presidential documents

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[3195-01]

Title 3—The President

Executive Order 12065

June 28, 1978

National Security Information

By the authority vested in me as President by the Constitution and laws of the United States of America, in order to balance the public's interest, in access to Government information with the need to protect certain national security information from disclosure, it is hereby ordered as follows

TABLE OF CONTENTS

્ર જાહેં.	मा - दे च्या ने व्यक्ति हैं है	[ER page]
SECTION 1	ORIGINAL CLASSIFICATION	[Lit page]
1-1	Classification Designation	[28950]
1-2 1-3		[28950]
1-3	Classification Requirements	[28951]
1-4	Duration of Class fication	[28952]
1–5	Identification and Markings	[28952]
1-6	Prohibitions	[28953]
SECTION 2	DERIVATIVE CLASSIFICATION	
2-1	Use of Derivative Classification	[28953]
2-2	**Classification Guides .	[28953]
2-3	New Material	[28954]
SECTION 3	DECLASSIFICATION AND DOWNGRADING	€
3-1	Declassification Authority	[28954]
3-2	Transferred Information	[28954]
3-3	Declassification Policy	[28955]
3-4	Systematic Review for Declassification	[28955]
3-5	Mandatory Review for Declassification	[28956]
3-6	Downgrading '	[28957]
SECTION 4	Sifeguarding	-
4-I	General Restrictions	[28957]
4-2	Special Access Programs	[28957]
4-3	Access by Historical Researchers and Former Presidential Appointees	[28958]
4-4	Reproduction Controls	[28958]
SECTION 5	IMPLEMENTATION AND REVIEW	·
5-1	Oversight	[28950]
5-2	Information Security Oversight Office	[28959]
5-3	Interagency Information Security Committee	[28960]
5-4	General Responsibilities	[28960]
5-5	Administrative Sanctions	[28961]
SECTION 6	GENTRAL PROVISIONS -	
6-1	Definitions	[28961]
6-2	General	[28961]

3984041

SECTION 1. ORIGINAL CLASSIFICATION

1-1. Classification Designation

1-101'-Except as provided in the Atomic Energy Act of 1954, as amended, this Order provides the only basis for classifying information. Information may be classified in one of the three designations listed below. If there is reasonable doubt which designation is appropriate, or whether the information should be classified at all, the less restrictive designation should be used, or the information should not be classified

1-102. "Top Secret" shall be applied only to information, the unauthorized disclosure of which reasonably could be expected to cause exceptionally grave damage to the national security

1-103. "Secret" shall be applied only to information, the unauthorized disclosure of which reasonably could be expected to cause serious damage to

the national security. 1-104 Confidential" shall be applied to information, the unauthorized disclosure of which reasonably could be expected to cause identifiable damage to the rational security.

1-2. Classification Authority:

73.1-201-Top Secret. Authority for original classification of information as Top Secret may be exercised only by the President, by such officials as the President may designate by publication in the FEDERAL REGISTER, by the agency heads listed below, and by officials to whom such authority is delegated in accordance with Section 1-204.

The Secretary of the Treasury

The Secretary of Defense

The Secretary of the Army

The Secretary of the Navy
The Secretary of the Air Force
The Attorney General
The Secretary of Energy
The Chairman, Nuclear Regulatory Commission

The Director, Arms Control and Disarmament Agency

The Director of Central Intelligence

FThe Administrator, National Aeronautics and Space Administration

The Administrator of General Services (delegable only to the Director, Federal Preparedness Agency and to the Director, Information Security Oversight Office) with the same of the same of

1-202. Secret Authority for original classification of information as Secret may be exercised only by such officials as the President may designate by publication in the FEDERAL RECISTER, by the agency heads listed below, byofficials who have Top Secret classification authority, and by officials to whom such authority is delegated in accordance with Section 1-204

The Secretary of Commerce

The Secretary of Transportation

The Administrator, Agency for International Development

The Director, International Communication Agency

1-203 Confidential. Authority for original classification of information as Confidential may be exercised only by such officials as the President may designate by publication in the FEDERAL REGISTER, by the agency heads listed below, by officials who have Top Secret or Secret classification authority, and by officials to whom such authority is delegated in accordance with Section 1-204

