

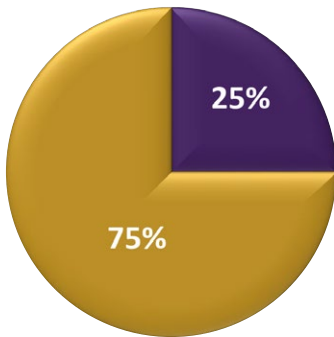
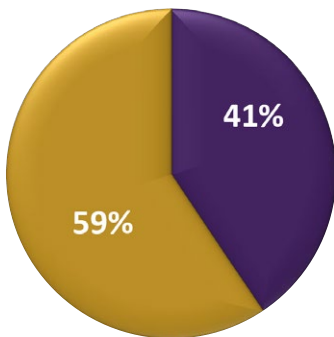
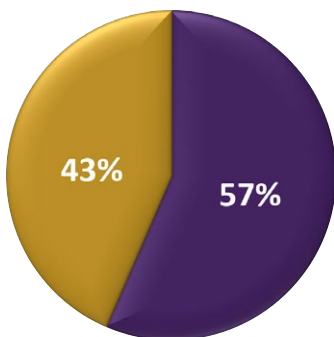
## APPENDIX A


**U.S. Space Force – Report No. DODIG-2021-054 (cont'd)**


Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.c:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 3.d:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 3.e:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 3.f:</b> Recommendation is Classified.	1 year 1 month 14 days
<b>Rec. 3.g:</b> Recommendation is Classified.	1 year 1 month 14 days

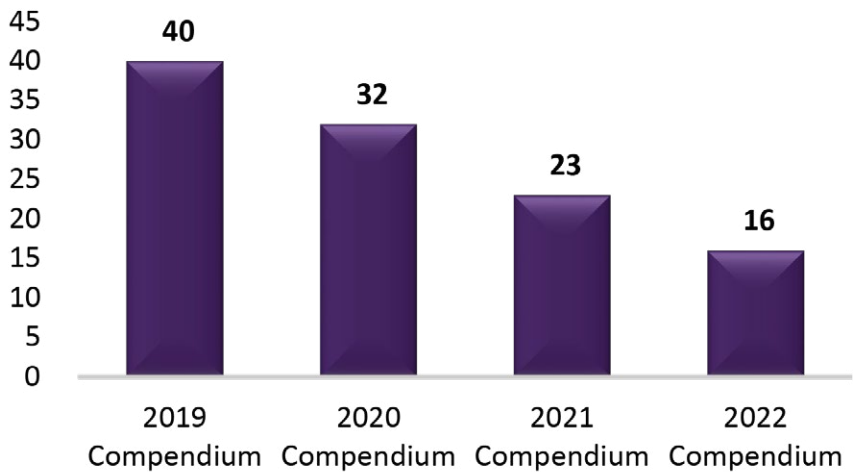


## APPENDIX A

*Statistics – Joint Chiefs of Staff***Recommendations from  
2019 Compendium****Recommendations from  
2020 Compendium****Recommendations from  
2021 Compendium**

 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

**Open Recommendations**

Number of Unresolved Recommendations	2
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Number of Recommendations Open Longer than 5 Years	3
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Potential Monetary Benefits	–
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## APPENDIX A

*Joint Chiefs of Staff*

**Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff update Chairman of the Joint Chiefs of Staff Instruction 3110.04, "Nuclear Supplement to the Joint Strategic Capabilities Plan" planning guidance to identify geographic combatant command planning responsibilities and requirements for developing nuclear response options. Guidance should include type and level of planning information required for directed contingency plans.	6 years 9 months 13 days

**Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Joint Chiefs of Staff Vice Chairman revise the Joint Capabilities Integration and Development System Manual to require sponsors of Acquisition Category I programs, or programs of interest to the Joint Chiefs of Staff, to notify the Joint Chiefs of Staff when deferrals to operationally testing system performance will delay demonstrating primary system requirements beyond the scheduled date for initial operational capability, as defined in the requirements document.	6 years 6 months 17 days

**Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products," January 31, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 19:</b> The DoD OIG recommended that the Joint Staff update Joint Publication 2-0 to bring it into compliance with the 2015 version of Intelligence Community Directive 203. The Expressions of Uncertainties in Appendix A and Figure A-1 should match the Intelligence Community Directive 203's expressions of likelihood or probability (Para D.6.e.(2)(a)).	5 years 2 months

**Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2.b:</b> The DoD OIG recommended that the Joint Staff Director of Operations incorporate Custodial Explosive Ordnance Disposal Unit roles and responsibilities in Concept of Operations Plan 0300-14.	4 years 8 months 3 days



## APPENDIX A

**Joint Chief of Staff (cont'd)**

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
Rec. 2: Recommendation is Classified.	4 years 1 day

Report No. DODIG-2018-159, "Evaluation of the Integrated Tactical Warning and Attack Assessment System," September 26, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
Rec. 1: Recommendation is Classified.	3 years 6 months 5 days

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
Rec. 2.a: Recommendation is Classified.	3 years 1 month 20 days
Rec. 2.d: Recommendation is Classified.	3 years 1 month 20 days
Rec. 2.e: Recommendation is Classified.	3 years 1 month 20 days
Rec. 2.g: Recommendation is Classified.	3 years 1 month 20 days

Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) Rec. 2: The DoD OIG recommended that the [REDACTED]	2 years 3 months 1 day
(CUI) Rec. 3: The DoD OIG recommended that the [REDACTED]	2 years 3 months 1 day
(CUI)	

## APPENDIX A

**Joint Chief of Staff (cont'd)**

**Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," March 13, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.6:</b> The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff revise Chairman of the Joint Chiefs of Staff Instruction 6510.05 and Chairman of the Joint Chiefs of Staff Manual 6510.02, in conjunction with the implementation of Recommendation A.5, to include requirements for addressing DoD Cyber Red Team-identified vulnerabilities and reporting actions taken to mitigate those vulnerabilities.	2 years 18 days

**Report No. DODIG-2021-100, "Audit of the Department of Defense's Implementation of the Memorandums Between the Department of Defense and the Department of Homeland Security Regarding Cybersecurity and Cyberspace Operations," July 9, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Deputy Secretary of Defense and the Chairman of the Joint Chiefs of Staff direct the DoD co-chairs of the Joint DoD-Department of Homeland Security Cyber Protection and Defense Steering Group to work with the Department of Homeland Security co-chair of the Joint DoD-Department of Homeland Security Cyber Protection and Defense Steering Group to develop and approve plans of action and milestones for each line of effort.	8 months 22 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Deputy Secretary of Defense and the Chairman of the Joint Chiefs of Staff direct the DoD co-chairs of the Joint DoD-Department of Homeland Security Cyber Protection and Defense Steering Group to work with the Department of Homeland Security co-chair of the Joint DoD-Department of Homeland Security Cyber Protection and Defense Steering Group to track activities executed and identify gaps that limit the DoD and the Department of Homeland Security in fully implementing all lines of effort in the 2018 memorandum.	8 months 22 days

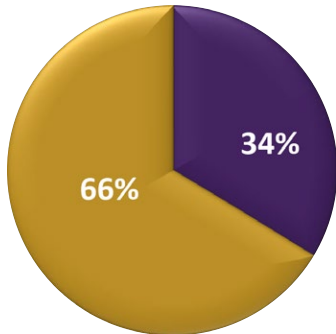
**Report No. DODIG-2022-038, "Evaluation of U.S. Central Command and U.S. Special Operations Command Implementation of the Administrative Requirements Related to the Department of Defense's Law of War Policies," November 16, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Director of the Joint Staff review and revise Chairman of the Joint Chiefs of Staff Instruction 5810.01, "Implementation of the DoD Law of War Program," to make it consistent with the revised definitions and current requirements of DoD Directive 2311.01, "DoD Law of War Program," July 2, 2020.	4 months 15 days

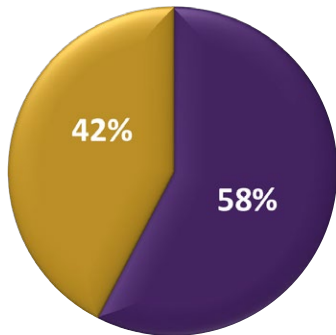
## APPENDIX A

*Statistics – Under Secretary of Defense  
for Acquisition and Sustainment*

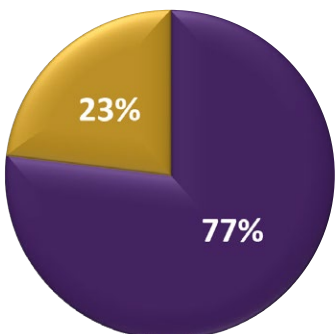
**Recommendations from  
2019 Compendium**





**Recommendations from  
2020 Compendium**



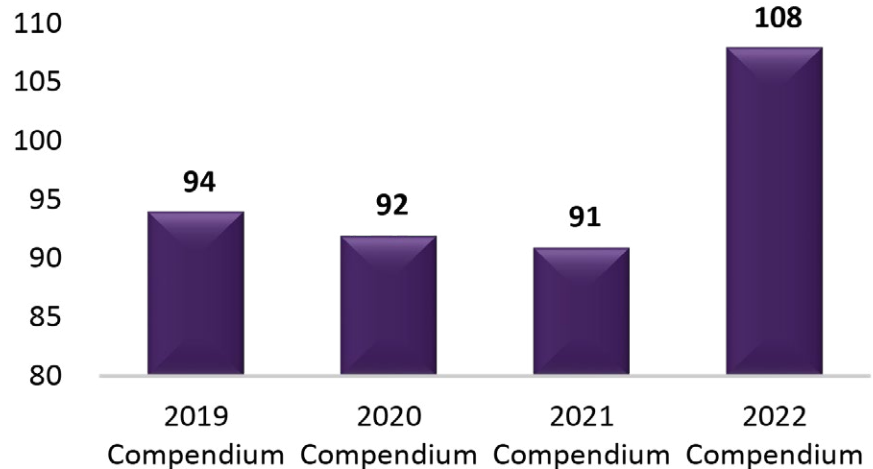
**Recommendations from  
2021 Compendium**



 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

**Open Recommendations**




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Number of Unresolved Recommendations	9
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Number of Recommendations Open Longer than 5 Years	3
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Potential Monetary Benefits	\$877 million
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## APPENDIX A

### *Under Secretary of Defense for Acquisition and Sustainment*

**Report No. DODIG-2015-062, “DoD Needs Dam Safety Inspection Policy To Enable the Services To Detect Conditions That Could Lead to Dam Failure,” December 31, 2014 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish DoD dam safety inspection policy that is in accordance with the Federal Guidelines for Dam Safety, which define inspection frequency, scope, and inspector qualifications and outline the need to develop and maintain inspection support documentation.	7 years 3 months

**Report No. DODIG-2016-002, “DoD Needs a Comprehensive Approach to Address Workplace Violence,” October 15, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director for the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, direct the Defense Acquisition Regulations Council to revise the Defense Federal Acquisition Regulation Supplement to address interim and final contractor requirements for the prevention of workplace violence.	6 years 5 months 16 days

**Report No. DODIG-2017-004, “Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts,” October 14, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, establish a permanent policy for the sustainment of facilities, including standardized facility inspections. This policy should incorporate the requirements in the September 10, 2013, “Standardizing Facility Condition Assessments,” and in the April 29, 2014, “Facility Sustainment and Recapitalization Policy,” memorandums.	5 years 5 months 17 days

**Report No. DODIG-2017-106, “Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident,” July 28, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.3:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise DoD Manual 3150.08, “Nuclear Weapon Accident Response Procedures,” August 22, 2013, to align Custodial Explosive Ordnance Disposal guidance with President Directives.	4 years 8 months 3 days

## APPENDIX A

### *Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2017-106 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C:</b> The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Director, Defense Threat Reduction Agency and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.	4 years 8 months 3 days

### **Report No. DODIG-2017-121, “U.S. Africa Command’s Management of Acquisition and Cross-Servicing Agreements,” September 21, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, “Acquisition and Cross-Servicing Agreements,” November 24, 2003	4 years 6 months 10 days
<b>Rec. 1.a.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, “Acquisition and Cross-Servicing Agreements,” November 24, 2003. The updated guidance should clearly define Office of the Secretary of Defense, Military Department, Defense Agency, Joint Staff, and Combatant Command oversight responsibilities.	4 years 6 months 10 days
<b>Rec. 1.a.2:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, “Acquisition and Cross-Servicing Agreements,” November 24, 2003. The updated guidance should require the use of a DoD system of record for maintaining all Acquisition and Cross-Servicing Agreement transactions and supporting documentation.	4 years 6 months 10 days
<b>Rec. 1.a.3:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, “Acquisition and Cross-Servicing Agreements,” November 24, 2003. The updated guidance should clearly define roles and responsibilities of Acquisition and Cross-Servicing Agreement order and billing officials (financial management staff), including appointment requirements.	4 years 6 months 10 days
<b>Rec. 1.a.4:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, “Acquisition and Cross-Servicing Agreements,” November 24, 2003. The updated guidance should clarify requirements for an implementing arrangement and what is acceptable for establishing parameters under Acquisition and Cross-Servicing Agreement authorities.	4 years 6 months 10 days

## APPENDIX A

***Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2017-121 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop a training program or training program requirements for the implementation of the Acquisition and Cross-Servicing Agreement program and execution of Acquisition and Cross-Servicing Agreement authorities.	4 years 6 months 10 days

**Report No. DODIG-2018-063, “Navy and Marine Corps Management of Relocatable Buildings,” January 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment update Department of Defense Instruction 4165.56 to include details and illustrated examples on how to properly classify relocatable buildings based on the definition and interim facility requirement.	4 years 2 months 2 days

**Report No. DODIG-2018-089, “Contracting Strategy for F-22 Modernization,” March 21, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics review the DoD Instruction 5000.02 and relevant acquisition guidance and revise, as necessary, to allow for the implementation of agile software development methods on programs that include both hardware and software.	4 years 10 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics compile lessons learned from DoD programs implementing agile software development methods to share with other DoD programs.	4 years 10 days

**Report No. DODIG-2018-092, “DoD Emergency Management Programs in the U.S. Africa Command,” March 28, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 7.a:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health update DoD Instruction 6055.17 to require DoD Components to complete risk assessments at all locations worldwide to determine whether locations require an emergency management program and report the results of the assessments to the responsible combatant command. The update should also include instructions for determining which DoD Components are responsible for completing the risk assessment and determining whether a location requires an emergency management program.	4 years 3 days

## APPENDIX A

**Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2018-092 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 7.c:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health develop an assessment process to ensure that DoD Components are effectively and consistently applying and integrating the DoD Emergency Management Program.	4 years 3 days

**Report No. DODIG-2018-122, “U.S. Strategic Command Facility Construction Project,” May 31, 2018  
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Office of the Assistant Secretary of Defense Energy, Installations, and Environment develop guidance requiring DoD organizations involved with a military construction project to draft a charter early in the project life cycle, focusing on communications and accountability by including at least the following in the project management plan: <ol style="list-style-type: none"> <li>1. establishment of a Program Management Office for each project, where applicable;</li> <li>2. establishment of performance goals;</li> <li>3. identification of roles and responsibilities for key segments of construction including, but not limited to, budgetary submissions, planning, and execution; and</li> <li>4. establishment of a formal approval process for change orders.</li> </ol>	3 years 10 months
<b>Rec. 1.b:</b> The DoD OIG recommended that the Office of the Assistant Secretary of Defense Energy, Installations, and Environment develop guidance establishing metrics that include financial risk management parameters and triggers including, but not limited to, threshold changes to scope, cost, or timeline; emerging issues; dispute resolution; and statutory reporting requirements when higher headquarters engagement is required.	3 years 10 months

**Report No. DODIG-2018-125, “The Fort Bliss Hospital Replacement Military Construction Project,” June 6, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b.i:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment issue guidance to identify the roles, responsibilities, and deciding officials for key segments of a facility construction project, including but not limited to, the project development, budgetary submissions, design reviews, planning, construction management, and assessment of contractor performance.	3 years 9 months 25 days

## APPENDIX A

### *Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2018-125 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b.ii:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment issue guidance to establish metrics that include financial risk management parameters and triggers, including, but not limited to, threshold changes to scope, cost, or timeline; emerging issues; dispute resolution; and statutory reporting requirements when higher headquarters engagement is required.	3 years 9 months 25 days

### **Report No. DODIG-2018-144, “Evaluation of Intelligence Support to Protect U.S. Nuclear Weapons in Europe,” August 10, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.1:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense (Nuclear Matters) revise DoD Directive 5210.41 to establish requirements for the Defense Intelligence Agency to produce a Secret version of the “Nuclear Security Threat Capabilities Assessment,” and produce a Secret version of the “Nuclear Security Threat Capabilities Assessment” that is releasable to North Atlantic Treaty Organization partners.	3 years 7 months 21 days

### **Report No. DODIG-2019-056, “Accounting and Financial Reporting for the Military Housing Privatization Initiative,” February 12, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue policy requiring the maximum loan amount on promissory notes to match the corresponding loan agreements and promissory notes to contain complete histories of all amendments to the notes.	3 years 1 month 19 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment coordinate with the DoD Deputy Comptroller for Program/Budget and Military Department personnel to issue policies requiring the identification of deobligation opportunities, such as when the maximum loan amount is reduced or no longer available, and develop procedures for working with DoD Deputy Comptroller for Program/Budget personnel to deobligate funds when the opportunities arise.	3 years 1 month 19 days
<b>Rec. B.1.c.1:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to calculate changes in subsidy cost for all Government Direct Loans and Government Loan Guarantees before agreeing to any loan term changes.	3 years 1 month 19 days
<b>Rec. B.1.c.2:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to submit the calculations to the Assistant Secretary of Defense for Sustainment for review and to the Office of Management and Budget for approval before agreeing to any loan term changes.	3 years 1 month 19 days



## APPENDIX A

**Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2018-056 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.c.3:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to ensure that the approved amount of funding is in the DoD Family Housing Improvement Fund before agreeing to any loan term changes.	3 years 1 month 19 days
<b>Rec. B.1.d:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment develop and implement controls to ensure that the most recent Office of Management and Budget-approved loan amounts for Government Direct Loans and Government Loan Guarantees reconcile to the annual reestimate calculations.	3 years 1 month 19 days
<b>Rec. B.1.e:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment coordinate with the enterprise Military Housing Program Management Office to ensure the development and implementation of detailed procedures for Military Department personnel to input privatized housing records into the enterprise Military Housing system, which would allow all Military Departments to comply with the “Enterprise Military Housing Information Management System” memorandum, dated April 16, 2014.	3 years 1 month 19 days

**Report No. DODIG-2019-060, “Review of Parts Purchased From TransDigm Group, Inc.,” February 25, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4.a:</b> The DoD OIG recommended that the Defense Pricing and Contracting Principal Director examine the United States Code, Federal Acquisition Regulation, Defense Federal Acquisition Regulation Supplement, and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information, to determine changes needed in the acquisition process of parts produced or provided from a sole source to ensure that contracting officers obtain uncertified cost data when requested and that the DoD receives full and fair value in return for its expenditures.	3 years 1 month 6 days

**Report No. DODIG-2019-062, “Audit of Management of Government-Owned Property Supporting the F-35 Program,” March 13, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment review the accounting and management actions of the F-35 Program Office for F-35 Program Government property.	3 years 18 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment take appropriate action, if warranted, to hold the necessary officials accountable.	3 years 18 days

## APPENDIX A

### *Under Secretary of Defense for Acquisition and Sustainment (cont'd)*

**Report No. DODIG-2019-079, "Audit of the Identification and Training of DoD's Operational Contract Support Workforce," April 16, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness collaborate with Under Secretary of Defense for Acquisition and Sustainment, Joint Staff, applicable Defense agencies, combatant commands, and Military Services to conduct an Operational Contract Support Functional Competency Model assessment for DoD military personnel.*	2 years 11 months 15 days
<b>Rec. 2:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment, in coordination with the Joint Staff, combatant commands, and Services, develop and implement policy to establish tiered minimum training (tactical, operational, and strategic) requirements and qualifications for Operational Contract Support positions at each echelon; and identify which positions require an Operational Contract Support-trained professional.	2 years 11 months 15 days

\* Responsibility for implementing Recommendation 1 was transferred from USD(P&R) to USD(A&S).

**Report No. DODIG-2019-081, "Audit of Training Ranges Supporting Aviation Units in the U.S. Indo-Pacific Command," April 17, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that in accordance with Public Law 115-232, Section 2862, paragraphs a through c, the Under Secretaries of Defense for Personnel and Readiness and for Acquisition and Sustainment, in coordination with the Services, review the individual Services' range plans, including the response provided to address the requirement of the National Defense Authorization Act, and determine whether Service solutions to training limitations can be accomplished across the DoD. The review should include live, virtual, constructive, and regionalization training.	2 years 11 months 14 days
<b>Rec. 1.b:</b> The DoD OIG recommended that in accordance with Public Law 115-232, Section 2862, paragraphs a through c, the Under Secretaries of Defense for Personnel and Readiness and for Acquisition and Sustainment, in coordination with the Services, develop and implement a plan to field and sustain DoD-wide solutions to address training gaps, including addressing: <ol style="list-style-type: none"> <li>1. the airspace and impact area needs of advanced aircraft and weapons, such as the F-35; and</li> <li>2. the need to join neighboring airspace on a continuing basis.</li> </ol>	2 years 11 months 14 days

## APPENDIX A

***Under Secretary of Defense for Acquisition and Sustainment (cont'd)***

**Report No. DODIG-2019-089, "Audit of the DoD's Implementation of the Joint Regional Security Stacks,"  
June 4, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment, in coordination with the DoD Chief Information Officer, establish or revise guidance that requires DoD Components to follow the same requirements when developing a technology refresh that will exceed an established cost threshold, as required for new acquisitions under DoD Instruction 5000.02.	2 years 9 months 27 days

**Report No. DODIG-2019-103, "Audit of Air Force Accountability of Government Property and Oversight of Contractual Maintenance Requirements in the Contract Augmentation Program IV in Southwest Asia," July 18, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2:</b> The DoD OIG recommended that the Principal Director of the Defense Pricing and Contracting Division in the Office of the Under Secretary of Defense for Acquisition and Sustainment make existing Government-furnished training resources mandatory for all contracting personnel and coordinate with the Services to implement Government-furnished property training courses for contingency contracting personnel. The training should outline Service-specific implementation of Federal and Department of Defense accountability requirements.	2 years 8 months 13 days

## APPENDIX A

### *Under Secretary of Defense for Acquisition and Sustainment (cont'd)*

**Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.2.a-e:</b> The DoD OIG recommended that the Principal Director for Defense Pricing and Contracting, in coordination with the appropriate DoD Component responsible for developing policy:</p> <ul style="list-style-type: none"> <li>a. Revise its current policy to require DoD Component contracting offices, as part of the Request for Proposal and source selection processes, and requiring activities, during the performance of the contract, to assess whether contractors comply with the National Institute of Standards and Technology requirements for protecting controlled unclassified information before contract award and throughout the contracts' period of performance.</li> <li>b. Develop and implement policy requiring DoD Component contracting offices and requiring activities to maintain an accurate accounting of contractors that access, maintain, or develop controlled unclassified information as part of their contractual obligations.</li> <li>c. Revise its current policy to include language that will require DoD Component contracting offices and requiring activities to validate contractor compliance with National Institute of Standards and Technology Special Publication 800-171 requirements.</li> <li>d. Require DoD Component contracting offices, in coordination with DoD requiring activities, to develop and implement a risk-based process to verify that contractors comply with the Defense Federal Acquisition Regulation Supplement clause 252.204-7012 for protecting controlled unclassified information.</li> <li>e. Require DoD Component contracting offices, in coordination with DoD requiring activities, to take corrective actions against contractors that fail to meet the National Institute of Standards and Technology and contract requirements for protecting controlled unclassified information.</li> </ul>	2 years 8 months 8 days

**Report No. DODIG-2019-106, "Audit of the DoD's Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items," July 26, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment update existing DoD acquisition policies or develop and implement new policy to require organizations to review and evaluate cybersecurity risks, including supply chain and counterintelligence risks, for high-risk commercial off-the-shelf items prior to purchase, regardless of purchase method.</p>	2 years 8 months 5 days
<p><b>Rec. 2.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment update Government purchase card program policy and training to include training on common cybersecurity risks, including supply chain and counterintelligence risks, for commercial off-the-shelf information technology items and the impact of the risks to the mission.</p>	2 years 8 months 5 days

## APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)*

**Report No. DODIG-2020-042, "Audit of the Service Acquisition Executives' Management of Defense Acquisition Category 2 and 3 Programs," December 20, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment populate the common data framework, establishing both criteria and guidelines for declaring program start, designating the initial acquisition category, and defining the minimum program data needed at program start.	2 years 3 months 11 days

**Report No. DODIG-2020-063, "Audit of DoD Service-Disabled Veteran-Owned Small Business Contract Awards," February 18, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review, in coordination with the Military Departments and Defense agencies, of all 17 contractors that received DoD Service-Disabled Veteran-Owned Small Business set-aside or sole-source contracts but were denied Service-Disabled Veteran-Owned Small Business status by the Department of Veterans Affairs Center for Verification and Evaluation to determine if they meet the requirements for Service-Disabled Veteran-Owned Small Business status. Based on the review, the Director should take action, as appropriate, against any contractors found to have misrepresented their Service-Disabled Veteran-Owned Small Business status to the DoD to obtain contracts by coordinating with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	2 years 1 month 13 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review, in coordination with the Military Departments and Defense agencies, of all 16 contractors in our sample that received DoD Service-Disabled Veteran-Owned Small Business contracts that we determined to be ineligible Service-Disabled Veteran-Owned Small Businesses to determine if they meet the eligibility requirements. Based on the review, the Director should take action, as appropriate, against any contractors found to have misrepresented their Service-Disabled Veteran-Owned Small Business status to the DoD to obtain set-aside and sole-source contracts by coordinating with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	2 years 1 month 13 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, to require contractors to submit documentation to support the owner and highest ranking officer is a service-disabled veteran when submitting proposals for Service-Disabled Veteran-Owned Small Business set-aside and sole-source contracts. Additionally, the procedures should require contractors to submit documentation supporting that they meet the Service-Disabled Veteran-Owned Small Business requirements for majority ownership and management and control of the long-term decisions and daily business operations.	2 years 1 month 13 days

## APPENDIX A

### *Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2020-063 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.d:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, for the Services' Small Business Offices to periodically review contractors that have obtained Service-Disabled Veteran-Owned Small Business contracts, where eligibility was not verified at the time of award, to determine if those contractors meet the Service-Disabled Veteran-Owned Small Business eligibility requirements, and coordinate with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	2 years 1 month 13 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, requiring contracting personnel to track and monitor the amounts Service-Disabled Veteran-Owned Small Businesses pay to joint venture partners that are not Service-Disabled Veteran-Owned Small Businesses throughout contract performance to ensure that contractors do not exceed the required net profit limitations, as defined by the Code of Federal Regulations.	2 years 1 month 13 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, requiring contracting personnel to track and monitor the amounts Service-Disabled Veteran-Owned Small Businesses spend on subcontractors that are not Service-Disabled Veteran-Owned Small Businesses throughout contract performance to ensure that contractors do not exceed the required limitations, as defined by the Code of Federal Regulations.	2 years 1 month 13 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review of all socio-economic contracting programs that require contractors to self-certify their status and, as appropriate, implement procedures, in coordination with Defense Pricing and Contracting, requiring contractors to submit documentation to support that they meet the applicable eligibility requirements, including ownership and control, before receiving set-aside and sole-source contracts.	2 years 1 month 13 days
<b>Rec. 1.h:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director coordinate with Defense Pricing and Contracting, the General Services Administration, and the Small Business Administration to implement procedures to ensure that contractors update the System for Award Management after the Small Business Administration determines the contractors are ineligible for Service-Disabled Veteran-Owned Small Business contracts.	2 years 1 month 13 days
<b>Rec. 1.i:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director coordinate with Defense Pricing and Contracting and the Small Business Administration to implement procedures to ensure protest results are communicated to contracting personnel DoD-wide when the Small Business Administration determines a contractor is not eligible for Service-Disabled Veteran-Owned Small Business set-aside or sole-source contracts.	2 years 1 month 13 days
<b>Rec. 1.j:</b> The DoD OIG recommended that the DoD Office of Small Business Programs Director reinforce existing procedures, in coordination with Defense Pricing and Contracting, to ensure contracting officers are aware of the protest procedures and their responsibilities.	2 years 1 month 13 days

## APPENDIX A

***Under Secretary of Defense for Acquisition and Sustainment (cont'd)***

**Report No. DODIG-2020-071, "Audit of the Department of Defense's Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States," March 23, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a.2:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, give the Military Surface Deployment and Distribution Command the authority and ability to enforce the Military Services' compliance with the Military Surface Deployment and Distribution Command accident investigation recommendations by tasking the Military Services to take specific action through a system of draft recommendations, command response, Military Surface Deployment and Distribution Command evaluation of those responses, and adjustment of the Military Surface Deployment and Distribution Command recommendations.	2 years 8 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, evaluate creating a centralized tracking system to track rail shipments of arms, ammunition, and explosives and implement that tracking system, if appropriate.	2 years 8 days

**Report No. DODIG-2020-082, "Evaluation of the DoD's Management of Health and Safety Hazards in Government-Owned and Government-Controlled Military Family Housing," April 30, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment and the Under Secretary of Defense for Personnel and Readiness collaboratively establish or revise appropriate DoD policy(s) to address health and safety hazards-including lead-based paint, asbestos-containing material, radon, fire and electrical safety, drinking water quality, window fall prevention, mold, carbon monoxide, and pest management-in military family housing to manage health, safety, and environmental risks to acceptable levels for military family housing residents.	1 year 11 months 1 day

**Report No. DODIG-2020-084, "Audit of Military Department Management of Unfinalized Contract Actions," May 11, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Pricing and Contracting Principal Director update the Defense Federal Acquisition Regulation Supplement to clarify that when considering the reduced cost risks associated with allowable incurred costs on a Unfinalized Contract Action, it is appropriate to apply separate and differing contract risk factors for allowable incurred costs and estimated costs to complete, in accordance with the requirements in 10 U.S.C. § 2326, "Unfinalized Contractual Actions: Restrictions," when completing the contract risk sections of DD Form 1547, "Record of Weighted Guidelines."	1 year 10 months 20 days



## APPENDIX A

**Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2020-084 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Defense Pricing and Contracting Principal Director encourage contractors to provide timely qualifying proposals by updating the Defense Federal Acquisition Regulation Supplement Subpart 217.7404-3, "Definitization Schedule," to: 1. Open the suspension and reduction of progress payments to include all types of contract payments and not just progress payments. 2. Provide clarification for contracting officers on "other appropriate actions" that could further incentivize the contractor to provide timely qualifying proposals. 3. Require contracting officers to document in the contract file their justification for why payments were not withheld if the qualifying proposal was not received in accordance with the initial definitization schedule.	1 year 10 months 20 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Defense Pricing and Contracting Principal Director update the Defense Federal Acquisition Regulation Supplement clause 252.217-7027, "Contract Definitization," to include that failure to meet the qualifying proposal date in the definitization schedule could result in the Government withholding a percentage of all payments yet to be paid under an Undefinitized Contract Action until the qualifying proposal is received.	1 year 10 months 20 days

**Report No. DODIG-2020-093, "Audit of the Department of Defense's Processes to Identify and Clear Munitions and Explosives of Concern During Construction on Guam," June 16, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment perform a documented review of explosives safety standards-in conjunction with DoD Explosives Safety Board representatives, Service-level policymakers, and construction managers with experience on projects involving munitions and explosives of concern clearance-to determine whether increased munitions and explosives of concern risk is acceptable in certain instances based on the adverse operational risks that munitions and explosives of concern clearance has created.	1 year 9 months 15 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment issue guidance for estimating and presenting munitions and explosives of concern clearance costs on DD Form 1391, "FY_____ Military Construction Project Data," that will enable personnel to assess the accuracy of the munitions and explosives of concern clearance budget and enable DoD leaders to refine future military construction projects based on historical comparisons of methods used to develop munitions and explosives of concern clearance budgets.	1 year 9 months 15 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment issue procedures or other clarifying guidance to establish authorities and ensure that any revised explosives safety standards include language concerning when revisions become effective and how existing military construction contracts are affected by changes in standards.	1 year 9 months 15 days



## APPENDIX A

***Under Secretary of Defense for Acquisition and Sustainment (cont'd)***

**Report No. DODIG-2020-105, "Followup Evaluation of Report DODIG-2016-078, Evaluation of the Department of Defense's Biological Select Agents and Toxins Biosafety and Biosecurity Program Implementation," July 16, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition and Sustainment issue policy requiring all DoD biological select agents and toxins-registered laboratories to implement an internal technical and scientific peer review function that addresses both biosafety and biosecurity.	1 year 8 months 15 days

**Report No. DODIG-2020-106, "Evaluation of Security Controls for Intelligence, Surveillance, and Reconnaissance Supply Chains," July 22, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> Recommendation is Classified.	1 year 8 months 9 days

**Report No. DODIG-2020-123, "Audit of the F-35 Program Office's Beyond Economical Repair Process for Parts," September 4, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment, develop DoD-wide guidance that aligns with DoD Manual 4140.01 to establish minimum data and documentation requirements for beyond economical repair processes, to include a method for defining the replacement price or cost that should be used in beyond economical repair calculations, the responsible party for approving the beyond economical repair decision, and any other considerations outside of cost factors.	1 year 6 months 27 days

**Report No. DODIG-2021-001, "Audit of the Solicitation, Award, and Administration of Washington Headquarters Services Contract and Task Orders for Office of Small Business Programs," October 7, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Director, DoD Office of Small Business Programs, develop and implement policies and procedures to verify and ensure that program officials develop performance work statements with contract requirements that are clear, specific, and with objective terms and measurable outcomes.	1 year 5 months 24 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Director, DoD Office of Small Business Programs, develop and implement policies and procedures to require that contracting officer's representatives maintain a contract file documenting contractor performance and the review and approval of contract deliverables.	1 year 5 months 24 days

## APPENDIX A

### *Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2021-001 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.c:</b> The DoD OIG recommended that the Director, DoD Office of Small Business Programs, develop and implement policies and procedures to verify and ensure that contracting officer's representatives perform required contract administration duties and hold them accountable.	1 year 5 months 24 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the Director, DoD Office of Small Business Programs, develop and implement policies and procedures to ensure that future information technology acquisitions have the appropriate Federal Risk and Authorization Management Program security level.	1 year 5 months 24 days

### **Report No. DODIG-2021-041, "Evaluation of the Department of Defense Processes to Counter Radio Controlled Improvised Explosive Devices," January 22, 2021 (Full Report is Classified).**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment revise DoD Directive 5101.14 to clarify the appointment of the Secretary of the Army as the counter radio-controlled improvised explosive device electronic warfare executive agent.	1 year 2 months 9 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment modify counter radio-controlled improvised explosive device electronic warfare executive agent responsibilities to include coordinating across the Department of Defense, with other Government agencies, and with foreign partners to ensure counter radio-controlled improvised explosive device electronic warfare unity of effort, common standards, system interoperability, and threat prioritization.	1 year 2 months 9 days

### **Report No. DODIG-2021-047, "Evaluation of Department of Defense Contracting Officer Actions on Questioned Direct Costs," January 21, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.7:</b> The DoD OIG recommended that the Defense Pricing and Contracting Principal Director issue guidance to the DoD Components to clarify who has the authority to settle Defense Contract Audit Agency questioned direct costs in accordance with Federal Acquisition Regulation Subpart 42.3, "Contract Administration Office Functions."	1 year 2 months 10 days

### **Report No. DODIG-2021-077, "Audit of Other Transactions Awarded Through Consortiums," April 21, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting develop policies outlining how the Services should award and track other transaction projects when using a consortium, and whether contracting personnel should award projects using delivery orders, modifications, or some other method, when awarding projects to a consortium.	11 months 10 days

## APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2021-077 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting coordinate with the General Services Administration to update the Federal Procurement Data System-Next Generation database to more accurately capture data related to other transactions awarded through consortiums.	11 months 10 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting reinforce guidelines or implement additional best practices to ensure other transactions awarded through consortiums use competition to the maximum extent practicable as required.	11 months 10 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting implement additional guidance or best practices that ensure contracting personnel maintain documentation for major decisions made to support the award of an other transaction agreement in the other transaction agreement file.	11 months 10 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting clarify its policy for determining the approval level required for project awards when using consortiums and ensure the guidance is uniformly applied and implemented by contracting personnel.	11 months 10 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting assess and determine whether it will require the inclusion of basic protest language in other transaction solicitations and establish processes or best practices to address those protests of other transaction agreements.	11 months 10 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting establish Department of Defense-level training specific to awarding other transactions through consortiums that ensures Agreements Officers receive training to demonstrate expertise in executing, managing, and administering complex acquisition instruments, and can function in a less structured environment where prudent judgment is essential.	11 months 10 days
<b>Rec. 1.h:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting implement Department of Defense-level guidance establishing a standard Agreements Officer delegation and warrant process.	11 months 10 days
<b>Rec. 1.i:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting implement guidelines or best practices for contracting personnel to consider when negotiating consortium management fees to ensure the Department of Defense receives the best value when working with a consortium management organization.	11 months 10 days
<b>Rec. 1.j:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting establish requirements to vet consortium members upon membership to identify which members meet applicable security requirements for future opportunities.	11 months 10 days
<b>Rec. 1.k:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting establish controls to ensure that the consortium management organization only disseminates controlled and restricted information to consortium members with proper security clearance.	11 months 10 days

## APPENDIX A

### *Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2021-077 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.l:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting provide guidance requiring that contracting personnel check the System for Award Management prior to the award of an other transaction through a consortium, to determine and document if a contractor is registered in the System for Award Management and is not on the excluded parties list. For those contractors not registered in the System for Award Management, provide additional review requirements that must be performed and documented prior to award to ensure they are able to do business with the Government.	11 months 10 days
<b>Rec. 1.m:</b> The DoD OIG recommended that the Principal Director, Defense Pricing and Contracting develop procedures to require security reviews of solicitation and supplementary information, including the aggregate of all information being provided in the solicitations to ensure potential sensitive information is not revealed by the compilation of information.	11 months 10 days

### **Report No. DODIG-2021-082, “Evaluation of Combatant Command Counter Threat Finance Activities,” May 18, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 6.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment, in conjunction with the Under Secretary of Defense for Policy and U.S. Special Operations Command, develop a plan of action and milestones to establish policies related to the capability of United States defense contractors to meet DoD Counter Threat Finance mission needs.	10 months 13 days
<b>Rec. 6.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment, in conjunction with the Under Secretary of Defense for Policy and U.S. Special Operations Command, develop a plan of action and milestones to coordinate with the Under Secretary of Defense for Intelligence on innovative uses of technology to address intelligence-related Counter Threat Finance issues.	10 months 13 days

### **Report No. DODIG-2021-090, “Audit of Munitions Storage in the U.S. European Command,” June 10, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> The DoD OIG recommended that the Executive Director of the DoD Explosives Safety Board develop and issue clarifying policy requiring DoD Components to conduct explosives and munitions risk assessments at grandfathered facilities or revise the Defense Explosives Safety Regulation 6055.09 to remove the grandfathering clause.	9 months 21 days

## APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)*

Report No. DODIG-2021-094, "Audit of Department of Defense Joint Bases," June 18, 2021

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue updated guidance to clarify existing processes, roles, and responsibilities pertaining to joint base governance and operations.	9 months 13 days
<b>Rec. 4.b:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue updated guidance to clarify roles of the Deputy Secretary of Defense and Service Vice Chiefs of Staff within the Joint Management Oversight Structure for handling unresolved issues.	9 months 13 days
<b>Rec. 4.c:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue updated guidance to clarify when Service decisions directly impact a joint base and the support provided by the lead Component should be documented in the memorandum of agreement, and what actions require concurrence from the Joint Management Oversight Structure.	9 months 13 days
<b>Rec. 4.d:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue updated guidance to clarify the extent that dual-hatting is permitted in the joint base command structure, to include the deputy joint base commander.	9 months 13 days

Report No. DODIG-2021-105, "Evaluation of the Department of Defense's Actions to Control Contaminant Effects from Perfluoroalkyl and Polyfluoroalkyl Substances at Department of Defense Installations," July 22, 2021 (Full Report is CUI)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment revise DoD Instruction 4715.18 to include requirements for Emerging Chemical Program officials to initiate proactive risk management actions based on measurable risks to the DoD areas of concern to mitigate contaminant effects of emerging chemicals at DoD installations.	8 months 9 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment revise DoD Instruction 4715.18 to include requirements for Emerging Chemical Program officials to develop risk management options and initiate proactive risk management actions which may be warranted to identify and mitigate the contaminant effects of emerging chemicals as early as possible in the Emerging Chemical Process, regardless of whether an emerging chemical is on the Emerging Chemical Watch List or the Emerging Chemical Action List.	8 months 9 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment revise DoD Instruction 4715.18 to include requirements for Emerging Chemical Program officials to formally inform DoD users of emerging chemicals and of their status in the Emerging Chemical Process.	8 months 9 days

## APPENDIX A

***Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2021-105 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Environment and Energy Resilience) complete the Emerging Chemical Process for potential perfluoroalkyl and polyfluoroalkyl substance exposure caused by DoD activities from perfluoroalkyl and polyfluoroalkyl substance-containing materials other than Aqueous Film Forming Foam by developing and presenting validated risk management options for the perfluoroalkyl and polyfluoroalkyl substances on the Emerging Chemical Action List to the Emerging Chemicals of Concern Governance Council, as required by DoD Instruction 4715.18.	8 months 9 days

**Report No. DODIG-2021-126, “Evaluation of the Department of Defense’s Mitigation of Foreign Suppliers in the Pharmaceutical Supply Chain,” September 20, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment develop and issue implementing guidance for DoD supply chain risk management for DoD materiel in accordance with DoD Instruction 4140.01.	6 months 11 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment coordinate with the U.S. Department of Health and Human Services to communicate the importance of the Food and Drug Administration implementing the recommendation outlined in the 100-Day Reviews under Executive Order 14017 to “Seek Additional Authority Through Which FDA Can Collect Additional Data and Take Action to Improve Surveillance, Oversight, and Resilience of the Supply Chain.”	6 months 11 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment pursue Federal legislation requiring pharmaceutical manufacturers to include active pharmaceutical ingredients and final drug product country of origin information of the pharmaceuticals’ lot on the pharmaceuticals’ packaging through modification of the Drug Quality and Security Act, Public Law 113-54 §582(b)(2)(A), or through development or modification of other statutes.	6 months 11 days

**Report No. DODIG-2022-004, “Evaluation of the Department of Defense’s Implementation of Oversight Provisions of Privatized Military Housing,” October 21, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Housing, in coordination with the Military Departments, develop a plan of action and milestones for issuing comprehensive implementation guidance for the remaining Fiscal Year 2020 National Defense Authorization Act Military Housing Privatization Initiative oversight provisions. In addition, in coordination with the Military Departments, develop a comprehensive implementation proposal for presentation to the landlords.	5 months 10 days

## APPENDIX A

***Under Secretary of Defense for Acquisition and Sustainment (cont'd)***

**Report No. DODIG-2022-043, "Audit of the Business Model for TransDigm Group Inc. and Its Impact on Department of Defense Spare Parts Pricing," December 13, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Defense Pricing and Contracting Principal Director review the Defense Federal Acquisition Regulation Supplement and Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information to determine whether current policy adequately addresses when cost analysis should be required by contracting officials to determine price reasonableness for sole-source spare parts not subject to Truth in Negotiations Act. If the Principal Director determines that current policy is not sufficient, the Principal Director should initiate actions to revise and update policy and guidance.	3 months 18 days
<b>Rec. 2:</b> The DoD OIG recommended that the Defense Pricing and Contracting Principal Director work with the Defense Logistics Agency Director to identify alternative contracting strategies for procuring items from companies that sell sole-source spare parts in small quantities at low dollar values to the DoD more efficiently and at a lower price.	3 months 18 days

**Report No. DODIG-2022-049, "Evaluation of Contract Monitoring and Management for Project Maven," January 6, 2022 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Acquisition conduct a review of the Algorithmic Warfare Cross-Functional Team's emerging technology acquisition processes and procedures to evaluate whether any of the processes and procedures used by the Algorithmic Warfare Cross-Functional Team should be further formalized in acquisition policies, implemented by other programs and cross-functional teams, or incorporated into relevant curriculum and training.	2 months 25 days

## APPENDIX A

***Under Secretary of Defense for Acquisition and Sustainment (cont'd)***

**Report No. DODIG-2022-053, "Audit of the Department of Defense Foreign Military Sales Acquisition Process," January 12, 2022 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. B.2:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment coordinate with the Military Department Implementing Agencies and issue guidance that requires the milestones established for the foreign military sales acquisition process be clearly defined and consistently applied to meet the reporting requirements of Section 887 of the National Defense Authorization Act for FY 2018. The guidance should include, at a minimum, the following.</p> <ul style="list-style-type: none"> <li>• Require the Military Department Implementing Agencies to obtain at the beginning of each quarter a query of all congressional notified cases from the Defense Security Cooperation Agency's Defense Security Assistance Management System and updating the list of cases required to be tracked and reported.</li> <li>• Address the different types of acquisition scenarios including if a case will require a new contract or if the case requirements will be added onto an existing government contract through a modification or other means. For cases where the requirements are added to an existing contract, develop a consistent methodology for reporting Milestone 4 (Acquisition Requirements Package Complete) or reevaluate the need to report the milestone.</li> <li>• Address the different types of contracts to include whether to use definitized or undefinitized contract actions for completion of Milestone 5 (Contract Award).</li> <li>• Require consistent reporting of cases involving major defense equipment and cases involving significant military equipment or other types of equipment or services.</li> <li>• Address how to report cases that involve multiple Letter of Offer and Acceptance lines and associated contracts for major defense equipment.</li> <li>• Require the establishment of a quality assurance process to ensure the reported information is accurate, complete, and reliable, to include validating the accuracy of the root cause assigned to delays associated with missing foreign military sales acquisition milestones.</li> <li>• Require the Implementing Agency's to report milestones met or missed in the proper reporting period and for them to continue to report cases that missed a milestone in all subsequent reporting periods until the milestone event is reached.</li> </ul>	<p>2 months 19 days</p>