The President and Chairman, Export-Import Bank of the United States The President and Chief Executive Officer, Overseas Private Investment Corporation

1-204 Limitations on Delegation of Classification Authority

- (a) Authority for original classification of information as Top Secret may be delegated only to principal subordinate officials who have a frequent need to exercise such authority as determined by the President or by agency heads listed in Section 1-201
- (b) Authority for original classification of information as Secret may be delegated only to subordinate officials who have a frequent need to exercise such authority as determined by the President, by agency heads listed in Sections 1-201 and 1-202, and by officials with Top Secret classification authority
- (c) Authority for original classification of information as Confidential may be delegated only to subordinate officials who have a frequent need to evercise such authority as determined by the President, b, agency heads listed in Sections 1-201; 1-202, and 1-203, and by officials with Top Secret classification authority of Autic 76 3m

(d) Delegated original classification authority may not be redelegated. (e) Each delegation of original classification authority shall be in writing

by name or title of position held

-> -(f) Delegations-of-original class ficat on authority shall be held to an absolute minimum Periodic reviews of such delegations shall be made to '-ensure that the officials so designated have demonstrated a continuing need to exercise such authority.

1-205 Exceptional Cases. When anyemyloyee or contractor of an agency that does not have original classification authority originates information believed to require classification, the information shall be protected in the manner prescribed by this Order and implementing directives. The information shall be transmitted promptly under appropriate safeguards to the agency which has appropriate subject matter interest and classification authority. That agency shall decide within 30 days whether-to classify that information. If it is not clear which agency-should get the information, it shall be sent to the Director of the Information Security Oversight Office established in Section 5-2 for a determination.

1-3. Classification Requirements >

1-301- Information may not be considered for classification unless it - 1- July - 15

(a) mil tarý plans, weapons, or operatio is, -(b) foreign government information,

(c) intelligence activities, sources or methods,

(d) foreign relations or foreign activities of the United States,

(e) scientific, technological, or economic matters relating to the national

(f) United States Government programs for safeguarding nuclear materials or facilities, or

-1g) other categories of information which are related to national security and which require protection against unautnorized disclosure as determined by the President, by a person designated by the President pursuant to Section 1-201, or by an agency head

1-302 Even though information is determined to concern one or more of the criteria in Section 1-301, it may not be classified unless an original classification authority also determines that its unauthorized disclosure reasonably could be expected to cause at least id nufiable dimage to the national security

1-303 Unauthorized disclosure of foreign government information or the identity of a confidential foreign source is presumed to cause at least identifiable damage to the national security

1-304 Each determination under the criterion of Section 1-301(g) shall be reported promptly to the Director of the Information Security Oversight Office

1-4 Duration of Classification

1-401 Except as permitted in Section 1-402, at the time of the original classification each original classification authority shall set a date or event for automatic declassification no more than six years later

1-402. Only officials with Top Secret classification authority and agency heads listed in Section 1-2 may classify information for more than six years from the date of the original classification. This authority shall be used spartingly. In such cases, a declassification date or event, or a date for review, shall be set. This date or event shall be as early as national security permits and shall be no more than twenty years after original classification, except that for foreign government information the date or event may be up to thirty years after original classification.

1-5 Identification and Markings

1-501 At the time of original classification, the following shall be shown on the face of paper copies of all classified documents

- (a) the identity of the original classification authority, -
- (b) the office of origin,
- (c) the date or event for declassification or review, and
- (d) one of the three classification designations defined in Section 1-1 1-502 Documents classified for more than six years shall also be marked with the identity of the official v ho authorized the prolonged classification. Such documents shall be annotated with the reason the classification is expected to remain necessary under the requirements of Section 1-3, despite the passage of time. The reason for the prolonged classification may be stated by reference to criteria set forth in agency, implementing regulations. These

ed to remain necessary under the requirements of Section 1-3, despite the passage of time. The reason for the prolonged classification may be stated by reference to criteria set forth in agency implementing regulations. These criteria shall explain in narrative form the reason the information needs to be protected beyond six years. If the individual who signs or otherwise authenticates a document also is authorized to classify it, no further annotation of identity is required.

1-503 Only the designations prescribed by this Order may be used to identify classified information Markings such as For Official Use Only and 'Limited Official Use" may not be used for that purpose Terms such as "Conference" or "Agency' may not be used in conjunction with the classification designations prescribed by this Order, e.g., 'Agency Confidential or 'Conference Confidential'

1-504. In order to facilitate excerpting and other uses, each classified document shall, by marking or other means indicate clearly which portions are classified, with the applicable classification designation and which portions are not classified. The Director of the Information Security Oversight Office may, for good cause, grant and revoke waivers of this requirement for specified classes of documents or information

1-505 Foreign government information shall either retain its original classification designation or be assigned a United States classification designation that shall ensure a degree of protection equivalent to that required by the entity that furnished the information

1-506 Classified documents that contain or reveal information that is subject to special dissemination and reproduction limitations authorized by

this Order shall be marked clearly so as to place the user on notice of the restrictions

1-6 Prohibitions

1-601 Classification may not be used to conceal violations of law, inefficiency, or administrative error, to prevent embarrassment to a person, organization or agency, or to restrain competition

1-602 Basic scientific research information not clearly related to the national security may not be classified

1-603 A product of non-government research and development that does not-incorporate or reveal classified information to which the producer or developer was given prior access may not be classified under this Order until and unless the government acquires a proprietary interest in the product. This Order does not affect the provisions of the Patent Secrecy Act of 1952 (35 U S C-181-188)

-1-604. References to classified documents that do not disclose classified information may not be classified or used as a basis for classification

1-605 Classification may not be used to limit dissemination of information that is not classifiable under the provisions of this Order or to prevent or delay the public release of such information

1-606 No document originated on or after the effective date of this Order may be classified after an agency has received a request for the document under the Freedom of Information Act or the Mandatory Review provisions of this Order (Section 3-5), unless such classification is consistent with this Order and is authorized by the agency head or deputy agency head Documents originated before the effective date of this Order and subject to such a request may not be classified unless such classification is consistent with this Order and is authorized by the senior official designated to oversee the agency information security program or by an official with Top Secret classification authority Classification authority under this provision shall be exercised personally, on a document-by-document basis.

1-607 Classification may not be restored to documents already declassified and released to the public under this Order or prior Orders

2-1 Use of Derivative Classification

SECTION 2. DERIVATIVE CLASSIFICATION

, 2-101 Original classification authority shall not be delegated to persons who only reproduce, extract, or summarize classified information, or who only apply classification markings derived from source material or as directed by a classification guide

2-102 Persons who apply such derivative classification markings shall (a) respect original classification decisions,

(b) verify the information's current level of classification so far as practicable before applying the markings and

(c) carry forward to any newly created documents the assigned dates or events for declassification or review and any additional authorized markings, in accordance with Sections 2-2 and 2-301 below A single marking may be used for documents based on multiple sources

2-2 Classification Guides

2-201 Classification guides used to direct derivative classification shall specifically identify the information to be classified Each classification guide shall specifically indicate how the designations, time limits, markings, and other requirements of this Order are to be applied to the information

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2-202 Each such guide shall be approved personally and in writing by an agency head listed in Section 1-2 or by an official with Top Secret classification authority. Such approval constitutes an original classification decision

2-3 New Vlaterial

- 2-301 New material that derives its classification from information classified on or after the effective date of this Order shall be marked with the declassification date or event, or the date for review, assigned to the source information
- 2-302. New material that derives its classification from information classified under prior Orders shall be treated as follows
- (a) If the source material bears a declassification date or event tventy years or less from the date of origin, that date or event shall be carried forward on the new material
- (b) If the source material bears no declassification date or event or is marked for declassification beyond twenty years the new material shall be marked with a date for review for declassification at twenty years from the date of original classification of the source material.
- (c) If the source material is foreign government information bearing no date or event for declassification or is marked for declassification beyond thirty years, the new material shall be marked for review for declassification at thirty years-from the date of original classification of the source material SECTION 3 Declassification and Downgrading.