## APPENDIX A

**Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2022-053 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<ul style="list-style-type: none"> <li>• Require coordination with the Program Executive Office, Program Manager, or other organizations responsible for managing the case during each reporting period to obtain updated information regarding the case status and obtain the root cause of any potential delays. Require Military Department Implementing Agency personnel to educate personnel in those organizations on the reporting requirements and processes.</li> <li>• Clarify how foreign partner requests to place DoD acquisition activities on hold should affect milestones and reporting.</li> <li>• Clarify how foreign partner requests that significantly change requirements and result in amendments to Letters of Offer and Acceptance should affect acquisition-related milestones and reporting.</li> <li>• Require all Military Department Implementing Agencies to track the impact of missing milestones on the agreed-upon period of performance with the foreign partner for the delivery of defense articles and services.</li> <li>• Require the retention of evidence supporting the milestone information used to compile the annual and quarterly reports for management, congressional, and audit use.</li> <li>• Establish cut off dates for when the Military Department Implementing Agencies are required to provide quarterly and annual input on their foreign military sales acquisitions to the Office of the Under Secretary of Defense for Acquisition and Sustainment. The dates should allow time for that office to compile the information and provide the DoD's report to Congress within the 32-day reporting benchmark that Congress established.</li> </ul>	2 months 19 days

**Report No. DODIG-2022-061, "Audit of the Protection of Military Research Information and Technologies Developed by Department of Defense Academic and Research Contractors," February 22, 2022**  
**(Full Report is CUI//NOFORN)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Principal Director for Defense Pricing and Contracting direct contracting officers to use their authority as outlined in the National Institute of Standards and Technology SP 800-171 DoD Assessment Methodology to assess contractor compliance with National Institute of Standards and Technology Special Publication 800-171 cybersecurity requirements for protecting controlled unclassified information for ongoing contracts awarded before November 30, 2020.	1 month 9 days

## APPENDIX A

***Under Secretary of Defense for Acquisition and Sustainment (cont'd)***

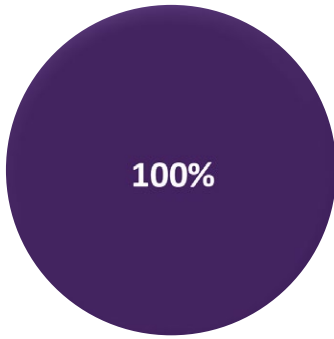
**Report No. DODIG-2022-069, "Audit of Department of Defense Small Business Subcontracting Requirements," March 7, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.1.a.1:</b> The DoD OIG recommended that the Director of the DoD Office of Small Business Programs, in coordination with Defense Pricing and Contracting issue supplemental guidance to increase contracting personnel understanding of Federal Acquisition Regulation requirements related to determining small business prime contractor compliance with subcontracting limitations. The guidance should include, at a minimum, contracting personnel responsibilities for identifying subcontractors, monitoring the amounts small business prime contractors pay to subcontractors, and determining prime contractor compliance with limitations. In addition, guidance should require maintaining a list of subcontractors and any documentation to support compliance in the official contract file, in order to assist in transferring subcontractor information when a contract is assigned from one contracting officer to another. Furthermore, the guidance should require contracting personnel to report instances of non-compliance with subcontracting limitations in the contractor's performance information.</p>	24 days
<p><b>Rec. A.1.a.2:</b> The DoD OIG recommended that the Director of the DoD Office of Small Business Programs, in coordination with Defense Pricing and Contracting issue supplemental guidance to implement procedures for DoD contracting personnel to pursue the collection of penalties from any contractor that does not comply with subcontracting limitations. The guidance should address procedures for documenting contractor non-compliance with the limitations, calculating the applicable penalty, and initiating collection action.</p>	24 days
<p><b>Rec. A.1.a.3:</b> The DoD OIG recommended that the Director of the DoD Office of Small Business Programs, in coordination with Defense Pricing and Contracting issue supplemental guidance to increase contracting personnel understanding of the Federal Acquisition Regulation requirements related to ensuring all prime contractors, including small businesses, comply with first-tier subcontract award reporting requirements. The guidance should also address requirements for contracting personnel to review first-tier subcontract award information on a quarterly basis and include any prime contractor's non-compliance with the reporting requirements in the contractor's performance information.</p>	24 days
<p><b>Rec. A.1.b:</b> The DoD OIG recommended that the Director of the DoD Office of Small Business Programs, in coordination with Defense Pricing and Contracting direct all DoD contracting personnel who monitor contract performance and are responsible for ensuring compliance with subcontracting requirements, including contracting officers and contracting officer's representatives, to take Defense Acquisition University (DAU) course CLC 059, "Management of Subcontracting Compliance." The course should be required for existing personnel and new personnel assigned to a role that involves monitoring compliance with contract terms and conditions. Refresher training should also be offered and conducted as needed.</p>	24 days

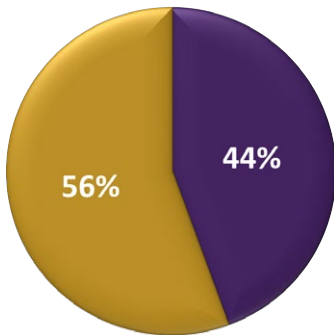
## APPENDIX A

### Statistics – Under Secretary of Defense for Research and Engineering

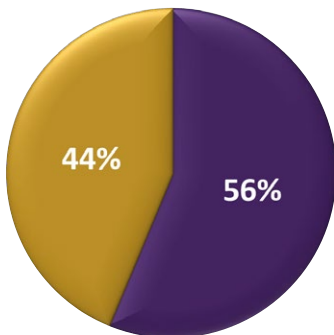
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

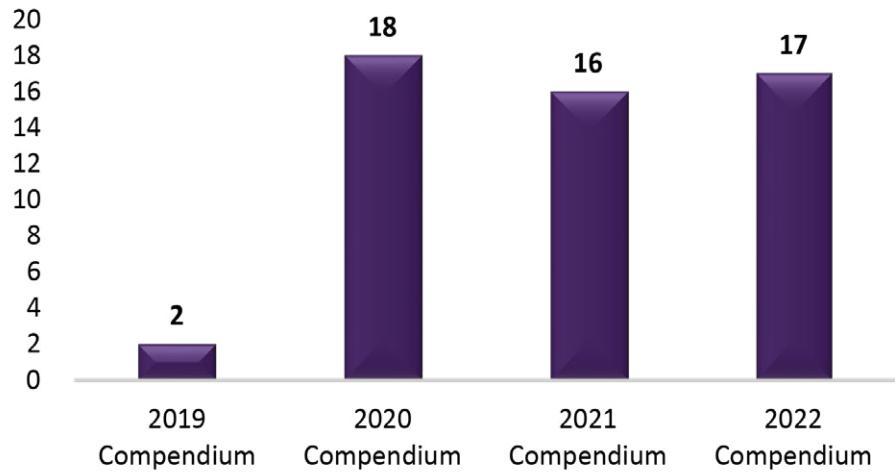


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	1
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Number of Recommendations Open Longer than 5 Years	2
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Potential Monetary Benefits	–
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## APPENDIX A

### *Under Secretary of Defense for Research and Engineering*

**Report No. DODIG-2014-049, “DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed,” March 27, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1.a:</b> The DoD OIG recommended that the Administrator, DoD Office of Small Business Programs, Small Business Innovation Research Office, issue single, overarching guidance and related training for all DoD organizations to follow that will provide for the uniform application of intellectual property protections across DoD. Guidance and training should include:</p> <ol style="list-style-type: none"> <li>1. standard intellectual property protections within the Small Business Innovation Research Program, including the use and application of the data assertions table; and</li> <li>2. when the protection period begins and when it can be extended.</li> </ol>	8 years 4 days
<p><b>Rec. 1.b:</b> The DoD OIG recommended that the Administrator for the DoD Office of Small Business Programs, Small Business Innovation Research Office, issue clarifying guidance to address the requirement for organizations to provide the Small Business Administration a complete and timely notification detailing why a proposed Small Business Innovation Research Phase III contract could not be awarded to the developer. The clarifying guidance should provide a single DoD interpretation of the requirement and address reporting requirements outlined in the Small Business Administration Small Business Innovation Research Policy Directive.</p>	8 years 4 days

**Report No. DODIG-2020-003, “Audit of DoD’s Use of Additive Manufacturing for Sustainment Parts,” October 17, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 4:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, Under Secretary of Defense for Acquisition and Sustainment, Military Service Secretaries, and the Marine Corps Commandant conduct a review to identify the appropriate funding and number of personnel to pursue benefits of additive manufacturing throughout the DoD.</p>	2 years 5 months 14 days

**Report No. DODIG-2020-066, “Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems,” March 2, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.6:</b> The DoD OIG recommended that the Commander of U.S. Strategic Command and the Under Secretary of Defense for Research and Engineering develop and implement a plan to conduct independent evaluations of supply chain risk management for nuclear command, control, and communications systems. The plan should outline responsibilities for conducting the evaluations, criteria and methodology that will be used, and timeframes for conducting the evaluations.</p>	2 years 29 days

## APPENDIX A

**Under Secretary of Defense for Research and Engineering – Report No. DODIG-2020-066 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.7.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, update DoD Instruction 5200.44 to incorporate current initiatives, roles, and responsibilities.	2 years 29 days
<b>Rec. A.7.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, evaluate the use of threat assessments by program offices and determine whether the assessments are effective in achieving supply chain risk management objectives by informing risk management decisions.	2 years 29 days
<b>Rec. A.7.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, establish performance measures to assess the effectiveness of the Joint Federated Assurance Center in assisting program offices with supply chain risk management practices.	2 years 29 days
<b>Rec. A.7.d:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, assess the effectiveness of supply chain risk management practices, as implemented by program offices, in mitigating risks to subcomponents in critical systems. Based on the results of the assessment, provide clarification on requirements in policy or guidance, as needed.	2 years 29 days

**Report No. DODIG-2020-122, “Audit of the Supply Chain Risk Management for the Navy’s Nuclear Weapons Delivery System,” September 1, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, revise DoD Instruction 5200.44 or issue clarifying guidance to implement DoD supply chain risk management requirements for legacy sustainment systems.	1 year 6 months 30 days

## APPENDIX A

***Under Secretary of Defense for Research and Engineering (cont'd)***

**Report No. DODIG-2021-088, "Evaluation of the Air Force Systems Engineering Processes Used in the Development of the Refueling Boom for the KC-46A Tanker," May 21, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering and the Under Secretary of Defense for Acquisition and Sustainment revise DoD Instruction 5000.85 and its supplementary acquisition policy issuances to require program managers of major defense acquisition programs to conduct knowledge-building technology readiness assessments throughout the DoD acquisition life cycle, including at preliminary design review, critical design review, and Milestone C, at a minimum.	10 months 10 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering and the Under Secretary of Defense for Acquisition and Sustainment revise DoD Instruction 5000.85 and its supplementary acquisition policy issuances to require program managers of major defense acquisition programs to develop and execute technology maturation plans for critical technologies that have not been demonstrated in a relevant environment, as determined by a knowledge-building or statutory technology readiness assessment.	10 months 10 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering and the Under Secretary of Defense for Acquisition and Sustainment revise DoD Instruction 5000.85 and its supplementary acquisition policy issuances to require program managers of major defense acquisition programs to use scientific test and analysis techniques to the maximum extent possible to develop the Test and Evaluation Master Plan.	10 months 10 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering and the Under Secretary of Defense for Acquisition and Sustainment revise DoD Instruction 5000.85 and its supplementary acquisition policy issuances to require program managers of major defense acquisition programs to include the most critical or stressing test conditions in revised test plans when proposing elimination, deferral, or modification of planned tests that were originally documented in the Test and Evaluation Master Plan.	10 months 10 days

**Report No. DODIG-2022-056, "Evaluation of the Ground Test and Evaluation Infrastructure Supporting Hypersonic Capabilities," February 1, 2022 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Service Components, develop a plan to implement the strategies identified in Test Resource Management Center's "Fiscal Year 2018-Fiscal Year 2028 Strategic Plan for Department of Defense Test & Evaluation Resources," and the additional projects the Arnold Engineering Development Complex has identified through the Service Program Objective Memorandum process to increase hypersonic ground test and evaluation capability and capacity.	1 month 30 days

## APPENDIX A

**Under Secretary of Defense for Research and Engineering – Report No. DODIG-2022-056 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Director of the Test Resource Management Center revise the strategic plan to incorporate options to eliminate all ground test and evaluation capability and capacity shortfalls necessary for hypersonic weapon system development.	1 month 30 days

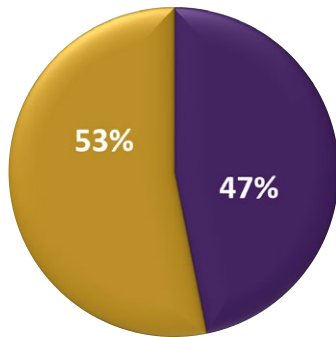
**Report No. DODIG-2022-061, “Audit of the Protection of Military Research Information and Technologies Developed by Department of Defense Academic and Research Contractors,” February 22, 2022 (Full Report is CUI//NOFORN)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 5.a:</b> The DoD OIG recommended that the Director of Defense Research and Engineering for Research and Technology direct contracting officers to verify that Contractor E implements technical security controls to protect controlled unclassified information stored on removable media.	1 month 9 days
<b>Rec. 5.b:</b> The DoD OIG recommended that the Director of Defense Research and Engineering for Research and Technology direct contracting officers to verify that Contractor I identifies and mitigates vulnerabilities and develops plans of action and milestones for vulnerabilities that cannot be mitigated in a timely manner; encrypts controlled unclassified information stored on workstations; and implements technical security controls to protect controlled unclassified information stored on removable media.	1 month 9 days

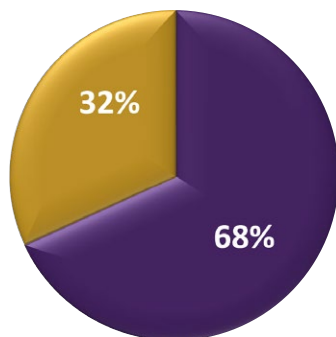


## Statistics – Under Secretary of Defense for Policy

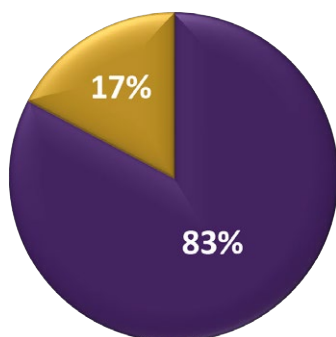
**Recommendations from  
2019 Compendium**





**Recommendations from  
2020 Compendium**

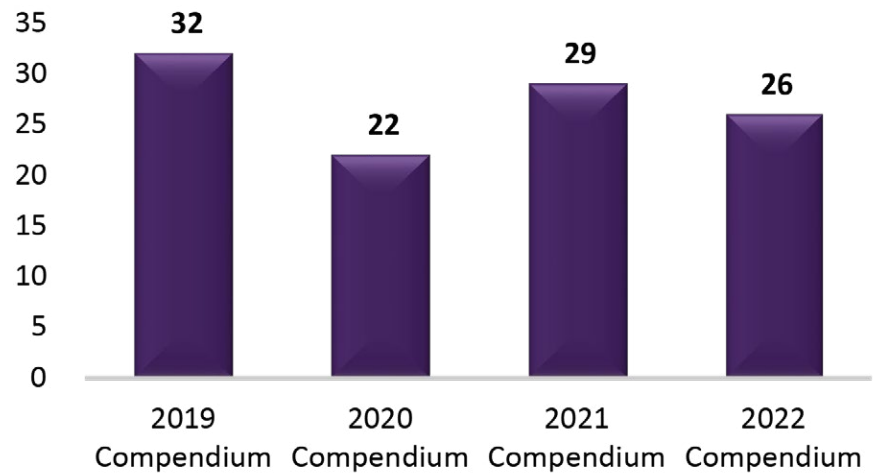


**Recommendations from  
2021 Compendium**



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

**Open Recommendations**



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	7
Potential Monetary Benefits	–



## APPENDIX A

*Under Secretary of Defense for Policy*

Report No. DODIG-2015-070, "Evaluation of Alternative Compensatory Control Measures Program,"  
January 28, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program management and oversight, develop a plan of assistance, oversight, and inspections of the Components' Alternative Compensatory Control Measures programs.	7 years 2 months 3 days
<b>Rec. B:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program management and oversight, revise DoD Manual 5200.01, volume 3, to include additional definitions, guidance, requirements, formats, and rules for the Alternative Compensatory Control Measures program.	7 years 2 months 3 days
<b>Rec. C:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program management and oversight, develop a centralized database of Alternative Compensatory Control Measures programs, along with users' need-to-know and access status.	7 years 2 months 3 days
<b>Rec. D:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program management and oversight, develop a standard initial Alternative Compensatory Control Measures training program.	7 years 2 months 3 days
<b>Rec. E:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, as staff proponent for Alternative Compensatory Control Measures program congressional reporting, either obtain written legal advice against reporting Alternative Compensatory Control Measures program status to Congress, or resume regular yearly reports.	7 years 2 months 3 days

Report No. DODIG-2016-081, "Evaluation of U.S. Intelligence and Information Sharing with Coalition Partners in Support of Operation Inherent Resolve," April 25, 2016 (Full Report is Classified)

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) Rec. A.1: The DoD OIG recommended that the [REDACTED]	5 years 11 months 6 days
(CUI)	

## APPENDIX A

***Under Secretary of Defense for Policy (cont'd)***

**Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Directive 5230.20, "Visits and Assignments of Foreign Nationals," June 22, 2005, to include the establishment of criteria for granting exceptions to policy and clarification of guidance on the use of extended visit requests.	5 years 9 months 16 days

**Report No. DODIG-2018-018, "Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces," November 16, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Secretary of Defense designate an Office of Primary Responsibility to develop and implement detailed procedures on gross violation of human rights reporting within the Department.	4 years 4 months 15 days
<b>Rec. B.1:</b> The DoD OIG recommended that the Secretary of Defense define "credible information" as it applies to gross violation of human rights determinations and the DoD Leahy Law.	4 years 4 months 15 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Secretary of Defense establish the specific process by which DoD Leahy Law credible information determinations are made.	4 years 4 months 15 days
<b>Rec. B.3:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia to maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.	4 years 4 months 15 days
<b>Rec. C:</b> The DoD OIG recommended that the Secretary of Defense develop procedures for application of the DoD Leahy Law, as stated in our recommendations from Findings A and B, including requiring time frames for reaching credible information decisions.	4 years 4 months 15 days
<b>Rec. D.1:</b> The DoD OIG recommended that the Secretary of Defense issue guidance outlining the requirements for creating and maintaining an official system to track gross violation of human rights information, which could include allegations of child sexual abuse by Afghan National Defense and Security Force personnel in Afghanistan.	4 years 4 months 15 days

## APPENDIX A

*Under Secretary of Defense for Policy – Report No. DODIG-2018-018 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia review the United States Central Command and United States Special Operations Command historical records to determine whether allegations of child sexual abuse by Afghan National Defense Security Forces personnel are gross violations of human rights that require further review by United States Forces-Afghanistan or the Gross Violation of Human Rights Forum. Subsequently, if those allegations have credible information, determine what actions should be taken to comply with the DoD Leahy Law.	4 years 4 months 15 days

**Report No. DODIG-2019-055, “Evaluation of Integrated Joint Special Technical Operations,” February 11, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b:</b> Recommendation is Classified.	3 years 1 month 20 days

**Report No. DODIG-2020-097, “Audit of Protective Security Details in the Department of Defense,” June 30, 2020 (Full Report is FOUO-Law Enforcement Sensitive)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<del>(CUI)</del> <b>Rec. A.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy establish a working group, including representatives from each protection-providing organization, to revise DoD Instruction O-2000.22 to include guidance on whether high-risk personnel can [REDACTED] protection provided under the Instruction and a standardized waiver process and the circumstances in which a waiver might be appropriate.	1 year 9 months 1 day
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy require and validate that the Assistant Secretary of Defense (Homeland Defense & Global Support) performs an annual review of the protection providing organization’s performance of protective security details to ensure compliance with DoD Instruction O-2000.22.	1 year 9 months 1 day
<b>Rec. B.1.a.i:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy establish a working group including representatives from each protection-providing organization to revise DoD Instruction O-2000.22 to include guidance on the size and number of days of advance work needed for protective security detail missions.	1 year 9 months 1 day
<b>Rec. B.1.a.ii:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy establish a working group including representatives from each protection-providing organization to revise DoD Instruction O-2000.22 to include guidance on use of security control rooms.	1 year 9 months 1 day
(CUI)	

# APPENDIX A

## Under Secretary of Defense for Policy – Report No. DODIG-2020-097 (cont'd)

(CUI)	Recommendation Number and Text	Age of Recommendation on 3/31/22
	<b>Rec. B.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy require and validate that the Assistant Secretary of Defense (Homeland Defense and Global Security) perform an annual review of the protection-providing organizations' performance of protective security details to ensure compliance with DoD Instruction O-2000.22.	1 year 9 months 1 day
	<b>Rec. C.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy establish a working group including representatives from each protection-providing organization to revise DoD Instruction O-2000.22 to include clarifying guidance on the maximum number of agents permanently assigned to a high-risk personnel team and that can be used when performing a protective security detail mission.	1 year 9 months 1 day
	<b>Rec. C.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy require and validate that the Assistant Secretary of Defense (Homeland Defense and Global Security) perform an annual review of the protection-providing organizations' performance of protective security details to ensure compliance with DoD Instruction O-2000.22.	1 year 9 months 1 day
(CUI)	<b>Rec. D.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy establish a working group including representatives from each protection-providing organization to revise DoD Instruction O-2000.22 [REDACTED]	1 year 9 months 1 day
(CUI)		

## Report No. DODIG-2021-065, "Evaluation of Access to Department of Defense Information Technology and Communications During the Coronavirus Disease–2019 Pandemic," March 30, 2021

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy, in coordination with the Under Secretary of Defense for Personnel and Readiness, establish management oversight procedures to verify that DoD Components have performed the testing, training, and exercise requirements of the DoD Implementation Plan for Pandemic Influenza and the DoD Telework Policy. The oversight procedures should assess the ability of DoD Components to support Government-wide mandated telework, including the results from tests of network and communications systems and telework exercises with personnel.	1 year 1 day

## APPENDIX A

***Under Secretary of Defense for Policy (cont'd)***

**Report No. DODIG-2021-082, "Evaluation of Combatant Command Counter Threat Finance Activities," May 18, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 7:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy, in conjunction with U.S. Special Operations Command, develop a plan of action and milestones to implement the Counter Threat Finance Department of Defense Instruction as outlined in the approved Doctrine, Organization, Training, Materiel, Leadership, Personnel, Facilities, and Policy Change recommendation, which includes the following.</p> <ol style="list-style-type: none"> <li>1. Developing a common lexicon for Counter Threat Finance.</li> <li>2. Establishing procedures for nominating targets for sanctions and designations.</li> <li>3. Establishing procedures for coordinating and executing Counter Threat Finance activities across organizational and geographic boundaries.</li> <li>4. Developing clearly stated objectives for use in defining measures of performance.</li> <li>5. Establishing procedures for engaging interagency and foreign partners with building partnership capacity efforts."</li> </ol>	10 months 13 days

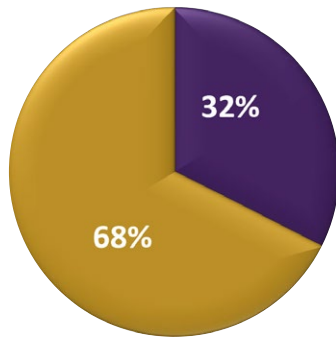
**Report No. DODIG-2022-066, "Management Advisory on the Lack of Memorandums of Agreement for DoD Support for the Relocation of Afghan Nationals," March 1, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy establish memorandums of agreement with the appropriate interagency partners to clarify roles and responsibilities and to define cost-sharing and reimbursement terms and conditions for Operation Allies Welcome, in accordance with DoD Instruction 4000.19 and the Economy Act.</p>	30 days

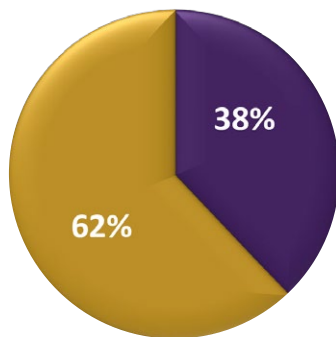


## Statistics – Under Secretary of Defense (Comptroller)

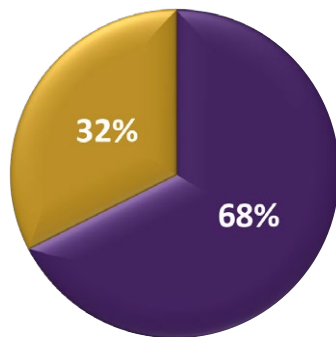
Recommendations from  
2019 Compendium





Recommendations from  
2020 Compendium

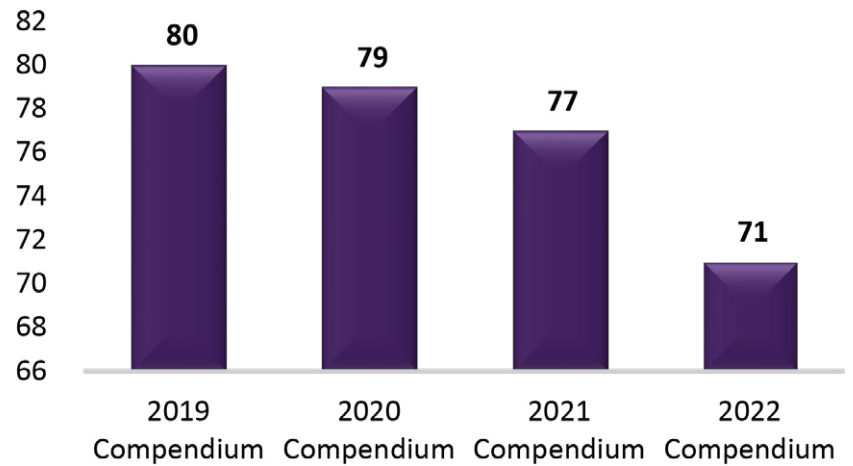


Recommendations from  
2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

Open Recommendations



Number of Unresolved Recommendations	2
Number of Recommendations Open Longer than 5 Years	10
Potential Monetary Benefits	\$29 million

## APPENDIX A

***Under Secretary of Defense (Comptroller)*****Report No. D-2009-062, "Internal Controls Over DoD Cash and Other Monetary Assets," March 25, 2009**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, charge a proper appropriation, or coordinate with the Office of Management and Budget and the U.S. Treasury to: <ol style="list-style-type: none"> <li>1. obtain a waiver to hold a certain amount of cash without charging an appropriation, or</li> <li>2. establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury.</li> </ol>	13 years 6 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise DoD Financial Management Regulation 7000.14, volume 5, to reflect the implementation of Recommendation A.1.a.(1)-(2).	13 years 6 days

**Report No. DODIG-2012-107, "Defense Finance and Accounting Service Needs to Improve the Process for Reconciling the Other Defense Organizations' Fund Balance with Treasury," July 9, 2012**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a systems infrastructure that will allow personnel to readily retrieve the detailed transactions supporting all open appropriations that the Accounts Maintenance and Control branch is responsible for accounting for and reconciling on the Cash Management Report.*	9 years 8 months 22 days

\* Responsibility for implementing Recommendation 1.a was transferred from DFAS to USD(C).

**Report No. DODIG-2013-070, "Defense Agencies Initiative Did Not Contain Some Required Data Needed to Produce Reliable Financial Statements," April 19, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the guidance contained in the DoD Financial Management Regulation, volume 6B, chapter 5, paragraph 050301.B. to require costs of program reported in the Statement of Net Cost to be accounted for by program costs and not by appropriation, enabling the use of the Program Indicator Code attribute.	8 years 11 months 12 days

## APPENDIX A

### *Under Secretary of Defense (Comptroller) (cont'd)*

**Report No. DODIG-2015-056, "Opportunities to Improve the Elimination of Intragovernmental Transactions in DoD Financial Statements," December 22, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, develop cost estimates and obtain funding for implementing the Invoice Processing Platform across the DoD.	7 years 3 months 9 days

**Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Deputy Chief Management Officer develop a coordinated and standardized strategy with the Defense Finance and Accounting Service and the Other Defense Organizations to exchange and manage problem disbursement data. The strategy should focus on the end-to-end integrated business process that includes the identification of key internal and compensating controls at the Defense Finance and Accounting Service and the Other Defense Organizations.	6 years 3 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Deputy Chief Management Officer oversee the implementation of the strategy developed to exchange and manage problem disbursements to ensure that the process is standardized and systemized for the Other Defense Organizations.	6 years 3 days

**Report No. DODIG-2016-066, "Improvements Could Be Made in Reconciling Other Defense Organizations' Civilian Pay to the General Ledger," March 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.e:</b> The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director centralize the Other Defense Organizations civilian payroll reconciliations processes.*	6 years 6 days

\* Responsibility for implementing Recommendations 1.e was transferred from DFAS to USD(C).



## APPENDIX A

***Under Secretary of Defense (Comptroller) (cont'd)***

**Report No. DODIG-2016-086, "DoD Met Most Requirements of the Improper Payments Elimination and Recovery Act in FY 2015, but Improper Payment Estimates Were Unreliable," May 3, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, determine the source of all disbursed obligations not reviewed for improper payments and whether those disbursements are subject to improper payment reporting requirements.	5 years 10 months 28 days

**Report No. DODIG-2016-126, "Improvements Needed In Managing the Other Defense Organizations' Suspense Accounts," August 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus obtain the complete universe of detailed transactions supporting the suspense account balances, perform regular and recurring reconciliations of the data, and remediate any deficiencies that impact the accuracy of the balances.*	5 years 7 months 6 days

\* Responsibility for implementing Recommendation A.1.b was transferred from DFAS to USD(C).

**Report No. DODIG-2017-078, "The DoD Did Not Comply With the Improper Payment Elimination and Recovery Act in FY 2016," May 8, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a.1:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer coordinate with DoD Improper Payment Elimination and Recovery Act reporting Components to verify that all payments are assessed for the risk of improper payments or are reporting estimated improper payments.	4 years 10 months 23 days

## APPENDIX A

***Under Secretary of Defense (Comptroller) (cont'd)***

**Report No. DODIG-2018-041, "The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations' General Funds," December 15, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer manage the development of a universe of Other Defense Organizations' General Fund transactions.	4 years 3 months 16 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards monitor the status of the open recommendation and, when appropriate, expedite the implementation of the last phase of the Department 97 Reconciliation and Reporting Tool and develop milestones for its implementation.*	4 years 3 months 16 days

\* Responsibility for implementing Recommendations 3.c was transferred from DFAS to USD(C).

**Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer oversee the corrections to the Cash Management Report or develop a single, consolidated Treasury Index 97 Fund Balance With Treasury reconciliation tool that allows stakeholders to perform detailed reconciliations for the Treasury Index 97 Fund Balance With Treasury accounts at the voucher level.	3 years 10 months 8 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer establish metrics that report the number of transactions in unidentified limits and the total absolute dollar amount of these transactions by accounting and disbursing system monthly.	3 years 10 months 8 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer develop a comprehensive Treasury Index 97 Fund Balance With Treasury account reconciliation process that incorporates the entire Fund Balance With Treasury universe of transactions (funding, collections, disbursements, and transfers of funds) in accordance with the DoD Financial Management Regulation.	3 years 10 months 8 days
<b>Rec. 1.h:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer require DoD disbursing stations to report transaction-level data to the Department of the Treasury on a daily basis.	3 years 10 months 8 days

## APPENDIX A

***Under Secretary of Defense (Comptroller) (cont'd)***

**Report No. DODIG-2019-039, "Reporting of Improper Payments for the Defense Finance and Accounting Service Commercial Pay Program," December 21, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.c:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Enterprise Solutions and Standards Director, conduct an annual review of the Defense Finance and Accounting Service Commercial Pay program through the Senior Accountable Officials Steering Committee and Action Officers Working Group to identify all types of payments made across DoD Components; verify that existing risk assessments and sampling plans cover all defined commercial payment types; and update risk assessments and sampling plans for program segments that experienced a significant change in legislation or a significant increase in funding level.	3 years 3 months 10 days

**Report No. DODIG-2019-041, "DoD Civilian Pay Budgeting Process," January 3, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer update the DoD Financial Management Regulation, volume 2A, chapters 1 and 3, to include the following:</p> <ol style="list-style-type: none"> <li>1. recurring instructions from the Budget Estimate Submission guidance and President's Budget guidance that are not unique to a particular year;</li> <li>2. a guide from the Defense Finance and Accounting Service's payroll system's gross reconciliation codes to the OP-8 and OP-32 budget exhibit line items and personnel categories;</li> <li>3. further clarification for calculating full-time equivalents and straight-time hours worked; and</li> <li>4. a requirement to include variable costs in the Services' and Defense agencies' budget requests.</li> </ol>	3 years 2 months 28 days

## APPENDIX A

**Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-041 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer develop a civilian pay budget analyst career path and require Department of Defense civilian pay budget development training as part of the Department of Defense Financial Management certification program.</p>	3 years 2 months 28 days
<p><b>Rec. 1.c:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer require its analysts to document their procedures, lessons learned, and standard lists of reports and analyses to mitigate the risks of having corporate knowledge limited to a single person or losing the corporate knowledge if key analysts vacate positions, in accordance with GAO 14 704G, “Standards for Internal Control in the Federal Government,” September 2014.</p>	3 years 2 months 28 days
<p><b>Rec. 1.d:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer require the Services and Defense agencies to document their budget development procedures, calculations, and lessons learned to mitigate the risks of having the corporate knowledge limited to a single person or losing the corporate knowledge if key officials vacate positions, in accordance with GAO 14 704G, “Standards for Internal Control in the Federal Government,” September 2014.</p>	3 years 2 months 28 days

## APPENDIX A

**Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-041 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1.e:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer develop and require a budget submission checklist to provide additional assurance that the Services and Defense agencies accurately and completely develop their budgets in accordance with the Office of Management and Budget Circular No. A-11 and the DoD Financial Management Regulation. The checklist should include directions and reminders for the Services and Defense agencies, including, but not limited to, the following:</p> <ol style="list-style-type: none"> <li>1. comparison of the printed budget exhibits to the data submitted to the Office of the Under Secretary of Defense (Comptroller);</li> <li>2. verification that the data submitted in the Program Resource Collection Process system and the Comptroller Information System match and that the data in the OP-8, OP-5, and OP-32 budget exhibits match;</li> <li>3. verification that the Service consulted the annual update of OMB Circular No. A-11;</li> <li>4. comparison of the actual civilian pay costs reported in the OP-8 budget exhibit by object class code to payroll data obtained from Defense Finance and Accounting Service;</li> <li>5. verification that the budgeted variable costs reported in the OP-8 budget exhibit were consistent with the actual variable costs reported in the execution data submitted to Congress;</li> <li>6. verification that the Office of Management and Budget pay raises were correctly applied to the first full pay period of the calendar year, instead of the fiscal year; and</li> <li>7. verification of compliance with all special instructions and non-recurring requirements that are explained in the annual Budget Estimate Submission and President's Budget guidance.</li> </ol>	3 years 2 months 28 days

**Report No. DODIG-2019-056, "Accounting and Financial Reporting for the Military Housing Privatization Initiative," February 12, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.1.a.3:</b> The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue interim policy until the Department of the Treasury updates the U.S. Standard General Ledger and coordinate with the Treasury to update the U.S. Standard General Ledger with guidance on how to record equity investment profits and losses allocated to the Military Departments for Military Housing Privatization Initiative projects.</p>	3 years 1 month 19 days

## APPENDIX A

***Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-056 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.c.2:</b> The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy and implement oversight controls that ensure the Military Departments identify and provide Defense Finance and Accounting Service-Indianapolis personnel with the documentation needed to support, record, and report in the DoD Agency-Wide Financial Statements the equity investment profits and losses allocated to the Military Departments.	3 years 1 month 19 days
<b>Rec. A.3.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, ensure that equity investment profits and losses allocated to the Military Departments, along with the accounting method used, are reported in the DoD Agency-Wide Financial Statements prior to issuance.	3 years 1 month 19 days

**Report No. DODIG-2019-087, “Audit of the DoD’s FY 2018 Compliance With the Improper Payments Elimination and Recovery Act Requirements,” May 15, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.d:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, ensure that Components establish aggressive yet realistic improper payment reduction targets for each program.	2 years 10 months 16 days
<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director, develop a process that uses the amount paid for the Commercial Pay and DoD Travel Pay programs.	2 years 10 months 16 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director, develop and implement sufficient control measures in the population review process to ensure that the DoD includes all necessary payments for Military Pay, Civilian Pay, Military Retirement, and DoD Travel Pay populations and reports accurate improper payment estimates in the Agency Financial Report.	2 years 10 months 16 days
<b>Rec. 5:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director and other DoD Components, establish an improper payment review process for the Civilian Pay program that examines supporting documentation and verifies that civilian employees are eligible for the payments that they received.	2 years 10 months 16 days

## APPENDIX A

### *Under Secretary of Defense (Comptroller) (cont'd)*

**Report No. DODIG-2020-114, "Audit of Department of Defense Use of Security Assistance Program Funds and Asset Accountability," August 17, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to identify and track all the DoD civilian employees who provide any support to the security assistance programs.	1 year 7 months 14 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to determine whether their current staff level is adequate to support their security assistance mission and request additional security assistance-funded positions, as needed.	1 year 7 months 14 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to identify and recover all security assistance-related salary expenses for each DoD civilian employee between FYs 2014 and 2019 from the Defense Security Cooperation Agency.	1 year 7 months 14 days
<b>Rec. A.1.d:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to develop, document, and implement Component-level policies and procedures to identify, track, and recover salary expenses for DoD civilians who support security assistance programs in future years.	1 year 7 months 14 days
<b>Rec. A.1.e:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to identify all DoD civilians who support security assistance programs full-time and ensure their positions are designated as security assistance positions within the Defense Civilian Payroll System. Employees who support security assistance programs full-time should be paid directly from the Foreign Military Sales Trust Fund.	1 year 7 months 14 days
<b>Rec. A.1.f:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to reprogram the Defense Civilian Payroll System to allow for DoD civilian employees to be paid from multiple appropriations.	1 year 7 months 14 days
<b>Rec. A.1.g:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to recover the security assistance-related costs from the Defense Security Cooperation Agency for changes to the Defense Civilian Payroll System necessary to correct position records and to pay personnel from multiple appropriations.	1 year 7 months 14 days
<b>Rec. A.1.h:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to identify and recover any storage costs that they did not recover for storing security assistance assets at all DoD facilities between FYs 2014 and 2019 from the Defense Security Cooperation Agency.	1 year 7 months 14 days

## APPENDIX A

### *Under Secretary of Defense (Comptroller) – Report No. DODIG-2020-114 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.i:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to develop, document, and implement Component-level policies and procedures to identify, track, and recover storage expenses for storing security assistance assets at DoD facilities in future years.	1 year 7 months 14 days
<b>Rec. A.1.j:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to recover all operating costs that the implementing agencies did not recover for providing administrative space to security assistance personnel at all DoD facilities between FYs 2014 and 2019 from the Defense Security Cooperation Agency.	1 year 7 months 14 days
<b>Rec. A.1.k:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to develop, document, and implement Component-level policies and procedures to identify, track, and recover all operating costs for DoD facilities used to support security assistance programs in future years. This includes identifying where employees who support security assistance programs sit at all DoD facilities.	1 year 7 months 14 days
<b>Rec. A.1.l:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the DoD Components to perform an assessment of DoD facilities to determine whether administrative space shortages exist and can be reduced by relocating security assistance personnel to commercial lease space. The assessment should be provided to the DoD Office of Inspector General for review.	1 year 7 months 14 days
<b>Rec. A.2.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise DoD Regulation 7000.14-R, "DoD Financial Management Regulation," Volume 15, "Security Cooperation Policy," Chapter 7, "Pricing," to clarify that DoD Components are required to recover all security assistance-related salary expenses.	1 year 7 months 14 days
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Deputy Under Secretary of the Air Force for International Affairs to relocate security assistance personnel and necessary equipment from Wright-Patterson Air Force Base to commercial lease space. The relocation expenses and commercial lease space should be paid with Foreign Military Sales administrative funds.	1 year 7 months 14 days
<b>Rec. A.2.d:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, track the dollar amount of costs recovered for security assistance-related administrative expenses, including salary, storage, and facility expenses.	1 year 7 months 14 days
<b>Rec. A.2.e:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, track the dollar amount of future savings for security assistance-related administrative expenses, including salary, storage, and facility expenses.	1 year 7 months 14 days



## APPENDIX A

*Under Secretary of Defense (Comptroller) – Report No. DODIG-2020-114 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.f:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, require the Service audit organizations and internal audit organizations within the DoD Components to audit their organizations' security assistance-related cost recovery processes and procedures on an annual basis.	1 year 7 months 14 days
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Deputy Assistant Secretary of the Army for Defense Exports and Cooperation to recover funds from the Defense Security Cooperation Agency in the amount equal to the current market price of the chemical-biological masks that Army personnel shipped to foreign customers.	1 year 7 months 14 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Deputy Assistant Secretary of the Army for Defense Exports and Cooperation to initiate an investigation to determine why the Special Defense Acquisition Fund masks were misplaced and take appropriate action in accordance with DoD Regulation 7000.14-R, "DoD Financial Management Regulation," Volume 12, "Special Accounts, Funds, and Programs," Chapter 7, "Financial Liability for Government Property Lost, Damaged, Destroyed, or Stolen."	1 year 7 months 14 days
<b>Rec. B.2.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Components to develop, document, and implement Component-level inventory management policies and procedures to prevent DoD personnel from shipping DoD assets to foreign customers, unless a valid reason exists. The procedures should include a supervisory review of all materiel release orders to foreign customers. The review should be documented and maintained in the case files.	1 year 7 months 14 days
<b>Rec. B.2.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Components to develop, document, and implement an internal control environment at DoD facilities that prevents DoD assets from being misplaced in the future.	1 year 7 months 14 days
<b>Rec. B.2.c:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Components to incorporate all security assistance assets into their inventory and property management systems. The DoD Components should be able to identify the quantity, location, and value of security assistance assets in their custody on demand when requested by management and stakeholders.	1 year 7 months 14 days

## APPENDIX A

***Under Secretary of Defense (Comptroller) (cont'd)***

**Report No. DODIG-2021-080, "Audit of the Department of Defense Compliance in FY 2020 With Improper Payment Reporting Requirements," May 7, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, develop an improper payment definition that can be consistently applied to the recapture of improper payments and the improper payments identified in the calculation of the improper payment estimate.	10 months 24 days

**Report No. DODIG-2021-091, "Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease–2019 Pandemic Response in the U.S. Northern Command Area of Responsibility," June 9, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with DoD Component comptrollers, initiate a review of all COVID-19 pandemic response mission assignments to ensure reimbursement requests for costs incurred are submitted in accordance with DoD policy.	9 months 22 days

**Report No. DODIG-2021-095, "Audit of Accounting Corrections on the SF 1081," June 25, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Directors of the Defense Finance and Accounting Service and DoD Components to establish and implement business rules between all the systems that create, process, or store SF 1081 transactions and the Advancing Analytics tool to ensure that the DoD maintains a universe of SF 1081 transactions in a centralized database.	9 months 6 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Directors of the Defense Finance and Accounting Service and DoD Components to establish memorandums of understanding with the system owners and the Advancing Analytics owners to define roles and responsibilities for managing the SF 1081 transactions.	9 months 6 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Directors of the Defense Finance and Accounting Service and DoD Components to develop and implement a plan to test SF 1081 transactions on a reoccurring basis to ensure that transactions are necessary, accurate, and supported by an audit trail.	9 months 6 days

## APPENDIX A

*Under Secretary of Defense (Comptroller) – Report No. DODIG-2021-095 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.d:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Directors of the Defense Finance and Accounting Service and DoD Components to develop metric reports that track the net and absolute dollar amount, and number of SF 1081 transactions by each system that creates, processes, and stores SF 1081 transactions.	9 months 6 days
<b>Rec. A.1.e:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Directors of the Defense Finance and Accounting Service and DoD Components to develop and implement a plan to reduce the number of SF 1081 transactions for each system that creates, processes, and stores SF 1081 transactions.	9 months 6 days
<b>Rec. A.1.f:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Directors of the Defense Finance and Accounting Service and DoD Components to ensure that all systems that create, process, or store SF 1081 transactions can differentiate between SF 1081 transactions and other types of transactions. In addition, the systems should have the capability to differentiate between SF 1081 transactions used to correct errors and those used to transfer funds.	9 months 6 days
<b>Rec. B.1:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Director of the Defense Finance and Accounting Service to either update the Defense Joint Military Pay System to ensure that all disbursements cite the correct accounting information or develop alternative automated solutions.	9 months 6 days
<b>Rec. B.4.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Director of the Defense Finance and Accounting Service and the DoD Components to develop, implement, and document effective internal controls within the SF 1081 certification process to ensure that certifying officers do not approve inaccurate SF 1081 transactions.	9 months 6 days
<b>Rec. B.4.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Director of the Defense Finance and Accounting Service and the DoD Components to ensure that certifying officers are appointed on a DD Form 577 that explains the types of transactions in each system that the certifying officer is authorized to approve.	9 months 6 days
<b>Rec. B.4.c:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, work with the Director of the Defense Finance and Accounting Service and the DoD Components to ensure that certifying officers complete all necessary training, including the Certifying Officer Legislation Foundations course and a Certifying Officer Legislation training specific to the certifying officers' mission areas.	9 months 6 days

## APPENDIX A

***Under Secretary of Defense (Comptroller) – Report No. DODIG-2021-095 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.5:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, identify and direct the appropriate official to conduct an analysis of the various cost components that the Defense Finance and Accounting Service uses to compute the rate it charges DoD customers for its services. From this analysis, identify and implement improvements to the Defense Finance and Accounting Service operations to reduce the DoD's cost of accounting and financial management operations.	9 months 6 days

**Report No. DODIG-2022-054, "Management Advisory Regarding Results from Research for Future Audits and Evaluations Related to the Effects of the 2019 Novel Coronavirus on DoD Operations," January 19, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD work with DoD Components to implement procedures to ensure award amounts funded under the Coronavirus Aid, Relief, and Economic Security Act are appropriately recorded and reported with the accurate Disaster Emergency Fund Codes through the respective reporting systems.	2 months 12 days
<b>Rec. 2:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD work with the Navy and the Marine Corps to ensure that the Coronavirus Aid, Relief, and Economic Security Act obligation and disbursement transactions processed through their accounting systems and journal vouchers are properly reported in USASpending.gov.	2 months 12 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD develop procedures to confirm that DoD Components have appropriately implemented the policies DoD prescribed for coding Coronavirus Aid, Relief, and Economic Security Act fund transactions.	2 months 12 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD issue funding authorization documents that include a Disaster Emergency Fund Code value to ensure all DoD budget and accounting systems have a consistent basis to record and report funding and execution by Disaster Emergency Fund Code, in accordance with Office of Management and Budget Memorandum M-18-08.	2 months 12 days

## APPENDIX A

***Under Secretary of Defense (Comptroller) (cont'd)***

**Report No. DODIG-2022-074, "Audit of Entitlements for Activated Army National Guard and Air National Guard Members Supporting the Coronavirus Disease–2019 Mission," March 30, 2022**

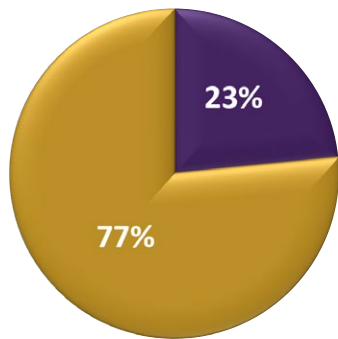
Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Under Secretary of Defense for Personnel and Readiness, update the DoD Financial Management Regulation, volume 7A, "Military Pay Policy - Active Duty and Reserve Pay," chapter 27, "Family Separation Allowance" to clearly state that the permanent duty station of a Reserve Component member on temporary duty status is the member's primary residence for the purpose of determining Family Separation Allowance entitlement.</p>	1 day
<p><b>Rec. 1.b:</b> The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Under Secretary of Defense for Personnel and Readiness, update the DoD Financial Management Regulation, volume 7A, "Military Pay Policy - Active Duty and Reserve Pay," chapter 27, "Family Separation Allowance" to clarify that Family Separation Allowance entitlement determination is based on the commuting distance between the member's primary residence and their temporary duty location.</p>	1 day



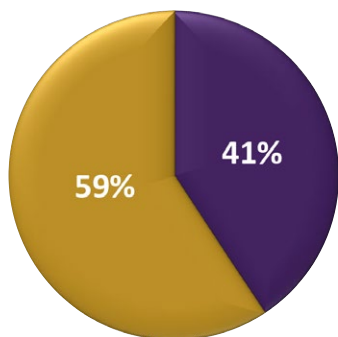
## APPENDIX A

### Statistics – Under Secretary of Defense for Personnel and Readiness

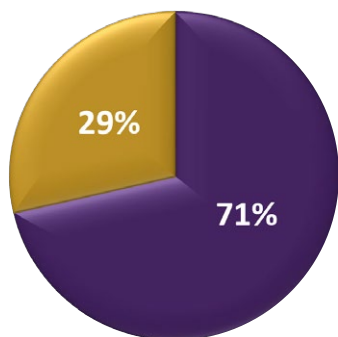
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

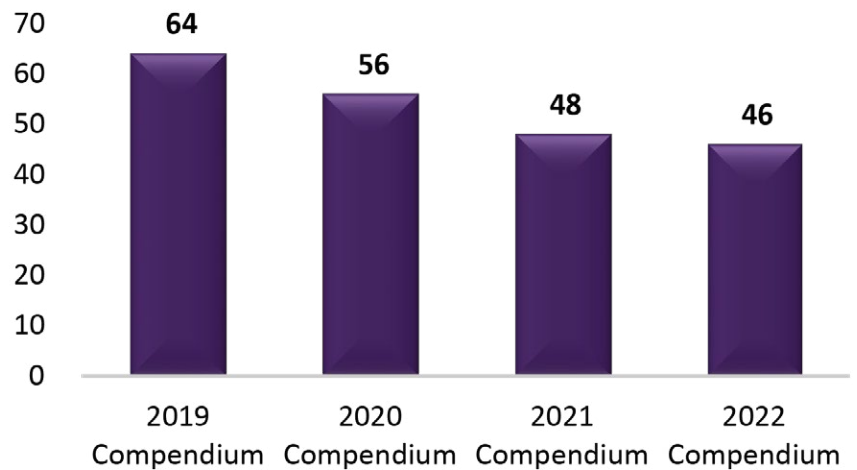


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	10
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Potential Monetary Benefits	–
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## APPENDIX A

***Under Secretary of Defense for Personnel and Readiness*****Report No. DODIG-2014-121, "Military Housing Inspections - Japan," September 30, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.2.a-b:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: <ul style="list-style-type: none"> <li>a. control and remediation of mold; and</li> <li>b. radon evaluation and mitigation.*</li> </ul>	7 years 6 months 1 day

\* Responsibility for implementing Recommendation D.2.a-b was transferred from USD(A&S) to USD(P&R).

**Report No. DODIG-2015-002, "Assessment of DoD-Provided Healthcare for Members of the United States Armed Forces Reserve Components," October 8, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish policy that assigns responsibilities to Commanders and medical authorities to manage medical histories and line of duty documentation for deployed or temporary duty Reserve Component service members in a standardized manner across all Services so that both are complete and available to their units in a timely manner.	7 years 5 months 23 days
<b>Rec. 5:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish standardized DoD form(s) and procedures that provide access for all Reserve Component service members to line of duty care at all military treatment facilities.	7 years 5 months 23 days

**Report No. DODIG-2015-013, "Military Housing Inspections - Republic of Korea," October 28, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.2.a-b:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: <ul style="list-style-type: none"> <li>a. control and remediation of mold; and</li> <li>b. radon evaluation and mitigation.*</li> </ul>	7 years 5 months 3 days

\* Responsibility for implementing Recommendation D.2.a-b was transferred from USD(A&S) to USD(P&R).

## APPENDIX A

### *Under Secretary of Defense for Personnel and Readiness (cont'd)*

**Report No. DODIG-2015-078, "Evaluation of the Defense Criminal Investigative Organizations' Compliance with the Lautenberg Amendment Requirements and Implementing Guidance," February 6, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to incorporate language requiring commanders and supervisors to advise all employees (military and civilian) found to have a qualifying conviction to dispose of their privately owned firearms and ammunitions in accordance with the law.	7 years 1 month 25 days
<b>Rec. C.1:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to require all employees (military and civilian) serving in a covered position to complete the DD Form 2760 annually, at a minimum.	7 years 1 month 25 days
<b>Rec. D:</b> The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 by removing the requirement for a separate memorandum of understanding executed between civilian law enforcement and the Military Criminal Investigative Organizations.	7 years 1 month 25 days

**Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 7:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Office of Diversity Management and Equal Opportunity, the Service Military Equal Opportunity and Inspectors General Offices, and the Defense Privacy and Civil Liberties Division, develop a more efficient and effective means of gathering data in support of the mandated report to Congress detailing DoD civil liberties oversight efforts, including the data regarding the status of rights of conscience protections for service members.	6 years 8 months 9 days

**Report No. DODIG-2017-038, "Assessment of Warriors in Transition Program Oversight," December 31, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish guidance for writing Recovery Coordination Program oversight reports that include the requirement to specifically assign a person or organization to take action on each recommendation.	5 years 3 months
<b>Rec. B.2:</b> The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish policy that ensures followup of all Recovery Coordination Program oversight report recommendations until corrective actions are complete.	5 years 3 months



## APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)*

Report No. DODIG-2017-123, "The Troops-to-Teachers Program," September 28, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Readiness develop and implement policies to clearly define the Troops-to-Teachers program requirements for participant eligibility.	4 years 6 months 3 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Readiness develop and implement policies to implement, manage, and oversee the Troops-to-Teachers grant program to ensure the planned way forward complies with regulations.	4 years 6 months 3 days
<b>Rec. 2.a:</b> The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to develop procedures for reviewing participant applications that align with newly developed Troops-to-Teachers policy.*	4 years 6 months 3 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to review the current standard operating procedures in coordination with management officials and the Office of General Counsel to ensure they fully comply with 10 U.S.C. § 1154 (2015) and finalize the procedures when new policy is developed.*	4 years 6 months 3 days
<b>Rec. 2.c:</b> The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to provide training for all Government and contract employees working with the Troops-to-Teachers program after new policy and procedures are created.*	4 years 6 months 3 days

\* Responsibility for implementing Recommendations 2.a, 2.b and 2.c was transferred from Navy to USD(P&amp;R).

## APPENDIX A

### *Under Secretary of Defense for Personnel and Readiness (cont'd)*

**Report No. DODIG-2019-081, "Audit of Training Ranges Supporting Aviation Units in the U.S. Indo-Pacific Command," April 17, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that in accordance with Public Law 115-232, Section 2862, paragraphs a through c, the Under Secretaries of Defense for Personnel and Readiness and for Acquisition and Sustainment, in coordination with the Services, review the individual Services' range plans, including the response provided to address the requirement of the National Defense Authorization Act, and determine whether Service solutions to training limitations can be accomplished across the DoD. The review should include live, virtual, constructive, and regionalization training.	2 years 11 months 14 days
<b>Rec. 1.b:</b> The DoD OIG recommended that in accordance with Public Law 115-232, Section 2862, paragraphs a through c, the Under Secretaries of Defense for Personnel and Readiness and for Acquisition and Sustainment, in coordination with the Services, develop and implement a plan to field and sustain DoD-wide solutions to address training gaps, including addressing: <ol style="list-style-type: none"> <li>1. the airspace and impact area needs of advanced aircraft and weapons, such as the F-35; and</li> <li>2. the need to join neighboring airspace on a continuing basis.</li> </ol>	2 years 11 months 14 days
<b>Rec. 2:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Army and the Air Force, develop and implement plans to synchronize Army and Air Force range management and range use in Alaska for: <ol style="list-style-type: none"> <li>a. joint training events,</li> <li>b. individual through collective level training for the Army and the Air Force, and</li> <li>c. future F-35 training needs across the DoD to ensure readiness and the ability to accomplish operation plans.</li> </ol>	2 years 11 months 14 days

**Report No. DODIG-2019-084, "Evaluation of the Operations and Management of Military Cemeteries," May 20, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop standardized training for Cemetery Responsible Officials, including procedures on how to record burials and how to order headstones from the Department of Veterans Affairs.	2 years 10 months 11 days
<b>Rec. A.2:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and publish business rules to standardize the method for adjudicating data discrepancies and inaccuracies.	2 years 10 months 11 days

## APPENDIX A

***Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2019-084 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Under Secretary of Defense for Acquisition and Sustainment, publish a comprehensive instruction that provides guidance on operation of the Military Cemeteries, including management, accountability, and inspections.	2 years 10 months 11 days

**Report No. DODIG-2019-125, “Evaluation of the DoD’s Handling of Incidents of Sexual Assault Against (or Involving) Cadets at the United States Air Force Academy,” September 30, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. D.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and institute a process to ensure that the accurate number of reports of sexual assaults made to the United States Air Force Family Advocacy Program are included in all future annual reports on Sexual Harassment and Violence at the Military Service Academies.	2 years 6 months 1 day

**Report No. DODIG-2020-082, “Evaluation of the DoD’s Management of Health and Safety Hazards in Government-Owned and Government-Controlled Military Family Housing,” April 30, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment and the Under Secretary of Defense for Personnel and Readiness collaboratively establish or revise appropriate DoD policy(s) to address health and safety hazards-including lead-based paint, asbestos-containing material, radon, fire and electrical safety, drinking water quality, window fall prevention, mold, carbon monoxide, and pest management in military family housing to manage health, safety, and environmental risks to acceptable levels for military family housing residents.	1 year 11 months 1 day

**Report No. DODIG-2020-127, “Evaluation of the Department of Defense and Department of Defense Education Activity Responses to Incidents of Serious Juvenile-on-Juvenile Misconduct on Military Installations,” September 4, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop policy that specifies how installation commanders should address serious juvenile-on-juvenile misconduct incidents.	1 year 6 months 27 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop policy that identifies which support agency will provide counseling support services to victims and offenders of serious juvenile-on-juvenile misconduct incidents.	1 year 6 months 27 days

## APPENDIX A

### *Under Secretary of Defense for Personnel and Readiness (cont'd)*

Report No. DODIG-2020-133, "Evaluation of Department of Defense Medical Treatment Facility Challenges During the Coronavirus Disease-2019 (COVID-19) Pandemic," September 30, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in conjunction with the Assistant Secretary of Defense for Health Affairs and Secretaries of the Military Departments, establish a working group within 30 days of this report's publication, to address the personnel, supplies, testing capabilities, information technology, communication, and lines of authority challenges that we identified during the Coronavirus Disease-2019 pandemic that exist between the Services and the Defense Health Agency. The working group should establish milestones to develop guidance for coordinating the staffing of multi-Service military treatment facilities during a pandemic.	1 year 6 months 1 day
<b>Rec. 1.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in conjunction with the Assistant Secretary of Defense for Health Affairs and Secretaries of the Military Departments, establish a working group within 30 days of this report's publication, to address the personnel, supplies, testing capabilities, information technology, communication, and lines of authority challenges that we identified during the Coronavirus Disease-2019 pandemic that exist between the Services and the Defense Health Agency. The working group should establish milestones to create a pandemic-related informational website and a toll-free number for beneficiaries to find Coronavirus Disease-2019-related information and ensure the website and toll-free number are advertised and maintained.	1 year 6 months 1 day
<b>Rec. 1.d:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in conjunction with the Assistant Secretary of Defense for Health Affairs and Secretaries of the Military Departments, establish a working group within 30 days of this report's publication, to address the personnel, supplies, testing capabilities, information technology, communication, and lines of authority challenges that we identified during the Coronavirus Disease-2019 pandemic that exist between the Services and the Defense Health Agency. The working group should establish milestones to issue clarifying guidance for defining essential personnel for civilian healthcare workers.	1 year 6 months 1 day
<b>Rec. 1.e:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in conjunction with the Assistant Secretary of Defense for Health Affairs and Secretaries of the Military Departments, establish a working group within 30 days of this report's publication, to address the personnel, supplies, testing capabilities, information technology, communication, and lines of authority challenges that we identified during the Coronavirus Disease-2019 pandemic that exist between the Services and the Defense Health Agency. The working group should establish milestones to update contracts to allow for more flexibility regarding the use of contracted personnel during extenuating circumstances, such as a pandemic.	1 year 6 months 1 day

## APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)*

**Report No. DODIG-2021-066, "Evaluation of Department of Defense Voting Assistance Program for Calendar Year 2020," March 29, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Director of the Federal Voting Assistance Program develop and implement agreements, such as memorandums of understanding, with all external stakeholder agencies to enhance outreach and ensure a collaborative and efficient effort to support Uniformed and Overseas Citizen Absentee Voter Act voters and their eligible family members, including those in deployed, dispersed, and tenant organizations.	1 year 2 days

**Report No. DODIG-2021-072, "Audit of the Award and Administration of the National Guard Youth Challenge Program Cooperative Agreements," April 2, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.1:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Manpower and Reserve Affairs, in coordination with the Director of Manpower and Personnel for the National Guard Bureau, establish and implement a plan to identify whether the Challenge Program is achieving long-term benefits of providing opportunities for cadets to be productive citizens. The plan should include a process for collecting data beyond 1 year.	11 months 29 days

**Report No. DODIG-2021-082, "Evaluation of Combatant Command Counter Threat Finance Activities," May 18, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 5.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in conjunction with the Under Secretary of Defense for Policy and U.S. Special Operations Command, develop a plan of action and milestones to provide guidance on personnel policy issues related to DoD Counter Threat Finance.	10 months 13 days
<b>Rec. 5.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in conjunction with the Under Secretary of Defense for Policy and U.S. Special Operations Command, develop a plan of action and milestones to, in coordination with the Secretaries of the Military Departments, ensure policies and procedures are in place to identify and monitor military and civilian personnel who have been trained or are experienced in Counter Threat Finance.	10 months 13 days

## APPENDIX A

***Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2021-082 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 5.c:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in conjunction with the Under Secretary of Defense for Policy and U.S. Special Operations Command, develop a plan of action and milestones to, in conjunction with the Chairman of the Joint Chiefs of Staff and the Secretaries of the Military Departments, annually assess the sufficiency and readiness of civilian and uniformed personnel to meet DoD Counter Threat Finance-related requirements and address any deficiencies or readiness issues discovered.	10 months 13 days

**Report No. DODIG-2021-105, “Evaluation of the Department of Defense’s Actions to Control Contaminant Effects from Perfluoroalkyl and Polyfluoroalkyl Substances at Department of Defense Installations,” July 22, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B:</b> The DoD OIG recommended that the Assistant Secretary of Defense (Readiness) develop a plan to track, trend, and analyze DoD firefighter perfluoroalkyl and polyfluoroalkyl substances blood test results at a DoD-wide level, in accordance with DoD Instruction 6055.05.	8 months 9 days

**Report No. DODIG-2022-001, “Department of Defense Education Activity Grant Oversight,” October 5, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Manpower and Reserve Affairs, Office of the Under Secretary of Defense (Personnel and Readiness) require Department of Defense Education Activity officials to establish a formal process to inform grantees that Department of Defense Education Activity will withhold funding when required reports are submitted late, and retain a history of grantees that submitted late reports and had funding withheld for use in evaluating the risk assessments for future Department of Defense Education Activity grant awards.	5 months 26 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Manpower and Reserve Affairs, Office of the Under Secretary of Defense (Personnel and Readiness) require Department of Defense Education Activity officials to review the 186 active Department of Defense Education Activity grants, determine if the grantee is meeting interim goals and is on-track to meet the overall goal by the end of each grant, and coordinate to develop a plan with those grantees that are not meeting the interim goals.	5 months 26 days

## APPENDIX A

### *Under Secretary of Defense for Personnel and Readiness (cont'd)*

**Report No. DODIG-2022-035, "Evaluation of Special Victim Investigation and Prosecution Capability Within the Department of Defense," November 10, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in conjunction with the Judge Advocates General of the Army, Navy, and Air Force and the Staff Judge Advocate to the Commandant of the Marine Corps, conduct a review to determine whether to reestablish the Department of Defense requirement, that Special Victim Investigation and Prosecution-certified prosecutors participate in Special Victim Investigation and Prosecution notifications, collaborations, and consultations, that was disestablished with the issuance of DoD Instruction 1030.02.	4 months 21 days

**Report No. DODIG-2022-036, "Audit of the Department of Defense Strategic Planning for Overseas Civilian Positions," November 16, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness ensure the Defense Civilian Human Resources Management System, when fully implemented, provides human resources officials within the Department the capability to match individual civilian personnel to specific authorized and budgeted positions across all DoD Components in order to assist in identification and closing of skill gaps.	4 months 15 days
<b>Rec. 1.b.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Military Departments and DoD Components, identify relevant performance metrics related to hiring a sufficient and well-qualified DoD overseas civilian workforce (such as vacancy/hire/fill rates) that account for unique overseas conditions.	4 months 15 days
<b>Rec. 1.b.2:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Military Departments and DoD Components, incorporate the metrics in relevant human capital planning documents or systems.	4 months 15 days
<b>Rec. 1.b.3:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Military Departments and DoD Components, use the metrics to monitor improvement in the hiring of the overseas civilian workforce.	4 months 15 days
<b>Rec. 1.c.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the civilian human resources agencies of the Military Departments and DoD Components, capture and disseminate overseas civilian hiring guidance that identifies best practices to allow workforce owners to collect and report data on vacant positions, also known as skills gaps, in a standardized format to support DoD-wide analysis.	4 months 15 days

## APPENDIX A

**Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2022-036 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.c.2:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the civilian human resources agencies of the Military Departments and DoD Components, capture and disseminate overseas civilian hiring guidance that identifies best practices to allow workforce owners to supplement the Office of Personnel Management 80-day, end-to-end hiring initiative to account for the additional hiring actions, including the permanent change of station process, needed to onboard employees at overseas locations.	4 months 15 days
<b>Rec. 1.c.3:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the civilian human resources agencies of the Military Departments and DoD Components, capture and disseminate overseas civilian hiring guidance that identifies best practices to allow workforce owners to assist human resources officials at overseas locations in developing practical local hiring timelines to minimize the quantity and duration of vacancies among overseas positions and to aid in the transition of duties.	4 months 15 days

**Report No. DODIG-2022-071, “Audit of Active Duty Service Member Alcohol Misuse Screening and Treatment,” March 10, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness revise DoD Instruction 1010.04, “Problematic Substance Use by DoD Personnel,” February 20, 2014, DoD Instruction 6200.06, “Periodic Health Assessment (PHA) Program,” September 8, 2016, and DoD Instruction 6025.19, “Individual Medical Readiness,” June 9, 2014, to align the frequency with which Alcohol Use Disorder Identification Test-Consumption screenings and periodic health assessments are conducted.	21 days

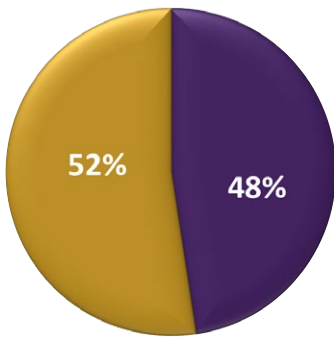




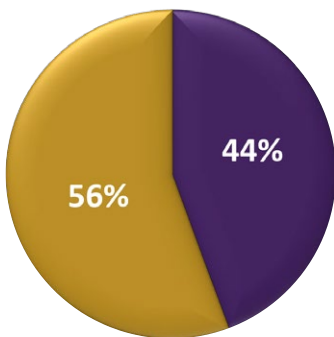
## APPENDIX A

### Statistics – Under Secretary of Defense for Intelligence and Security

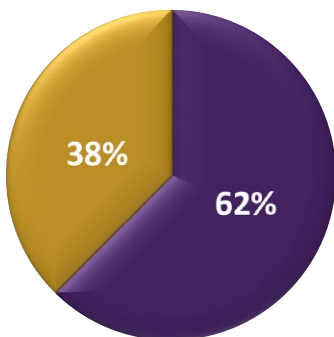
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium



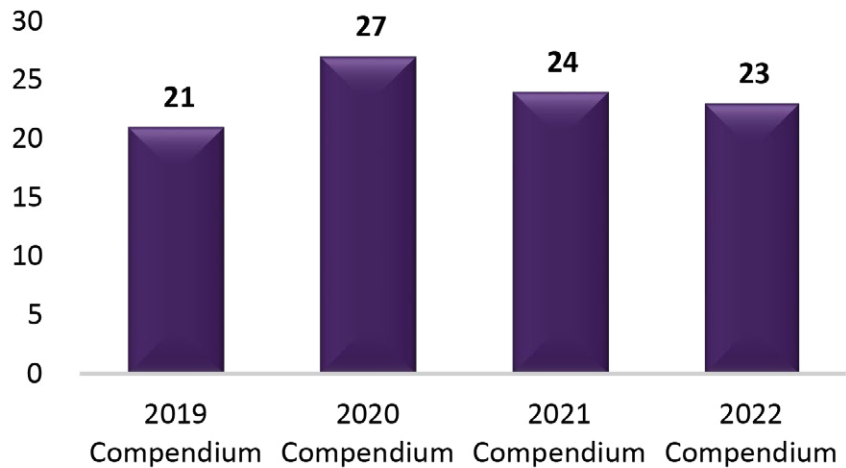
#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	5
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Number of Recommendations Open Longer than 5 Years	8
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Potential Monetary Benefits	–
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## APPENDIX A

### *Under Secretary of Defense for Intelligence and Security*

**Report No. DODIG-2013-112, "Assessment of DoD Long-Term Intelligence Analysis Capabilities," August 5, 2013 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence, partnering with the Defense Intelligence Agency, the Combatant Commands, and the Services, develop an All-Source Analysis certification program that leads to training, developing, and retaining a more experienced and robust workforce. We recommend that such a program includes common core analytical skills and performance standards, and an enterprise-wide all-source analysis occupational-specialty career track and development program.	8 years 7 months 26 days

**Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop and issue an overarching policy governing operation of the System of Record for Personnel Security Clearances.	7 years 11 months 17 days
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence prepare an overarching policy governing the operation of the Defense Central Index of Investigations, including identification of the categories of investigations to be titled and indexed, and the retention criteria for investigations so titled and indexed.	7 years 11 months 17 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence direct the Defense intelligence agencies to review the procedures that their Offices of Security use to ensure that the Joint Personnel Adjudicative System and SCATTERED CASTLES system are being properly populated.	7 years 11 months 17 days
<b>Rec. B.1.d:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence conduct one of the following actions to ensure subjects of past investigations are titled and indexed in the Defense Central Index of Investigations: <ol style="list-style-type: none"> <li>1. initiate action with the Office of Personnel and Management to require that Office of Personnel and Management investigators conducting background investigations on current and former civilian employees, military assignees, and contract employees of the Defense intelligence agencies conduct name checks with the inspectors general of those agencies; or</li> <li>2. direct that the directors of the agencies ensure that the subjects of past agency inspector general criminal investigations are titled and indexed in the Defense Central Index of Investigations.</li> </ol>	7 years 11 months 17 days

## APPENDIX A

***Under Secretary of Defense for Intelligence and Security (cont'd)***

**Report No. DODIG-2015-064, "Assessment of Intelligence Support to In-Transit Force Protection,"**  
**January 2, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence, coordinate with the appropriate Department of State office to update the 2003 Memorandum of Understanding to reflect DoD policy and requirements with the Force Protection Detachment program and the Embassy's Country Team environment.	7 years 2 months 29 days

**Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence,"**  
**October 15, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence.*	6 years 5 months 16 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures.*	6 years 5 months 16 days

\* Responsibility for implementing Recommendations 2.a and 2.b was transferred from USD(P&R) to USD(I&S).

**Report No. DODIG-2018-162, "Evaluation of Airborne Intelligence, Surveillance, and Reconnaissance Processing, Exploitation, and Dissemination Process in Support of Operation Inherent Resolve,"**  
**September 27, 2018 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 2.b:</b> The DoD OIG recommended that the [REDACTED] [REDACTED]	3 years 6 months 4 days
(CUI)	

## APPENDIX A

***Under Secretary of Defense for Intelligence and Security (cont'd)***

**Report No. DODIG-2019-032, "Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes," December 4, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence examine current DoD intelligence training and education policies and mandate, as necessary, training standards based on a common essential body of knowledge, including Intelligence Community Directive 203, "Analytic Standards," January 2, 2015, for all entry-level/developmental intelligence professionals.	3 years 3 months 27 days

**Report No. DODIG-2019-071, "Evaluation of DoD Component Responsibilities for Counterintelligence Support for the Protection of Defense Critical Infrastructure," April 5, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Director for Defense Intelligence (Intelligence and Security), Office of the Under Secretary of Defense for Intelligence, revise all applicable DoD policies to ensure the protection of essential DoD services and infrastructure.	2 years 11 months 26 days

**Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 1.a:</b> The DoD OIG recommended that the [REDACTED]	2 years 3 months 1 day
(CUI)	

## APPENDIX A

***Under Secretary of Defense for Intelligence and Security (cont'd)***

**Report No. DODIG-2020-101, "Naval Ordnance Data Classification Issues Identified During the Oversight of the U.S. Navy General Fund Financial Statement Audit for FY 2020," July 2, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommend that the Office of the Under Secretary of Defense for Intelligence and Security and Office of the Deputy Under Secretary of the Navy for Policy officials develop a policy to ensure that security classification guides are coordinated across the Department and the Military Services to identify conflicting requirements prior to being finalized.	1 year 8 months 29 days

**Report No. DODIG-2020-106, "Evaluation of Security Controls for Intelligence, Surveillance, and Reconnaissance Supply Chains," July 22, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> Recommendation is Classified.	1 year 8 months 9 days
<b>Rec. 3:</b> Recommendation is Classified.	1 year 8 months 9 days

**Report No. DODIG-2021-041, "Evaluation of the Department of Defense Processes to Counter Radio Controlled Improvised Explosive Devices," January 22, 2021 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence and Security seek an agreement between the Department of Defense and the Department of Justice which formalizes the: (CUI) i. [REDACTED] and (CUI) ii. [REDACTED]	1 year 2 months 9 days
<b>Rec. 2.b:</b> Recommendation is Classified.	1 year 2 months 9 days
(CUI)	

## APPENDIX A

***Under Secretary of Defense for Intelligence and Security (cont'd)***

**Report No. DODIG-2021-135, "Management Advisory Regarding the Continued Use of Unauthorized "For Official Use Only" Markings and the Ineffective Implementation of the Controlled Unclassified Information Program," September 23, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> We recommend that the Under Secretary of Defense for Intelligence and Security develop and implement an action plan, with milestones, to oversee CUI training within the DoD and the effective implementation of the DoD CUI Program by all DoD Components.	6 months 8 days

**Report No. DODIG-2022-065, "Evaluation of the Screening of Displaced Persons from Afghanistan," February 15, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence and Security develop procedures for sharing derogatory information on Afghan evacuees with the Department of Defense and interagency stakeholders.	1 month 16 days

**Report No. DODIG-2022-068, "Evaluation of Department of Defense Components' Use of the National Industrial Security Program Contract Classification System," March 2, 2022 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Director of the Critical Technology Protection Directorate, Office of the Under Secretary of Defense for Intelligence and Security, update DoD Manual 5220.32, Volume 1, "National Industrial Security Program: Industrial Security Procedures for Government Activities," effective August 1, 2018, to reflect Federal Acquisition Regulation Subpart 4.4, "Safeguarding Classified Information Within Industry," requiring the use of the National Industrial Security Program Contract Classification System.	29 days
<b>Rec. 2:</b> The DoD OIG recommended that the program manager of the Defense Counterintelligence and Security Agency National Industrial Security Program Contract Classification System coordinate with the DoD Components to develop and finalize an implementation plan in accordance with the Office of the Under Secretary of Defense for Intelligence and Security memorandum "Use of the National Industrial Security Program Contract Classification System," February 8, 2018, and to use the National Industrial Security Program Contract Classification System in accordance with Federal Acquisition Regulation Subpart 4.4, "Safeguarding Classified Information Within Industry."	29 days

## APPENDIX A

***Under Secretary of Defense for Intelligence and Security (cont'd)***

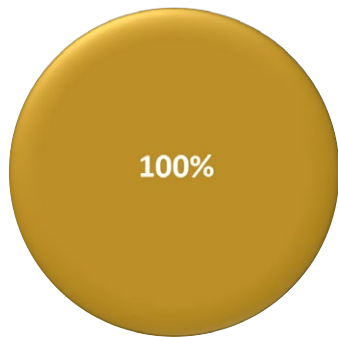
**Report No. DODIG-2022-076, "Evaluation of Combatant Commands Communication Challenges with Foreign Partner Nations during Coronavirus Disease-2019 Pandemic and Mitigation Efforts," March 28, 2022  
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence and Security develop policy to strengthen the DoD operations security program and promote integrations of operations security into future DoD operations and activities to mitigate the risks of using non-DoD-controlled electronic messaging systems.	3 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Intelligence and Security develop operations security training requirements on the risks of sharing DoD information on non-DoD-controlled systems and add these requirements to the existing training requirements described in DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021, and DoD Directive, 5205.02E, "DoD Operations Security (OPSEC) Program," June 20, 2012, (Incorporating Change 2, August 20, 2020).	3 days



## Statistics – National Guard Bureau

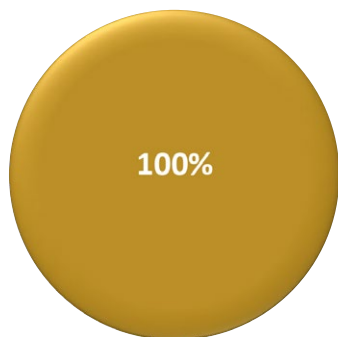
### Recommendations from 2019 Compendium



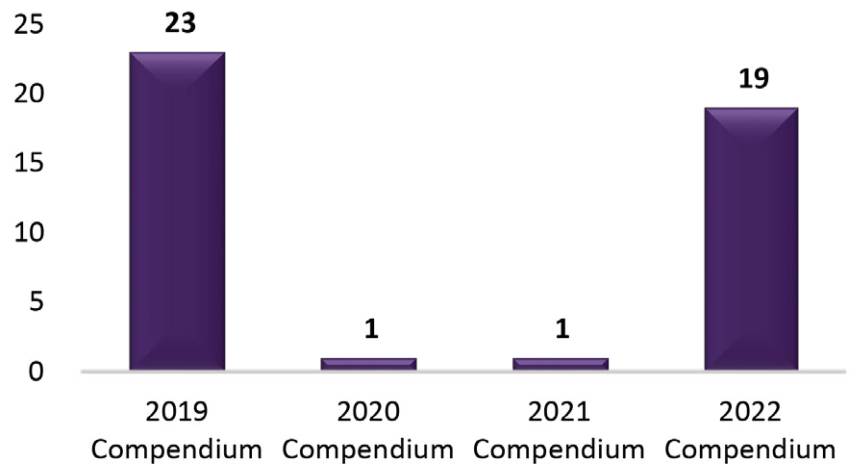
### Recommendations from 2020 Compendium





### Recommendations from 2021 Compendium



### Open Recommendations



Number of Unresolved Recommendations	6
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	\$135 million

 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022



## APPENDIX A

**National Guard Bureau**

**Report No. DODIG-2021-072, "Audit of the Award and Administration of the National Guard Youth Challenge Program Cooperative Agreements," April 2, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Director of Manpower and Personnel for the National Guard Bureau change how the academies develop their annual budget request.	11 months 29 days
<b>Rec. B.1:</b> The DoD OIG recommended that the Director of Manpower and Personnel for the National Guard Bureau require National Guard Bureau officials to identify all Challenge Program cooperative agreements that are still open after 1 year and either close them or require the grantee to provide an explanation along with the supporting documentation for why they cannot be closed.	11 months 29 days
<b>Rec. C.2.a:</b> The DoD OIG recommended that the Director of Manpower and Personnel for the National Guard Bureau require the National Guard Bureau Office of Youth Programs to enforce Challenge Program requirements to hold academies accountable for not meeting program requirements and goals, or obtain a waiver from the Chief of the National Guard Bureau for not taking action on an academy that is not meeting program requirements and goals.	11 months 29 days
<b>Rec. C.2.b:</b> The DoD OIG recommended that the Director of Manpower and Personnel for the National Guard Bureau require the National Guard Bureau Office of Youth Programs to disapprove future state plans that do not comply with the National Guard Bureau guidance including recommended sanctions for not meeting graduation goals and require the Indiana Hoosier, Kentucky Bluegrass, and Maryland Freestate Challenge Academies to update their FY 2020 state plans to include recommended sanctions.	11 months 29 days

**Report No. DODIG-2021-091, "Audit of the Reimbursement for Department of Defense Mission Assignments for Coronavirus Disease–2019 Pandemic Response in the U.S. Northern Command Area of Responsibility," June 9, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.e:</b> The DoD OIG recommended that the Comptroller of the National Guard Bureau immediately require tasked units to review costs incurred for mission assignments 4480DR-NY-DOD-05, 4481DR-WA-DOD-05, 4482DR-CA-DOD-07, and 4491DR-MD-DOD-01 as of July 31, 2020, for completeness and accuracy, as well as any additional costs incurred and identified after July 31, 2020, and submit reimbursement requests for those costs with required documentation to the Federal Emergency Management Agency in accordance with DoD policy.	9 months 22 days
<b>Rec. 3.e.1:</b> The DoD OIG recommended that the Comptroller of the National Guard Bureau, in coordination with the Financial Management Augmentation Team, develop and provide to tasked units a National Guard-specific desk manual for mission assignments that includes, at a minimum, step-by-step procedures for initial set-up, real-time cost input, cost tracking, cost reporting, and submitting partial and final billing to ensure compliance with DoD policy.	9 months 22 days

## APPENDIX A

**National Guard Bureau – Report No. DODIG-2021-091 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.e.2:</b> The DoD OIG recommended that the Comptroller of the National Guard Bureau train tasked-unit personnel on how to navigate the National Guard-specific desk manuals and apply the procedures appropriately.	9 months 22 days

**Report No. DODIG-2022-062, “External Peer Review of the National Guard Bureau Internal Review Office,” February 10, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the United States Property and Fiscal Officer for the Connecticut National Guard develop a working paper checklist for the auditors assigned to the Internal Review division to use as a reminder of the requirements for preparing working papers in compliance with Government Auditing Standard 8.132 and the National Guard Bureau Internal Review Audit Documentation and Reporting Handbook.	1 month 21 days
<b>Rec. 2:</b> The DoD OIG recommended that the Florida United States Property and Fiscal Office Internal Review Division Chief develop a tool, such as a working paper tracking checklist, to assist supervisors with detecting working papers that do not include evidence of supervisory review before issuing an audit report.	1 month 21 days
<b>Rec. 3:</b> The DoD OIG recommended that the United States Property and Fiscal Officer for the Florida National Guard require that the auditors assigned to Internal Review Division obtain training on performing nonaudit services in accordance with the Government Auditing Standards.	1 month 21 days
<b>Rec. 4 (Letter of Comment):</b> The DoD OIG recommended that the National Guard Bureau Internal Review Director issue a memorandum to the auditors at the National Guard Bureau Internal Review Office-Headquarters and the United States Property and Fiscal Office, Internal Review divisions to emphasize the need to evaluate whether the audited entity took appropriate corrective actions to address findings and recommendations from previous engagements that were significant within the context of the audit objectives.	1 month 21 days
<b>Rec. 6 (Letter of Comment):</b> The DoD OIG recommended that the Connecticut United States Property and Fiscal Office Internal Review Division Supervisory Auditor develop a tool, such as a finding development matrix, to help the auditors clearly develop the effects of findings which identify the outcome or consequence resulting from the audited entity not complying with the criteria and include the concept of developing effects or potential effects that may be used to demonstrate the need for corrective action in response to identified problems or relevant risks.	1 month 21 days
<b>Rec. 7 (Letter of Comment):</b> The DoD OIG recommended that the Florida United States Property and Fiscal Office Internal Review Division Chief develop a tool, such as a report checklist, which reminds auditors to communicate audit objectives in the audit report in a clear, consistent, and unambiguous manner to avoid potential misunderstanding.	1 month 21 days

## APPENDIX A

**National Guard Bureau (cont'd)**

**Report No. DODIG-2022-074, "Audit of Entitlements for Activated Army National Guard and Air National Guard Members Supporting the Coronavirus Disease–2019 Mission," March 30, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.a:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to require the Army National Guard and Air National Guard to complete a review of proof of residency documentation when the member's primary residency is established or changed for the Basic Allowance for Housing entitlement to ensure consistency throughout all Army National Guard and Air National Guard units and organizations within every state, territory, and the District. The policies and procedures should also include requirements that: document a member's primary residence address; require members to provide proof of their primary residence address; certify the primary address of members and review supporting documentation; and provide oversight to ensure primary residence address information is complete and accurate.</p>	1 day
<p><b>Rec. 2.b:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to outline the process and frequency of recertification for Basic Allowance for Housing entitlement that Army National Guard and Air National Guard officials will use to verify and fully document the dependency status of members to provide clarification to the DoD Financial Management Regulation, volume 7A, chapter 26. These procedures should include:</p> <ul style="list-style-type: none"> <li>• how recertifications will be completed;</li> <li>• which members will complete a recertification; and</li> <li>• how Army National Guard and Air National Guard officials will provide oversight to ensure that information is complete and accurate.</li> </ul>	1 day
<p><b>Rec. 2.c:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to require Army National Guard and Air National Guard officials to review and document the status of a military member married to another military member regardless of which Military Service, Reserve or Active Component, or Army National Guard or Air National Guard unit the member's spouse belongs to, and identify which member will claim any applicable dependents.</p>	1 day

## APPENDIX A

**National Guard Bureau – Report No. DODIG-2022-074 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.d:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to specify the methods for confirming eligibility and paying Family Separation Allowance for Army National Guard and Air National Guard members, in accordance with the DoD Financial Management Regulation, volume 7A, chapter 27, and include the:</p> <ul style="list-style-type: none"> <li>• timeliness of payments;</li> <li>• determination of Family Separation Allowance eligibility for back to back orders;</li> <li>• members assigned to their normal duty locations; and</li> <li>• requirement to track members to know when they return to their primary residence.</li> </ul>	1 day
<p><b>Rec. 2.e:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement policies and procedures to establish formal dissemination and communication procedures for National Guard Bureau policies related to entitlements provided to the Army National Guard and Air National Guard, including the policies in the preceding recommendations. The procedures should require:</p> <ul style="list-style-type: none"> <li>• creation of a central location where policies and procedures will be kept for easy access by all states, territories, and the District; and</li> <li>• confirmation of receipt from all of the states, territories, and the District when procedures are communicated or obtained.</li> </ul>	1 day
<p><b>Rec. 3:</b> The DoD OIG recommended that the Chief, National Guard Bureau, in coordination with the Director of the Army National Guard and the Director of the Air National Guard, develop and implement additional internal control procedures for the review of transactions manually submitted by the Army National Guard and Air National Guard to the payment system prior to payment to ensure the completeness and accuracy of transactions.</p>	1 day



## APPENDIX A

### Statistics – U.S. Indo-Pacific Command

#### Recommendations from 2019 Compendium



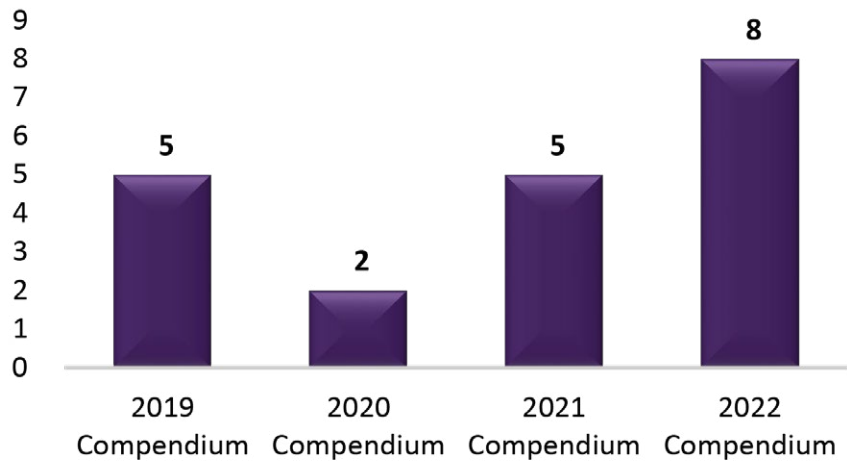
#### Recommendations from 2020 Compendium



#### Recommendations from 2021 Compendium




#### Open Recommendations




Number of Unresolved Recommendations	2
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

## APPENDIX A

**U.S. Indo-Pacific Command**

Report No. DODIG-2021-067, "Evaluation of the U.S. Indo-Pacific Command's Response to the Coronavirus Disease-2019," March 31, 2021 (Full Report is Classified)

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of United States Indo-Pacific Command update Commander, United States Indo-Pacific Command, "Concept Plan 5003-18 for Pandemic and Emerging Infectious Disease," February 1, 2019, to incorporate the policies, procedures, and responsibilities for executing mission essential staff functions in a socially distanced or telework environment, and send the updated Concept Plan to U.S. Northern Command for review, as required by U.S. Northern Command, "Department of Defense Global Campaign Plan for Pandemic Influenza and Infectious Disease 3551-13," October 15, 2013.	1 year
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of United States Indo-Pacific Command finalize and issue the annex to Commander, United States Indo-Pacific Command, "Headquarters Continuity of Operations Plan," June 19, 2015, and update the plan with lessons learned from the coronavirus disease-19 pandemic response.	1 year
<b>Rec. 1.c:</b> The DoD OIG recommended that the Commander of United States Indo-Pacific Command develop a plan to integrate pandemic response activities from Commander, United States Indo-Pacific Command, "Concept Plan 5003-18 for Pandemic and Emerging Infectious Disease," February 1, 2019, and continuity of operations activities from Commander, United States Indo-Pacific Command, "Headquarters Continuity of Operations Plan," June 19, 2015, into United States Indo-Pacific Command's Joint Exercise Program.	1 year
<b>(CUI) Rec. 1.d:</b> The DoD OIG recommended that the [REDACTED]	1 year
<b>(CUI) Rec. 1.e:</b> The DoD OIG recommended that the [REDACTED]	1 year
(CUI)	

## APPENDIX A

**U.S. Indo-Pacific Command (cont'd)**

**Report No. DODIG-2021-082, "Evaluation of Combatant Command Counter Threat Finance Activities," May 18, 2021 (Full Report is Classified)**