3-1 Declassification Authority

- 3-101 The authority to declassify or downgrade information classified under this or prior Orders shall be exercised only as-specified in Section 3-1
- 3-102. Classified information may be declassified or downgraded by the official who authorized the original classification if that official is still serving in the same position, by a successor, or by a supervisory official of either
- 3-103 Agency heads named in Section 1-2 shall designate additional officials at the lowest practicable echelons to exercise declassification and downgrading authority
- 3-101 If the Director of the Information Security Oversight Office determines that information is classified in violation of this Order, the Director may require the information to be declassified by the agency that originated the classification. Any such decision by the Director may be appealed to the National Security Council. The information shall remain classified until the appeal is decided or until one year from the date of the Director's decision, whichever occurs first
 - 3-105 The provisions of this Order relating to declassification shall also apply to agencies which under the terms of this Order, do not have ong nal classification authority but which had such authority under prior Orders

3-2 Transferred Information

- 3-201. For classified information transferred in conjunction with a transfer of functions—not merely for storage purposes—the receiving agency shall be deemed to be the originating agency for all purposes under this Order
- 3-202 For classified information not transferred in accordance with Scction 3-201, but originated in an agency which has ceased to exist each agency in possession shall be deemed to be the originating agency for all purposes under this Order Such information may be declassified or downgraded by the agency in possession after consulting with any other agency having an interest in the subject matter.
- 3-203 Classified informat on transferred to the General Services Administration for accession into the Archives of the United States shall be declassi

3984041 or downgraded by the Archivist of the United States in accordance with this Order, the directives of the Information Security Oversight Office, and the agency guidelines

> 3-204 After the termination of a Presidential administration, the Archivist of the United States shall review and declassify or downgrade all information classified by the President, the White House Staff, committees or commissions appointed by the President, or others acung on the President's behalf Such declassification shall only be undertaken in accordance with the provisions of Section 3-504

3-3. Declassification Policy

3-301 Declassification of classified information shall be given emphasis comparable to that accorded classification. Information classified pursuant to this and prior Orders shall be declassified as early as national security considerations permit. Decisions concerning declassification shall be based on the loss of the information's sensitivity with the passage of time or on the occurrence of a declassification event

3-302 When information is reviewed for declassification pursuant to this Order or the Freedom of Information Act, is shall be declassified unless the declassification authority established pursuant to Section 3-1 determines that the information continues to meet the classification requirements prescribed in Section 1-3 despite the passage of time

3-303 It is presumed that information which continues to meet the classification requirements in Section 1-3 requires continued protection. In some cases, however, the need to protect such information may be outweighed by the public interest in disclosure of the information, and in these cases the information should be declassified. When such questions arise, they shall be referred to the agency head, a senior agency official with responsibility for processing Freedom of Information Act requests or Mandatory Review requests under this Order, an official with Top Secret classification authority, or the Archivist of the United States in the case of material covered in Section 3-503 That official will determine whether the public interest in disclosure outweighs the damage to national security that might reasonably be expected from disclosure

_ 3-4 Systematic Review for Declassification.

3-401 Classified information constituting permanently valuable records of the Government, as defined by 44 USC 2103, and information in the possession and control of the Administrator of General Services pursuant to 44 USC 2107 or 2107 rote, shall be reviewed for declassification as it becomes twenty years old Agency heads listed in Section 1-2 and officials designated by the President pursuant to Section 1-201 of this Order may extend classification beyond twenty years but only in accordance with Sections 3-3 and 3-402 This authority may not be delegated. When classification is extended beyond twenty years, a date no more than ten years later snall be set for declassification or for the next review. That date shall be marked on the document Subsequent reviews for declassification shall be set at no more than ten year intervals. The Director of the Information Security Oversight Office may extend the period between subsequent reviews for specific categories of documents or information