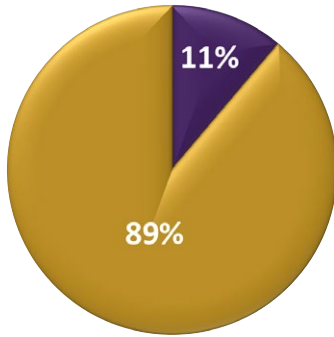
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Commanders of U.S. Africa Command, U.S. European Command, U.S. Central Command, and U.S. Indo-Pacific Command develop and issue formalized Counter Threat Finance command procedures in accordance with DoD Directive 5205.14 to ensure continuity of their Counter Threat Finance operations and compliance with the Directive.	10 months 13 days

**Report No. DODIG-2022-076, "Evaluation of Combatant Commands Communication Challenges with Foreign Partner Nations during Coronavirus Disease-2019 Pandemic and Mitigation Efforts," March 28, 2022 (Full Report is Classified)**

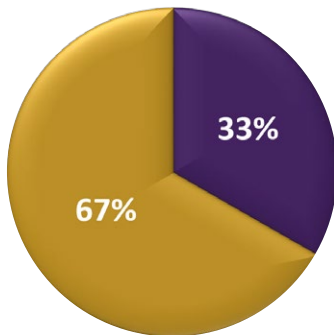
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command issue command-level guidance clarifying the use of non-DoD-controlled electronic messaging systems. This guidance should include: <ul style="list-style-type: none"> <li>i. any area of responsibility-specific conditions that permit personnel to use non-DoD-controlled messaging systems;</li> <li>ii. what information can be shared over the electronic messaging system;</li> <li>iii. how personnel are to maintain records generated on non-DoD systems in accordance with records management regulations;</li> <li>iv. how to report any security violations or misuse of a system;</li> <li>v. a process to ensure that any use of non-DoD-controlled electronic messaging systems meets the exception criteria in DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021;</li> <li>vi. additional training criteria for personnel that addresses the risks of using non-DoD electronic messaging systems, violating operations security regulations, and consequences of noncompliance.</li> </ul>	3 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command establish risk assessment procedures to evaluate and monitor combatant command use of current and emerging information technologies to identify opportunities for use and to assess risks in accordance with DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021.	3 days

## Statistics – U.S. European Command

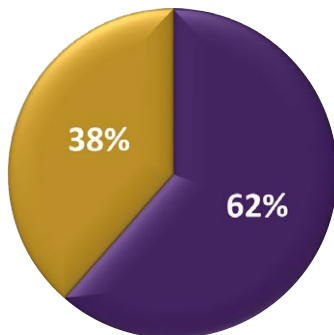
Recommendations from  
2019 Compendium





Recommendations from  
2020 Compendium

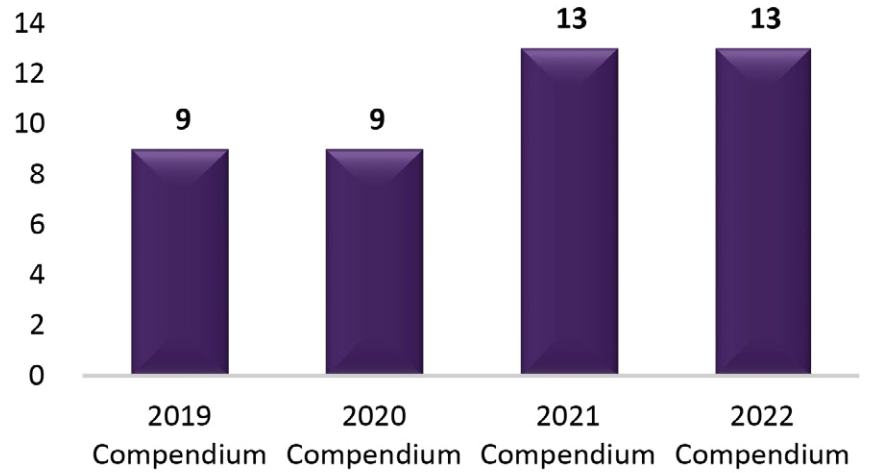


Recommendations from  
2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

**U.S. European Command**

Report No. DODIG-2018-142, "U.S. Africa Command and U.S. European Command Integration of Operational Contract Support," August 9, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Commander, U.S. European Command update the annex W's for 3T concept plans and operation plans to meet operational contract support requirements.	3 years 7 months 22 days

Report No. DODIG-2019-093, "Evaluation of U.S. European Command's Nuclear Command and Control Between the President and Theater Nuclear Forces," June 10, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> Recommendation is Classified.	2 years 9 months 21 days

Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that all Combatant Commanders establish a full-time insider threat program manager position to ensure that the program meets national and DoD requirements.	2 years 8 months 1 day

Report No. DODIG-2021-002, "Evaluation of the U.S. European Command's Response to the Coronavirus Disease-2019," October 8, 2020 (Full Report is Classified)

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 1.b:</b> The DoD OIG recommended that the Commander, U.S. European Command [REDACTED]	1 year 5 months 23 days
(CUI) <b>Rec. 1.c:</b> The DoD OIG recommended that the Commander, U.S. European Command [REDACTED]	1 year 5 months 23 days
(CUI)	

## APPENDIX A

### *U.S. European Command (cont'd)*

**Report No. DODIG-2021-063, "Audit of Host Nation Logistical Support in the U.S. European Command," March 23, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Director of Logistics of the U.S. European Command complete the analysis to determine the extent to which international agreements and arrangements provide the level of support needed from the host nations for the U.S. European Command to execute Operation Plan 4020-19 through the U.S. European Command's Support Access Working Group.	1 year 8 days
<b>Rec. 5:</b> The DoD OIG recommended that the Commander of the U.S. European Command designate a single office of record for maintaining a repository of international agreements at the U.S. European Command.	1 year 8 days
<b>Rec. 6:</b> The DoD OIG recommended that the Commander of the U.S. European Command require U.S. European Command officials to maintain a central repository of international arrangements that provide host nation support and were approved by officials from the U.S. European Command or Component commands.	1 year 8 days

**Report No. DODIG-2021-090, "Audit of Munitions Storage in the U.S. European Command," June 10, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.7.a:</b> The DoD OIG recommended that the Commander of U.S. European Command issue an order to require subordinate commands to conduct annual assessments of munitions-related infrastructure within the U.S. European Command area of responsibility and take action to address the identified deficiencies.	9 months 21 days
<b>Rec. A.7.b:</b> The DoD OIG recommended that the Commander of U.S. European Command consolidate, on an annual basis, subordinate commands' assessments of munitions-related infrastructure and coordinate with subordinate commands to develop a plan to mitigate or correct deficiencies impacting life and safety or U.S. European Command's ability to meet operational requirements.	9 months 21 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Commander of U.S. European Command issue an order requiring subordinate commands to conduct risk assessments at all munitions storage installations without a DoD Explosives Safety Board-approved site plan to quantify the potential risks to personnel, infrastructure, and mission.	9 months 21 days

## APPENDIX A

**U.S. European Command (cont'd)**

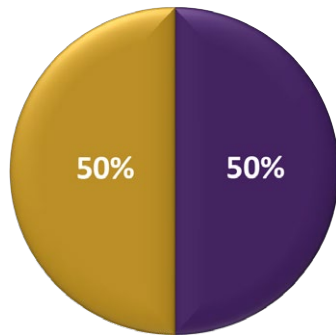
**Report No. DODIG-2022-076, "Evaluation of Combatant Commands Communication Challenges with Foreign Partner Nations during Coronavirus Disease-2019 Pandemic and Mitigation Efforts," March 28, 2022**  
**(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.a:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command issue command-level guidance clarifying the use of non-DoD-controlled electronic messaging systems. This guidance should include:</p> <ul style="list-style-type: none"> <li>i. any area of responsibility-specific conditions that permit personnel to use non-DoD-controlled messaging systems;</li> <li>ii. what information can be shared over the electronic messaging system;</li> <li>iii. how personnel are to maintain records generated on non-DoD systems in accordance with records management regulations;</li> <li>iv. how to report any security violations or misuse of a system;</li> <li>v. a process to ensure that any use of non-DoD-controlled electronic messaging systems meets the exception criteria in DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021;</li> <li>vi. additional training criteria for personnel that addresses the risks of using non-DoD electronic messaging systems, violating operations security regulations, and consequences of noncompliance.</li> </ul>	3 days
<p><b>Rec. 3.b:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command establish risk assessment procedures to evaluate and monitor combatant command use of current and emerging information technologies to identify opportunities for use and to assess risks in accordance with DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021.</p>	3 days

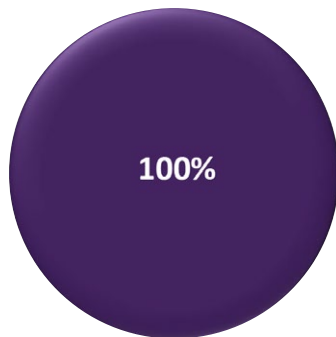


## Statistics – U.S. Southern Command

### Recommendations from 2019 Compendium



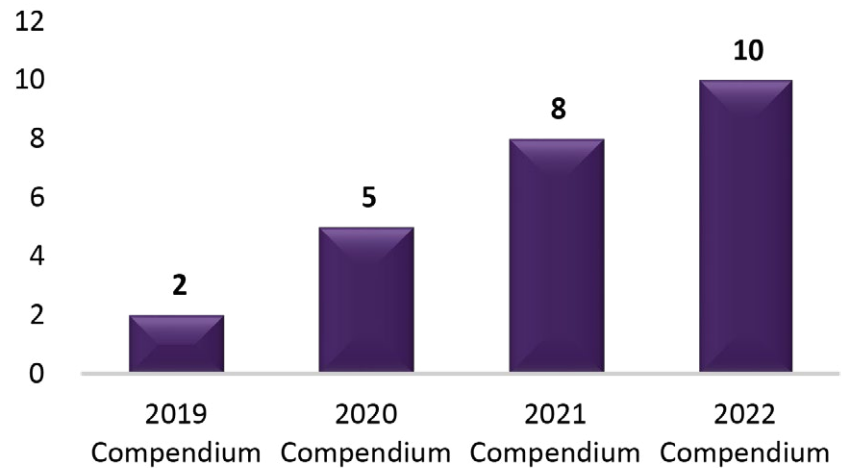
### Recommendations from 2020 Compendium



### Recommendations from 2021 Compendium





### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

## APPENDIX A

**U.S. Southern Command****Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B:</b> The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	3 years 6 days

**Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that all Combatant Commanders establish a full-time insider threat program manager position to ensure that the program meets national and DoD requirements.	2 years 8 months 1 day
<b>Rec. 3.c:</b> The DoD OIG recommended that all Combatant Commanders establish a plan to fully train the insider threat workforce to conduct critical tasks and insider threat HUB operations.	2 years 8 months 1 day
<b>Rec. 3.d:</b> The DoD OIG recommended that all Combatant Commanders establish and implement reporting procedures for the DoD Insider Threat Management and Analysis Center on all relevant insider threat information that meets the 13 DoD Insider Threat Management and Analysis Center thresholds.	2 years 8 months 1 day

**Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," March 13, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Commander for U.S. Southern Command prioritize the risk of each unmitigated vulnerability identified in DoD Cyber Red Team Reports and adversarial assessments, take immediate actions to mitigate high-risk vulnerabilities, and if unable to immediately mitigate any of the vulnerabilities, include them on a command-approved plan of action and milestones.	2 years 18 days

## APPENDIX A

**U.S. Southern Command (cont'd)**

Report No. DODIG-2021-068, "Evaluation of the U.S. Southern Command's Response to the Coronavirus Disease-2019," March 31, 2021 (Final Report is Classified)

(CUI)	Recommendation Number and Text	Age of Recommendation on 3/31/22
	<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of United States Southern Command updated the United States Southern Command, "Continuity of Operations (COOP) Plan," March 26, 2019, and incorporate the policies and procedures, and responsibilities for executing mission essential functions in socially distanced or telework environment, including situations of mass telework and alternative work schedules.	1 year
	<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of United States Southern Command develop a detailed mass telework policy and a plan to identify and distribute any necessary software and equipment to support mass telework scenarios, including identifying resources to conduct classified operations.	1 year
	<p><b>Rec. 1.c:</b> The DoD OIG recommended that the Commander of United States Southern Command update the United States Southern Command Plan 6160-14, "Pandemic Influenza and Infectious Disease Plan," April 28, 2014, to:</p> <p>(CUI) i. [REDACTED]</p> <p>(CUI) ii. [REDACTED]</p> <p>(CUI) iii. [REDACTED]</p>	1 year
(CUI)		

## APPENDIX A

**U.S. Southern Command (cont'd)**

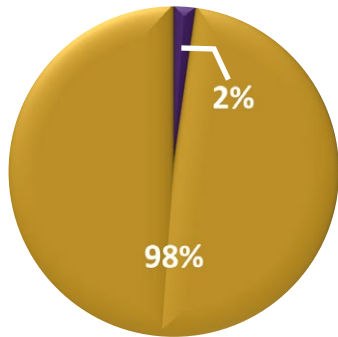
**Report No. DODIG-2022-076, "Evaluation of Combatant Commands Communication Challenges with Foreign Partner Nations during Coronavirus Disease-2019 Pandemic and Mitigation Efforts," March 28, 2022  
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.a:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command issue command-level guidance clarifying the use of non-DoD-controlled electronic messaging systems. This guidance should include:</p> <ul style="list-style-type: none"> <li>i. any area of responsibility-specific conditions that permit personnel to use non-DoD-controlled messaging systems;</li> <li>ii. what information can be shared over the electronic messaging system;</li> <li>iii. how personnel are to maintain records generated on non-DoD systems in accordance with records management regulations;</li> <li>iv. how to report any security violations or misuse of a system;</li> <li>v. a process to ensure that any use of non-DoD-controlled electronic messaging systems meets the exception criteria in DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021;</li> <li>vi. additional training criteria for personnel that addresses the risks of using non-DoD electronic messaging systems, violating operations security regulations, and consequences of noncompliance.</li> </ul>	3 days
<p><b>Rec. 3.b:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command establish risk assessment procedures to evaluate and monitor combatant command use of current and emerging information technologies to identify opportunities for use and to assess risks in accordance with DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021.</p>	3 days

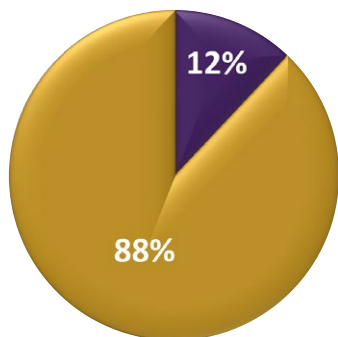


## Statistics – U.S. Central Command

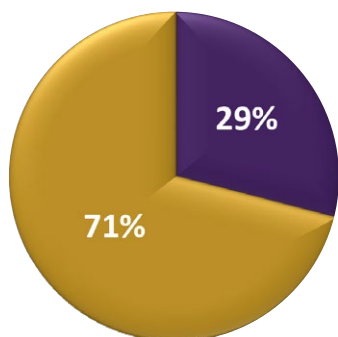
### Recommendations from 2019 Compendium





### Recommendations from 2020 Compendium

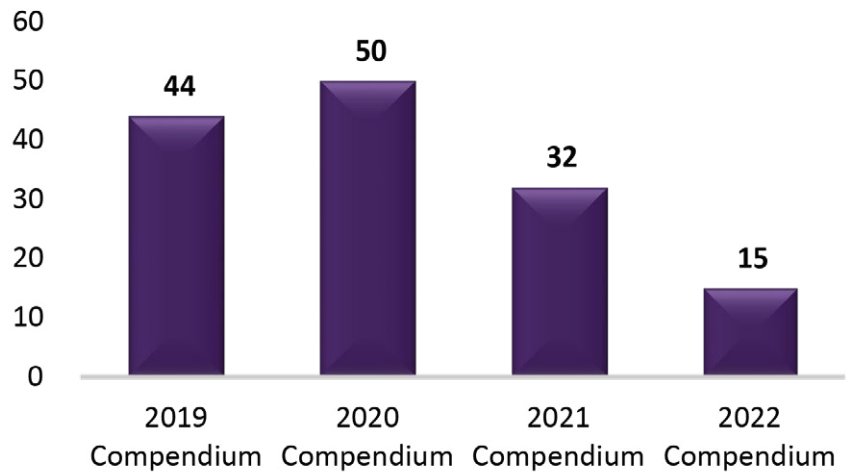


### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

### Open Recommendations



Number of Unresolved Recommendations	2
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Number of Recommendations Open Longer than 5 Years	1
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Potential Monetary Benefits	–
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## APPENDIX A

### U.S. Central Command

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015  
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> Recommendation is Classified.	6 years 9 months 13 days

Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait,"  
June 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Commander of U.S. Central Command enforce all aspects of Central Command Regulation 570-4 throughout the command's area of responsibility.*	2 years 9 months 20 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Commander of U.S. Central Command clearly assign roles and responsibilities to its subordinate commands regarding combating trafficking in persons, including formally designating an appropriate command headquarters in Kuwait to be responsible for Combating Trafficking in Persons compliance.*	2 years 9 months 20 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Commander of U.S. Central Command collect and report data on all Trafficking in Persons incidents, investigations, prosecutions, and training programs in the U.S. Central Command area of responsibility to the DoD's Combating Trafficking in Persons Program Management Office.*	2 years 9 months 20 days
<b>Rec. D.2:</b> The DoD OIG recommended that the Commander of U.S. Central Command create designated Combating Trafficking in Person Inspection Teams and personnel billets to provide oversight of DoD contracts in countries for which it has command responsibility and that have potential trafficking in persons problems.*	2 years 9 months 20 days

\* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019  
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b:</b> The DoD OIG recommended that all Combatant Commanders designate the required subject matter experts for HUB operations to integrate the monitoring, analysis, and reporting of, and the response to, insider threats.	2 years 8 months 1 day

## APPENDIX A

**U.S. Central Command (cont'd)**

**Report No. DODIG-2021-058, "Evaluation of the U.S. Central Command's Response to the Coronavirus Disease-2019," March 3, 2021 (Final Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of the U.S. Central Command update the U.S. Central Command Regulation 525-40, "Continuity of Operations Plan," August 20, 2020, to include the policies, procedures, and responsibilities for executing mission essential functions in a pandemic environment. In addition, develop a plan to annually integrate the updated continuity of operations procedures into the U.S. Central Command Joint Exercise Program.	1 year 28 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of the U.S. Central Command modify U.S. Central Command's telework policy to include guidance on the implementation of mass telework distribution of telework resources, telework agreements, and telework training.	1 year 28 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Commander of the U.S. Central Command develop and memorialize COVID-19 lessons learned and incorporate them into U.S. Central Command Pandemic Influenza and Infections Disease Concept Plan, supporting component plans, and Central Command Regulation 525-40, "Continuity of Operations Plan," August 20, 2020. The Continuity of Operations Plan should include information on quarantine processes and contractor personnel.	1 year 28 days

**Report No. DODIG-2022-006, "Evaluation of Traumatic Brain Injuries in the U.S. Central Command Area of Responsibility," November 1, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.a-c:</b> The DoD OIG recommended that the Commander of the U.S. Central Command revise U.S. Central Command Regulation 40-1, "Healthcare Operations," September 18, 2020, to:</p> <ul style="list-style-type: none"> <li>a. Designate an Office of Primary Responsibility to receive, review, and monitor Service Component reporting of potentially concussive events, in accordance with DoD Instruction 6490.11, "DoD Policy Guidance for Management of Mild Traumatic Brain Injury/Concussion in the Deployed Setting," Incorporating Change 2, Effective November 26, 2019.</li> <li>b. Clarify requirements for the U.S. Central Command to submit monthly tracking reports to the Joint Trauma Analysis and Prevention of Injury in Combat Program Office and monitor Service Component compliance with the monthly reporting requirements, in accordance with DoD Instruction 6490.11, "DoD Policy Guidance for Management of Mild Traumatic Brain Injury/Concussion in the Deployed Setting," Incorporating Change 2, Effective November 26, 2019.</li> <li>c. Include specific details on the minimum required data fields for the monthly potentially concussive event reporting, in accordance with DoD Instruction 6490.11, "DoD Policy Guidance for Management of Mild Traumatic Injury/Concussion in the Deployed Setting," Incorporating Change 2, Effective November 26, 2019.</li> </ul>	4 months 30 days

## APPENDIX A

**U.S. Central Command (cont'd)**

**Report No. DODIG-2022-038, "Evaluation of U.S. Central Command and U.S. Special Operations Command Implementation of the Administrative Requirements Related to the Department of Defense's Law of War Policies," November 16, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of U.S. Central Command revise Headquarters United States Central Command Regulation 27-1, "Law of War Program," to make it consistent with the revised definitions and current requirements of DoD Directive 2311.01, "DoD Law of War Program," July 2, 2020. In addition, direct Combined Joint Task Force-Operation Inherent Resolve to review and revise Fragmentary Order 2 to Operations Order 17-12-0002 to make its procedures consistent with DoD Directive 2311.01.	4 months 15 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of U.S. Central Command develop procedures to execute and document a periodic review of component command staff and subordinate joint command training programs to ensure they are consistent with the DoD law of war program.	4 months 15 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Commander of U.S. Central Command develop procedures to incorporate scenarios into command-level Joint and Service Component exercises that require personnel to respond to and report potential law of war incidents.	4 months 15 days

**Report No. DODIG-2022-076, "Evaluation of Combatant Commands Communication Challenges with Foreign Partner Nations during Coronavirus Disease-2019 Pandemic and Mitigation Efforts," March 28, 2022 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.a:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command issue command-level guidance clarifying the use of non-DoD-controlled electronic messaging systems. This guidance should include:</p> <ul style="list-style-type: none"> <li>i. any area of responsibility-specific conditions that permit personnel to use non-DoD-controlled messaging systems;</li> <li>ii. what information can be shared over the electronic messaging system;</li> <li>iii. how personnel are to maintain records generated on non-DoD systems in accordance with records management regulations;</li> <li>iv. how to report any security violations or misuse of a system;</li> <li>v. a process to ensure that any use of non-DoD-controlled electronic messaging systems meets the exception criteria in DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021;</li> <li>vi. additional training criteria for personnel that addresses the risks of using non-DoD electronic messaging systems, violating operations security regulations, and consequences of noncompliance.</li> </ul>	3 days

## APPENDIX A

**U.S. Central Command – Report No. DODIG-2022-076 (cont'd)**

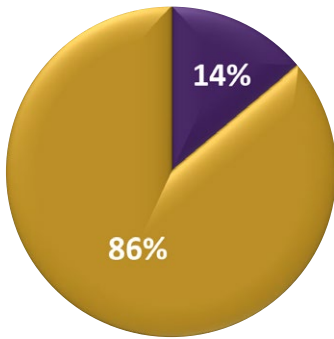
Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.b:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command establish risk assessment procedures to evaluate and monitor combatant command use of current and emerging information technologies to identify opportunities for use and to assess risks in accordance with DoD Instruction 8170.01, “Online Information Management and Electronic Messaging,” August 24, 2021.</p>	<p>3 days</p>



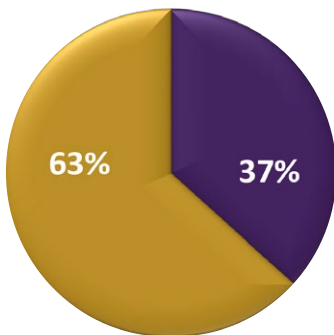
## APPENDIX A

### Statistics – U.S. Special Operations Command

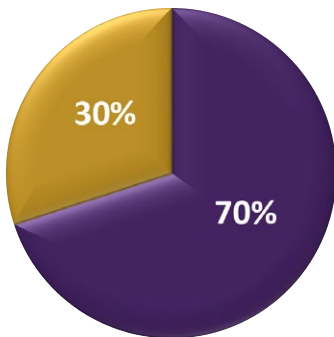
**Recommendations from  
2019 Compendium**





**Recommendations from  
2020 Compendium**



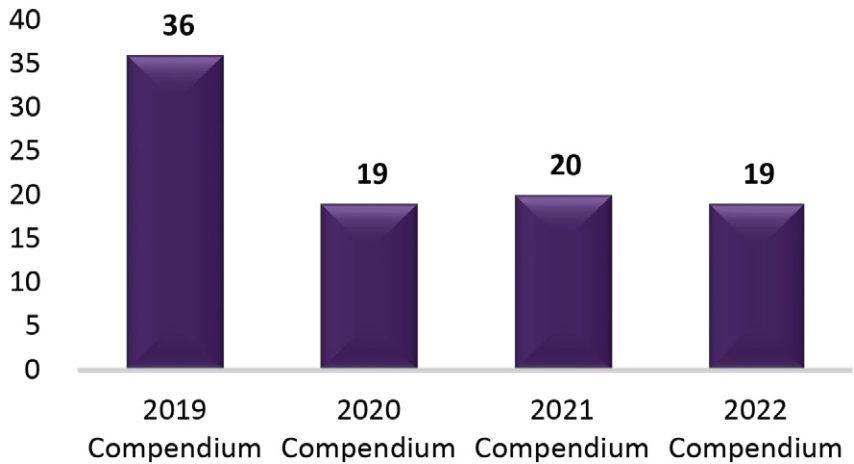
**Recommendations from  
2021 Compendium**



 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

**Open Recommendations**



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	1
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Potential Monetary Benefits	–
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## APPENDIX A

***U.S. Special Operations Command***

**Report No. DODIG-2017-030, "USSOCOM Needs to Improve Management of Sensitive Equipment,"  
December 12, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director require U.S. Special Operations Command, Directorate of Logistics, to conduct a 100-percent inventory of sensitive equipment to establish a sensitive equipment baseline and reconcile inventory discrepancies.	5 years 3 months 19 days

**Report No. DODIG-2018-100, "U.S. Special Operations Command's Management of Excess Equipment,"  
March 29, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the U.S. Special Operations Command Commander update U.S. Special Operations Command guidance to include detailed procedures for reporting and updating Special Operations-Peculiar equipment authorizations and allocations in the U.S. Special Operations Command Table of Equipment Distribution and Allowance. The procedures should provide clear and concise policy outlining the source of the authorization data and how this data should be presented, and should establish periodic reviews of the information to ensure that the authorizations match the capability documents.	4 years 2 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the U.S. Special Operations Command Commander direct a review of existing Special Operations-Peculiar equipment authorizations and allocations and update U.S. Special Operations Command Table of Equipment Distribution and Allowance and all systems and documents that contain authorizations and allocations accordingly.	4 years 2 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the U.S. Special Operations Command Commander update U.S. Special Operations Command guidance to include detailed procedures for conducting periodic reconciliations of Special Operations-Peculiar equipment authorizations and allocations to inventory.	4 years 2 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the U.S. Special Operations Command Commander direct a reconciliation of Special Operations-Peculiar equipment authorizations and allocations to inventory based on the updated guidance, and if excess equipment is identified, redistribute or dispose of the excess equipment.	4 years 2 days

## APPENDIX A

***U.S. Special Operations Command (cont'd)***

**Report No. DODIG-2019-077, "Evaluation of the Oversight of Intelligence Interrogation Approaches and Techniques," April 15, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> Recommendation is Classified.	2 years 11 months 16 days

**Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that all Combatant Commanders establish a full-time insider threat program manager position to ensure that the program meets national and DoD requirements.	2 years 8 months 1 day

**Report No. DODIG-2020-111, "Audit of U.S. Special Operations Command Testing and Evaluation," August 12, 2020 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a.1:</b> The DoD OIG recommended that the Commander, U.S. Special Operations Command develop internal controls to ensure that U.S. Special Operations Command program managers develop and maintain a detailed requirements correlation matrix for each Special Operations-Peculiar acquisition programs that clearly matches the key performance parameters to test and evaluation.	1 year 7 months 19 days
<b>Rec. 1.a.2:</b> The DoD OIG recommended that the Commander, U.S. Special Operations Command develop internal controls to ensure that U.S. Special Operations Command program managers integrate the requirements correlation matrix into test and evaluation.	1 year 7 months 19 days
<b>Rec. 1.a.3:</b> The DoD OIG recommended that the Commander, U.S. Special Operations Command develop internal controls to ensure that U.S. Special Operations Command program managers document the results of test and evaluation for each key performance parameter in the requirements correlation matrix.	1 year 7 months 19 days
<b>Rec. 1.b.1:</b> The DoD OIG recommended that the Commander, U.S. Special Operations Command update U.S. Special Operations Command directives related to fielding and deployment releases to require that a requirements correlation matrix, including test and evaluation results, be submitted and validated prior to issuing a fielding and deployment release for Special Operations-Peculiar programs.	1 year 7 months 19 days

## APPENDIX A

**U.S. Special Operations Command – Report No. DODIG-2020-111 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b.2.a:</b> The DoD OIG recommended that the Commander, U.S. Special Operations Command update U.S. Special Operations Command directives related to fielding and deployment releases to require that conditional fielding and deployment releases identify the specific key performance parameter that was not met during test and evaluation.	1 year 7 months 19 days
<b>Rec. 1.b.2.b:</b> The DoD OIG recommended that the Commander, U.S. Special Operations Command update U.S. Special Operations Command directives related to fielding and deployment releases to require that conditional fielding and deployment releases outline any restrictions on the use of the Special Operations-Peculiar equipment.	1 year 7 months 19 days
<b>Rec. 1.b.2.c:</b> The DoD OIG recommended that the Commander, U.S. Special Operations Command update U.S. Special Operations Command directives related to fielding and deployment releases to require that conditional fielding and deployment releases document what work must be completed before a full fielding and deployment release can be issued.	1 year 7 months 19 days

**Report No. DODIG-2021-125, “Evaluation of U.S. Special Operations Command’s Supply Chain Risk Management for the Security, Acquisition, and Delivery of Specialized Equipment,” September 14, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Commander of U.S. Special Operations Command, Special Operations Forces Acquisition, Technology, and Logistics Center conduct a risk assessment outlining the impact of not having Program Protection Implementation Plans in place prior to November 2020.	6 months 17 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Commander of U.S. Special Operations Command, Special Operations Forces Acquisition, Technology, and Logistics Center conduct a cost benefit analysis on the feasibility of requesting that contractors develop Program Protection Implementation Plans for acquisitions that do not have Program Protection Implementation Plans.	6 months 17 days
<b>Rec. 2.c:</b> The DoD OIG recommended that the Commander of U.S. Special Operations Command, Special Operations Forces Acquisition, Technology, and Logistics Center develop a plan to prioritize the development of Program Protection Implementation Plans based on the level of risk.	6 months 17 days



## APPENDIX A

***U.S. Special Operations Command (cont'd)***

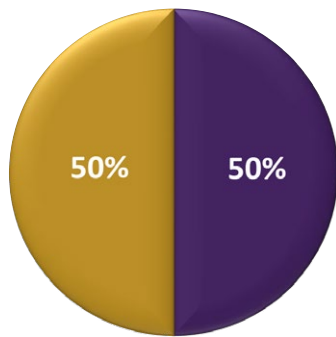
**Report No. DODIG-2022-038, "Evaluation of U.S. Central Command and U.S. Special Operations Command Implementation of the Administrative Requirements Related to the Department of Defense's Law of War Policies," November 16, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Commander of U.S. Special Operations Command revise United States Special Operations Command DoD Directive 525-27, "Law of War Program," to make it consistent with the revised definitions and current requirements of DoD Directive 2311.01, "DoD Law of War Program," July 2, 2020.	4 months 15 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Commander of U.S. Special Operations Command develop procedures to document annual U.S. Special Operations Command Judge Advocate review of Service Component and Theater Special Operations Command training briefs, identify any deficiencies to the components, and track correction of the deficiencies.	4 months 15 days

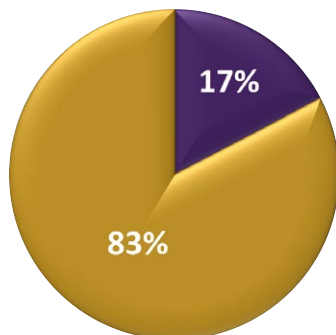


## Statistics – U.S. Transportation Command

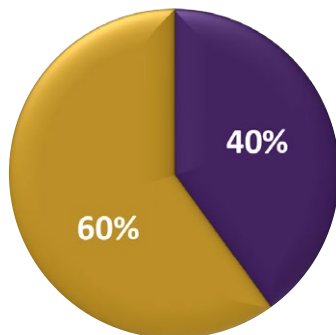
**Recommendations from  
2019 Compendium**





**Recommendations from  
2020 Compendium**

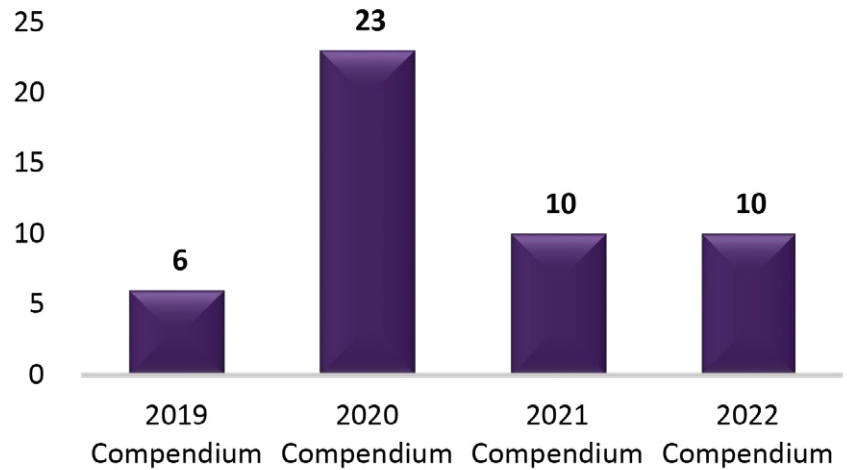


**Recommendations from  
2021 Compendium**



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

**Open Recommendations**



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

**U.S. Transportation Command****Report No. DODIG-2017-108, "United States Transportation Command Triannual Reviews," August 9, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 3, chapter 8, "Standards for Recording and Reviewing Commitments and Obligations." The processes and procedures at a minimum should identify staff positions responsible for executing proper triannual reviews.	4 years 7 months 22 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 3, chapter 8, "Standards for Recording and Reviewing Commitments and Obligations." The processes and procedures at a minimum should include detailed review requirements to ensure that each commitment, obligation, accounts payable, unfilled customer order, and accounts receivable are properly recorded in the general ledger.	4 years 7 months 22 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 3, chapter 8, "Standards for Recording and Reviewing Commitments and Obligations." The processes and procedures at a minimum should include detailed instructions to ensure reports are prepared for submission in the DoD standard format and contain the valid, accurate, and complete status of each fund balance.	4 years 7 months 22 days

**Report No. DODIG-2020-046, "Audit of the DoD Personal Property Program Related to Household Goods Shipments," January 6, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Commander of U.S. Transportation Command update the Defense Transportation Regulations to contact the DoD members if they do not complete Customer Satisfaction Surveys within 1 month after receiving the shipments, to increase the survey completion percentage and develop a more accurate Best Value Score.	2 years 2 months 25 days

## APPENDIX A

***U.S. Transportation Command (cont'd)***

**Report No. DODIG-2021-099, "Audit of Physical Security Conditions at the U.S. Transportation Command Military Ocean Terminals," July 8, 2021 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of the 834th Transportation Battalion (Military Ocean Terminal Concord) coordinate with the Surface Deployment and Distribution Command Protection Division Chief (G34) and the 596th Transportation Brigade physical security officer to review the November 2019 update to the physical security plan to ensure that the remaining instances of noncompliance identified in Appendix B have been addressed.	8 months 23 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Commander of the 834th Transportation Battalion (Military Ocean Terminal Concord) complete and issue the standard operating procedures currently in draft form for physical security personnel.	8 months 23 days
<b>Rec. 2.a:</b> The DoD OIG recommended that the Commander of the 596th Transportation Brigade (Military Ocean Terminal Sunny Point) develop and implement procedures in coordination with the Surface Deployment and Distribution Command Protection Division Chief (G34) to review the August 2020 update to the physical security plan to ensure that the remaining instances of noncompliance identified in Appendix B have been addressed.	8 months 23 days

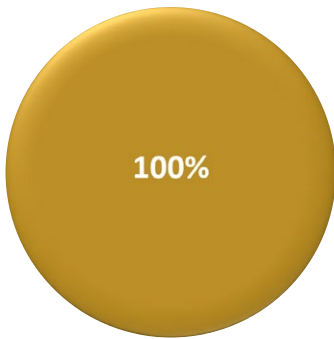
**Report No. DODIG-2021-123, "Audit of Military Services Special Assignment Airlift Mission Cargo Movement Requests," September 15, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of the U.S. Transportation Command, in coordination with the Military Services, update Defense Transportation Regulation 4500.9-R to require the Military Services to establish Service-level policy for determining the best value to the Government; and require Service validators to determine whether the units are submitting Special Assignment Airlift Mission requests into the Special Assignment Airlift Mission Request System in a timely manner so as to allow for the consideration of other modes of transportation.	6 months 16 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Commander of the U.S. Transportation Command, in coordination with the Military Services, update Defense Transportation Regulation 4500.9-R to require units requesting Special Assignment Airlift Missions to upload documentation into the Special Assignment Airlift Mission Request System supporting the timeliness of their request when the required delivery date makes movement by Special Assignment Airlift Mission the only viable option.	6 months 16 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Commander of the U.S. Transportation Command, in coordination with the Military Services, update Defense Transportation Regulation 4500.9-R to require the Military Services to establish policy to require actions to hold units accountable when the Service validator finds a unit failed to request a Special Assignment Airlift Mission in a timely manner, which then precluded the consideration of other modes of transportation.	6 months 16 days

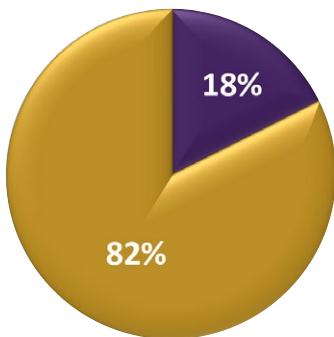
## APPENDIX A

### Statistics – U.S. Strategic Command

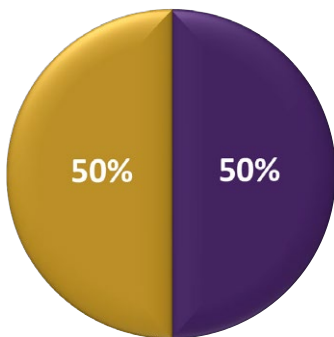
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

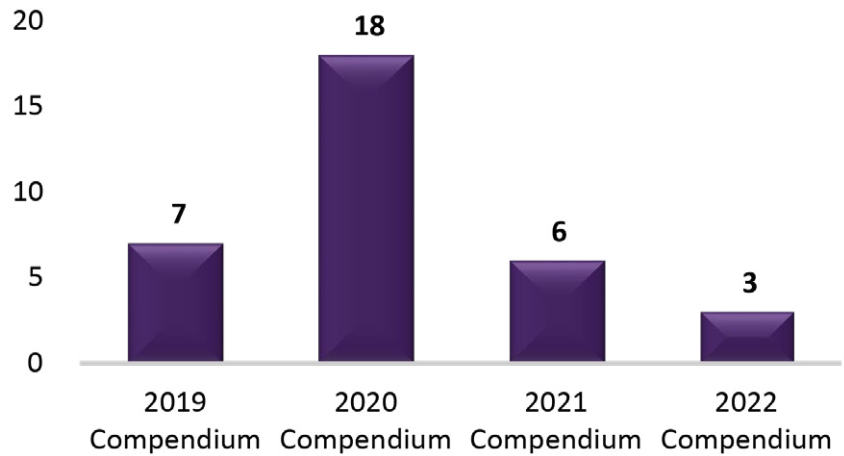


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

### *U.S. Strategic Command*

**Report No. DODIG-2020-066, “Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems,” March 2, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.5:</b> Recommendation is Classified.	2 years 29 days
<b>Rec. A.6:</b> The DoD OIG recommended that the Commander of U.S. Strategic Command and the Under Secretary of Defense for Research and Engineering develop and implement a plan to conduct independent evaluations of supply chain risk management for nuclear command, control, and communications systems. The plan should outline responsibilities for conducting the evaluations, criteria and methodology that will be used, and timeframes for conducting the evaluations.	2 years 29 days

**Report No. DODIG-2020-067, “Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions,” March 13, 2020 (Full Report is Classified)**

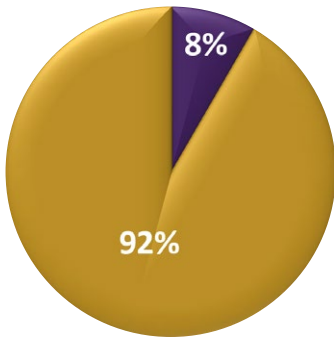
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2:</b> The DoD OIG recommended that the Commander for U.S. Strategic Command prioritize the risk of each unmitigated vulnerability identified in DoD Cyber Red Team Reports and adversarial assessments, take immediate actions to mitigate high-risk vulnerabilities, and if unable to immediately mitigate any of the vulnerabilities, include them on a command-approved plan of action and milestones.	2 years 18 days



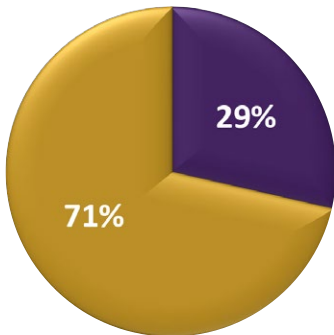
## APPENDIX A

### Statistics – U.S. Africa Command

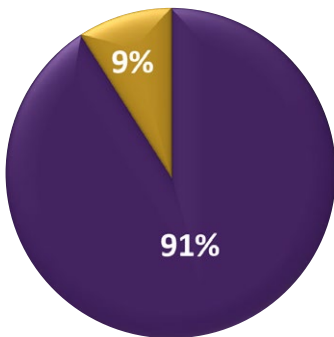
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

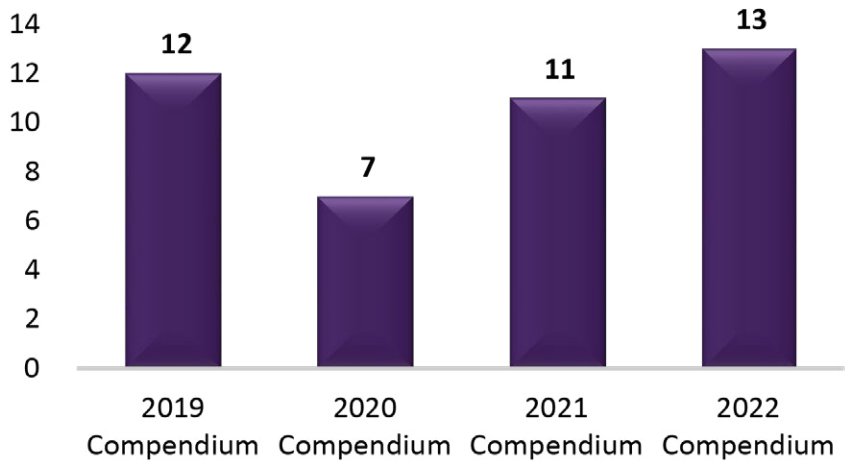


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	1
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

**U.S. Africa Command**

**Report No. DODIG-2018-142, “U.S. Africa Command and U.S. European Command Integration of Operational Contract Support,” August 9, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Commander, U.S. Africa Command update the U.S. Africa Command Instruction 4800.01A to include critical operational contract support requirements related to training, common operational picture, and working group attendance.	3 years 7 months 22 days

**Report No. DODIG-2020-077, “Evaluation of Niger Air Base 201 Military Construction,” March 31, 2021 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Commander of U.S. Africa Command establish a coordination and decision-making process with key stakeholders for troop labor construction projects, including a forum to directly communicate with the military construction program manager, designer of record, construction provider, and base support integrator, as applicable.	2 years

**Report No. DODIG-2020-132, “Evaluation of the U.S. Africa Command’s Response to the Coronavirus Disease–2019,” September 30, 2020 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 1.a:</b> The DoD OIG recommended that the Commander, U.S. Africa Command [REDACTED]	1 year 6 months 1 day
(CUI) <b>Rec. 1.b:</b> The DoD OIG recommended that the Commander, U.S. Africa Command [REDACTED]	1 year 6 months 1 day
(CUI)	



## APPENDIX A

**U.S. Africa Command – Report No. DODIG-2020-132 (cont'd)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<del>(CUI)</del> Rec. 1.c: The DoD OIG recommended that the Commander, U.S. Africa Command [REDACTED]	1 year 6 months 1 day
<del>(CUI)</del> Rec. 1.d: The DoD OIG recommended that the Commander, U.S. Africa Command [REDACTED]	1 year 6 months 1 day
<del>(CUI)</del> Rec. 1.e: The DoD OIG recommended that the Commander, U.S. Africa Command [REDACTED]	1 year 6 months 1 day
<del>(CUI)</del> Rec. 1.f: The DoD OIG recommended that the Commander, U.S. Africa Command [REDACTED]	1 year 6 months 1 day
<del>(CUI)</del> Rec. 1.g: The DoD OIG recommended that the Commander, U.S. Africa Command [REDACTED]	1 year 6 months 1 day
<del>(CUI)</del> Rec. 1.h: The DoD OIG recommended that the Commander, U.S. Africa Command [REDACTED]	1 year 6 months 1 day
(CUI)	

**Report No. DODIG-2021-102, “Audit of the DoD’s Management of Global Train and Equip Program Resources Provided to U.S. Africa Command Partner Nations,” July 21, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Director, U.S. Africa Command, Strategy, Engagement and Programs (J-5), develop a written process that details the roles and responsibilities of the U.S. Africa Command Building Partner Capacity and end-use monitoring officials in their day-to-day oversight and monitoring of the Security Cooperation Officers to ensure that the U.S. Africa Command Building Partner Capacity and end-use monitoring officials have a process in place to review the Security Cooperation Information Portal and enforce Security Cooperation Officer compliance with transfer and routine and enhanced end-use monitoring requirements of the Security Assistance Management Manual.	8 months 10 days

## APPENDIX A

**U.S. Africa Command (cont'd)**

**Report No. DODIG-2022-076, "Evaluation of Combatant Commands Communication Challenges with Foreign Partner Nations during Coronavirus Disease-2019 Pandemic and Mitigation Efforts," March 28, 2022 (Full Report is Classified)**

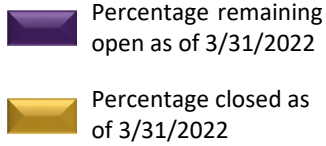
Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 3.a:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command issue command-level guidance clarifying the use of non-DoD-controlled electronic messaging systems. This guidance should include:</p> <ul style="list-style-type: none"> <li>i. any area of responsibility-specific conditions that permit personnel to use non-DoD-controlled messaging systems;</li> <li>ii. what information can be shared over the electronic messaging system;</li> <li>iii. how personnel are to maintain records generated on non-DoD systems in accordance with records management regulations;</li> <li>iv. how to report any security violations or misuse of a system;</li> <li>v. a process to ensure that any use of non-DoD-controlled electronic messaging systems meets the exception criteria in DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021;</li> <li>vi. additional training criteria for personnel that addresses the risks of using non-DoD electronic messaging systems, violating operations security regulations, and consequences of noncompliance.</li> </ul>	3 days
<p><b>Rec. 3.b:</b> The DoD OIG recommended that the Commanders of the U.S. Africa Command, U.S. Central Command, U.S. European Command, U.S. Indo-Pacific Command, and U.S. Southern Command establish risk assessment procedures to evaluate and monitor combatant command use of current and emerging information technologies to identify opportunities for use and to assess risks in accordance with DoD Instruction 8170.01, "Online Information Management and Electronic Messaging," August 24, 2021.</p>	3 days



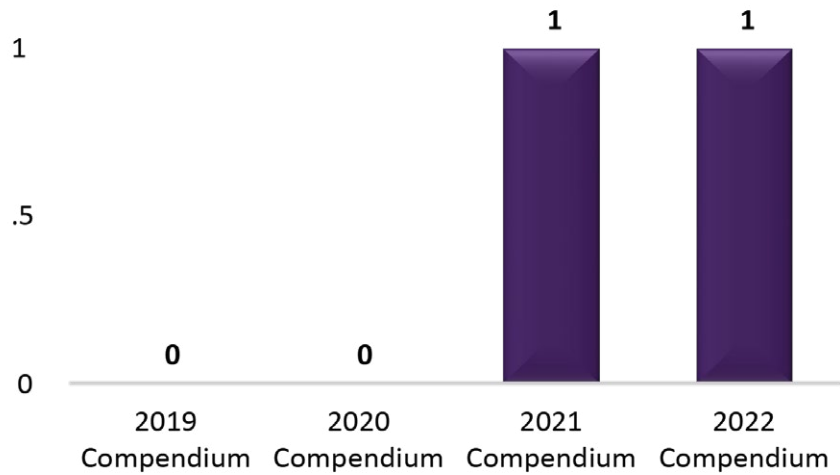
## APPENDIX A

### Statistics – U.S. Space Command

#### Recommendations from 2021 Compendium



#### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

***U.S. Space Command***

Report No. DODIG-2018-159, "Evaluation of the Integrated Tactical Warning and Attack Assessment System,"  
September 26, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> Recommendation is Classified.*	3 years 6 months 5 days

\* Responsibility for implementing Recommendation 2 was transferred from U.S. Strategic Command to U.S. Space Command.



## APPENDIX A

### Statistics – DoD Office of the General Counsel

#### Recommendations from 2019 Compendium



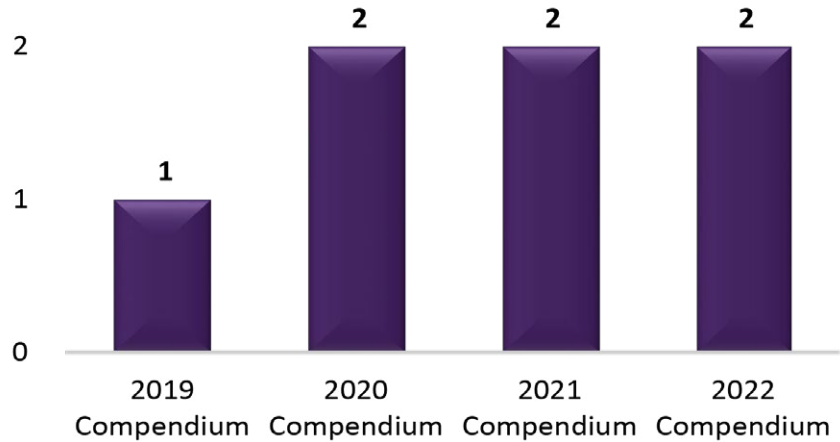
#### Recommendations from 2020 Compendium



#### Recommendations from 2021 Compendium




#### Open Recommendations




Number of Unresolved Recommendations	1
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Number of Recommendations Open Longer than 5 Years	1
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Potential Monetary Benefits	–
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 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

## APPENDIX A

***DoD Office of the General Counsel***

**Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.3:</b> The DoD OIG recommended that the DoD Office of General Counsel prepare an update to or replacement for DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency.	7 years 11 months 17 days

**Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.4:</b> The DoD OIG recommended that the General Counsel of the Department of Defense coordinate with the DoD organizations responsible for overseeing contracting in Kuwait, such as U.S. Central Command, U.S. Army Contracting Command-Rock Island, Deputy Assistant Secretary (Contracting), and Army and Air Force Exchange Service, to determine the appropriate DoD organization responsible for determining definitive guidance on Kuwaiti labor laws that apply to DoD contracts with performance in Kuwait, work to resolve disagreements among the organizations, and advise the Secretary of Defense and the Deputy Secretary of Defense on a comprehensive solution.*	2 years 9 months 20 days

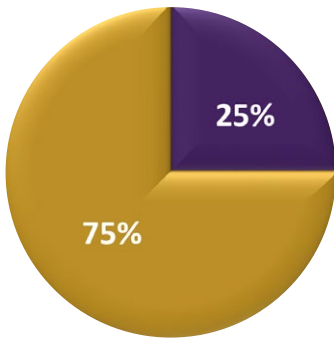
\* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.



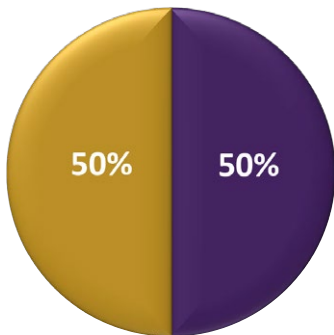
## APPENDIX A

### Statistics – Director of Cost Assessment and Program Evaluation

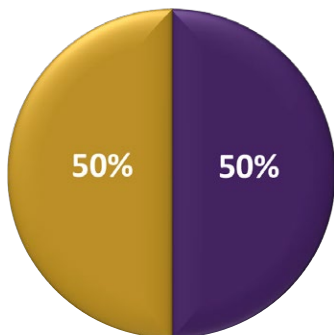
#### Recommendations from 2019 Compendium



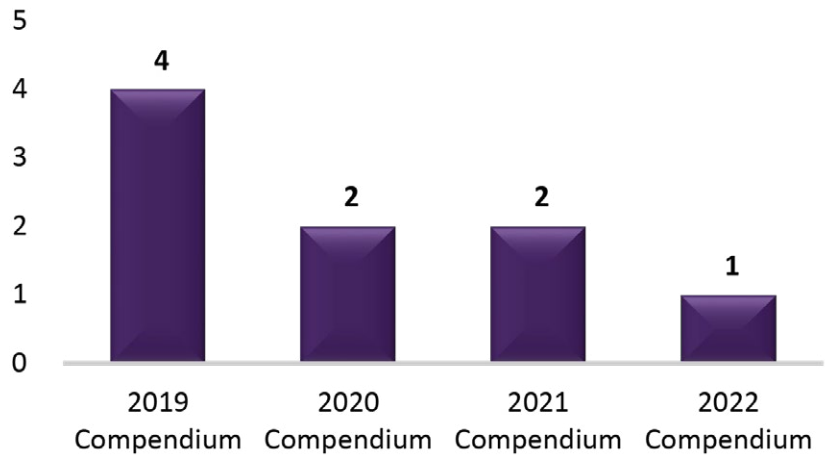
#### Recommendations from 2020 Compendium



#### Recommendations from 2021 Compendium




#### Open Recommendations




Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

## APPENDIX A

***Director of Cost Assessment and Program Evaluation***

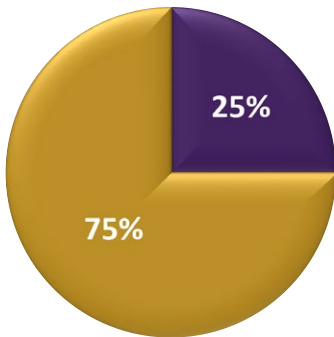
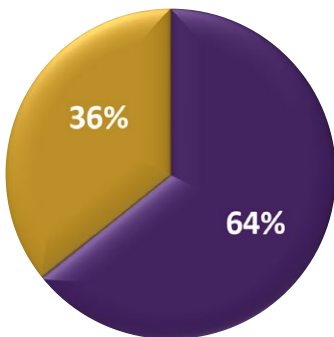
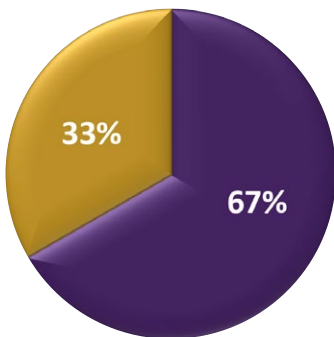
Report No. DODIG-2018-160, "Evaluation of the Space-Based Segment of the U.S. Nuclear Detonation Detection System," September 28, 2018 (Full Report is Classified)


(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) Rec. 1.c: The DoD OIG recommended that the Deputy Secretary of Defense, in coordination with the appropriate interagency stakeholders, [REDACTED]	3 years 6 months 3 days
(CUI)	




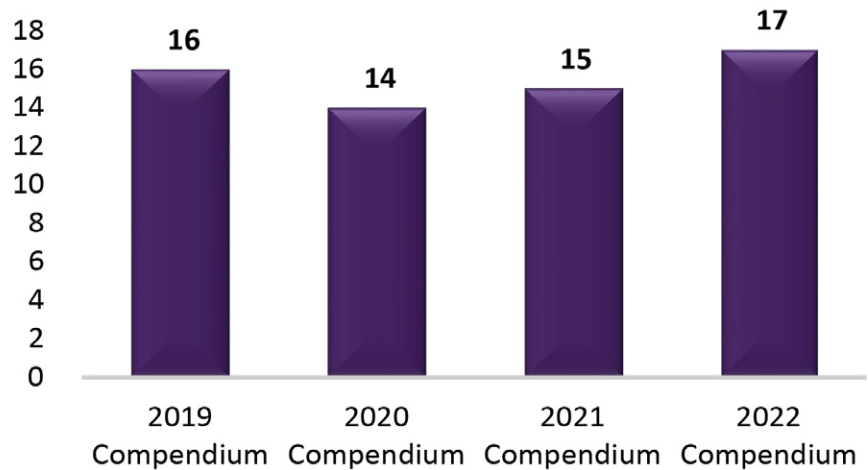


## APPENDIX A

*Statistics – DoD Chief Information Officer***Recommendations from  
2019 Compendium****Recommendations from  
2020 Compendium****Recommendations from  
2021 Compendium**

 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

**Open Recommendations**


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Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

**DoD Chief Information Officer**

**Report No. DODIG-2019-016, "DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015," November 8, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Under Secretary of Defense for Policy, issue DoD-wide policy implementing the Cybersecurity Information Sharing Act of 2015 requirements, including a requirement for the DoD Components to document barriers to sharing cyber threat indicators and defensive measures and take appropriate actions to mitigate the identified barriers.	3 years 4 months 23 days

**Report No. DODIG-2019-037, "DoD Management of Software Applications," December 13, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.c:</b> The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the DoD Chief Management Officer, conduct periodic reviews to ensure DoD Components are regularly validating the accuracy of their inventory of owned and in use software applications and that DoD Components are eliminating duplicate and obsolete software applications.	3 years 3 months 18 days

**Report No. DODIG-2019-063, "Followup Audit on the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. A.4:</b> The DoD OIG recommended that the [REDACTED]	3 years 13 days
<b>Rec. B.10:</b> The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Under Secretary of Defense (Intelligence), ensure that the Army, Navy, and Air Force Chief Information Officers; the Army Deputy Chief of Staff for Intelligence; and the Army Provost Marshal General apply corrective actions related to physical security safeguards at each Command within their Components.	3 years 13 days
(CUI)	

## APPENDIX A

**DoD Chief Information Officer (cont'd)**

**Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the DoD Chief Information Officer, in coordination with Defense Pricing and Contracting, implement or revise policy to require all systems and networks that maintain DoD information, including those owned by contractors that maintain DoD information, to use strong passwords that, at a minimum, meet DoD password length and complexity requirements.	2 years 8 months 8 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the DoD Chief Information Officer, in coordination with Defense Pricing and Contracting, implement or revise policy to require all systems and networks that maintain DoD information, including those owned by contractors that maintain DoD information, to configure their systems and networks to align with DoD requirements for locking after 15 minutes of inactivity and 3 unsuccessful logon attempts.	2 years 8 months 8 days

**Report No. DODIG-2019-106, "Audit of the DoD's Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items," July 26, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the DoD Chief Information Officer revise DoD Instruction 8100.04, "DoD Unified Capabilities (UC)," December 9, 2010, to require an assessment of supply chain risks as a condition for approval to be included on the Unified Capabilities approved products list.	2 years 8 months 5 days

**Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the DoD Chief Information Officer develop and issue a user activity monitoring policy and strategy, as required by DoD Directive 5205.16, "The DoD Insider Threat Program," September 29, 2014, incorporating Change 2, August 28, 2017.	2 years 8 months 1 day
<b>(CUI) Rec. 2.b:</b> The DoD OIG recommended that the [REDACTED]	2 years 8 months 1 day
(CUI)	

## APPENDIX A

**DoD Chief Information Officer (cont'd)**

**Report No. DODIG-2021-064, "Audit of Maintaining Cybersecurity in the Coronavirus Disease-2019 Telework Environment," March 29, 2021 (Full Report is CUI)**

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
(CUI) <b>Rec. 1.b:</b> The DoD OIG recommended that the DoD Chief Information Officer direct the DoD Deputy Chief Information Officer for Information Enterprise to implement controls to [REDACTED] [REDACTED]	1 year 2 days
(CUI)	

**Report No. DODIG-2021-110, "Audit of the Department of Defense Recruitment and Retention of the Civilian Cyber Workforce," July 29, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the DoD Chief Information Officer require DoD Components to code filled and unfilled positions to meet Federal requirements and comply with the DoD Cyber Workforce Identification and Coding Guide.	8 months 2 days
<b>Rec. A.2:</b> The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Under Secretary of Defense for Personnel and Readiness and the Office of the Chief Data Officer, conduct a feasibility study of including quality assurance checks in systems used for coding civilian cyber workforce positions to ensure that work role coding is in accordance with the DoD Cyber Workforce Identification and Coding Guide.	8 months 2 days
<b>Rec. A.3:</b> The DoD OIG recommended that the DoD Chief Information Officer, based on the results of the feasibility study, establish and implement a manual or automated (or combination of both) quality assurance process to determine compliance with the DoD Cyber Workforce Identification and Coding Guide.	8 months 2 days

**Report No. DODIG-2022-041, "Audit of the DoD's Use of Cybersecurity Reciprocity Within the Risk Management Framework Process," December 3, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Enterprise Mission Assurance Support Service Program Manager, update the Enterprise Mission Assurance Support Service system registration process to require DoD Component system program managers to select a valid justification for exemption when a system is not made available for reciprocity use.	3 months 28 days

## APPENDIX A

**DoD Chief Information Officer – Report No. DODIG-2022-041 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the DoD Chief Information Officer revise existing guidance or issue new guidance that requires system program managers to certify that reciprocity was considered before authorizing and reauthorizing systems.	3 months 28 days
<b>Rec. 3:</b> The DoD OIG recommended that the DoD Chief Information Officer, Risk Management Framework Implementation Chief, and the Risk Management Framework Technical Advisory Group review the Army Audit Agency, Naval Audit Service, and Air Force Audit Agency reports on reciprocity, and discuss the findings and actions taken by each Military Service at a Risk Management Framework Technical Advisory Group meeting.	3 months 28 days

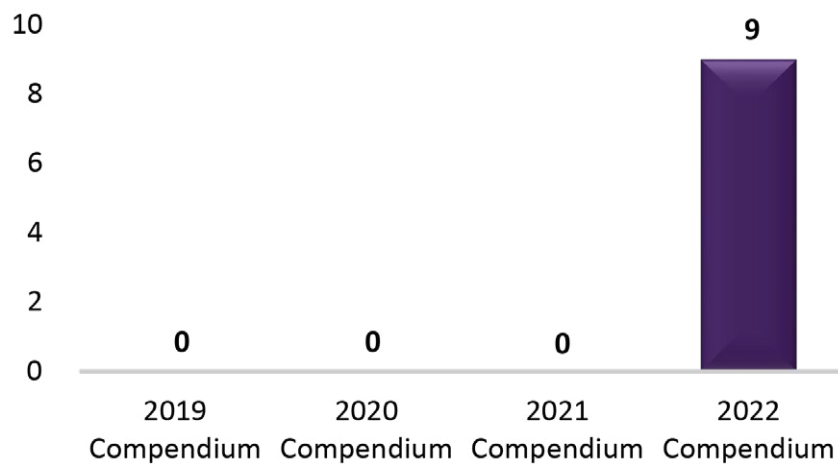
**Report No. DODIG-2022-076, “Evaluation of Combatant Command’s Communication Challenges with Foreign Nations during Coronavirus Disease-2019 Pandemic and Mitigation Efforts,” March 28, 2022 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Chief Information Officer for the Department of Defense, in coordination with the Under Secretary of Defense for Intelligence and Security, conduct a needs assessment to better understand the technological limitations of U.S. foreign partners and how they impact the combatant command’s ability to communicate and collaborate with these partners. This assessment should inform recommendations for DoD enterprise technology solutions to improve communications interoperability with foreign partners.	3 days



## Statistics – Office of Net Assessment

### Open Recommendations



Number of Unresolved Recommendations	4
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

*Office of Net Assessment*

Report No. DODIG-2022-057, "Audit of the Office of Net Assessment's Contract Administration Procedures," January 25, 2022

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.3.a:</b> The DoD OIG recommended that the Director of the Office of Net Assessment require that the contracting officer representative file constitutes a complete record and include documents required in the Federal Acquisition Regulation, Subpart 4.8, such as documented communication with the contract(s) throughout the life of the contract, and contracting officer designation letters.	2 months 6 days
<b>Rec. A.3.b:</b> The DoD OIG recommended that the Director of the Office of Net Assessment implement a process to verify that contracting officer representatives complete all requirements outlined in the contracting officer representative designation letter, including ensuring contracting officer representatives do not delegate their authorities.	2 months 6 days

Report No. DODIG-2022-075, "Evaluation of the Office of Net Assessment," March 25, 2022  
(Full Report is Classified)

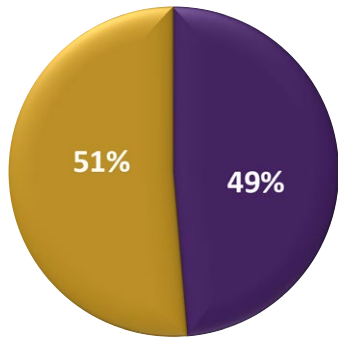
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> Recommendation is Classified.	6 days
<b>Rec. 1.b:</b> Recommendation is Classified.	6 days
<b>Rec. 1.c:</b> Recommendation is Classified.	6 days
<b>Rec. 1.d:</b> Recommendation is Classified.	6 days
<b>Rec. 1.e:</b> Recommendation is Classified.	6 days
<b>Rec. 1.f:</b> Recommendation is Classified.	6 days
<b>Rec. 1.g:</b> Recommendation is Classified.	6 days



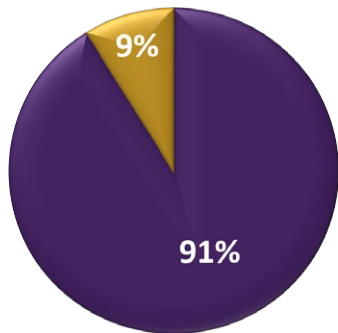
## APPENDIX A

### Statistics – Assistant Secretary of Defense for Health Affairs

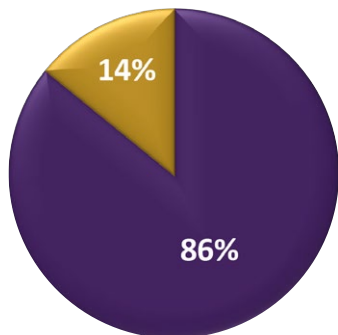
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

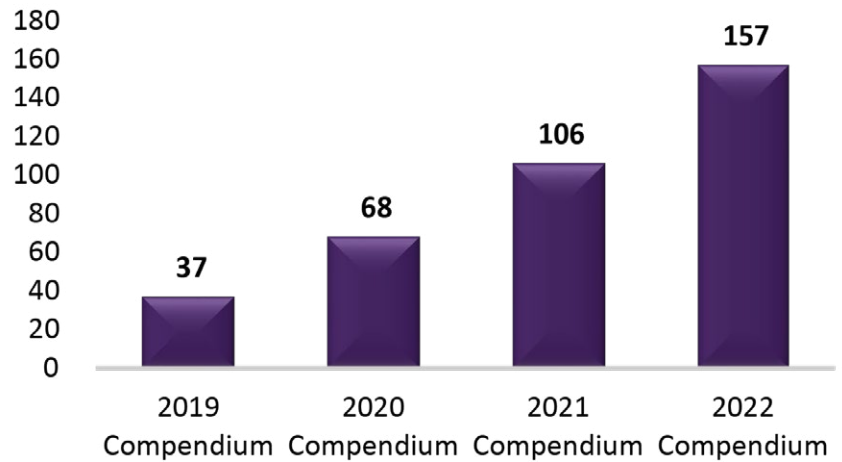


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	16
Number of Recommendations Open Longer than 5 Years	9
Potential Monetary Benefits	\$205 million



## APPENDIX A

*Assistant Secretary of Defense for Health Affairs*

**Report No. DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.*	7 years 7 months 18 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the Brooke Army Medical Center Commander provide U.S. Army Medical Command all the Medicaid-eligible claims denied by Texas Medicaid Health Partnership for missing the 95-day filing requirement to identify the value and impact of those claims to Brooke Army Medical Center.*	7 years 7 months 18 days

\* Responsibility for implementing Recommendations 1.b and 1.g was transferred from Army to ASD(HA).

**Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive Department of Defense Suicide Event Report submissions. For each suicide death, this board should: <ol style="list-style-type: none"> <li>1. be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review;</li> <li>2. include participation by unit leadership, medical/mental health organizations, and Military Criminal Investigative organizations; and</li> <li>3. articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations.*</li> </ol>	7 years 4 months 17 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in accordance with Recommendation 2.a, publish guidance requiring a suicide event board to enable a multidisciplinary approach for obtaining the data required to make a comprehensive Department of Defense Suicide Event Report submission.*	7 years 4 months 17 days
<b>Rec. 4.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Service and DoD trends.*	7 years 4 months 17 days

\* Responsibility for implementing Recommendations 2.a, 3.a, and 4.a was transferred from USD(P&R) to ASD(HA).

## APPENDIX A

***Assistant Secretary of Defense for Health Affairs (cont'd)***

**Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Directors of the Other Defense Organizations and Defense Finance and Accounting Service revise service-level agreements based on the end-to-end business process identified. Service level agreements should include clearly defined roles and responsibilities, internal controls, performance metrics, and quality assurance plans to ensure that detail level data for problem disbursements are provided and problem disbursements are reduced and corrected in a timely manner.	6 years 3 days

**Report No. DODIG-2016-079, "Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight," April 28, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Regional Health Command-Europe Commander review, research, and pursue collection on the delinquent medical service accounts that remain open.*	5 years 11 months 3 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the U.S. Army Medical Command, take action to collect the 619 medical service accounts elevated by Regional Health Command Europe to the U.S. Army Medical Command in 2008 or coordinate with the Assistant Secretary of Defense (Health Affairs) to determine what action is needed to write off the debt.*	5 years 11 months 3 days

\* Responsibility for implementing Recommendations 1.a and 2.b was transferred from Army to ASD(HA).

**Report No. DODIG-2017-045, "Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight," January 27, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Commander of U.S. Army Medical Command review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible.*	5 years 2 months 4 days

\* Responsibility for implementing Recommendation 1.b was transferred from Army to ASD(HA).

## APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)*

**Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the United States Army Medical Command Commander evaluate the Madigan Army Medical Center's Patient Safety Indicator #90 performance after the new Patient Safety Indicator #90 measures and benchmarks are available to determine if the facility is outperforming, performing the same as, or underperforming compared to other healthcare facilities; and take appropriate action to correct all identified deficiencies.*	4 years 3 months 17 days

\* Responsibility for implementing Recommendation B.1 was transferred from Army to ASD(HA).

**Report No. DODIG-2019-038, "Follow-up of Delinquent Medical Service Account Audits," December 19, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Defense Health Agency Director coordinate with Brooke Army Medical Center and Landstuhl Regional Medical Center management, through U.S. Army Medical Command, to develop a plan to review the delinquent debt identified in the prior audit reports, Report No. DODIG-2014-101 and DODIG-2016-079.	3 years 3 months 12 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Defense Health Agency Director implement guidance and require all Services to develop procedures to review and process their old delinquent accounts.	3 years 3 months 12 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Defense Health Agency Director coordinate with U.S. Army Medical Command to assist U.S. Army Medical Command officials with obtaining the authority from the Office of the Secretary of the Army to terminate uncollectible debt under \$100,000.	3 years 3 months 12 days
<b>Rec. A.1.d:</b> The DoD OIG recommended that the Defense Health Agency Director establish and implement guidance for all Services to review uncollectible accounts and obtain approval from the proper authority to terminate debt.	3 years 3 months 12 days
<b>Rec. A.1.e:</b> The DoD OIG recommended that the Defense Health Agency Director work with David Grant Medical Center Uniform Business Office personnel to obtain denied Medicare claims identified in the prior report, DODIG-2015-179, and review those claims to determine whether Medicare denied the claims for valid reasons. The Defense Health Agency Director should then work with the Department of Health and Human Services to resolve the issues with receiving reimbursement for services rendered for any claims that were denied for invalid reasons.	3 years 3 months 12 days
<b>Rec. A.1.f:</b> The DoD OIG recommended that the Defense Health Agency Director review the current process to determine how to improve the transfer time of delinquent accounts, as well as establish and implement guidance for monitoring and processing delinquent accounts to allow for compliance with the Financial Management Regulation requirement to send 120-day delinquent accounts to the Treasury.	3 years 3 months 12 days

## APPENDIX A

**Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-038 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.g:</b> The DoD OIG recommended that the Defense Health Agency Director determine whether enrollment in Medicare and Medicaid is in the best interest of the medical treatment facilities and provide guidance to the medical treatment facilities based on determination.	3 years 3 months 12 days
<b>Rec. A.1.h:</b> The DoD OIG recommended that the Defense Health Agency Director develop a process to improve billing of Medicare and Medicaid claims to ensure reimbursement for services provided to beneficiaries.	3 years 3 months 12 days
<b>Rec. A.1.i:</b> The DoD OIG recommended that the Defense Health Agency Director coordinate with Department of Health and Human Services to develop a strategy to improve Medicare and Medicaid billing, including a review of the number of days required to submit a claim, a determination of whether enrollment is appropriate, and a decision of whether the medical treatment facilities should become preferred providers.	3 years 3 months 12 days
<b>Rec. A.1.j:</b> The DoD OIG recommended that the Defense Health Agency Director work with the Services to establish and implement detailed guidance for processing accounts in the Armed Forces Billing and Collection Utilization Solution system that includes guidance for transaction codes.	3 years 3 months 12 days
<b>Rec. A.1.k:</b> The DoD OIG recommended that the Defense Health Agency Director work with the Services and the medical treatment facilities management to develop standardized procedures to obtain patient demographic information and address how to handle accounts that do not have adequate information to bill the patient.	3 years 3 months 12 days
<b>Rec. A.1.l:</b> The DoD OIG recommended that the Defense Health Agency Director review the current process for deploying the inpatient diagnostic-related group rates each fiscal year and determine how to improve the process to allow for more timely billing.	3 years 3 months 12 days
<b>Rec. A.1.m:</b> The DoD OIG recommended that the Defense Health Agency Director work with medical treatment facilities' Uniform Business Office management to research and review all Composite Health Care System transactions transferred to the Armed Forces Billing and Collection Utilization Solution system and identify all information that was not properly transferred to ensure that account data and status is accurate and that the accounts are billed, transferred to the Treasury, or written off as appropriate.	3 years 3 months 12 days
<b>Rec. A.1.n:</b> The DoD OIG recommended that the Defense Health Agency Director determine which reports in the Armed Forces Billing and Collection Utilization Solution system are used to identify medical service accounts for billing and reporting and require medical treatment facility Uniform Business Office personnel for all Services to review those reports to ensure the data is accurate and reliable.	3 years 3 months 12 days
<b>Rec. A.1.o:</b> The DoD OIG recommended that the Defense Health Agency Director establish standardized guidance for which reports the medical treatment facilities must review in the Armed Forces Billing and Collection Utilization Solution system to identify accounts ready to be billed.	3 years 3 months 12 days

## APPENDIX A

**Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-038 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.p:</b> The DoD OIG recommended that the Defense Health Agency Director review all billing processes, including the use of Centralized Receivables Service, and determine a billing process that would be in the best interest of all Services.	3 years 3 months 12 days
<b>Rec. A.1.q:</b> The DoD OIG recommended that the Defense Health Agency Director provide additional training to Uniform Business Office personnel for processing medical service accounts in the Armed Forces Billing and Collection Utilization Solution system.	3 years 3 months 12 days
<b>Rec. A.2:</b> The DoD OIG recommended that the Commander of the U.S. Army Medical Command update applicable regulations to identify U.S. Army Medical Command as the debt termination authority if U.S. Army Medical Command officials obtain the authority from the Secretary of the Army.*	3 years 3 months 12 days

\* Responsibility for implementing Recommendation A.2 was transferred from Army to ASD(HA).

**Report No. DODIG-2019-073, “Audit of Payments to the DoD for Medical Services Provided to Department of Veterans Affairs Beneficiaries at Selected Army Medical Centers,” April 8, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) coordinate with Department of Veterans Affairs counterparts on the Health Executive Committee to determine the source of Veterans Affairs Pacific Island Health Care System payment delays to Tripler Army Medical Center and develop a joint solution to improve the timeliness of payments.	2 years 11 months 23 days
<b>Rec. 2.a:</b> The DoD OIG recommended that the Defense Health Agency identify the source of billing system errors that prevented payment of inpatient professional fees.	2 years 11 months 23 days
<b>Rec. 2.a.1:</b> The DoD OIG recommended that the Defense Health Agency modify the billing system to prevent future errors.	2 years 11 months 23 days
<b>Rec. 2.a.2:</b> The DoD OIG recommended that the Defense Health Agency determine whether the billing system errors affected other sharing sites.	2 years 11 months 23 days
<b>Rec. 2.a.3:</b> The DoD OIG recommended that the Defense Health Agency provide guidance to impacted sharing sites to bill for any previously unbilled care.	2 years 11 months 23 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Defense Health Agency update the scheduling system to ensure that all care provided to Department of Veterans Affairs beneficiaries can be properly recorded, tracked, and billed.	2 years 11 months 23 days
<b>Rec. 2.c:</b> The DoD OIG recommended that the Defense Health Agency coordinate with the Health Executive Committee to develop a standardized process that allows the Department of Veterans Affairs to review and retroactively authorize all emergency and inpatient care.	2 years 11 months 23 days

## APPENDIX A

***Assistant Secretary of Defense for Health Affairs (cont'd)***

**Report No. DODIG-2019-091, "Evaluation of the DoD's Management of Opioid Use Disorder for Military Health System Beneficiaries," June 10, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) develop policy to standardize the methodology to identify the population of patients with opioid use disorder within the Military Health System.	2 years 9 months 21 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) develop policy establish and implement minimum standard outcome and process measures, including data for both direct care and purchased care, for the treatment of opioid use disorder.	2 years 9 months 21 days

**Report No. DODIG-2019-108, "Audit of the DoD's Management of the Third Party Collection Program for Medical Claims," September 16, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a.1:</b> The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not collecting other health insurance information at all clinics in accordance with Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to enforce existing other health insurance collection regulations, and as appropriate, take administrative action for noncompliance.	2 years 6 months 15 days
<b>Rec. 1.a.2:</b> The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not submitting claims to insurance providers in compliance with the time requirements in Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to implement additional controls that enforce the requirements.	2 years 6 months 15 days
<b>Rec. 1.a.3:</b> The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not obtaining pre-authorization for treatment, and coordinate with commanders of those medical facilities to develop and implement a process for obtaining pre-authorization when services rendered for a beneficiary require a pre-authorization from the insurance provider.	2 years 6 months 15 days
<b>Rec. 1.a.4:</b> The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not conducting followup in compliance with the requirements in Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to immediately revise procedures to ensure claims are followed up on in accordance with Defense Health Agency Procedures Manual 6015.01 and DoD Financial Management Regulation Volume 16, Chapter 2, requirements.	2 years 6 months 15 days

## APPENDIX A

*Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a.5:</b> The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not managing claims requiring refunds, and as appropriate, coordinate with commanders of those medical facilities to initiate refunds to insurance providers, identify funds spent that the medical facility was not entitled to spend, and take action to mitigate any risk to the medical facilities' mission.	2 years 6 months 15 days
<b>Rec. 1.a.6:</b> The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not transferring eligible third party claims that are delinquent for more than 120 days to the Treasury Cross-Servicing Program, coordinate with commanders of those medical facilities to enforce Public Laws 104-134 and 113-101, which require medical facilities to transfer eligible delinquent claims to the Treasury Cross-Servicing Program, and take administrative action for noncompliance.	2 years 6 months 15 days
<b>Rec. 1.a.7:</b> The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not providing legal support to the Uniform Business Office and coordinate with commanders of those medical facilities to provide legal support to collect on Third Party Collection Program claims, and report on the benefits of the Defense Health Agency providing centralized legal resources for all DoD medical facilities to support cost recovery programs, and take action as appropriate.	2 years 6 months 15 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Director of the Defense Health Agency coordinate with commanders at all medical facilities operating Military Health System GENESIS to implement procedures to correct patient category codes in Military Health System GENESIS when patient category code errors are identified.	2 years 6 months 15 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Director of the Defense Health Agency determine whether changing the field name in Military Health System GENESIS to assist front desk personnel resolved credentialed provider errors at medical facilities using Military Health System GENESIS and if not, identify an alternative course of action to assign credentialed providers to patient encounters.	2 years 6 months 15 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Director of the Defense Health Agency coordinate with commanders at all medical facilities operating Military Health System GENESIS to identify all patient encounters that are not assigned a credentialed provider or are missing medical coding or doctor's notes, and develop a course of action to process and bill the claims through the appropriate cost recovery program.	2 years 6 months 15 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Director of the Defense Health Agency coordinate with medical facility commanders to implement procedures to ensure claims are accurate before submission to the insurance provider.	2 years 6 months 15 days



## APPENDIX A

### *Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.g:</b> The DoD OIG recommended that the Director of the Defense Health Agency coordinate with medical facility commanders to develop a course of action and enforce existing Defense Health Agency requirements that Uniform Business Office personnel review previous patient encounters for potentially billable events when new other health insurance is identified for a beneficiary.	2 years 6 months 15 days
<b>Rec. 1.h:</b> The DoD OIG recommended that the Director of the Defense Health Agency report the dollar impact of not collecting on prescriptions written for more than a 30-day supply and as appropriate, implement procedures to require Uniform Business Office personnel to collect at least the reasonable charges on pharmaceutical claims equal to the allowable portion covered by insurance policies.	2 years 6 months 15 days
<b>Rec. 1.i:</b> The DoD OIG recommended that the Director of the Defense Health Agency review and verify, at least annually, that billing personnel at all medical facilities in the Military Health System are meeting the Defense Health Agency Procedures Manual 6015.01 and the DoD Financial Management Regulation Volume 16, Chapter 2, requirements for following up on delinquent debt.	2 years 6 months 15 days
<b>Rec. 1.j:</b> The DoD OIG recommended that the Director of the Defense Health Agency review denials management programs of all medical treatment facilities and, when applicable, coordinate with facility commanders to develop and implement procedures for reviewing and validating denials before writing off claims, along with implementing an approach for reviewing denials by beneficiary.	2 years 6 months 15 days
<b>Rec. 2.a:</b> The DoD OIG recommended that the Commander of Naval Hospital Bremerton direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Commander of Naval Hospital Bremerton resolve the 7,757 encounters with patient category code errors in the Armed Forces Billing and Collection Utilization Solution system and process the claims through the applicable cost recovery program. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 2.c:</b> The DoD OIG recommended that the Commander of Naval Hospital Bremerton develop a plan and take action to process, and as appropriate, bill through the applicable cost recovery program, all patient encounters at Naval Hospital Bremerton that are not assigned a credentialed provider or are missing medical coding or doctor's notes, including the 2,236 patient encounters in the Family Medicine clinic. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 2.d:</b> The DoD OIG recommended that the Commander of Naval Hospital Bremerton review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 2.e:</b> The DoD OIG recommended that the Commander of Naval Hospital Bremerton develop and implement procedures to review and validate denials before writing off claims, and implement procedures to process denials by beneficiary. <sup>1</sup>	2 years 6 months 15 days



## APPENDIX A

*Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.f:</b> The DoD OIG recommended that the Commander of Naval Hospital Bremerton review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 2.g:</b> The DoD OIG recommended that the Commander of Naval Hospital Bremerton provide sufficient legal support to pursue collections through the Third Party Collection Program. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Commander of Naval Medical Center San Diego review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Commander of Naval Medical Center San Diego review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Commander of Naval Medical Center San Diego provide sufficient legal support to pursue collections through the Third Party Collection Program. <sup>1</sup>	2 years 6 months 15 days
<b>Rec. 4.b:</b> The DoD OIG recommended that the Commander of Madigan Army Medical Center develop a plan and take action to process, and as appropriate, bill through the applicable cost recovery program, all patient encounters at Madigan Army Medical Center that are not assigned a credentialed provider or are missing medical coding or doctor's notes, including the 16,243 patient encounters between October 1, 2018, and December 31, 2018. <sup>2</sup>	2 years 6 months 15 days
<b>Rec. 4.d:</b> The DoD OIG recommended that the Commander of Madigan Army Medical Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent. <sup>2</sup>	2 years 6 months 15 days
<b>Rec. 4.e:</b> The DoD OIG recommended that the Commander of Madigan Army Medical Center develop and implement procedures to review and validate denials before writing off claims, and implement procedures to process denials by beneficiary. <sup>2</sup>	2 years 6 months 15 days
<b>Rec. 4.f:</b> The DoD OIG recommended that the Commander of Madigan Army Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance. <sup>2</sup>	2 years 6 months 15 days
<b>Rec. 4.g:</b> The DoD OIG recommended that the Commander of Madigan Army Medical Center provide sufficient legal support to pursue collections through the Third Party Collection Program. <sup>2</sup>	2 years 6 months 15 days

## APPENDIX A

### *Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 5.a:</b> The DoD OIG recommended that the Commander of Brooke Army Medical Center direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance. <sup>2</sup>	2 years 6 months 15 days
<b>Rec. 5.c:</b> The DoD OIG recommended that the Commander of Brooke Army Medical Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent. <sup>2</sup>	2 years 6 months 15 days
<b>Rec. 5.d:</b> The DoD OIG recommended that the Commander of Brooke Army Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance. <sup>2</sup>	2 years 6 months 15 days
<b>Rec. 5.e:</b> The DoD OIG recommended that the Commander of Brooke Army Medical Center provide sufficient legal support to pursue collections through the Third Party Collection Program. <sup>2</sup>	2 years 6 months 15 days
<b>Rec. 6.a:</b> The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance. <sup>3</sup>	2 years 6 months 15 days
<b>Rec. 6.c:</b> The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance. <sup>3</sup>	2 years 6 months 15 days
<b>Rec. 6.d:</b> The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base provide sufficient legal support to pursue collections through the Third Party Collection Program. <sup>3</sup>	2 years 6 months 15 days
<b>Rec. 7.e:</b> The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base develop and implement procedures to review and validate denials before writing off claims, and implement procedures to process denials by beneficiary. <sup>3</sup>	2 years 6 months 15 days
<b>Rec. 7.g:</b> The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance. <sup>3</sup>	2 years 6 months 15 days
<b>Rec. 7.h:</b> The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base provide sufficient legal support to pursue collections through the Third Party Collection Program. <sup>3</sup>	2 years 6 months 15 days
<b>Rec. 8.a:</b> The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	2 years 6 months 15 days

## APPENDIX A

*Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 8.b:</b> The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center implement procedures requiring Uniform Business Operations personnel to review and submit bills to insurance providers in compliance with the time requirements outlined in the Defense Health Agency Procedures Manual 6015.01, including procedures for high dollar claims held for review within the Armed Forces Billing and Collection Utilization Solution.	2 years 6 months 15 days
<b>Rec. 8.c:</b> The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	2 years 6 months 15 days
<b>Rec. 8.d:</b> The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	2 years 6 months 15 days
<b>Rec. 8.e:</b> The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center provide sufficient legal support to pursue collections through the Third Party Collection Program.	2 years 6 months 15 days
<b>Rec. 9.a:</b> The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	2 years 6 months 15 days
<b>Rec. 9.b:</b> The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	2 years 6 months 15 days
<b>Rec. 9.c:</b> The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	2 years 6 months 15 days
<b>Rec. 9.d:</b> The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital provide sufficient legal support to pursue collections through the Third Party Collection Program.	2 years 6 months 15 days
<b>Rec. 10.b:</b> The DoD OIG recommended that the Commander of the Kimbrough Ambulatory Care Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance. <sup>2</sup>	2 years 6 months 15 days

## APPENDIX A

**Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 11.a:</b> The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency review the contract language for the Third Party Collection Program contracts, and align the contract terms with all applicable Federal and DoD regulations.	2 years 6 months 15 days
<b>Rec. 11.b:</b> The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency implement oversight procedures to monitor contractor performance in accordance with the terms of the contract and all Federal and DoD regulations.	2 years 6 months 15 days
<b>Rec. 11.c:</b> The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency hold any contracting personnel assigned oversight responsibility accountable for not appropriately performing oversight procedures necessary to ensure the contractor complied with Federal and DoD regulations and contract terms. <sup>3,4</sup>	2 years 6 months 15 days
<b>Rec. 11.c:</b> The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency hold any contracting personnel assigned oversight responsibility accountable for not appropriately performing oversight procedures necessary to ensure the contractor complied with Federal and DoD regulations and contract terms. <sup>4</sup>	2 years 6 months 15 days
<b>Rec. 12:</b> The DoD OIG recommended that the Director of the Defense Health Agency, National Capital Region Medical Directorate, require the contracting officer to prepare contractor performance assessment reports for Third Party Collection Program contracts, in accordance with the Federal Acquisition Regulation and Defense Federal Acquisition Regulation Supplement.	2 years 6 months 15 days

<sup>1</sup> Responsibility for implementing Recommendations 2.a, 2.b, 2.c, 2.d, 2.e, 2.f, 2.g, 3.a, 3.b, and 3.c was transferred from Navy to ASD(HA).

<sup>2</sup> Responsibility for implementing Recommendations 4.b, 4.d, 4.e, 4.f, 4.g, 5.a, 5.c, 5.d, 5.e, and 10.b was transferred from Army to ASD(HA).

<sup>3</sup> Responsibility for implementing Recommendations 6.a, 6.c, 6.d, 7.e, 7.g, 7.h, and 11.c was transferred from Air Force to ASD(HA).