3-402 Within 180 days after the effective date of this Order, the agency heads listed in Section 1-2 and the heads of agencies which had original classification authority under prior orders shall, after consultation with the Archivist of the United States and review by the Information Security Oversight Office, issue and maintain guidelines for systematic review covering twenty-year old classified information under their jurisdiction. These guideDOCID:

308 400 Jate specific, limited categories of information which, because of their national security sensitivity, should not be declassified automatically but should be reviewed item-by-item to determine whether continued protection beyond twenty years is needed. These guidelines shall be authorized for use by the Archivist of the United States and may, upon approval of the issuing authority, be used by any agency having custody of the information. All information not identified in these guidelines as requiring review and for which a prior automatic declassification date has not been established shall be declassified automatically at the end of twenty years from the date of original classification.

3-403. Nothwithstanding Sections 3-401 and 3-402, the Secretary of Defense may establish special procedures for systematic review and declassification of classified cryptologic information and the Director of Central Intelligence may establish special procedures for systematic review and declassification of classified information concerning the identities of clandestine human agents. These procedures shall be consistent, so far as practicable, with the objectives of Sections 3-401 and 3-402. Prior to it plementation, they shall be reviewed and approved by the Director of the Information Security Oversight Office and, with respect to matters pertaining to intelligence sources and methods, by the Director of Central Intelligence. Disapproval of procedures by the Director of the Information Security Oversight Office may be appealed to the National Security Council. In such cases, the procedures shall not be implemented until the appeal is decided.

implemented until the appeal is decided

3-104 Foreign government information shall be exempt from automatic declassification and twenty year systematic review. Unless declassified earlier, such information shall be reviewed for declassification thirty years from its date of origin. Such review shall be in accordance with the provisions of Section 3-3 and with guidelines developed by agency heads in consultation with the Archivist of the United States and, where appropriate, with the foreign government of international organization concerned. These guidelines shall be authorized for use by the Archivist of the United States and may, upon approval of the issuing authority, be used by any agency having custody of the information.

3-405 Transition to systematic review at twenty years shall be implemented as rapidly as practicable and shall be completed no more than ten years from the effective date of this Order.

3-5. Mandatory Review for Declassification.

3-501 Agencies shall establish a mandatory review procedure to handle requests by a member of the public, by a government employee, or by an agency, to declassify and release information. This procedure shall apply to information classified under this Order or prior Orders. Except as provided in Section 3-503, upon such a request the information shall be reviewed for possible declassification, provided the request reasonably describes the information. Requests for declassification under this provision shall be acced upon within 60 days. After review, the information or any reasonably segregable portion thereof that no longer requires protection under this Order shall be declassified and released unless withholding is otherwise warranted under applicable law.

3-502 Requests for declassification which are submitted under the provisions of the Freedom of Information Act shall be processed in accordance with

the provisions of that Act

3-503 Information less than ten years old which was originated by the President, by the White House Staff, or by committees or commissions appointed by the President, or by others acting on behalf of the President, including such information in the possession and control of the Administrator

of General Services pursuant to 44 USC 2107 or 2107 note, is exempted from the provisions of Section 3-501 Such information over ten years old shall be subject to mandatory review for declassification. Requests for mandatory review shall be processed in accordance with procedures developed by the Archivist of the United States. These procedures shall provide for consultation with agencies having primary subject matter interest. Any decision by the Archivist may be appealed to the Director of the Information Security Oversight Office. Agencies with primary subject matter interest shall be notified promptly of the Director's decision on such appeals and may further appeal to the National Security Council through the process set forth in Section 3-104

3-504 Requests for declassification of classified documents originated by an agency but in the possession and control of the Administrator of General Services, pursuant to 44 USC 2107 or 2107 note, shall be referred by the Archivist to the agency of origin for processing in accordance with Section 3-501 and for direct response to the requestor. The Archivist shall inform requestors of such referrals

3-505 No agency in possession of a classified document may, in response to i request for the document made under the Freedom of Information Act or this Order's Mandatory Review provision refuse to confirm the existence or non-existence of the document, unless the fact of its existence or non-existence would itself be classifiable under this Order

3-6. Downgrading

3-601 Classified information that is marked for automatic downgrading is downgraded accordingly without notification to holders

3-602 Classified information that is not marked for automatic downgrading may be assigned a lower classification designation by the originator or by other authorized officials when such downgrading is appropriate. Notice of downgrading shall be provided to holders of the information to the extent practicable.