<sup>4</sup> Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

## APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)*

**Report No. DODIG-2019-112, "Audit of TRICARE Payments for Health Care Services and Equipment That Were Paid Without Maximum Allowable Reimbursement Rates," August 20, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Health Agency Director identify the reasons why TRICARE region contractors did not use existing TRICARE maximum allowable reimbursement rates, and take immediate actions to confirm that TRICARE claims for vaccines and contraceptive systems are paid using the TRICARE maximum allowable reimbursement rates. Further, the Director should recoup overpayments for which the TRICARE contractors did not use existing TRICARE maximum allowable reimbursement rates.	2 years 7 months 11 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Defense Health Agency Director determine whether TRICARE region contractors did not apply TRICARE maximum allowable reimbursement rates to health care services, other than just vaccines and contraceptive systems.	2 years 7 months 11 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Defense Health Agency Director conduct annual reviews to identify health care services, supplies, and equipment for which TRICARE paid higher prices, and establish and implement new TRICARE maximum allowable reimbursement rates as necessary.	2 years 7 months 11 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Defense Health Agency Director revise TRICARE policy to incorporate wording regarding reasonable cost and being a prudent buyer similar to the related clauses in 42 Code of Federal Regulations 405.502 and Centers for Medicare & Medicaid Services Publication 15-1, "Provider Reimbursement Manual."	2 years 7 months 11 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Defense Health Agency Director revise TRICARE reimbursement methodologies to align with the Medicare program, when practicable, and establish a process to identify future changes to Medicare reimbursement methodologies.	2 years 7 months 11 days

**Report No. DODIG-2020-048, "Audit of Controls Over Opioid Prescriptions at Selected DoD Military Treatment Facilities," January 10, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Health Agency Director continue to monitor morphine milligrams equivalent per day by beneficiary, examine data for unusually high opioid prescriptions, and if appropriate, hold providers accountable for overprescribing opioids.	2 years 2 months 21 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Defense Health Agency Director implement controls to ensure that prescriptions in the Military Health System Data Repository exist and that the dispense date and the metric quantity field for opioid prescriptions in liquid form in the Military Health System Data Repository are accurate and consistent among all systems.	2 years 2 months 21 days

## APPENDIX A

***Assistant Secretary of Defense for Health Affairs (cont'd)***

**Report No. DODIG-2020-078, "Audit of Physical Security Controls at Department of Defense Medical Treatment Facilities," April 6, 2020 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Health Agency Director issue guidance for all medical treatment facilities under Defense Health Agency control to require security personnel to remove access permissions for unauthorized staff, and conduct quarterly system reviews to ensure that access to sensitive areas is limited to authorized personnel.	1 year 11 months 25 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Defense Health Agency Director determine whether community-based clinics under Defense Health Agency control have established a baseline level of protection for leased facilities as required by DoD guidance, and established access controls based on risk to limit entry to authorized personnel only.	1 year 11 months 25 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Defense Health Agency Director assess generator and fuel storage security at each medical treatment facility under Defense Health Agency control and implement controls that meet DoD Unified Facilities Criteria requirements for generator facilities and fuel storage tanks, working with installation commanders when necessary.	1 year 11 months 25 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Defense Health Agency Director issue guidance that requires personnel to enter and exit medical treatment facilities through specific sets of doors, such as main entrance or emergency room doors.	1 year 11 months 25 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Defense Health Agency Director immediately develop and issue standards for the use of security guards within DoD medical treatment facilities.	1 year 11 months 25 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Defense Health Agency Director develop minimum standards and issue guidance for use of alarm systems and video monitoring within DoD medical treatment facilities.	1 year 11 months 25 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the Defense Health Agency Director conduct physical security inspections for all medical treatment facilities to determine where weaknesses exist and implement controls to mitigate those weaknesses.	1 year 11 months 25 days

**Report No. DODIG-2020-087, "Audit of Training of Mobile Medical Teams in the U.S. Indo-Pacific Command and U.S. Africa Command Areas of Responsibility," June 8, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Chief of the Joint Trauma Education and Training Branch continue efforts to complete and implement standardized medical training, to include an Austere Surgical Resuscitative Course, in accordance with the Fiscal Year 2017 National Defense Authorization Act and Joint Requirements Oversight Council Memorandum 125-17.	1 year 9 months 23 days

## APPENDIX A

***Assistant Secretary of Defense for Health Affairs (cont'd)***

**Report No. DODIG-2020-091, "Audit of Contractor Employee Qualifications for Defense Health Agency-Funded Information Technology Contracts," June 15, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Director of the Defense Health Agency develop an oversight program that requires a higher level reviewer to select a sample of key personnel approvals to ensure contracting officers are approving employees in accordance with contract requirements.	1 year 9 months 16 days

**Report No. DODIG-2020-103, "Audit of the Department of Defense's Sustainment, Restoration, and Modernization of Military Medical Treatment Facilities," July 8, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.c.1:</b> The DoD OIG recommended that the Defense Health Agency Director address the following items for the BUILDER Sustainment Management System: Develop and implement formal guidance for updating the data in the BUILDER Sustainment Management System to reflect the current state of repair as reported in Defense Medical Logistics Standard and Support-Facilities Management.	1 year 8 months 23 days
<b>Rec. 1.c.2:</b> The DoD OIG recommended that the Defense Health Agency Director address the following items for the BUILDER Sustainment Management System: Develop and implement formal guidance for managing and updating the BUILDER Sustainment Management System. In the guidance, grant BUILDER Sustainment Management System access to the facility managers.	1 year 8 months 23 days
<b>Rec. 1.c.3:</b> The DoD OIG recommended that the Defense Health Agency Director address the following items for the BUILDER Sustainment Management System: Reconcile the data in the BUILDER Sustainment Management System to Defense Medical Logistics Standard and Support-Facilities Management on an annual basis, to prevent the BUILDER Sustainment Management System from becoming outdated.	1 year 8 months 23 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Defense Health Agency Director develop and implement standard training for personnel on Defense Medical Logistics Standard and Support-Facilities Management and the BUILDER Sustainment Management System.	1 year 8 months 23 days

**Report No. DODIG-2020-112, "Evaluation of Access to Mental Health Care in the Department of Defense," August 10, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Health Affairs update Memorandum, "TRICARE Policy for Access to Care," February 23, 2011, to remove the eight-visit limitation for outpatient mental health care.	1 year 7 months 21 days



## APPENDIX A

**Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2020-112 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Defense Health Agency Director develop a single Military Health System-wide staffing approach for the Behavioral Health System of Care that estimates the number of appointments and personnel required to meet the enrolled population's demand for mental health services.	1 year 7 months 21 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Defense Health Agency Director establish policy that identifies which population of beneficiaries by military treatment facility will receive outpatient specialty mental health services through the direct care system.	1 year 7 months 21 days
<b>Rec. 2.c.1:</b> The DoD OIG recommended that the Defense Health Agency Director update and clarify DoD and Defense Health Agency policy, including TRICARE policy to update the access to care standard for a non-urgent initial behavioral health assessment in Defense Health Agency and TRICARE policy to be consistent with the 7-day standard established by the Assistant Secretary of Defense for Health Affairs Memorandum, TRICARE Policy for Access to Care, dated February 23, 2011.	1 year 7 months 21 days
<b>Rec. 2.c.2:</b> The DoD OIG recommended that the Defense Health Agency Director update and clarify DoD and Defense Health Agency policy, including TRICARE policy to develop a standard definition and required elements for an initial non-urgent mental health assessment and develop a way to track whether the assessment is completed within the 7-day standard, in either a primary care or a specialty mental health clinic.	1 year 7 months 21 days
<b>Rec. 2.c.3:</b> The DoD OIG recommended that the Defense Health Agency Director update and clarify DoD and Defense Health Agency policy, including TRICARE policy to describe standard procedures for implementing centralized appointing for behavioral health services.	1 year 7 months 21 days
<b>Rec. 2.c.4:</b> The DoD OIG recommended that the Defense Health Agency Director update and clarify DoD and Defense Health Agency policy, including TRICARE policy to standardize the outpatient mental health care process of providing behavioral health services from first patient contact through follow-up care for a patient needing non-urgent outpatient mental health care.	1 year 7 months 21 days
<b>Rec. 2.c.5:</b> The DoD OIG recommended that the Defense Health Agency Director update and clarify DoD and Defense Health Agency policy, including TRICARE policy to align the Defense Health Agency and TRICARE requirements for outcomes monitoring using standardized measurement tools and assessment intervals. Specifically, update the TRICARE Policy Manual (Psychotherapy) to be consistent with the Defense Health Agency Procedural Instruction 6490.02.	1 year 7 months 21 days
<b>Rec. 2.d:</b> The DoD OIG recommended that the Defense Health Agency Director develop a method for the Military Health System to book patient appointments in the purchased care system to confirm that patients are able to obtain care, except when a patient chooses to book directly with a purchased care provider.	1 year 7 months 21 days
<b>Rec. 2.e:</b> The DoD OIG recommended the Defense Health Agency Director include TRICARE provider appointment availability for TRICARE beneficiaries within the network adequacy report.	1 year 7 months 21 days



## APPENDIX A

**Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2020-112 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.f.1:</b> The DoD OIG recommended that the Defense Health Agency Director develop standardized mental health access to care measures for direct and purchased care for both active duty service members and their families, to include tracking the time from patient request or referral for mental health care to the time of the initial non-urgent mental health assessment.	1 year 7 months 21 days
<b>Rec. 2.f.2:</b> The DoD OIG recommended that the Defense Health Agency Director develop standardized mental health access to care measures for direct and purchased care for both active duty service members and their families, to include tracking adherence with outcomes monitoring using standardized measurement tools and assessment intervals.	1 year 7 months 21 days
<b>Rec. 2.f.3:</b> The DoD OIG recommended that the Defense Health Agency Director develop standardized mental health access to care measures for direct and purchased care for both active duty service members and their families, to include tracking the number and percentage of mental health referrals that are not used.	1 year 7 months 21 days
<b>Rec. 2.f.4:</b> The DoD OIG recommended that the Defense Health Agency Director develop standardized mental health access to care measures for direct and purchased care for both active duty service members and their families, to include tracking reasons patients are unable to book an appointment.	1 year 7 months 21 days

**Report No. DODIG-2021-073, “Audit of Compliance With Defense Health Agency Guidance on the Number of Days Supply of Schedule II Amphetamine Prescriptions Dispensed by Department of Defense Medical Treatment Facilities,” April 6, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Director of the Defense Health Agency ensure that DoD medical treatment facilities and the Navy Bureau of Medicine and Surgery revise their guidance to limit Schedule II amphetamines prescriptions used to treat attention-deficit hyperactivity disorder to no more than a 90-day supply, except for applicable deployments.	11 months 25 days

## APPENDIX A

**Assistant Secretary of Defense for Health Affairs (cont'd)**

**Report No. DODIG-2021-098, "Audit of the Cybersecurity of Department of Defense Additive Manufacturing Systems," July 1, 2021 (Full Report is CUI)**

(CUI)	
Recommendation Number and Text	Age of Recommendation on 3/31/22
<del>(CUI)</del> <b>Rec. 8.a:</b> The DoD OIG recommended that the Walter Reed National Military Medical Center Director, in coordination with designated Additive Manufacturing Leads [REDACTED]	8 months 30 days
<del>(CUI)</del> <b>Rec. 8.b:</b> The DoD OIG recommended that the Walter Reed National Military Medical Center Director, in coordination with designated Additive Manufacturing Leads [REDACTED]	8 months 30 days
(CUI)	

**Report No. DODIG-2021-106, "Audit of the Department of Defense's Controls on Health Information of Well-Known Department of Defense Personnel," August 25, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Defense Health Agency Director, in coordination with the Military Department Surgeons General: <ol style="list-style-type: none"> <li>1. Perform a review of unauthorized and undetermined access of protected health information of all personnel identified in this audit,</li> <li>2. based on the results, initiate the appropriate disciplinary actions for individuals that were not authorized to access the information of all personnel, and</li> <li>3. report the incident in accordance with applicable laws and DoD guidance.</li> </ol>	7 months 6 days

**Report No. DODIG-2021-122, "Management Advisory Memorandum Regarding Internal Control Weaknesses Over TRICARE Payments for the Administration of COVID-19 Vaccines," September 8, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1:</b> The DoD OIG recommended that the Defense Health Agency Director perform a review to determine whether effective internal controls are in place regarding TRICARE payments for the administration of COVID-19 vaccines. If the Defense Health Agency Director determines that internal controls are not effective, initiate corrective actions to address the issues and risks now, to avoid future improper payments.	6 months 23 days

## APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)*

Report No. DODIG-2021-126, "Evaluation of the Department of Defense's Mitigation of Foreign Suppliers in the Pharmaceutical Supply Chain," September 20, 2021 (Full Report is CUI)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Director of the Defense Health Agency, after the new DoD implementing guidance for supply chain risk management is published, develop and publish implementing guidance for supply chain risk management specifically for pharmaceuticals, that includes the Component responsible for policy execution.	6 months 11 days
<b>Rec. 2.b.1:</b> The DoD OIG recommended that the Director of the Defense Health Agency complete the implementation of its Drug Supply Chain Security Act Compliance Strategy by establishing policies and procedures for drugs purchased with Government Purchase Cards or local contracts to comply with the Drug Supply Chain Security Act.	6 months 11 days
<b>Rec. 2.b.2:</b> The DoD OIG recommended that the Director of the Defense Health Agency complete the implementation of its Drug Supply Chain Security Act Compliance Strategy by, in coordination with the Commander of Defense Logistics Agency Troop Support, establishing policy and procedures for drugs purchased with Defense Logistics Agency-managed processes, including Defense Logistics Agency Depot Stock, Direct Vendor Delivery, and Defense Logistics Agency Electronic Catalog.	6 months 11 days
<b>Rec. 2.c.1:</b> The DoD OIG recommended that the Director of the Defense Health Agency create a chartered work group to meet at least quarterly to assess risks to the pharmaceutical supply chain and identify the pharmaceuticals most critical to beneficiary care at DoD Military Medical Treatment Facilities that are affected by those risks.	6 months 11 days
<b>Rec. 2.c.2:</b> The DoD OIG recommended that the Director of the Defense Health Agency create a chartered work group to establish policy for allocating scarce pharmaceutical resources in case of a supply disruption.	6 months 11 days

## APPENDIX A

### *Assistant Secretary of Defense for Health Affairs (cont'd)*

Report No. DODIG-2022-030, "Evaluation of the Department of Defense's Implementation of Suicide Prevention Resources for Transitioning Uniformed Service Members," November 9, 2021

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Health Affairs, in coordination with the Director of the Defense Health Agency and the Service Surgeons General, establish consistent policies and procedures to manage suicide risk screening and referral as part of the medical process for transitioning Service members. At a minimum, the policies and procedures should designate an organization to have responsibility for the clinical implementation of the policy.	4 months 22 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Health Affairs, in coordination with the Director of the Defense Health Agency and the Service Surgeons General, establish consistent policies and procedures to manage suicide risk screening and referral as part of the medical process for transitioning Service members. At a minimum, the policies and procedures should designate an organization to have oversight responsibility for mental health assessment processes for transitioning Service members.	4 months 22 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Health Affairs, in coordination with the Director of the Defense Health Agency and the Service Surgeons General, establish consistent policies and procedures to manage suicide risk screening and referral as part of the medical process for transitioning Service members. At a minimum, the policies and procedures should establish a standard mental health assessment and suicide risk screening process to be used by all Services.	4 months 22 days
<b>Rec. A.2.a:</b> The DoD OIG recommended that the Director of the Defense Health Agency, in collaboration with the Office of the Assistant Secretary of Defense for Health Affairs Director of Mental Health Policy and Oversight and the Director of the DoD and Veteran's Affairs Collaboration Office, identify the causes for the breaks in arranging for continuous mental health care for all Service members in care who are transitioning from the military health system to the Veteran's Health Administration and create and implement solutions to increase the number of Service members who have continuous care arranged between the military health system and the Veteran's Health Administration or civilian mental health providers at the time of transition and continuity of care.	4 months 22 days
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Director of the Defense Health Agency, in collaboration with the Office of the Assistant Secretary of Defense for Health Affairs Director of Mental Health Policy and Oversight and the Director of the DoD and Veteran's Affairs Collaboration Office, determine the resources required to implement policy and training that improves the quality and consistency of the warm handoff of care.	4 months 22 days

## APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)*

Report No. DODIG-2022-047, "Audit of TRICARE Telehealth Payments," February 3, 2022

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Director of the Defense Health Agency establish controls that prevent payment of originating site fee claims when the originating site and distant site provider are the same.	1 month 28 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Director of the Defense Health Agency establish controls that prevent payment of improperly coded telehealth claims.	1 month 28 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Director of the Defense Health Agency establish controls that require both patient and provider location for telehealth claims.	1 month 28 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Director of the Defense Health Agency review FY 2020 telehealth claims payments to recover improperly paid claims.	1 month 28 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Director of the Defense Health Agency establish controls that prevent payment of services inappropriate for telehealth delivery.	1 month 28 days

Report No. DODIG-2022-052, "Audit of the Defense Health Agency's Reporting of Improper Payment Estimates for the Military Health Benefits Program," January 11, 2022

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Director of the Defense Health Agency develop and implement procedures to use the actual paid amounts in sample populations.	2 months 20 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Director of the Defense Health Agency update sampling methodology to consider data characteristics and ensure the sampling methodology is appropriate, including a reasonable number of sample items.	2 months 20 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Director of the Defense Health Agency evaluate and plan for necessary resources to ensure adequate reviews of payments and timely reporting of improper payment estimates.	2 months 20 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Director of the Defense Health Agency ensure that improper payment reviews use the definition of a payment that complies with the Payment Integrity Information Act of 2019.	2 months 20 days

## APPENDIX A

**Assistant Secretary of Defense for Health Affairs (cont'd)**

**Report No. DODIG-2022-058, "Audit of DoD Implementation of the DoD Coronavirus Disease–2019 Vaccine Distribution Plan," February 1, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 1:</b> The DoD OIG recommended that the Defense Health Agency Director, with input from the Military Departments, the National Guard Bureau, and other stakeholders, review challenges and difficulties encountered during the distribution and administration of the coronavirus disease–2019 vaccine, compile a report detailing the issues, and determine if corrective actions are necessary to support future pandemic response planning. At a minimum, the review should include the following challenges and difficulties:</p> <ul style="list-style-type: none"> <li>• Determining the vaccine-eligible population at each military treatment facility;</li> <li>• Reporting vaccine administration data;</li> <li>• Communicating vaccination tier eligibility;</li> <li>• Coordinating tier movement between military treatment facilities;</li> <li>• Allocating vaccine to overseas locations; and</li> <li>• Vaccinating local nationals who work alongside U.S. personnel at overseas locations.</li> </ul>	1 month 30 days
<p><b>Rec. 2:</b> The DoD OIG recommended that the Assistant Secretary of Defense, Health Affairs form and lead a working group consisting of DoD Components and address the issues identified by the Defense Health Agency.</p>	1 month 30 days

**Report No. DODIG-2022-071, "Audit of Active Duty Service Member Alcohol Misuse Screening and Treatment," March 10, 2022**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.2:</b> The DoD OIG recommended that the Defense Health Agency Director require a standardized mechanism that will track when Service members are due for their annual Alcohol Use Disorder Identification Test-Consumption screenings, until this capability is implemented in Military Health System GENESIS and fully deployed to all medical treatment facilities.</p>	21 days
<p><b>Rec. B.1.a:</b> The DoD OIG recommended that the Defense Health Agency Director, in coordination with the Military Services, should establish a maximum number of days between a substance abuse referral and an intake assessment for a substance use disorder.</p>	21 days
<p><b>Rec. B.1.b:</b> The DoD OIG recommended that the Defense Health Agency Director, in coordination with the Military Services, should establish a maximum number of days to provide substance abuse treatment following a diagnosis of a substance use disorder.</p>	21 days

## APPENDIX A

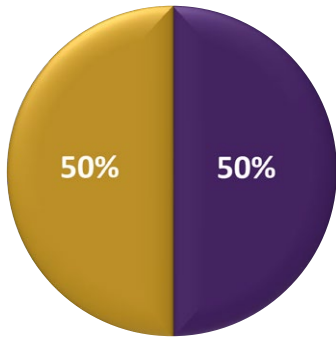
**Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2022-0071 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Defense Health Agency Director, in coordination with the Military Services, should review the civilian hiring and retention practices for substance abuse personnel and make applicable improvements to minimize vacant positions.	21 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Defense Health Agency Director require substance abuse centers to implement a standardized tracking mechanism in the electronic health care record to track the progress of a Service member's substance use treatment, including uploading referrals, documenting delays in intake assessments and treatment, and transferring treatment when Service members have a permanent change of station.	21 days

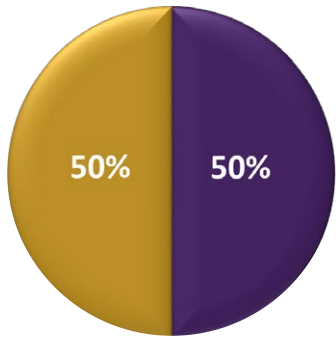


## Statistics – Assistant Secretary of Defense for Homeland Defense and Global Security

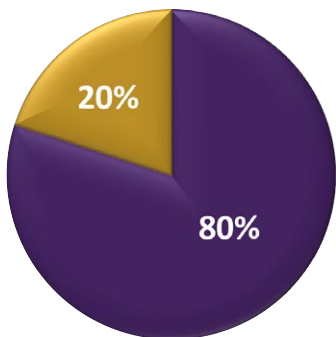
### Recommendations from 2019 Compendium





### Recommendations from 2020 Compendium

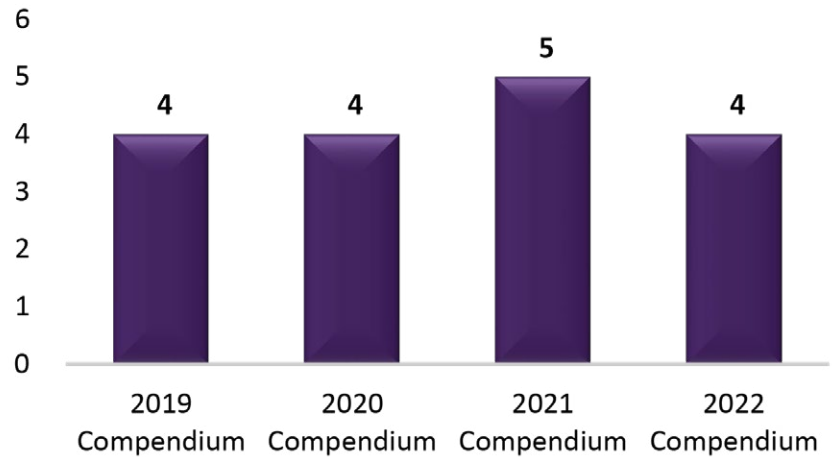


### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	2
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Potential Monetary Benefits	–
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## APPENDIX A

***Assistant Secretary of Defense for Homeland Defense and Global Security***

**Report No. DODIG-2013-119, “Better Procedures and Oversight Needed to Accurately Identify and Prioritize Task Critical Assets,” August 16, 2013 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Instruction 3020.45, “Defense Critical Infrastructure Program Management,” April 21, 2008, to require that the Assistant Secretary of Defense for Homeland Defense and Americas’ Security Affairs perform comprehensive Defense Critical Infrastructure Program reviews to help identify and resolve challenges in implementing the Critical Asset Identification Process across all DoD Components and Defense Infrastructure Sector Lead Agents.	8 years 7 months 15 days
<b>Rec. 2.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas’ Security Affairs implement a comprehensive program review process to verify that the critical asset identification and prioritization process is working effectively for DoD Components and Defense Infrastructure Sector Lead Agents to identify, prioritize, and coordinate critical asset information that could affect each other’s missions or functions.	8 years 7 months 15 days

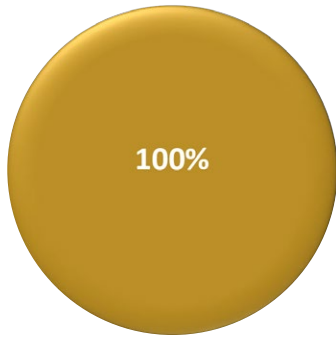
**Report No. DODIG-2021-065, “Evaluation of Access to Department of Defense Information Technology and Communications During the Coronavirus Disease–2019 Pandemic,” March 30, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Global Security revise the “DoD Implementation Plan for Pandemic Influenza” to update the planning assumptions in the DoD Implementation Plan for Pandemic Influenza to include the use of telework for essential and non-essential personnel and to align the DoD Implementation Plan for Pandemic Influenza with the DoD Telework Policy, Enclosure 3, Section 3(i)(2).	1 year 1 day
<b>Rec. 1.b:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Global Security revise the “DoD Implementation Plan for Pandemic Influenza” to require DoD Components to update their Pandemic Plans to include the revised assumptions regarding telework for essential and non essential personnel and the resources required to support the teleworking workforce.	1 year 1 day

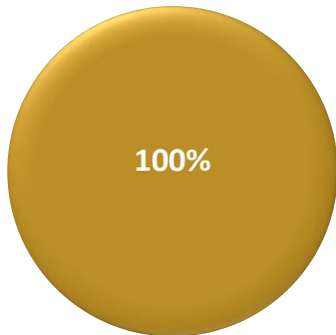


## Statistics – Assistant Secretary of Defense for Public Affairs

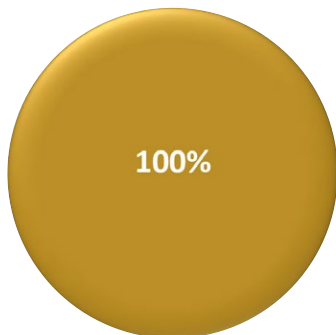
### Recommendations from 2019 Compendium



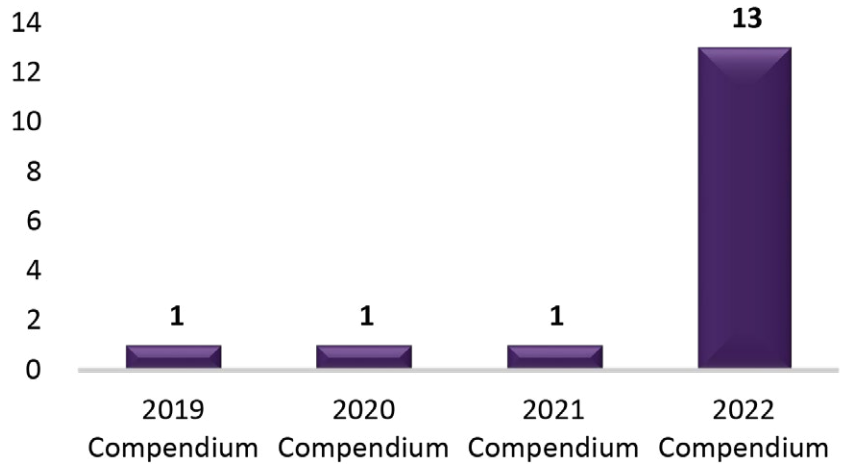
### Recommendations from 2020 Compendium



### Recommendations from 2021 Compendium



### Open Recommendations




Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

## APPENDIX A

*Assistant Secretary of Defense for Public Affairs*

Report No. DODIG-2022-072, "Audit of Contracts Awarded and Administered by the Defense Media Activity," March 14, 2022

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Media Activity Director for Acquisition and Procurement provide training for contracting personnel on the requirement to store and maintain complete contract files according to Federal Acquisition Regulation requirements.	17 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the Defense Media Activity Director for Acquisition and Procurement implement a contract management system to store and maintain complete contract files according to Federal Acquisition Regulation requirements.	17 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Defense Media Activity Director for Acquisition and Procurement, at least annually, review a sample of contracts as part of the internal control process and inspect files to verify that the electronic contract file system documents the performance of contract administration responsibilities, including contractor performance.	17 days
<b>Rec. 2:</b> The DoD OIG recommended that the Defense Media Activity Chief Financial Officer initiate a review in accordance with DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, to determine whether reportable violations of the Antideficiency Act occurred on contract HQ0516-18-D-0002. If violations of the Antideficiency Act occurred, determine which officials are responsible and recommend corrective actions.	17 days
<b>Rec. 3.a.1:</b> The DoD OIG recommended that the Defense Media Activity Director for Acquisition and Procurement provide training for the contracting workforce emphasizing compliance with Federal Acquisition Regulation Part 52, "Contract Clauses" that requires the inclusion of Federal Acquisition Regulation clauses 52.232-18, "Availability of Funds" or 52.232-19, "Availability of Funds for the Next Fiscal Year," for contracting in advance of funds in solicitations and contracts; and the Federal Acquisition Regulation clauses 52.219-11, "Special 8(a) Contract Conditions" and 52.219-12, "Special 8(a) Subcontract Conditions," when contracting with the Small Business Administration for procuring sole-source acquisitions.	17 days
<b>Rec. 3.a.2:</b> The DoD OIG recommended that the Defense Media Activity Director for Acquisition and Procurement provide training for the contracting workforce emphasizing compliance with DoD Instruction 5000.72 and Defense Federal Acquisition Regulation Supplement; Procedures, Guidance and Information 201.602-2 requirements to use the Joint Appointment Module and the Surveillance and Performance Monitoring to track the contracting officer's representative appointments process and maintain an electronic contracting officer's representative file.	17 days
<b>Rec. 3.a.3:</b> The DoD OIG recommended that the Defense Media Activity Director for Acquisition and Procurement provide training for the contracting workforce emphasizing compliance with Federal Acquisition Regulation Subpart 46.4, "Government Contract Quality Assurance," Subpart 46.103, "Contracting Office Responsibilities," and Defense Federal Acquisition Regulation Supplement 246.102, "Policy."	17 days

## APPENDIX A

### Assistant Secretary of Defense for Public Affairs – Report No. DODIG-2022-072 (cont'd)

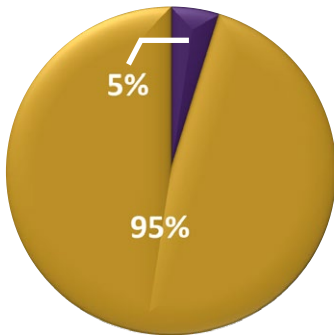
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b:</b> The DoD OIG recommended that the Defense Media Activity Director for Acquisition and Procurement issue policy to the contracting workforce requiring that the Chief of Contracting designate or reassign contracting personnel to perform contracting award and administration functions when vacancies occur.	17 days
<b>Rec. 4.a:</b> The DoD OIG recommended that the Director of the Defense Media Activity verify and ensure that assessing officials complete the annual past performance assessment reports in the Contractor Performance Assessment Reporting System within 120 days from the end of the evaluation period.	17 days
<b>Rec. 4.b:</b> The DoD OIG recommended that the Director of the Defense Media Activity identify open recommendations from the prior procurement management reviews, and the Defense Media Activity Inspector General audits, direct responsible personnel to initiate corrective actions, and hold them accountable for not correcting previously known deficiencies and not taking timely action to address previous audit findings.	17 days
<b>Rec. 4.c:</b> The DoD OIG recommended that the Director of the Defense Media Activity develop a formal followup system, in coordination with the Defense Media Activity Inspector General, that provides a complete record of action taken on findings and recommendations as required by DoD Instruction 7650.03, "Follow-up on Inspector General of the Department of Defense (IG DoD) and Internal Audit Reports."	17 days
<b>Rec. 4.d:</b> The DoD OIG recommended that the Director of the Defense Media Activity develop and implement agencywide quality controls to ensure that newly issued internal policy and guidance had corrected deficiencies in contract award and administration.	17 days
<b>Rec. 5:</b> The DoD OIG recommended that the Assistant to the Secretary of Defense for Public Affairs, in conjunction with the Office of Defense Pricing and Contracting, request a workforce study to determine whether the Defense Media Activity has sufficient resources to effectively execute the contracting function.	17 days



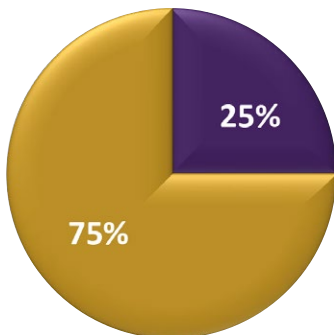
## APPENDIX A

### Statistics – National Security Agency

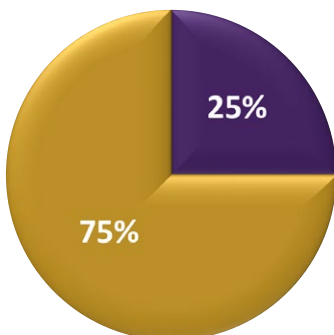
Recommendations from  
2019 Compendium





Recommendations from  
2020 Compendium

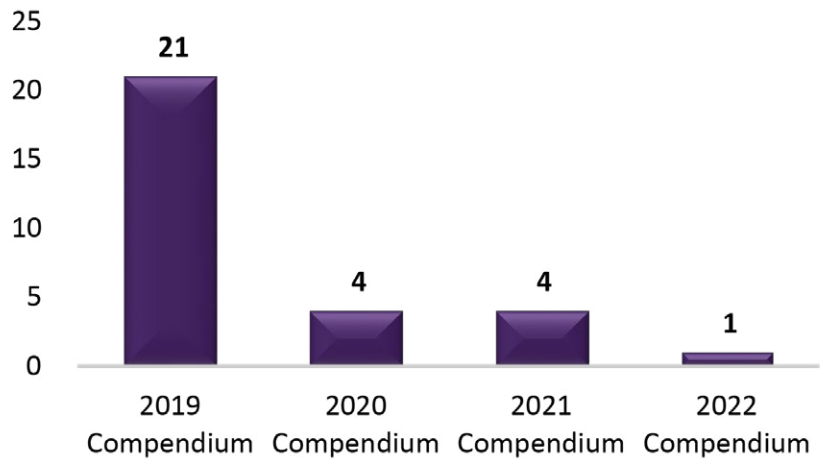


Recommendations from  
2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	1
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Potential Monetary Benefits	–
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## APPENDIX A

**National Security Agency**

Report No. DODIG-2017-061, "Evaluation of the National Security Agency Counterterrorism Tasking Process Involving Second Party Partners," March 1, 2017 (Full Report is Classified)

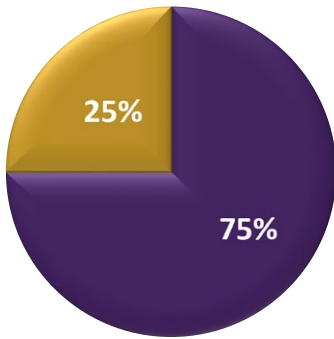
Recommendation Number and Text	Age of Recommendation on 3/31/22
Rec. 1: Recommendation is Classified.	5 years 30 days



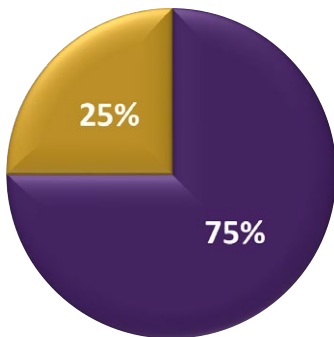
## APPENDIX A

### Statistics – Defense Threat Reduction Agency

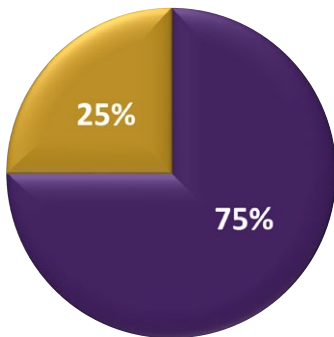
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

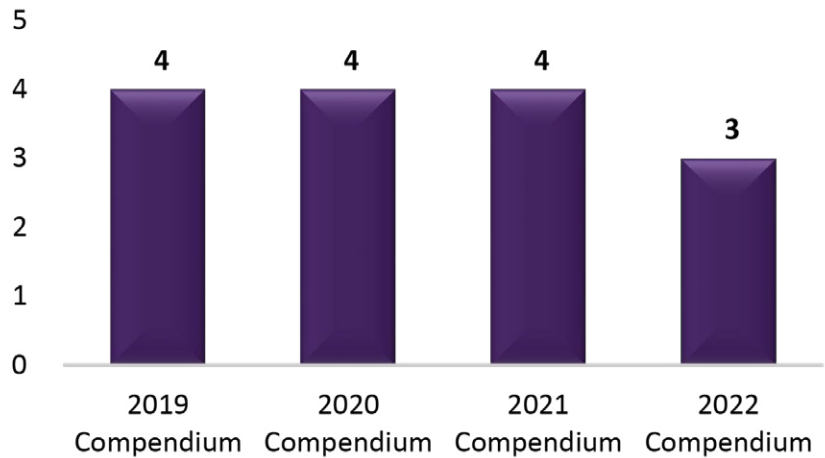


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	2
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Potential Monetary Benefits	–
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## APPENDIX A

***Defense Threat Reduction Agency***

**Report No. DODIG-2014-055, “Investigation of a Hotline Allegation of a Questionable Intelligence Activity Concerning the Joint IED Defeat Organization (JIEDDO) Counter-IED Operations/Intelligence Integration Center (COIC),” April 4, 2014 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2:</b> The DoD OIG recommended that the Deputy Secretary of Defense approve DoD Directive 2000.19E to reflect the Joint Improvised Explosive Device Defeat Organization Counter-Improvised Explosive Device Operations/Intelligence Integration Center’s authorized intelligence functions, roles, and responsibilities, and assign an executive agent for the Joint Improvised Explosive Device Defeat Organization’s external intelligence oversight.*	7 years 11 months 27 days
<b>Rec. A.6:</b> The DoD OIG recommended that the Joint Improvised Explosive Device Defeat Organization Director cease intelligence collection activities, pending Office of the Secretary of Defense authorization.	7 years 11 months 27 days

\* Responsibility for implementing Recommendation A.2 was transferred from DEPSECDEF to DTRA.

**Report No. DODIG-2019-031, “Evaluation of the Defense Threat Reduction Agency’s Counterintelligence Program,” November 21, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Defense Threat Reduction Agency Counterintelligence Division create a System of Records Notice and have it published in the Federal Register.	3 years 4 months 10 days

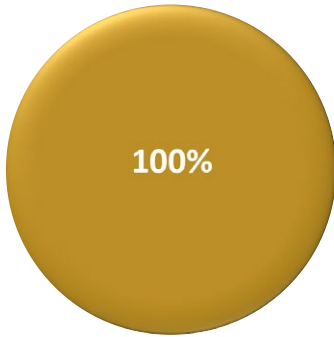




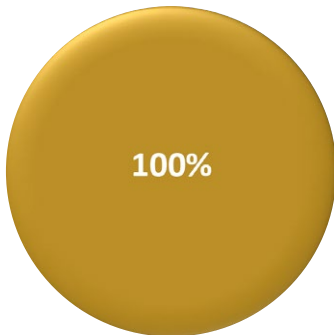
## APPENDIX A

### Statistics – Defense Information Systems Agency

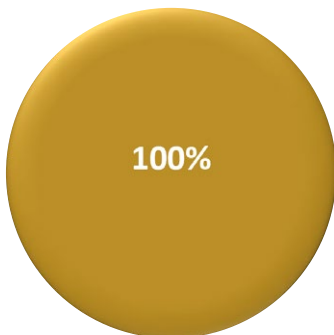
#### Recommendations from 2019 Compendium



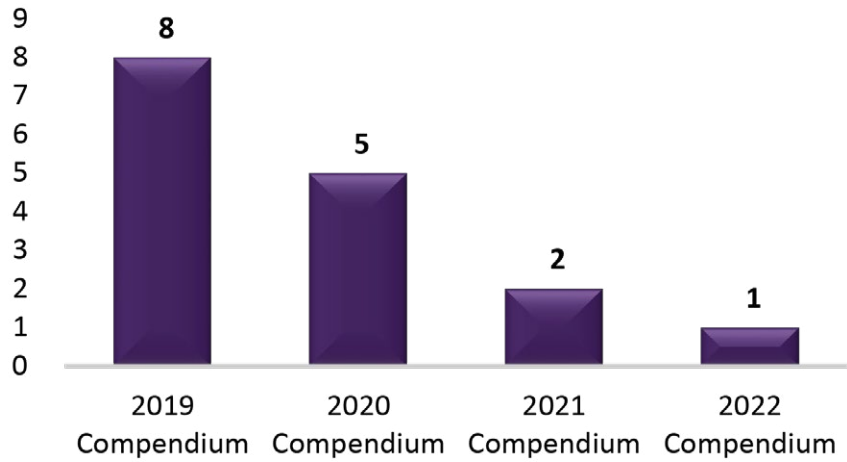
#### Recommendations from 2020 Compendium





#### Recommendations from 2021 Compendium



#### Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	\$1.5 million

 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

## APPENDIX A

### *Defense Information Systems Agency*

Report No. DODIG-2022-069, "Audit of Department of Defense Small Business Subcontracting Requirements," March 7, 2022

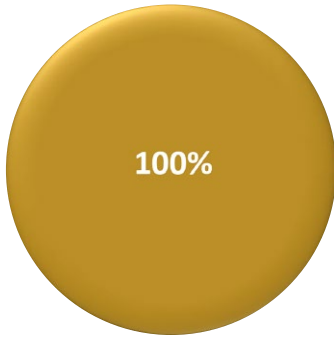
Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.3:</b> The DoD OIG recommended that the Director of the Defense Information Systems Agency perform a review of the contract for which adequate documentation related to subcontracting amounts was not collected or maintained by contracting personnel and determine whether the small business prime contractor complied with subcontracting limitations. The review should include, at a minimum, collecting information related to amounts the prime contractor paid to any subcontractors, including a breakout between materials and other costs, where applicable, and calculating the percentage the prime contractor paid to subcontractors that are not similarly situated based on the total amount paid to the prime contractor by the Government. If the percentage exceeds established subcontracting limitations, the Director should determine whether the small business prime contractor is subject to a penalty, identify the amount of the penalty, and pursue collection. In addition, the Director should report the non-compliance with subcontracting limitations in the contractor's performance information.</p>	<p>24 days</p>



## APPENDIX A

### Statistics – Defense Intelligence Agency

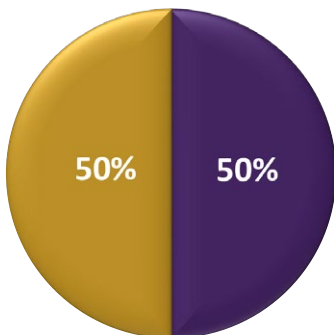
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

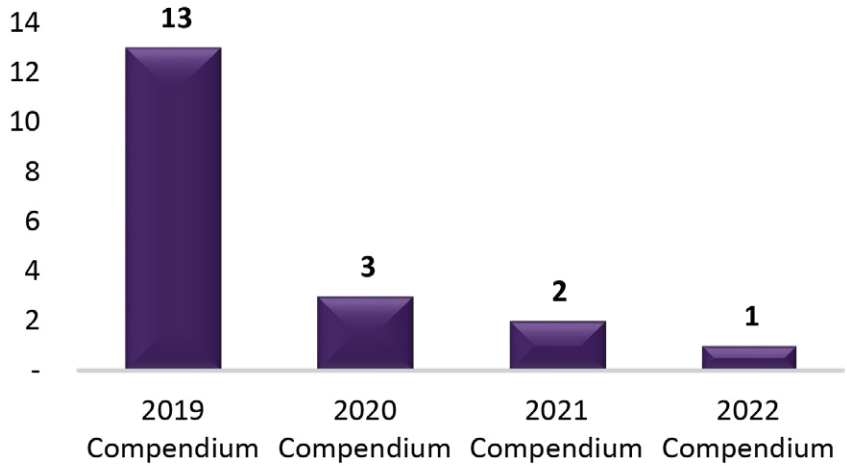


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	1
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

***Defense Intelligence Agency***

Report No. DODIG-2020-106, "Evaluation of Security Controls for Intelligence, Surveillance, and Reconnaissance Supply Chains," July 22, 2020 (Full Report is Classified)

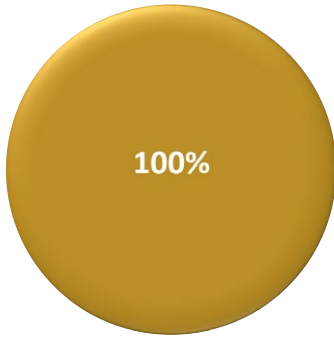
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4:</b> Recommendation is Classified.	1 year 8 months 9 days



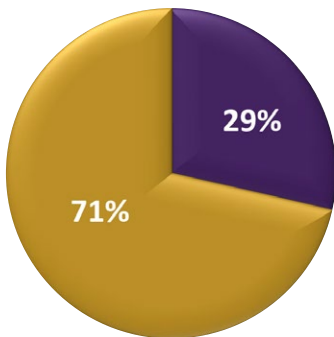
## APPENDIX A

### Statistics – Defense Logistics Agency

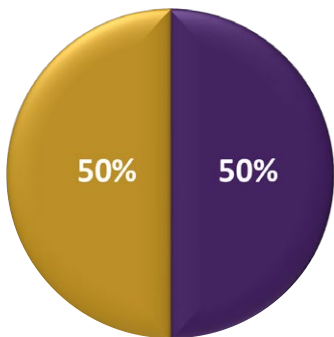
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

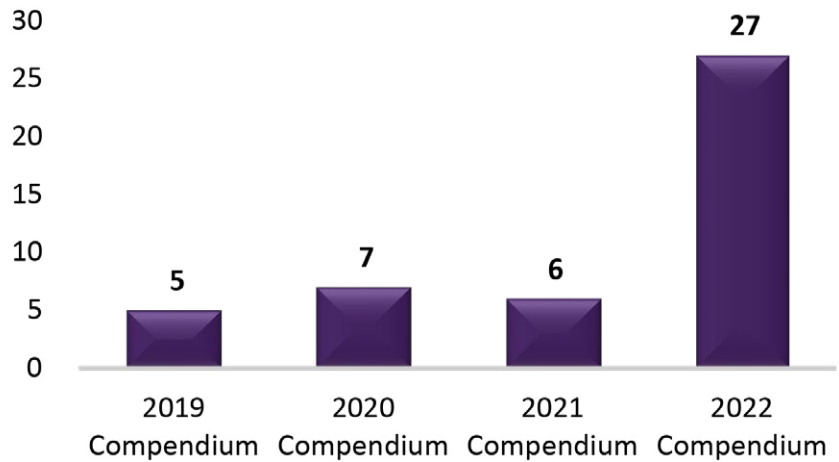


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	1
Potential Monetary Benefits	\$655 million

## APPENDIX A

**Defense Logistics Agency**

**Report No. DODIG-2013-100, "Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed," July 2, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander implement corrective actions to address all recommendations, with the exception of Recommendations A.1.b, A.2.a, A.2.b, A.2.c, A.3, A.4.a, A.4.b, B.1, and B.2, in DoD OIG Report No. D-2011-047, "Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan," March 2, 2011. Specifically, initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.*	8 years 8 months 29 days

\* The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

**Report No. DODIG-2019-127, "Audit of Access Controls in the Defense Logistics Agency's Commercial and Government Entity Code Program," September 30, 2019 (Full Report is FOUO Law Enforcement Sensitive)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.c:</b> Recommendation is For Official Use Only Law Enforcement Sensitive.	2 years 6 months 1 day

**Report No. DODIG-2021-053, "Audit of the Defense Logistics Agency's Sole-Source Captains of Industry Strategic Support Contracts," February 11, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Defense Logistics Agency Aviation Commander, on completion of the incentive price revision process for contract line item number 0001 on contract SPRPA1-14-D-002U, validate the estimates from the business case analysis to identify actual savings and compare the results to the expected cost savings documented in the price negotiation memorandum. If there are significant differences between the expected and actual cost savings, identify the reasons for the differences, and determine whether the business case analysis calculations and assumptions need to be changed in order to improve future estimates.	1 year 1 month 20 days

**Report No. DODIG-2021-096, "Audit of the Military Departments' Purchases of Aviation Fuel and Non-Fuel Services Using the Aviation Into-Plane Reimbursement (AIR) Card," June 25, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require use of the Fixed Base Operator locator during flight planning operations for commercial locations.	9 months 6 days

## APPENDIX A

### Defense Logistics Agency – Report No. DODIG-2021-096 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.b:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require the Component Program Managers to conduct a monthly review of the Strategic Sourcing Report to monitor non-contract fuel purchases where contract vendors are available, and distribute results to increase awareness of potential cost savings.	9 months 6 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require program officials to perform periodic reviews, at least biannually, to compare merchant transactions at commercial locations to determine if the DoD is using contract vendors.	9 months 6 days
<b>Rec. 1.d:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require Component Program Managers of each organization, in coordination with Accountable Officials, to conduct a monthly review of the Fuel Capacity Report, obtain justification when a fuel purchase exceeds aircraft capacity, and dispute any potential excessive or bundled fuel transactions.	9 months 6 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require that the Aviation Into-Plane Reimbursement Card Accountable Official's commander sign a memorandum for the record documenting why the merchant's billing exceeded the fuel capacity on the Fuel Capacity Report and document the actions program officials took to correct the problem.	9 months 6 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require Component Program Managers to track and review the rebilling of all disputed transactions to ensure that updated transactions are valid, supported, and properly rebilled.	9 months 6 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require the inclusion of accurate fuel capacity, in gallons, for all aircraft and vehicle-specific Aviation Into-Plane Reimbursement Card accounts.	9 months 6 days
<b>Rec. 1.h:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require reviews of all invoice information to ensure the invoice matches a valid aircraft in that account, or to reconcile whether the transaction was valid and include reconciliation documentation and certification in the Aviation Into-Plane Reimbursement Card System before payment.	9 months 6 days
<b>Rec. 1.i:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise Defense Logistics Agency-Energy P-8, "Fuel Card Program," to require guidance on how Aviation Into-Plane Reimbursement Card users and reviewing officials should determine price reasonableness for ancillary services and fees.	9 months 6 days

## APPENDIX A

**Defense Logistics Agency – Report No. DODIG-2021-096 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise the Aviation Into-Plane Reimbursement Card portion of the contract with Kropp Holdings Inc. to require Kropp Holdings Inc. to ensure that the Strategic Sourcing Report contains the appropriate contract fuel price to compare against all non-contract fuel transactions.	9 months 6 days
<b>Rec. 2.b:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise the Aviation Into-Plane Reimbursement Card portion of the contract with Kropp Holdings Inc. to enforce the requirement for Kropp Holdings Inc. to provide line item detail on invoices.	9 months 6 days
<b>Rec. 2.c:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise the Aviation Into-Plane Reimbursement Card portion of the contract with Kropp Holdings Inc. to require Kropp Holdings Inc. to allow disputes based on price reasonableness.	9 months 6 days
<b>Rec. 2.d:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise the Aviation Into-Plane Reimbursement Card portion of the contract with Kropp Holdings Inc. to establish a control to identify transactions that are at high risk for being unreasonable to ensure Aviation Into-Plane Reimbursement Card officials dispute charges within the required timeframe.	9 months 6 days
<b>Rec. 2.e:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander revise the Aviation Into-Plane Reimbursement Card portion of the contract with Kropp Holdings Inc. to require transparency for all Aviation Into-Plane Reimbursement Card transactions, including the charges and pricing information associated with vendor admin fees.	9 months 6 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander require that the Defense Logistics Agency Program Management Office and the Defense Logistics Agency Tax Branch, in coordination with the Military Services, develop a standardized Aviation Into-Plane Reimbursement Card receipt with dedicated sections for required information, including a list of approved ancillary services and fees, a corresponding column for merchant cost information, and a signature line for the card user and approving official.	9 months 6 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander require that the Defense Logistics Agency Program Management Office and the Defense Logistics Agency Tax Branch perform a comprehensive review of all taxes applied to Aviation Into-Plane Reimbursement Card transactions, compile a list of the erroneous taxes identified with the corresponding cause, develop a plan to prevent future erroneous taxes and fees, and recoup the erroneous charges.	9 months 6 days
<b>Rec. 4.a:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander, in coordination with Military Departments' Aviation Into-Plane Reimbursement Card program officials, update training and provide updated training to all users to reinforce the requirements in the Defense Logistics Agency Energy memorandum, "Authorized Users of the DLA Energy Fuel Cards," October 8, 2019.	9 months 6 days



## APPENDIX A

**Defense Logistics Agency – Report No. DODIG-2021-096 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4.b:</b> The DoD OIG recommended that the Defense Logistics Agency-Energy Commander, in coordination with Military Departments' Aviation Into-Plane Reimbursement Card program officials, update training and provide updated training to all users to inform Aviation Into-Plane Reimbursement Card Officials of the guidance and responsibilities related to taxes.	9 months 6 days

**Report No. DODIG-2021-126, "Evaluation of the Department of Defense's Mitigation of Foreign Suppliers in the Pharmaceutical Supply Chain," September 20, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b.1:</b> The DoD OIG recommended that the Director of the Defense Logistics Agency modify Defense Logistics Agency Instructions 5025.03 and 3110.01 to require Defense Logistics Agency Troop Support to coordinate annually with Military Service customers to conduct responsiveness testing of the Defense Logistics Agency's contingency contracts for pharmaceuticals by placing simulated or actual orders against the contracts.	6 months 11 days
<b>Rec. 3.b.2:</b> The DoD OIG recommended that the Director of the Defense Logistics Agency modify Defense Logistics Agency Instructions 5025.03 and 3110.01 to provide a Standard Operating Procedure or similar guidelines to Defense Logistics Agency's Military Service customers on how to conduct responsiveness testing.	6 months 11 days
<b>Rec. 3.b.3:</b> The DoD OIG recommended that the Director of the Defense Logistics Agency modify Defense Logistics Agency Instructions 5025.03 and 3110.01 to include the contract responsiveness testing results, as reported by the Military Service customers, in the Warstopper Program annual reports.	6 months 11 days

**Report No. DODIG-2022-043, "Audit of the Business Model for TransDigm Group Inc. and Its Impact on Department of Defense Spare Parts Pricing," December 13, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including but not limited to, directing the Defense Logistics Agency Aviation contracting officers to seek a voluntary refund from TransDigm for approximately \$9.6 million in excess profit for the 63 contracts that we identified contained excess profit.*	3 months 18 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including but not limited to, directing the Defense Logistics Agency Land and Maritime contracting officers to seek a voluntary refund from TransDigm for approximately \$10.9 million in excess profit for the 84 contracts that we identified contained excess profit.*	3 months 18 days

## APPENDIX A

**Defense Logistics Agency – Report No. DODIG-2022-043 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.c:</b> The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including but not limited to, directing the Defense Logistics Agency Troop Support contracting officers to seek a voluntary refund from TransDigm for approximately \$0.4 million in excess profit for the 3 contracts that we identified contained excess profit.*	3 months 18 days

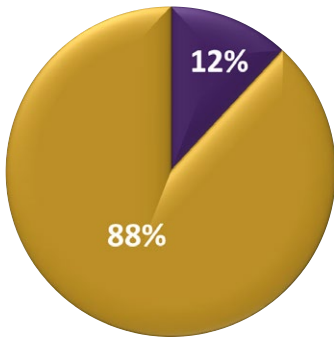
\* The agreed-upon corrective actions have been completed by DoD management; however, recovery of monetary benefits from those actions is still pending.



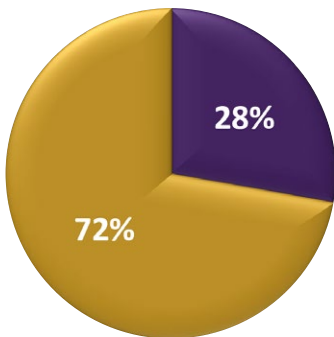
## APPENDIX A

### Statistics – Defense Contract Audit Agency

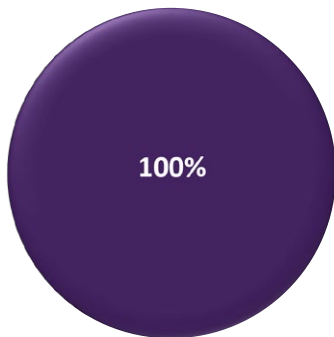
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium



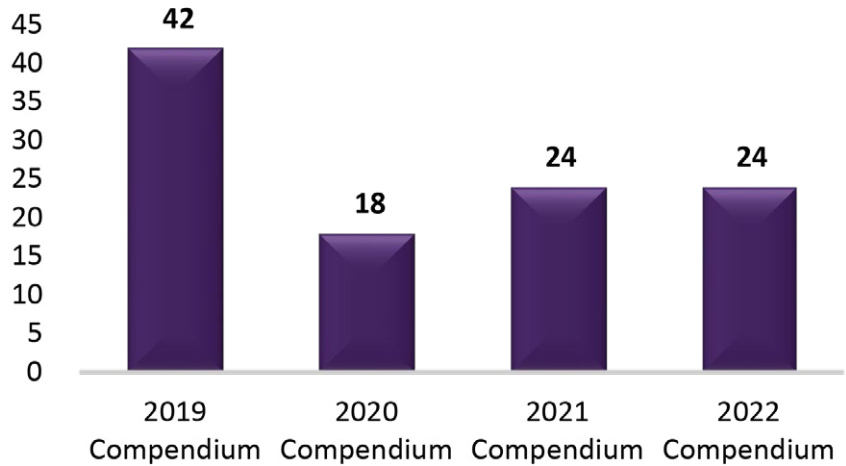
#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

### *Defense Contract Audit Agency*

**Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2.b:</b> The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency establish and implement a process for annual planning and coordination with customer program security officers and Field Detachment supervisors to identify classified and special access programs.	4 years 9 months 17 days
<b>Rec. B.2.b.1:</b> The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency work with Defense Contract Audit Agency Security Officer and the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, to designate a group of Field Detachment leadership and branch managers, to receive access to special access programs to conduct planning and oversight.	4 years 9 months 17 days
<b>Rec. B.2.b.2:</b> The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency conduct annual planning to identify Field Detachment audit oversight efforts for classified and special access program projects.	4 years 9 months 17 days
<b>Rec. B.2.b.4:</b> The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency determine annually whether classified, sensitive compartmented information and special access programs are receiving adequate audit oversight.	4 years 9 months 17 days
<b>Rec. B.2.c:</b> The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency acquire and use a classified automated information system for conducting classified audit assignments and reports.	4 years 9 months 17 days

**Report No. DODIG-2021-059, "External Peer Review of the Defense Contract Audit Agency System Review Report," March 5, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a-c:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director provide training to auditors on the importance of adhering to the Defense Contract Audit Agency policy requirements for obtaining sufficient evidence, which incorporates scenario-based learning and includes the following concepts: a) establishing the reliability of the contractor's information as basis for supporting the reported conclusion; b) supporting conclusions that a contractor's proposed costs were reasonable; and c) supporting conclusions that a contractor's proposed costs were in accordance with contract terms.	1 year 26 days
<b>Rec. 2:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director establish policies and procedures to require that auditors identify and document in the audit program the specific procedures to be performed and evidence to be obtained when planning procedures to determine that costs are reasonable in accordance with solicitation and contract terms.	1 year 26 days

## APPENDIX A

**Defense Contract Audit Agency – Report No. DODIG-2021-059 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 4.a:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director provide training to auditors on the importance of adhering to the Defense Contract Audit Agency policy requirements for planning the audit that incorporates scenario-based examples and includes reviewing and summarizing the request for proposal for solicitation terms and the contract for contract terms that are the criteria against the subject matter that will be examined.	1 year 26 days
<b>Rec. 4.d:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director provide training to auditors on the importance of adhering to the Defense Contract Audit Agency policy requirements for planning the audit that incorporates scenario-based examples and includes planning procedures to address the risk of material misstatement of the subject matter.	1 year 26 days
<b>Rec. 4.e:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director provide training to auditors on the importance of adhering to the Defense Contract Audit Agency policy requirements for planning the audit that incorporates scenario-based examples and includes developing awareness of fraud risk when planning the audit.	1 year 26 days
<b>Rec. 5.a:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director evaluate the significance of the missing noncompliances on the reported opinion for Audit Report Numbers 01151-2018T23000001, 03241-2016S10100006, 03931-2015D10100009, and 07281-2014C10100014 and determine if the need exists to communicate the noncompliances in writing to the report recipients, and document the determination and communication, if needed, in the working papers.	1 year 26 days
<b>Rec. 5.b:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director evaluate the significance of the missing scope limitations in Audit Report Numbers 01191-2018G17200001, 01321-2018V17900002, 01341-2015P10100019, and 01571-2015H10100011 and determine if the reports can still be relied upon.	1 year 26 days
<b>Rec. 5.c:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director issue a memorandum to the auditors to emphasize the requirements in the Defense Contract Audit Agency Contract Audit Manual for reporting noncompliances and scope limitations.	1 year 26 days
<b>Rec. 5.d:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director send DCAA Audit Report Numbers 01331-2019H17740002, 01341-2015P10100019, and 04981-2018E17900003 to the required officials who did not receive the audit reports from the Defense Contract Audit Agency or other officials.	1 year 26 days
<b>Rec. 5.e:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director require all Defense Contract Audit Agency auditors to complete comprehensive training on complying with reporting standards, such as AUD104, "Developing an Effective Audit Report."	1 year 26 days

## APPENDIX A

**Defense Contract Audit Agency – Report No. DODIG-2021-059 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 6.a-f:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director provide training to audit staff on the expectations for documenting the work performed in sufficient detail and emphasize:</p> <ul style="list-style-type: none"> <li>a. Procedures planned to satisfy the audit objective.</li> <li>b. Rationale for significant judgments made.</li> <li>c. Procedures performed and evidence obtained to support the conclusions reached.</li> <li>d. Procedures performed to test for compliance with the subject matter criteria.</li> <li>e. Scope of the audit in the summary risk assessment working paper.</li> <li>f. Procedures performed to reconcile the contractor-provided data to supporting documentation.</li> </ul>	1 year 26 days
<p><b>Rec. 7:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director remind supervisors in writing of the need to document auditor guidance and feedback and to ensure that the feedback is sufficiently addressed by auditors.</p>	1 year 26 days
<p><b>Rec. 8:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director provide training to audit staff that incorporates scenario-based learning on Sections 3.109, 3.110, and 3.113 of the 2018 Government Auditing Standards requirements for exercising professional judgment and for adhering to the key concepts of planning, documentation, evidence, and reporting.</p>	1 year 26 days
<p><b>Rec. 9.a:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director conduct and document a review of the six audits to determine whether DCAA Audit Report Numbers 01161-2015K10100002, 01431-2013C10100022, 01571-2015H10100011, 03401-2016E19410001, 07281-2014C10100014, and 09851-2014A10100005 should be rescinded or revised.</p>	1 year 26 days
<p><b>Rec. 9.b:</b> The DoD OIG recommended that the Defense Contract Audit Agency Director remind all audit staff in writing of the importance of exercising due professional care in planning and performing the audit as well as reporting the results.</p>	1 year 26 days
<p><b>Rec. 2 (Letter of Comment):</b> The DoD OIG recommended that the Defense Contract Audit Agency Director require audit staff to document a justification for supervisory approvals after the date of the report.</p>	1 year 26 days
<p><b>Rec. 3.a (Letter of Comment):</b> The DoD OIG recommended that the Defense Contract Audit Agency Director issue a memorandum to reemphasize to the audit staff the critical need for all audit staff to assess their independence in accordance with Section 3.18 of the 2018 Government Auditing Standards and to sign a statement confirming their independence in accordance with agency policy before starting work on an audit.</p>	1 year 26 days

## APPENDIX A

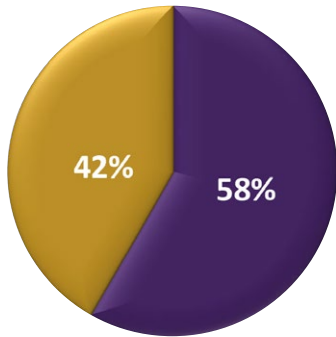
**Defense Contract Audit Agency – Report No. DODIG-2021-059 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.b (Letter of Comment):</b> The DoD OIG recommended that the Defense Contract Audit Agency Director issue a memorandum to require that supervisors remind all audit staff assigned to the audit to create their independence determination prior to starting work on the audit, which could be before the audit staff creates any audit working papers.	1 year 26 days
<b>Rec. 5 (Letter of Comment):</b> The DoD OIG recommended that the Defense Contract Audit Agency Director align the procedures described in the standard audit program for forward pricing rate proposals to the opinion cited in the audit report.	1 year 26 days

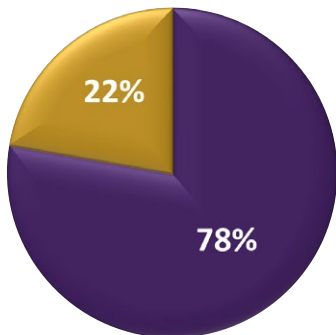


## Statistics – Defense Security Cooperation Agency

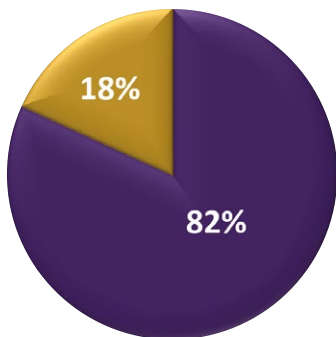
Recommendations from  
2019 Compendium





Recommendations from  
2020 Compendium

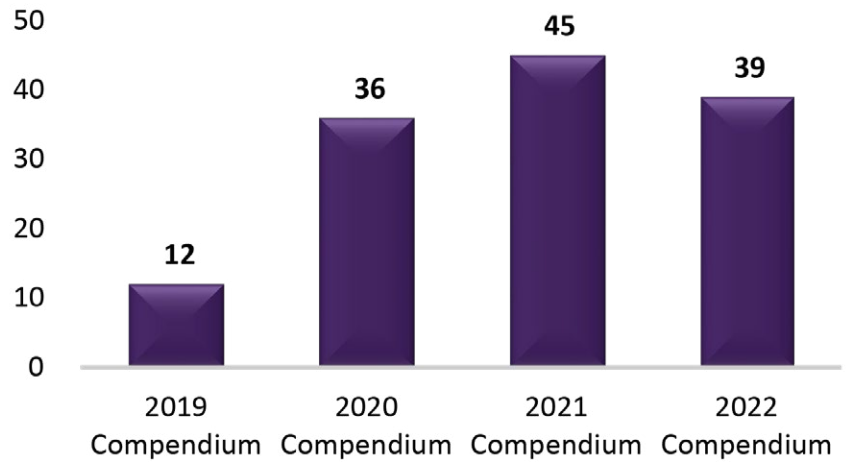


Recommendations from  
2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	\$736 million



## APPENDIX A

*Defense Security Cooperation Agency*

Report No. DODIG-2017-099, "Evaluation of Department of Defense Efforts to Build Counterterrorism and Stability Operations Capacity of Foreign Military Forces with Section 1206/2282 Funding," July 21, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.1.a:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict pursuant to implementing activities authorized under 10 U.S.C. § 2282, take action to issue and enforce proposal guidance, including standards for submitting specific information necessary to fully describe partner-nation requirements, the metrics to assess project impact, and the means to sustain a project, if applicable.*	4 years 8 months 10 days
<b>Rec. C.1.b:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict pursuant to implementing activities authorized under 10 U.S.C. § 2282, take action to update proposal forms to provide guidance about including sections that enable Geographic Combatant Commands and United States Embassy Security Cooperation Organizations to document required data.*	4 years 8 months 10 days
<b>Rec. C.2:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict in coordination with applicable Combatant Commands and Military Department Implementing Agencies, take action to ensure that Security Cooperation Organization personnel assigned to United States Embassies have the appropriate training, capability, and necessary Department of Defense support to develop equipment and requirement details meeting project-proposal standards required by 10 U.S.C. § 2282.*	4 years 8 months 10 days
<b>Rec. D.2:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict ensure that Department of Defense components responsible for implementing 10 U.S.C. § 2282 comply with Department of Defense security cooperation directives and procedures for documenting and retaining records pursuant to that authority.*	4 years 8 months 10 days
<b>Rec. F.1:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant United States Government stakeholders, take action to ensure project proposals currently authorized under 10 U.S.C. § 2282 include fully developed and coordinated sustainment plans, as warranted.*	4 years 8 months 10 days
<b>Rec. F.2:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant United States Government stakeholders, take action to ensure geographic Combatant Commands and United States Embassy Security Cooperation Organizations are aware of, and fully use, all funding authorities and sources available for sustaining capability provided by 10 U.S.C. § 2282.*	4 years 8 months 10 days
<b>Rec. G:</b> The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant Department of Defense stakeholders, systematically monitor implementation of 10 U.S.C. § 2282 with performance measures and indicators that enable senior-level management reviews in accordance with applicable Department of Defense, Office of Management and Budget, and other Government directives and guidance.*	4 years 8 months 10 days

\* Responsibility for implementing Recommendations C.1.a, C.1.b, C.2, D.2, F.1, F.2, and G was transferred from ASD(SO/LIC) to DSCA.

## APPENDIX A

**Defense Security Cooperation Agency (cont'd)**

**Report No. DODIG-2019-085, "Audit of the Defense Security Cooperation Agency–Security Assistance Accounts," May 8, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Directors of the implementing organizations to develop and implement a comprehensive end-to-end accounting and reporting process for Special Defense Acquisition Fund inventory. The Defense Security Cooperation Agency should require the implementing organizations to report the following attributes quarterly: item quantities, location, identification number, and value. The Defense Security Cooperation Agency should have this information readily available for management and stakeholders.	2 years 10 months 23 days
<b>Rec. A.1.d:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director perform annual inspections of DoD and contractor facilities to determine the location, identification numbers, quantities, and values of the inventory on hand.	2 years 10 months 23 days
<b>Rec. A.1.e:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director establish definitions for all Special Defense Acquisition Fund collection sources and issue detailed accounting and reporting guidance to the implementing organizations for the transactions.	2 years 10 months 23 days
<b>Rec. A.1.f.2:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service Indianapolis Director to test the completeness and accuracy of the Special Defense Acquisition Fund accounting records in the systems identified.	2 years 10 months 23 days
<b>Rec. A.1.f.3:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service Indianapolis Director to develop a corrective action plan to remedy any deficiencies identified during testing.	2 years 10 months 23 days
<b>Rec. A.1.f.4:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service Indianapolis Director to correct the Defense Departmental Reporting System to accept, consolidate, reconcile, and report Special Defense Acquisition Fund accounting records.	2 years 10 months 23 days
<b>Rec. A.1.f.5:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service Indianapolis Director to analyze all Foreign Military Sales cases dating back to FY 2012 to identify the correct number of lease cases.	2 years 10 months 23 days
<b>Rec. A.1.f.6:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service Indianapolis Director to analyze all open and closed Foreign Military Sales cases to determine whether the cases were properly coded in accordance with the Security Assistance Management Manual.	2 years 10 months 23 days

## APPENDIX A

*Defense Security Cooperation Agency – Report No. DODIG-2019-085 (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.f.8:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to recover and transfer into the Special Defense Acquisition Fund account all authorized collections dating back to FY 2012 that the Defense Finance and Accounting Service did not transfer into the Special Defense Acquisition Fund account.	2 years 10 months 23 days
<b>Rec. A.1.f.9:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to develop and implement internal controls to prevent the implementing organizations from improperly coding Foreign Military Sales cases.	2 years 10 months 23 days
<b>Rec. A.1.f.10:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to obtain transaction-level detail for the Accrued Unfunded Annual Leave liability balance for all DoD employees who support the Security Assistance Accounts.	2 years 10 months 23 days
<b>Rec. A.1.f.11:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to develop and implement standard operating procedures, process narratives, and process maps to instruct Defense Finance and Accounting Service personnel on how to record the Accrued Unfunded Annual Leave balance correctly.	2 years 10 months 23 days
<b>Rec. A.1.f.12:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to develop and implement internal controls to ensure that all Accrued Unfunded Annual Leave transactions are recorded completely and accurately.	2 years 10 months 23 days
<b>Rec. B.1.a:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director review and provide written approval for each reconciliation performed by the Defense Finance and Accounting Service–Indianapolis of the Security Assistance Accounts.	2 years 10 months 23 days
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director review and provide written approval for each adjustment made by the Defense Finance and Accounting Service–Indianapolis to the Security Assistance Accounts.	2 years 10 months 23 days
<b>Rec. B.1.c:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director develop and implement detailed standard operating procedures, process narratives, and process maps for each of the Security Assistance Accounts. The documentation should include the entire life cycle of each transaction within the Security Assistance Accounts, roles and responsibilities, internal controls, and a listing and description of interfaces with other accounting cycles and systems. Defense Security Cooperation Agency management should review the documentation annually.	2 years 10 months 23 days

## APPENDIX A

**Defense Security Cooperation Agency – Report No. DODIG-2019-085 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.d.1:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to research and resolve at the transaction level each of the transactions aged over 60 days.	2 years 10 months 23 days
<b>Rec. B.1.d.2:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to research and resolve each of the adjustments on the FY 2017 Security Assistance Account Central Accounting and Reporting Systems statements.	2 years 10 months 23 days
<b>Rec. B.1.d.3:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to develop and implement a Fund Balance With Treasury reconciliation process for all of the Security Assistance Accounts. The process should be documented in standard operating procedures, process narratives, and process maps.	2 years 10 months 23 days
<b>Rec. B.1.d.4:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to make the necessary corrections to the Defense Department Reporting System to collect and reconcile data so that the DoD can report all Security Assistance Account balances in the DoD Agency Financial Report.	2 years 10 months 23 days
<b>Rec. B.1.d.6:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service–Indianapolis Director to improve the management of overaged transactions so that the data and supporting documentation is readily available in a single location.	2 years 10 months 23 days

**Report No. DODIG-2020-090, “Evaluation of the Department of Defense Regional Centers for Security Studies,” June 10, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director develop and implement a plan to execute its executive agent responsibilities over the Regional Centers’ travel program, as required by DoD Directive 5200.41E.	1 year 9 months 21 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Directors of the Regional Centers for Security Studies develop an inspections process to verify that their travel programs comply with DoD regulations.*	1 year 9 months 21 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Directors of the Regional Centers for Security Studies develop an inspections process to verify that their travel programs comply with DoD regulations.*	1 year 9 months 21 days

## APPENDIX A

**Defense Security Cooperation Agency – Report No. DODIG-2020-090 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> The DoD OIG recommended that the Directors of the Regional Centers for Security Studies develop an inspections process to verify that their travel programs comply with DoD regulations.*	1 year 9 months 21 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Directors of the Regional Centers for Security Studies develop an inspections process to verify that their travel programs comply with DoD regulations.*	1 year 9 months 21 days
<b>Rec. B.2:</b> The DoD OIG recommended that the Directors of the Regional Centers for Security Studies develop an inspections process to verify that their travel programs comply with DoD regulations.*	1 year 9 months 21 days

\* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

**Report No. DODIG-2020-121, “Evaluation of Department of Defense Enhanced End-Use Monitoring for Equipment Transferred to the Government of Ukraine,” August 27, 2020 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director withhold her recommendation that Ukraine be eligible to receive more night vision devices until the Ukrainian Armed Forces begin providing loss reports in a timely manner, as described under the terms of the Letters of Offer and Acceptance.	1 year 7 months 4 days
<b>Rec. A.3:</b> The DoD OIG recommended that the Defense Security Cooperation Agency Director, in coordination with the Commanding General of U.S. Army Security Assistance Command, develop a process to permanently mark serial numbers on each Night Vision Device to avoid serial number stickers that, with use, can become detached from the device or become illegible.	1 year 7 months 4 days

**Report No. DODIG-2021-102, “Audit of the DoD’s Management of Global Train and Equip Program Resources Provided to U.S. Africa Command Partner Nations,” July 21, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the Director of the Defense Security Cooperation Agency update the Security Assistance Management Manual to include explicit language describing the combatant command’s responsibility for oversight of the Security Cooperation Officers’ transfer documentation in the Security Cooperation Information Portal. The update should also include explicit language describing the frequency with which combatant commands should review the Security Cooperation Officer’s transfer and routine and enhanced end-use monitoring documentation in the Security Cooperation Information Portal.	8 months 10 days

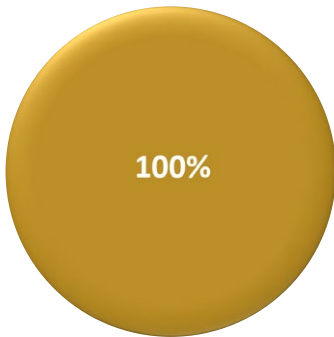
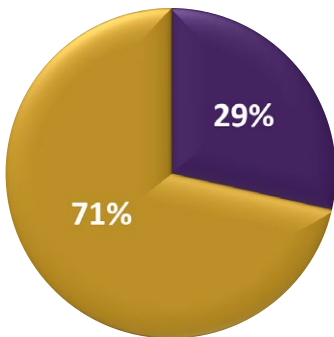
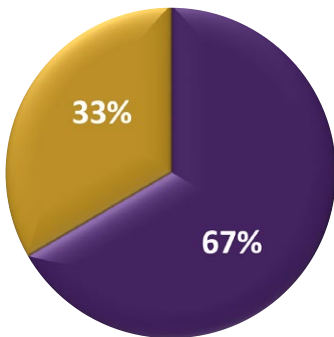
## APPENDIX A


**Defense Security Cooperation Agency – Report No. DODIG-2021-102 (cont'd)**


Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. 2.b:</b> The DoD OIG recommended that the Director of the Defense Security Cooperation Agency update the Security Assistance Management Manual to include objective criteria for assessing Security Cooperation Officer compliance with Security Assistance Management Manual transfer and routine and enhanced documentation requirements during compliance assessment visits and develop a system to follow up to ensure identified deficiencies in Security Cooperation Officer performance are corrected in a timely manner.</p>	8 months 10 days
<p><b>Rec. 2.c:</b> The DoD OIG recommended that the Director of the Defense Security Cooperation Agency determine whether it is feasible for U.S. Africa Command Security Cooperation Officers to provide mutual support for enhanced end-use monitoring inventories of deployed equipment. For example, if Partner Nation 1's equipment designated for enhanced end-use monitoring is deployed to Partner Nation 2 for an extended period, the Security Cooperation Officers supporting Partner Nation 2 could perform enhanced End-Use Monitoring on behalf of the Security Cooperation Officers for Partner Nation 1. If the Defense Security Cooperation Agency determines that it is feasible for U.S. Africa Command Security Cooperation Officers to support one another in performing enhanced end-use monitoring of deployed equipment, the Defense Security Cooperation Agency should provide guidance to the Director, U.S. Africa Command, Strategy, Engagement and Programs (J-5), for implementation across the approximately 49 Offices of Security Cooperation supporting U.S. Africa Command partner nations.</p>	8 months 10 days

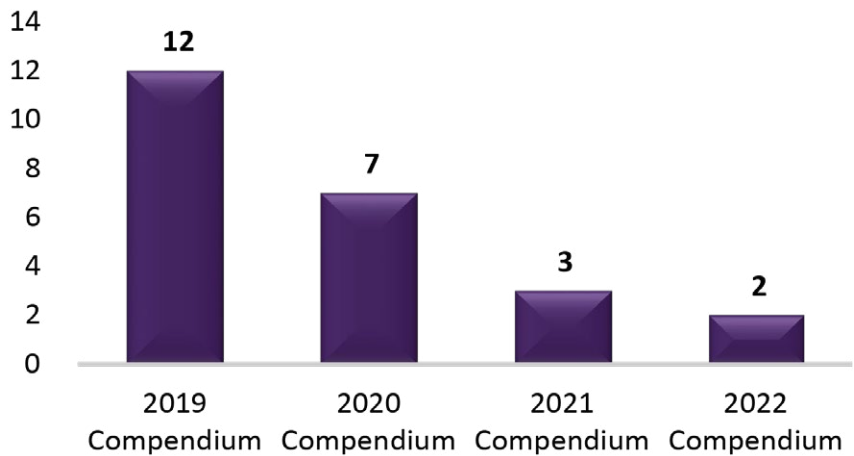


## APPENDIX A

*Statistics – Missile Defense Agency***Recommendations from  
2019 Compendium****Recommendations from  
2020 Compendium****Recommendations from  
2021 Compendium**

 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

**Open Recommendations**


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Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

**Missile Defense Agency**

Report No. DODIG-2019-076, "Evaluation of Missile Defense Agency's, Pentagon Force Protection Agency's, and Defense Commissary Agency's, Use of Their Authorities to Conduct Counterintelligence Inquiries," April 16, 2019 (Full Report is Classified)

(CUI) Recommendation Number and Text	Age of Recommendation on 3/31/22
<del>(CUI)</del> Rec. A.1.b: The DoD OIG recommended that the [REDACTED] [REDACTED]	2 years 11 months 15 days
<del>(CUI)</del> Rec. A.1.c: The DoD OIG recommended that the Director of the [REDACTED] [REDACTED]	2 years 11 months 15 days
(CUI)	

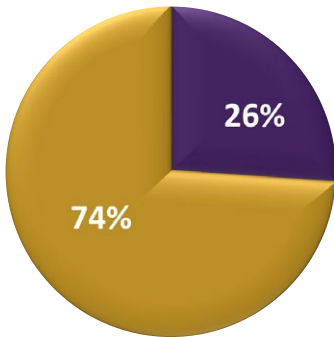




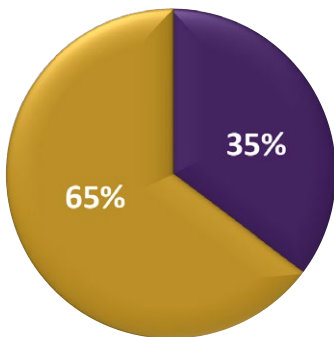
## APPENDIX A

### Statistics – Defense Finance and Accounting Service

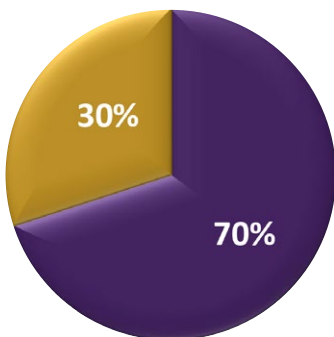
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

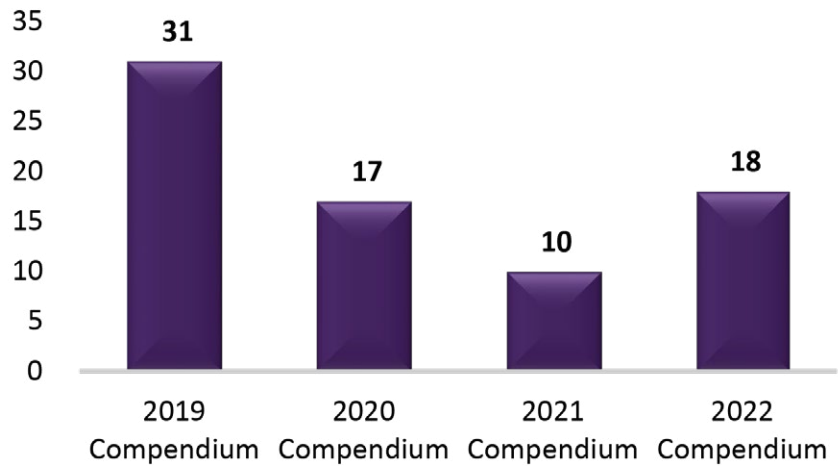


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	8
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Number of Recommendations Open Longer than 5 Years	2
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Potential Monetary Benefits	–
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## APPENDIX A

***Defense Finance and Accounting Service***

**Report No. DODIG-2016-126, "Improvements Needed In Managing the Other Defense Organizations' Suspense Accounts," August 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus develop an estimate using relevant, sufficient, and reliable information to record the consolidated Other Defense Organizations' suspense account balances on the individual Other Defense Organizations' financial statements.	5 years 7 months 6 days

**Report No. DODIG-2017-015, "Application Level General Controls for the Defense Cash Accountability System Need Improvement," November 10, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.c.1:</b> The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, develop and implement procedures to require Information System Security Officers to comply with the certification requirements established in DoD Manual 8570.01-M, "Information Assurance Workforce Improvement Program."	5 years 4 months 21 days

**Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a.1:</b> The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis improve the Cash Management Report process to produce one consolidated Cash Management Report that reports all the Other Defense Organizations financial activity.	3 years 10 months 8 days
<b>Rec. 3.a.3:</b> The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis improve the Cash Management Report process to produce one consolidated Cash Management Report that supports all Treasury Index 97 Fund Balance With Treasury account reconciliations.	3 years 10 months 8 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis document the updated Cash Management Reporting process for all Treasury Index 97 Fund Balance With Treasury accounts in process maps and process narratives.	3 years 10 months 8 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis Revise Standard Operating Procedure, "Cash Management Report Summary-Level Preparation," September 2015, to include adequate procedures that require a reconciliation of all Treasury Index 97 Fund Balance With Treasury accounts in the Cash Management Report with all the Treasury Index 97 Fund Balance With Treasury accounts in the Central Accounting and Reporting System.	3 years 10 months 8 days

## APPENDIX A

***Defense Finance and Accounting Service (cont'd)***

**Report No. DODIG-2021-024, "Audit of the Accuracy of the Improper Payment Estimates Reported for Mechanization of Contract Administration Services System," November 12, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3.a:</b> The DoD OIG recommended that the Deputy Director of Enterprise Audit Support and Compliance for the Defense Finance and Accounting Service, in coordination with the Director of Accounting Operations for the Defense Finance and Accounting Service-Columbus develop and implement a post-pay review process that reviews for propriety to the certified voucher in accordance with the Post-Pay Review for Commercial Pay standard operating procedures.	1 year 4 months 19 days

**Report No. DODIG-2021-080, "Audit of the Department of Defense Compliance in FY 2020 With Improper Payment Reporting Requirements," May 7, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 3:</b> The DoD OIG recommended that the Deputy Director of Enterprise Audit Support and Compliance for the Defense Finance and Accounting Service document the process used to reconcile the gross pay amounts for FY 2021 to ensure consistency and transparency within the process.	10 months 24 days

**Report No. DODIG-2021-095, "Audit of Accounting Corrections on the SF 1081," June 25, 2021**

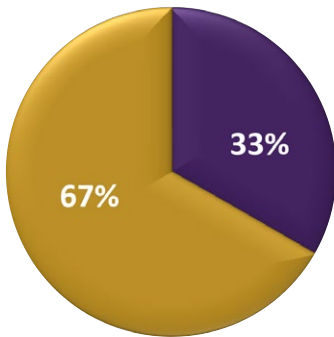
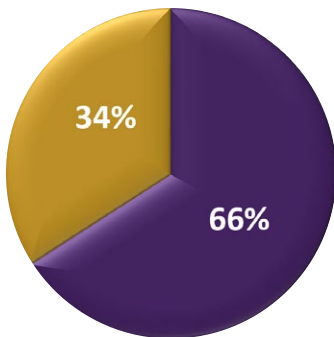
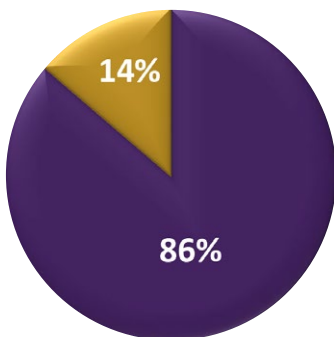
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.a:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service design and implement internal controls in the Defense Finance and Accounting Service Transaction Interface Module to ensure that transactions are created, stored, and cataloged in a complete and accurate manner. The Director should document the controls in standard operating procedures, process narratives, and process maps.	9 months 6 days
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service develop and implement functional area training to ensure that staff can correctly extract data from the Standard Contract Reconciliation Tool for management and other stakeholders.	9 months 6 days
<b>Rec. B.2.a:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service perform a comprehensive review of the Defense Finance and Accounting Service commercial pay processes to identify procedures that rely on manual inputs and implement automated solutions, when feasible.	9 months 6 days
<b>Rec. B.2.b:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service develop, implement, and document compensating and preventative internal controls, when automated solutions are not feasible, to ensure that errors are identified before the transactions are processed.	9 months 6 days


## APPENDIX A


**Defense Finance and Accounting Service – Report No. DODIG-2021-095 (cont'd)**

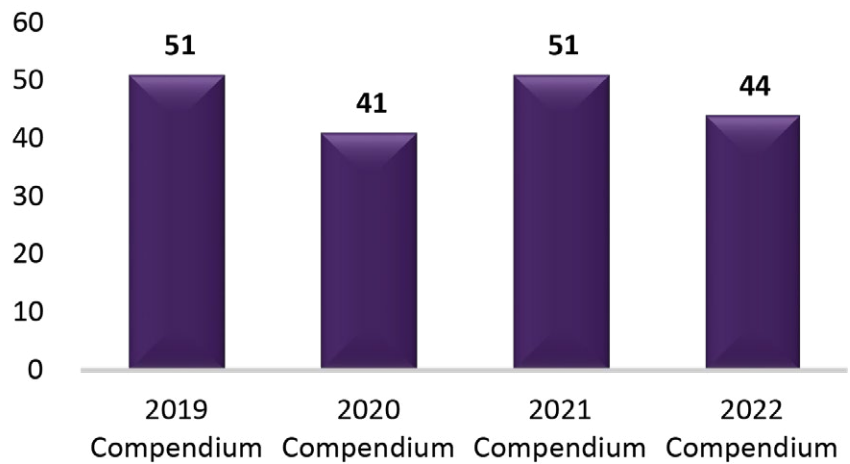
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2.c:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service implement procedures to inform DoD Component management when the Component is charged for Defense Finance and Accounting Service errors.	9 months 6 days
<b>Rec. B.2.d:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service revise the “Mechanization of Contract Administration Services Accounts Receivable Posting Collections” standard operating procedures to require technicians to deposit all identified collections to the appropriate account at the point of receipt.	9 months 6 days
<b>Rec. B.2.e:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service make the necessary corrections to the Defense Finance and Accounting Service Transaction Interface Module to post intra governmental transactions to the correct account at the point of receipt.	9 months 6 days
<b>Rec. B.2.f:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service identify other processes or systems that may be using suspense accounts to record known transactions and implement corrective actions, to include updating applicable process documentation, as appropriate.	9 months 6 days
<b>Rec. B.2.g:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service revise the Mechanization of Contract Administration Services Accounts Receivable Posting Collections standard operating procedures to include: <ol style="list-style-type: none"> <li>1. Steps to verify that the vendor refund is accurate before processing an SF 1081 transaction.</li> <li>2. Steps to coordinate the refund with the DoD Component responsible for the contract.</li> <li>3. A process map that depicts the updated collection process.</li> </ol>	9 months 6 days
<b>Rec. B.2.h:</b> The DoD OIG recommended that the Director of the Defense Finance and Accounting Service, once the revisions in Recommendation B.2.g are implemented, review and sign the updated “Mechanization of Contract Administration Services Accounts Receivable Posting Collections” standard operating procedures. Defense Finance and Accounting Service leadership should review the standard operating procedures annually.	9 months 6 days

## APPENDIX A

**Statistics – Defense Contract Management Agency****Recommendations from  
2019 Compendium****Recommendations from  
2020 Compendium****Recommendations from  
2021 Compendium**

 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

**Open Recommendations**


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Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	1
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Potential Monetary Benefits	\$614 million
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## APPENDIX A

**Defense Contract Management Agency**

**Report No. DODIG-2014-077, “Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case,” May 30, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. F:</b> The DoD OIG recommended that the Defense Contract Management Agency Director take the following actions in a timely manner to ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 resulting from the contractor’s use of a cost accounting practice determined by the DCMA to be noncompliant with CAS 418 on June 5, 2006:</p> <ol style="list-style-type: none"> <li>1. if legally required, make a second determination of compliance or noncompliance in accordance with FAR 30.605(b)(3)(ii);</li> <li>2. if legally required, notify the contractor of this determination in accordance with FAR 30.605(b)(3)(iii);</li> <li>3. make a determination of materiality in accordance with the requirements of FAR 30.605(b)(4);</li> <li>4. in making the decision on materiality as required by FAR 30.605(b)(4), abide by the decision of the United States Court of Appeals for the Federal Circuit and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts;</li> <li>5. follow the procedures in paragraphs (c) through (h) of FAR 30.605 to correct the noncompliant cost accounting practice;</li> <li>6. when evaluating a general dollar magnitude proposal (FAR 30.605(d)) or a detailed cost impact proposal (FAR 30.605(f)), abide by the decision of the Court and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts;</li> <li>7. obtain a legal counsel opinion regarding the applicability, if any, of the requirement in the Contracts Disputes Act that the government submit a claim to the contractor within 6 years after the accrual of the claim and how this may impact the U.S. Government’s ability to recover any increased costs paid since 2005; and</li> <li>8. provide semiannual updates to the DoD OIG Assistant Inspector General, Audit Policy &amp; Oversight, until all recommendations have been implemented.*</li> </ol>	<p>7 years 10 months 1 day</p>