SECTION 4 SAFEGUARDING.

4-1 General Restrictions on Access

4-101 No person may be given access to classified information unless that person has been determined to be trustworthy and unless access is necessary for the performance of official duties

- 4-102. All classified information shall be marked conspicuously to put users on notice of its current classification status and, if appropriate, to show any special distribution or reproduction restrictions authorized by this Order

4-103 Controls shall be established by each agency to ensure that classified information is used, processed, stored reproduced, and transmitted only under conditions that will provide adequate protection and prevent access by unauthorized persons

4-104 Classified information no longer needed in current working files or for reference or record purposes shall be processed for appropriate disposition in accordance with the provisions of Chapters 21 and 33 of Title 44 of the United States Code, which governs disposition of Federal records.

4-105 Classified information disseminated outside the Executive branch shall be given protection equivalent to that afforded with n the Executive branch

4-2 Special Access Programs

4-201 Agency heads 1 sted in Section 1-201 may create special access programs to control access distribution, and protection of particularly sensitive information classified pursuant to this Order or paior Orders. Such pro-

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39 signs are be created or continued only by written direction and only by those agency heads and, for matters pertaining to intelligence sources and methods, by the Director of Central Intelligence Classified information in such programs shall be declassified according to the provisions of Section ?

4-202 Special access programs may be created or continued only on a

specific showing that

- (a) normal management and safeguarding procedures are not sufficient to limit need-to-know or access,
- (b) the number of persons who will need access will be reasonably small and commensurate with the objective of providing extra protection for the information involved, and
- (c) the special access controls balance the need to protect the information against the full spectrum of needs to use the information
- 4-203 All special access programs shall be reviewed regularly and, except those required by treaty or international agreement, shall terminate automatically every five years unless renewed in accordance with the procedures in Section 4-2
- 4-204. Within 180 days after the effective date of this Order, agency heads shall review all existing special access programs under their jurisdiction and continue them only-in accordance with the procedures in Section 4-2 Each of those agency heads shall also establish and maintain a system of accounting for special access programs. The Director of the Information Security Oversight Office shall have non-delegable access to all such accountings.
- 4-3 Access by Historical Researchers and Former Presidential Appointees.
- 4-301 The requirement in Section 4-101 that access to classified information may be granted only as is necessary for the performance of official duties may be waived as provided in Section 4-302 for persons who
 - (a) are engaged in historical research projects, or
- (b) previously have occupied policy-making positions to which they were appointed by the President
- 4-302 Waivers under Section 4-301 may be granted only if the agency with jurisdiction over the information
- (a) makes a written determination that access is consistent with the interests of national security,
- (b) takes appropriate steps to ensure that access is limited to specific categories of information over which that agency has classification jurisdiction,
- (c) limits the access granted to former Presidential appointees to items that the person originated reviewed, signed or received while serving as a Presidential appointee
- 4.4 Reproduction Controls
- 4-401 Top Secret documents may not be reproduced without the consent of the originating agency unless otherwise marked by the originating office
- 4-402 Reproduction of Secret and Confidential documents may be restricted by the originating agency
- 4-103 Reproduced copies of classified documents are subject to the same accountability and controls as the original documents
- copies of classified documents to show the number and distribution of reproduced copies of all Top Sccret documents, of all documents covered by special access programs distributed outside the originating agency, and of all Secret and all Confidential documents which are marked with special dissemination and reproduction I mitations in accordance with Section 1–506
- 4-405 Sections 4-401 and 4-402 shall not restrict the reproduction of documents for the purpose of facilitating review for declassification. However,

39840AProduced documents that remain classified after review must be de-DOCID: stroyed after they are used

SECTION 5 IMPLEMENTATION AND REVIEW.