\* The agreed-upon corrective actions have been completed by DoD management; however, the Department’s recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

**Report No. DODIG-2018-110, “Defense Contract Management Agency’s Information Technology Contracts,” April 25, 2018**

## APPENDIX A

*Defense Contract Management Agency (cont'd)*

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a.1:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop contract performance work statements for service acquisitions that include performance requirements in terms of defined deliverables, contractor performance objectives and standards, and a quality assurance plan.	3 years 11 months 6 days
<b>Rec. A.1.a.2:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop acquisition plans for all service acquisitions of \$3,000 or more.	3 years 11 months 6 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Defense Contract Management Agency Director develop internal guidance to establish multi-functional teams that include the program manager, contracting officer, contracting officer's representative, finance officer, and legal advisor to plan and manage service acquisitions from when the agency identifies a need for a service through the execution of the contract.	3 years 11 months 6 days
<b>Rec. A.1.f:</b> The DoD OIG recommended that the Defense Contract Management Agency Director immediately identify the mission-critical requirements being met through flexible ordering agreements and award new contracts before the current flexible ordering agreements' periods of performance end.	3 years 11 months 6 days
<b>Rec. A.1.g:</b> The DoD OIG recommended that the Defense Contract Management Agency Director initiate a review of the contracting officers' actions to continue the use of flexible ordering agreements, despite the Government Accountability Office's decision and, as appropriate, initiate management action to hold the officials accountable.	3 years 11 months 6 days
<b>Rec. B.1.a.10:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that only the Defense Contract Management Agency contracting officers or officials with the delegated authority from a contracting officer accept services and approve invoices.	3 years 11 months 6 days
<b>Rec. B.1.a.1:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officer's representatives or contracting officers perform inspections and monitor contractor performance on service contracts.	3 years 11 months 6 days
<b>Rec. B.1.a.2:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officer's representatives complete and submit monthly reports on the contractor's performance and contracting officers review contracting officer's representative monthly reports.	3 years 11 months 6 days

## APPENDIX A

**Defense Contract Management Agency – Report No. DODIG-2018-110 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.a.3:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that either the contracting officer or contracting officer's representative performs reviews or inspections of contractor deliverables before accepting services.	3 years 11 months 6 days
<b>Rec. B.1.a.4:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that the contracting officer or contracting officer's representative determines whether the contractor performed satisfactorily and ensure the work progressed according to the contract before approving invoices.	3 years 11 months 6 days
<b>Rec. B.1.a.5:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that requiring activities nominate qualified contracting officer's representatives for all ongoing service contracts without contracting officer's representatives and for future service contracts prior to the award of the contracts.	3 years 11 months 6 days
<b>Rec. B.1.a.6:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers appoint contracting officer's representatives for all ongoing service contracts without contracting officer's representatives and for future service contracts prior to the award of the contracts.	3 years 11 months 6 days
<b>Rec. B.1.a.7:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers terminate the contracting officer's representative appointment when a contracting officer's representative has unsatisfactory performance, transfers, or retires and appoint a new contracting officer's representative.	3 years 11 months 6 days
<b>Rec. B.1.a.8:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers provide contracting officer's representatives with contract-specific training on contracting officer's representative duties and specific contract terms, conditions, and requirements prior to appointing contracting officer's representatives.	3 years 11 months 6 days
<b>Rec. B.1.a.9:</b> The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that requiring activities develop quality assurance surveillance plans for all service acquisitions in accordance with the DoD Contracting Officer's Representative Handbook and in conjunction with the performance standards contained in the performance work statement.	3 years 11 months 6 days



## APPENDIX A

**Defense Contract Management Agency – Report No. DODIG-2018-110 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.b:</b> The DoD OIG recommended that the Defense Contract Management Agency Director reemphasize the importance of all aspects of the contracting process and provide training on the importance of following established internal controls for Defense Contract Management Agency officials responsible for contract monitoring and administration of information technology service contracts.	3 years 11 months 6 days

**Report No. DODIG-2020-036, “Evaluation of Contracting Officer Actions on Defense Contract Audit Agency Reports that Disclaim an Opinion,” November 26, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Director of the Defense Contract Management Agency require the contracting officers to determine if any of the \$219 million in questioned costs reported by Defense Contract Audit Agency in Report Nos. 6341-2009A10100044 and 1281-2007J10100015 are not allowable according to Federal Acquisition Regulation Part 31, “Contracts with Commercial Organizations.”	2 years 4 months 5 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Director of the Defense Contract Management Agency require the contracting officers to take steps to recoup any portion of the \$219 million that is not allowed on Government contracts.	2 years 4 months 5 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Director of the Defense Contract Management Agency require the contracting officers to review the actions of the contracting officers on Report Nos. 6341-2009A10100044 and 1281-2007J10100015 to determine whether management action is necessary to hold those individuals accountable.	2 years 4 months 5 days

**Report No. DODIG-2020-049, “Evaluation of Defense Contract Management Agency Contracting Officer Actions on Penalties Recommended by the Defense Contract Audit Agency,” January 10, 2020**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Defense Contract Management Agency Director for the 18 audit reports in which the contracting officers did not document adequate rationale as shown in Appendix B, review the contracting officers’ decision to not assess penalties on \$43 million of expressly unallowable costs reported by Defense Contract Audit Agency, to determine whether the costs are expressly unallowable in accordance with Federal Acquisition Regulation 31.205, “Selected Costs,” and subject to penalty in accordance with Federal Acquisition Regulation 42.709, “Penalties for Unallowable Costs.”	2 years 2 months 21 days

# APPENDIX A

## Defense Contract Management Agency – Report No. DODIG-2020-049 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/22
<p><b>Rec. A.1.b:</b> The DoD OIG recommended that the Defense Contract Management Agency Director, based on the results of the review in Recommendation A.1.a, take steps to:</p> <ol style="list-style-type: none"> <li>1. recoup any expressly unallowable costs not previously disallowed; and</li> <li>2. obtain payment from the contractor for any associated penalties due to the Government.</li> </ol>	2 years 2 months 21 days
<p><b>Rec. A.2:</b> The DoD OIG recommended that the Defense Contract Management Agency Director provide training to contracting officers and supervisors on the requirements for identifying unallowable costs and for assessing and waiving penalties, including:</p> <ol style="list-style-type: none"> <li>a. Federal Acquisition Regulation 31.205, “Selected Costs,” and</li> <li>b. Federal Acquisition Regulation 42.709, “Penalties for Unallowable Costs.”</li> </ol>	2 years 2 months 21 days
<p><b>Rec. A.3:</b> The DoD OIG recommended that the Defense Contract Management Agency Director revise Defense Contract Management Agency procedures to require that supervisors document their review comments on the contracting officers’ actions in writing.</p>	2 years 2 months 21 days
<p><b>Rec. B.1.a:</b> The DoD OIG recommended that the Defense Contract Management Agency Director, for the audit reports for which the contracting officers did not correctly calculate penalties and interest as shown in Appendix D, review the contracting officers’ calculation of penalty and interest due to the Government to determine the penalties and interest that should have been collected in accordance with Federal Acquisition Regulation 42.709-1, “General,” and 42.709-4, “Computing Interest.”</p>	2 years 2 months 21 days
<p><b>Rec. B.1.b:</b> The DoD OIG recommended that the Defense Contract Management Agency Director, based on the results of the review in Recommendation B.1.a, take steps to recoup the difference between the penalties and interest that should have been collected in accordance with the Federal Acquisition Regulation and the amounts that were previously collected.</p>	2 years 2 months 21 days
<p><b>Rec. B.2:</b> The DoD OIG recommended that the Defense Contract Management Agency Director provide training to contracting officers in the following areas:</p> <ol style="list-style-type: none"> <li>1. Calculating penalties in accordance with Federal Acquisition Regulation 42.709-1(a)(1)(i), “General.”</li> <li>2. Computing interest in accordance with Federal Acquisition Regulation 42.709-4, “Computing Interest.”</li> </ol>	2 years 2 months 21 days

## APPENDIX A

**Defense Contract Management Agency (cont'd)**

**Report No. DODIG-2021-047, "Evaluation of Department of Defense Contracting Officer Actions on Questioned Direct Costs," January 21, 2021**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the Defense Contract Management Agency divisional contracting officers to reopen the 12 Defense Contract Audit Agency audit reports in the Contract Audit Follow-Up System because the questioned direct costs have not been settled.	1 year 2 months 10 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the Defense Contract Management Agency divisional contracting officers to coordinate the settlement of the questioned direct costs with the contracting officers with settlement responsibility.	1 year 2 months 10 days
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the Defense Contract Management Agency divisional contracting officers to consult with legal counsel for any concerns that the 6-year statute of limitations has expired or may expire soon.	1 year 2 months 10 days
<b>Rec. A.1.d:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the Defense Contract Management Agency divisional contracting officers to explore available remedies for recovering any unallowable direct costs that were reimbursed to the contractor on DoD contracts.	1 year 2 months 10 days
<b>Rec. A.1.e:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the Defense Contract Management Agency divisional contracting officers to close the 12 records in the Contract Audit Follow-up System after all questioned direct costs are settled.	1 year 2 months 10 days
<b>Rec. A.2.a:</b> The DoD OIG recommended that the Defense Contract Management Agency Director review the contracting officers' actions for closing the 12 reports from Tables 1 and 2 in the Contract Audit Follow-up system without settling all questioned direct costs in noncompliance with DoD Instruction 7640.02.	1 year 2 months 10 days
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Defense Contract Management Agency Director, based on the results of the review, take action as appropriate for the noncompliances, such as providing remedial training or initiating management action to hold personnel accountable.	1 year 2 months 10 days
<b>Rec. A.3:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the supervisors of the Defense Contract Management Agency divisional administrative contracting officers responsible for the 12 Defense Contract Audit Agency audit reports to take the training on the requirements for settling questioned direct costs outlined in DoD Instruction 7640.02, "Policy for Follow-up of Contract Audit Reports;" Defense Contract Management Agency Manual 2201-03, "Final Indirect Cost Rates;" and Manual 2201-04, "Contract Audit Follow-Up."	1 year 2 months 10 days

## APPENDIX A

**Defense Contract Management Agency – Report No. DODIG-2021-047 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.4.a:</b> The DoD OIG recommended that the Defense Contract Management Agency Director update Defense Contract Management Agency Manual 2201-03, "Final Indirect Cost Rates," and Manual 2201-04, "Contract Audit Follow-Up," to require that contracting officers use the contracting officer locator form to identify contracting officers responsible for settling questioned direct costs.	1 year 2 months 10 days
<b>Rec. A.4.b:</b> The DoD OIG recommended that the Defense Contract Management Agency Director update Defense Contract Management Agency Manual 2201-03, "Final Indirect Cost Rates," and Manual 2201-04, "Contract Audit Follow-Up," to require that contracting officers and supervisors use the Contract Audit Follow-Up checklist to help ensure that the findings and recommendations related to questioned direct costs have been settled before the record is closed in the Contract Audit Follow-Up system.	1 year 2 months 10 days
<b>Rec. A.5:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require that the Defense Contract Management Agency Office of Inspector General perform internal reviews of divisional administrative contracting officer actions on questioned direct costs for compliance with DoD Instruction 7640.02, "Policy for Follow-up of Contract Audit Reports," and Defense Contract Management Agency Manual 2201-03, "Final Indirect Cost Rates," and Manual 2201-04, "Contract Audit Follow-Up."	1 year 2 months 10 days
<b>Rec. A.6:</b> The DoD OIG recommended that the Defense Contract Management Agency Director conduct a review of the Agency's policy on settling questioned direct costs to clarify the authority of divisional administrative contracting officers to settle questioned direct costs in Defense Contract Audit Agency audit reports.	1 year 2 months 10 days

**Report No. DODIG-2021-056, "Evaluation of Defense Contract Management Agency Actions Taken on Defense Contract Audit Agency Report Findings Involving Two of the Largest Department of Defense Contractors," February 26, 2021 (Full Report is CUI)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a-c:</b> The DoD OIG recommended that the Defense Contract Management Agency Director take the following steps for the eight audit reports for which the contracting officer did not adequately document or adequately explain the reason for disagreeing with the Defense Contract Audit Agency: <ul style="list-style-type: none"> <li>a. reopen the audit report in the Contract Audit Follow-up System until all findings are settled;</li> <li>b. review the contracting officer's decision to not uphold the \$97 million in Defense Contract Audit Agency questioned costs and determine whether the costs are unallowable in accordance with the Federal Acquisition Regulation; and</li> <li>c. disallow and recoup any unallowable costs not previously disallowed.</li> </ul>	1 year 1 month 5 days

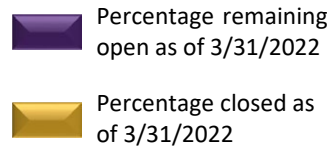
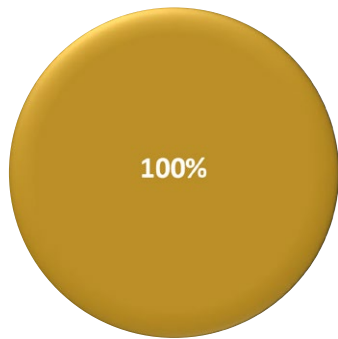
## APPENDIX A

**Defense Contract Management Agency – Report No. DODIG-2021-056 (cont'd)**

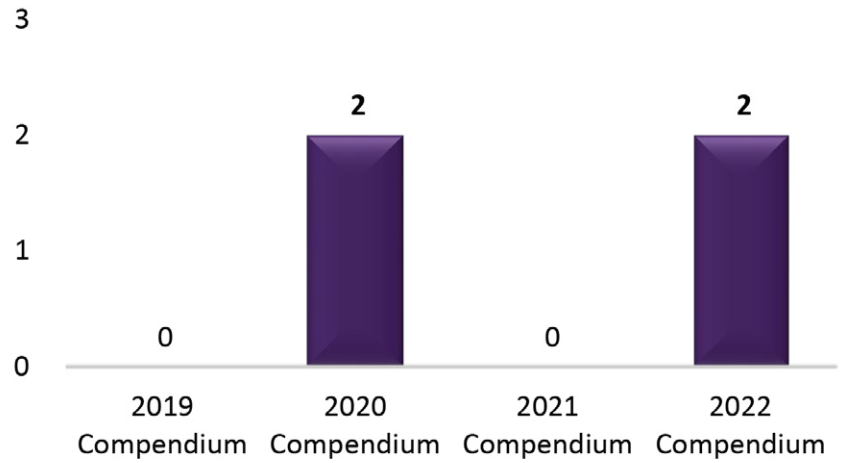
Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> The DoD OIG recommended that the Defense Contract Management Agency Director remind all Defense Contract Management Agency contracting officers in writing of the requirement to issue a notice of potential Cost Accounting Standard noncompliance within 15 days of receipt of a reported Cost Accounting Standard noncompliance, in accordance with Federal Acquisition Regulation 30.605(b)(1) and Defense Contract Management Agency Instruction 108.	1 year 1 month 5 days
<b>Rec. 3.a-c:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the contracting officers for Audit Report Numbers 6631-2016C19200001 and 6631-2016C19200002 to take the following actions in accordance with Federal Acquisition Regulation 30.605 and Defense Contract Management Agency Instruction 108: <ol style="list-style-type: none"> <li>a. Reopen the audit report in the Contract Audit Follow-up System until all findings are settled.</li> <li>b. Make a determination of Cost Accounting Standards compliance (if applicable).</li> <li>c. Based on the results of the review in Recommendation 3.b, take steps to:               <ol style="list-style-type: none"> <li>1. notify the contractor of the compliance determination;</li> <li>2. make a determination on the cost impact; and</li> <li>3. recoup any cost increase to the Government as a result of the noncompliances.</li> </ol> </li> </ol>	1 year 1 month 5 days
<b>Rec. 4:</b> The DoD OIG recommended that the Defense Contract Management Agency Director require the supervisors of the contracting officers for the 14 audit reports listed in Appendix C to receive training on the level of review necessary to provide an effective control for ensuring that contracting officers complete actions appropriately when addressing Defense Contract Audit Agency audit reports.	1 year 1 month 5 days
<b>Rec. 5:</b> The DoD OIG recommended that the Defense Contract Management Agency Director implement a policy which requires contracting officers to retain key documents that support their actions on audit reports in Defense Contract Management Agency's Electronic Document Records Management System.	1 year 1 month 5 days

## Statistics – Washington Headquarters Services

### Recommendations from 2020 Compendium



### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

**Washington Headquarters Services**

Report No. DODIG-2022-057, "Audit of the Office of Net Assessment's Contract Administration Procedures,"  
January 25, 2022

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.2.b:</b> The DoD OIG recommended that the Director of Washington Headquarters Services/Acquisition Directorate provide Washington Headquarters Services/Acquisition Directorate contracting officer's refresher training that includes at minimum contracting officer representative appointment, contract surveillance, contracting officer representative file review requirements and procedures, and proper issuance of contract modifications.	2 months 6 days
<b>Rec. A.2.c:</b> The DoD OIG recommended that the Director of Washington Headquarters Services/Acquisition Directorate direct an Internal Procurement Management Review of Office of Net Assessment contracts administered by his office to ensure compliance with all Federal, DoD, and Washington Headquarters Services regulations.	2 months 6 days

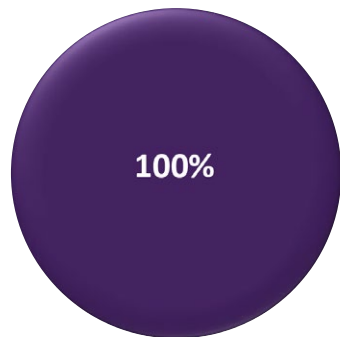



## Statistics – Army and Air Force Exchange Service


### Recommendations from 2020 Compendium



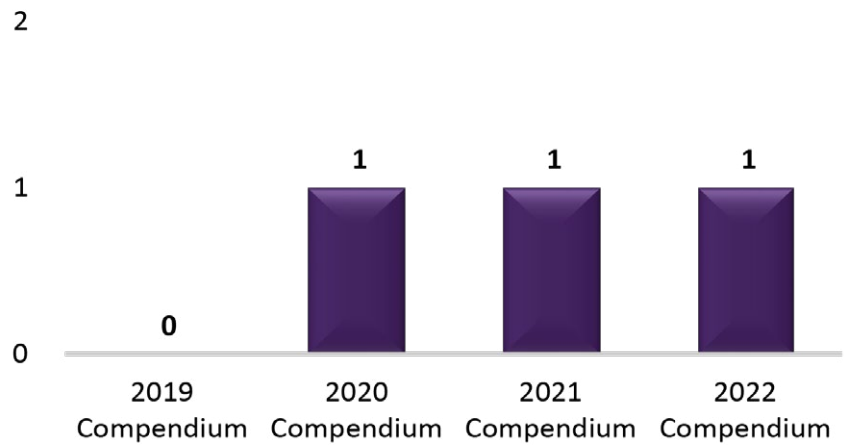
### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	1
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Potential Monetary Benefits	–
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## APPENDIX A

***Army and Air Force Exchange Service***

Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait,"  
June 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.3:</b> The DoD OIG recommended that the Army and Air Force Exchange Service Chief Executive Officer establish a process or identify a resource for DoD contracting officials to obtain definitive guidance on Kuwaiti labor laws that apply to DoD contracts.*	2 years 9 months 20 days

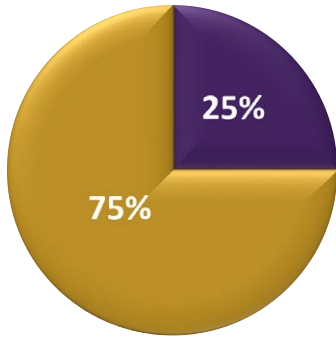
\* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.



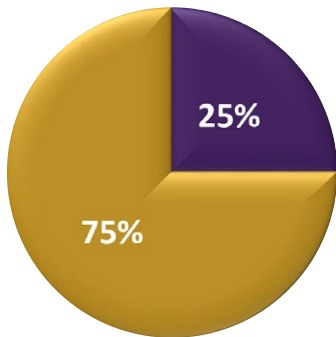
## APPENDIX A

### Statistics – Director, DoD Special Access Program Central Office

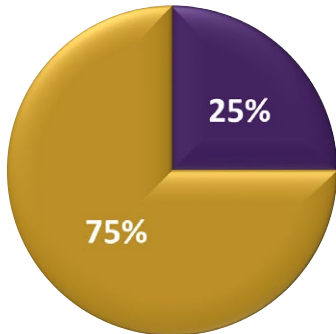
#### Recommendations from 2019 Compendium





#### Recommendations from 2020 Compendium

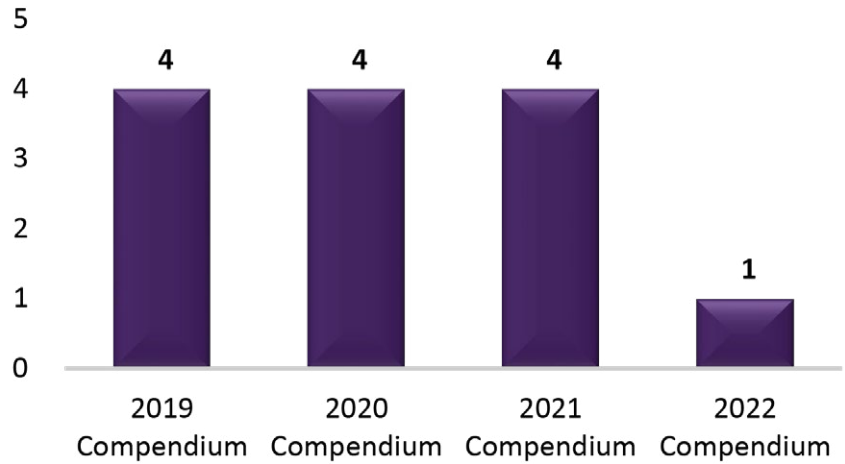


#### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

#### Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

***Director, DoD Special Access Program Central Office***

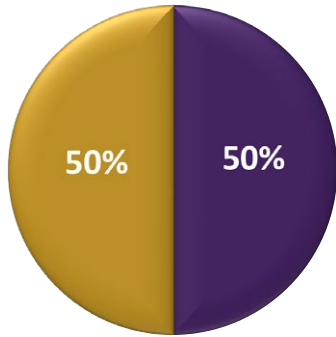
Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019  
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
Rec. 5.c: Recommendation is Classified.	3 years 1 month 20 days

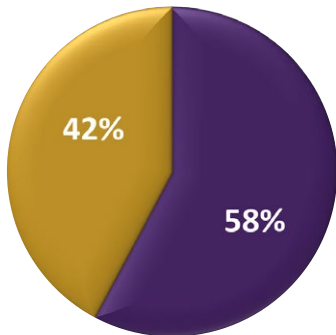


## Statistics – F-35 Joint Program Office

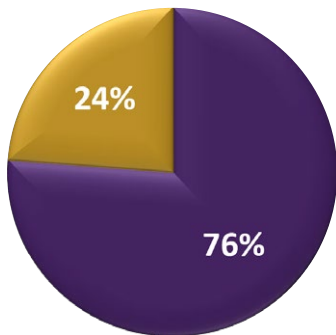
### Recommendations from 2019 Compendium





### Recommendations from 2020 Compendium

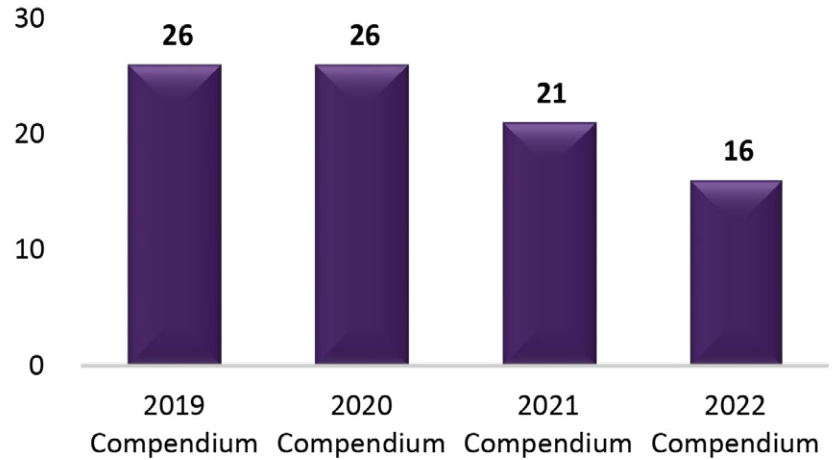


### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

### Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	6
Potential Monetary Benefits	\$2.1 billion

## APPENDIX A

***F-35 Joint Program Office***

**Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information Systems (ALIS)," December 10, 2012 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B:</b> The DoD OIG recommended that the Joint Program Office modify the contract to include security architecture tests for all the systems that affect Autonomic Logistics Information System and any system that processes or maintains government data.	9 years 3 months 21 days
<b>Rec. D.1:</b> The DoD OIG recommended that the F-35 Lightning II Joint Program Office include the Program Security Officer, U.S. Air Force Office of Special Investigations, Air Force and Navy certifying officials in the approval process to ensure special access program requirements are taken into consideration as early as possible.	9 years 3 months 21 days
<b>Rec. D.3:</b> The DoD OIG recommended that the F-35 Lightning II Joint Program Office develop and maintain a listing of all foreign developed software, as well as all documentation of third party testing approvals.	9 years 3 months 21 days
<b>Rec. D.4:</b> The DoD OIG recommended that the F-35 Lightning II Joint Program Office implement and require the use of either the Navy developed checklist or a comparable checklist for software approval.	9 years 3 months 21 days
<b>Rec. D.5:</b> The DoD OIG recommended that the F-35 Lightning II Joint Program Office test the Autonomic Logistics Information System in realistic, deployable settings.	9 years 3 months 21 days

**Report No. DODIG-2015-111, "F-35 Engine Quality Assurance Inspection," April 27, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1:</b> The DoD OIG recommended that the F-35 Joint Program Office ensure that critical safety item contractual requirements and Pratt & Whitney's critical safety item program processes and specifications meet the intent of the Joint Critical Safety Item Instruction and supplemental guidance of the Joint Aeronautical Commanders Group Aviation Critical Safety Item Management Handbook.	6 years 11 months 4 days

**Report No. DODIG-2019-062, "Audit of Management of Government-Owned Property Supporting the F-35 Program," March 13, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> The DoD OIG recommended that the F-35 Program Executive Officer ensure that contracting officers identify and resolve government-furnished property list inaccuracies and incomplete or missing entries before attachment to and award of subsequent contracts.	3 years 18 days

## APPENDIX A

**F-35 Joint Program Office – Report No. DODIG-2019-062 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.c:</b> The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.	3 years 18 days
<b>Rec. 2.d:</b> The DoD OIG recommended that the F-35 Program Executive Officer establish and implement procedures for property officials to continuously input the data required by DoD Instruction 5000.64 in its accountable property system of record.	3 years 18 days
<b>Rec. 3.a:</b> The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, reach an agreement for how to implement processes and procedures to transition F-35 Program contractor-acquired property to Government-furnished property from original contracts to subsequent contracts in accordance with the Defense Federal Acquisition Regulation Supplement.	3 years 18 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, upon completion of Recommendation 3.a, ensure contractor-acquired property procured on past contracts is transitioned to Government-furnished property on contracting actions as required by the Defense Federal Acquisition Regulation Supplement.	3 years 18 days
<b>Rec. 3.c:</b> The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made and upon completion of Recommendation 3.a, ensure the required delivery of contractor-acquired property identified as special tooling or special test equipment for accountability and management purposes as required by the Defense Federal Acquisition Regulation Supplement.	3 years 18 days
<b>Rec. 3.d:</b> The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, provide updates for the accountable property system of record for any Government-furnished property resulting from the actions taken for contractor-acquired property in the previous Recommendations.	3 years 18 days

## APPENDIX A

***F-35 Joint Program Office (cont'd)***

**Report No. DODIG-2019-094, "Audit of F-35 Ready-For-Issue Spare Parts and Sustainment Performance Incentive Fees," June 13, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.3:</b> The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office direct the Lead Contracting Officer's Representative to update the Quality Assurance Surveillance Plan, approve the site surveillance plans, and require the Contracting Officer's Representatives to provide monthly information on contractor performance, including the number of non-Ready-For-Issue spare parts received; the manual processes used by the DoD to correct non-Ready-For-Issue problems; the manual processes used by the F-35 sites to keep aircraft flying when non-Ready-For-Issue spare parts are used and the associated increase in availability hours; and the total F-35 aircraft availability hours.	2 years 9 months 18 days
<b>Rec. A.4:</b> The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office direct the Lead Contracting Officer's Representative to assign Contracting Officer's Representatives to provide oversight at all F-35 sites and collect contractor performance data from the Contracting Officer's Representatives and the Defense Contract Management Agency to identify systemic contractor performance problems.	2 years 9 months 18 days

**Report No. DODIG-2020-123, "Audit of the F-35 Program Office's Beyond Economical Repair Process for Parts," September 4, 2020 (Full Report is FOUO)**


Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.b:</b> The DoD OIG recommended that the F-35 Program Executive Officer direct the F-35 Procurement Contracting Officer to update the current and future sustainment contracts to incentivize repair of repairable spare parts within specific timeframes that have been negotiated with the contractor.	1 year 6 months 27 days




## Statistics – Armed Forces Retirement Home

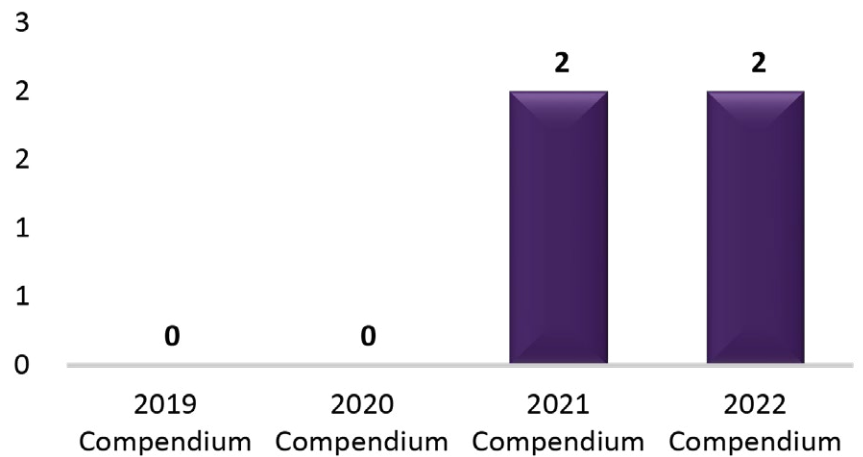
### Recommendations from 2021 Compendium



 Percentage remaining open as of 3/31/2022

 Percentage closed as of 3/31/2022

### Open Recommendations




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Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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## APPENDIX A

**Armed Forces Retirement Home****Report No. DODIG-2014-093, "Inspection of the Armed Forces Retirement Home," July 23, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 53.b:</b> The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, under the authority given to the Secretary of Defense in section 411(d)(3), title 24, United States Code, issue a directive-type memorandum for immediate action (followed by a revision of Department of Defense Instruction 1000.28, "Armed Forces Retirement Home," February 1, 2010) to codify the results from Recommendation 53.a.*	7 years 8 months 8 days

\* Responsibility for implementing Recommendation 53.b was transferred from DoD CMO to AFRH.

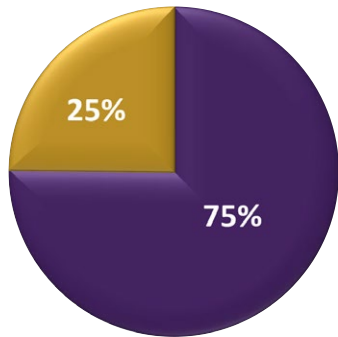
**Report No. DODIG-2018-077, "Financial Management and Contract Award and Administration for the Armed Forces Retirement Home," February 21, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.c:</b> The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, quantify the impact each major capital project has on the Armed Forces Retirement Home Trust Fund balance and describe the effects on the resident population of the Armed Forces Retirement Home. In addition, the Deputy Chief Management Officer should establish a threshold in which it considers a capital project to be a major capital project and require that the Armed Forces Retirement Home detail how the major capital project risks will be isolated, minimized, monitored, and controlled to prevent problems associated with investment cost, schedule, and performance.*	4 years 1 month 10 days

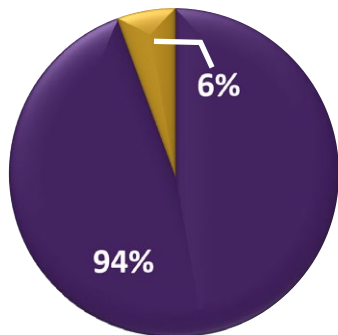
\* Responsibility for implementing Recommendation A.1.c was transferred from DoD CMO to AFRH.

## Statistics – Classified

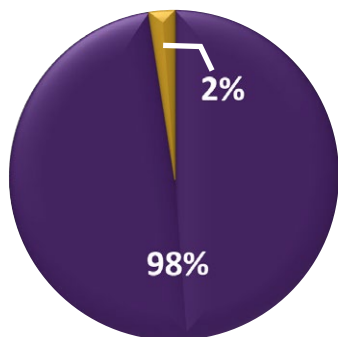
**Recommendations from  
2019 Compendium**





**Recommendations from  
2020 Compendium**

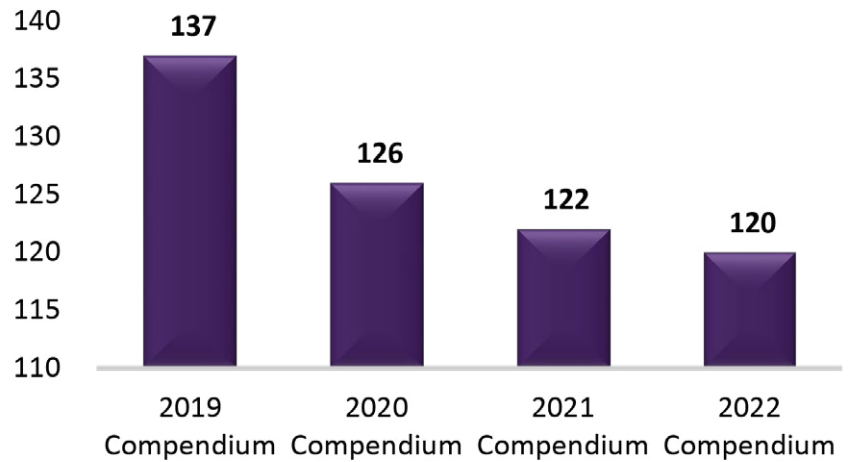


**Recommendations from  
2021 Compendium**



 Percentage remaining open as of 3/31/2022  
 Percentage closed as of 3/31/2022

**Open Recommendations**



Number of Unresolved Recommendations	6
Number of Recommendations Open Longer than 5 Years	50
Potential Monetary Benefits	–

## APPENDIX A

**Classified**

Report No. DODIG-2015-057, "Audit of a Classified Program," December 19, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/22
Rec. A.2.1: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.2.2: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.2.3: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.2.4: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.2.5: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.3.1: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.3.2: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.3.3: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.3.4: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.1: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.2: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.3: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.4: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.5: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.6: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.7: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.8: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.9: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.10: Recommendation is Classified.	7 years 3 months 12 days
Rec. A.4.11: Recommendation is Classified.	7 years 3 months 12 days
Rec. B.1.1: Recommendation is Classified.	7 years 3 months 12 days
Rec. B.1.2: Recommendation is Classified.	7 years 3 months 12 days
Rec. B.1.3: Recommendation is Classified.	7 years 3 months 12 days
Rec. B.1.4: Recommendation is Classified.	7 years 3 months 12 days
Rec. B.1.5: Recommendation is Classified.	7 years 3 months 12 days
Rec. B.1.6: Recommendation is Classified.	7 years 3 months 12 days
Rec. B.1.7: Recommendation is Classified.	7 years 3 months 12 days
Rec. B.1.8: Recommendation is Classified.	7 years 3 months 12 days

## APPENDIX A

**Classified – Report No. DODIG-2015-057 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.1.9:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.10:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.11:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.12:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.13:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.1.14:</b> Recommendation is Classified.	7 years 3 months 12 days
<b>Rec. B.2:</b> Recommendation is Classified.	7 years 3 months 12 days

**Report No. DODIG-2015-065, “Evaluation of the Defense Sensitive Support Program,” January 5, 2015  
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. C.2.b.1:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.1.a:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.1.b:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.2.a:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.2.b:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. A.2.c:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. B.1.a:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. B.1.b:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. B.1.c:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. B.2:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.1.a:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.1.b:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.2.b.2:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.2.b.3:</b> Recommendation is Classified.	7 years 2 months 26 days
<b>Rec. C.2.b.4:</b> Recommendation is Classified.	7 years 2 months 26 days

## APPENDIX A

**Classified (cont'd)**

**Report No. DODIG-2018-037, "Evaluation of the Long Range Strike-Bomber Program Security Controls,"  
December 1, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. A.1.b:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. A.2.a:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. A.2.b:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. B.1.a:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. B.1.b:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. B.1.c:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. B.1.d:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. B.1.e:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. B.1.f:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. C.1.a:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. C.1.b:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. C.1.c:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. C.1.d:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. C.1.d.1:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. C.1.d.2:</b> Recommendation is Classified.	4 years 3 months 30 days
<b>Rec. C.1.e:</b> Recommendation is Classified.	4 years 3 months 30 days

**Report No. DODIG-2018-047, "Follow-up to Under Secretary of Defense for Intelligence Evaluation,"  
December 18, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. B.2:</b> Recommendation is Classified.	4 years 3 months 13 days
<b>Rec. B.3:</b> Recommendation is Classified.	4 years 3 months 13 days
<b>Rec. B.6:</b> Recommendation is Classified.	4 years 3 months 13 days
<b>Rec. B.7:</b> Recommendation is Classified.	4 years 3 months 13 days

## APPENDIX A

**Classified (cont'd)**

**Report No. DODIG-2018-057, "The [Redacted] Financial Statement Compilation Adjustments and Information Technology Corrective Action Plan Validation Process," December 21, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. A.1.a:</b> The DoD OIG recommended that the [Redacted] Comptroller develop policies and implement procedures to ensure that Journal Vouchers contain valid General Ledger accounts, accurate financial information, and required approvals; include adequate documentation; and reflect correct dollar amounts.	4 years 3 months 10 days
<b>Rec. A.1.b:</b> The DoD OIG recommended that the [Redacted] Comptroller implement quality control review procedures in accordance with the DoD Financial Management Regulation.	4 years 3 months 10 days

**Report No. DODIG-2019-034, "Security Controls at DoD Facilities for Protecting Ballistic Missile Defense System Technical Information," December 10, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to using multifactor authentication.*	3 years 3 months 21 days
<b>Rec. 1.a:</b> The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to using multifactor authentication.*	3 years 3 months 21 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to mitigating vulnerabilities in a timely manner.*	3 years 3 months 21 days
<b>Rec. 1.b:</b> The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to mitigating vulnerabilities in a timely manner.*	3 years 3 months 21 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to securing server racks.*	3 years 3 months 21 days
<b>Rec. 1.c:</b> The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to securing server racks.*	3 years 3 months 21 days

## APPENDIX A

**Classified – Report No. DODIG-2019-034 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.d:</b> The DoD OIG recommended that the ████████ develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to protecting and monitoring data on removable media.	3 years 3 months 21 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the ████████ develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing intrusion detection controls.*	3 years 3 months 21 days
<b>Rec. 1.e:</b> The DoD OIG recommended that the ████████ develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing intrusion detection controls.*	3 years 3 months 21 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the ████████ develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to requiring and maintaining justifications for accessing networks.*	3 years 3 months 21 days
<b>Rec. 1.f:</b> The DoD OIG recommended that the ████████ develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to requiring and maintaining justifications for accessing networks.*	3 years 3 months 21 days
<b>Rec. 1.g:</b> The DoD OIG recommended that the ████████ develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing physical security controls.	3 years 3 months 21 days
<b>Rec. 2.a:</b> The DoD OIG recommended that the ████████ enforce the use of multifactor authentication to access systems that process, store, and transmit ballistic missile defense system technical information, or obtain a waiver that exempts the networks from using multifactor authentication.	3 years 3 months 21 days
<b>Rec. 2.c:</b> The DoD OIG recommended that the ████████ develop and implement a process for identifying individuals who are authorized to use removable media on their networks and systems as well as procedures for monitoring the type and volume of data transferred to and from removable media.	3 years 3 months 21 days
<b>Rec. 2.e:</b> The DoD OIG recommended that the ████████ develop plans of action and milestones and take appropriate and timely steps to mitigate known vulnerabilities.	3 years 3 months 21 days
<b>Rec. 2.g:</b> The DoD OIG recommended that the ████████ require facility security or maintenance personnel to physically verify, at least daily, that entry and exit doors operate as intended.	3 years 3 months 21 days
<b>Rec. 2.h:</b> The DoD OIG recommended that the ████████ require data center managers to develop and implement procedures to secure server racks, validate that the racks remain locked, and control keys to the server racks.	3 years 3 months 21 days

## APPENDIX A

**Classified – Report No. DODIG-2019-034 (cont'd)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.i:</b> The DoD OIG recommended that the [REDACTED] require written justification as a condition for obtaining access to all networks and systems that process, store, and transmit ballistic missile defense system technical information.	3 years 3 months 21 days
<b>Rec. 2.j:</b> The DoD OIG recommended that the [REDACTED] maintain access request forms for all users with access to networks and systems that contain ballistic missile defense system technical information and verify, at least annually, the continued need for access.	3 years 3 months 21 days
<b>Rec. 3.b:</b> The DoD OIG recommended that the Chief Information Officer for the [REDACTED] implement intrusion detection capabilities on networks that maintain ballistic missile defense system technical information.	3 years 3 months 21 days
<b>Rec. 4.a:</b> The DoD OIG recommended that the Chief Information Officers for the [REDACTED] encrypt ballistic missile defense system technical information stored on removable media.	3 years 3 months 21 days
<b>Rec. 4.b:</b> The DoD OIG recommended that the Chief Information Officers for the [REDACTED] develop and implement a process for identifying individuals who are authorized to use removable media on their networks and systems as well as procedures for monitoring the type and volume of data transferred to and from removable media.*	3 years 3 months 21 days
<b>Rec. 4.b:</b> The DoD OIG recommended that the Chief Information Officers for the [REDACTED] develop and implement a process for identifying individuals who are authorized to use removable media on their networks and systems as well as procedures for monitoring the type and volume of data transferred to and from removable media.*	3 years 3 months 21 days
<b>Rec. 4.c:</b> The DoD OIG recommended that the Chief Information Officers for the [REDACTED] assess existing security camera placements to identify gaps in security coverage and install security cameras with [Redacted] to monitor personnel movements throughout their facilities.	3 years 3 months 21 days
<b>Rec. 5.a:</b> The DoD OIG recommended that the Chief Information Officer for the [REDACTED] require data center managers to develop and implement procedures to secure server racks, validate that the racks remain locked, and control keys to the server racks.	3 years 3 months 21 days
<b>Rec. 5.b:</b> The DoD OIG recommended that the Chief Information Officer for the [REDACTED] require written justification as a condition for obtaining access to all networks and systems that process, store, and transmit ballistic missile defense system technical information.	3 years 3 months 21 days

\* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.



## APPENDIX A

**Classified (cont'd)**

**Report No. DODIG-2019-054, "Evaluation of Special Access Programs Industrial Security Program,"  
February 11, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> Recommendation is Classified.	3 years 1 month 20 days
<b>Rec. 2.b:</b> Recommendation is Classified.	3 years 1 month 20 days
<b>Rec. 5:</b> Recommendation is Classified.	3 years 1 month 20 days
<b>Rec. 6.a:</b> Recommendation is Classified.	3 years 1 month 20 days

**Report No. DODIG-2020-029, "Audit of a Classified Program," November 13, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 1.a:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 1.b:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 1.c:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 2.a:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 2.b:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 2.c:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 3.a:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 3.b:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 3.c:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 4.c:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 4.d:</b> Recommendation is Classified.	2 years 4 months 18 days
<b>Rec. 4.e:</b> Recommendation is Classified.	2 years 4 months 18 days

## APPENDIX A

***Classified (cont'd)***

**Report No. DODIG-2020-039, "Combatant Command Integration of Space Operations Into Military Deception Plans," December 13, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2.a:</b> Recommendation is Classified.	2 years 3 months 18 days
<b>Rec. 2.b:</b> Recommendation is Classified.	2 years 3 months 18 days
<b>Rec. 3:</b> Recommendation is Classified.	2 years 3 months 18 days
<b>Rec. 4:</b> Recommendation is Classified.	2 years 3 months 18 days

**Report No. DODIG-2022-075, "Evaluation of the Office of Net Assessment," March 25, 2022 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/22
<b>Rec. 2:</b> Recommendation is Classified.	6 days

## APPENDIX B

### Open Recommendations From Secret Reports

This appendix contains information about 84 open recommendations made in 17 Secret reports. For access, please email [RFUNET@dodig.smil.mil](mailto:RFUNET@dodig.smil.mil).

## Open Recommendations From Top Secret Reports

This appendix contains information about 26 classified open recommendations made in three reports. For access, please email [RFUNET@dodig.smil.mil](mailto:RFUNET@dodig.smil.mil).

## APPENDIX D

### Other Classification Open Recommendations

This appendix contains information about 91 other classified open recommendations made in nine reports. For access, please email [RFUNET@dodig.smil.mil](mailto:RFUNET@dodig.smil.mil).

INTEGRITY ★ INDEPENDENCE ★ EXCELLENCE



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