5-1 Oversight

5-101 The National Security Council may review all matters with respect to the implementation of this Order and shall provide overall policy direction for the information security program.

5-102: The Administrator of General Services shall be responsible for implementing and monitoring the program established pursuant to this Order This responsibility shall be delegated to an Information Security Oversight Office

5-2 Information Security Oversight Office
5-201. The Information Security Oversign Office shill have a full-time Director appointed by the Administrator of Ceneral Services subject to approval-by the President. The Administrator also shall have authority to appoint a staff for the Office

45 75-202: The Director shall-1- Flow of Carte Control of the Carte Control of the Carte C

(a) oversee agency actions to ensure compliance with this Order and implementing directives,

(b) consider and take action on complaints and suggestions from persons within or outside the Government with respect to the administration of the information security program, including appeals from decisions on declassification requests pursuant to Section 3-503,

(c) exercise the authority to declassify information provided by Sections

(d) develop, in consultation with the agencies, and promulgate subject to the approval of the National Security Council, directives for the implementation of this Order which shall be binding on the agencies, "

(e) report annually to the President through the Administrator of General Services and the National Security Council on the implementation of this The state of the s Orden

(f) review all agency implementing regulations and agency guidelines for systematic declassification review The Director shall require any regulation or guideline to be changed if it is not consistent with this Order or implementing directives. Any such decision by the Director may be appealed to the National Security Council The agency regulation or guideline shall remain in effect until the appeal is decided or until one year from the date of the Director's decision, whichever occurs first

(g) exercise case-by-case classification authority in accordance with Section 1-205 and review requests for original classification authority from agencies or officials not granted original classification authority under Section 1-2 of this Order, and -- - .

(h) have the authority to conduct on-site reviews of the information security program of each agency that handles classified information and to require of each agency such reports, information, and other cooperation as necessary to fulfill his responsibilities. If such reports, inspection, or access to specific categories of classified information would pose an exceptional national security risk, the affected agency head may dony access. The Director may appeal denials to the National Security Council The denial of access shall remain in effect until the appeal is decided or until one year from the date of the denial, whichever occurs first

DOCID: 398-4014 agency Information Security Committee

5-301 There is established an Interagency Information Security Committee which shall be chaired by the Director and shall be comprised of representatives of the Secretaries of State, Defense, Treasury and Energy the Attorney General, the Director of Central Intelligence the National Security Council, the Domestic Policy Staff, and the Archivist of the United States

5-302 Representatives of other agencies may be invited to meet with the Committee on matters of particular interest to those agencies

5-303 The Committee shall meet at the call of the Chairman or at the request of a member agency and shall advise the Chairman on implementation of this order

5-4 General Responsib lities

5-401 A copy of any information security regulation and a copy of any guideline for systematic declassification review which has been adopted pursuant to this Order or implementing directives, shall be submitted to the Information Security Oversight Office. To the extent practicable, such regulations and guidelines should be unclassified.

5-402. Unclassified regulations that establish agency information security policy and unclassified guidelines for systematic declassification review shall be published in the FEDERAL REGISTER

5-403 Agencies—with original classification authority shall promulgate guides for security classification that will facilitate the identification and uniform classification of information requiring protection under the provisions of this Order

5-404 Agencies which originate or handle classified information shall

- (a) designate a senior agency official to conduct an active oversight program to ensure effective implementation of the Order;
- (b) designate a senior agency official to chair an agency committee with authority to act on all suggestions and complaints with respect to the agency sadministration of the information security program,
- (c) establish a process to decide appeals from denials of declassification requests submitted pursuant to Section 3-5
- (d) establish a program to fam lianze agency and other personnel who have access to classified information with the provisions of this Order and implementing directives. This program shall impress upon agency personnel their responsibility to exercise vigilance in complying with this Order. The program shall encourage agency personnel to challenge, through Mandatory Review and other appropriate procedures, those classification decisions they believe to be improper;
- (e) promulgate guidelines for systematic review in accordance with Section 3-402,
- (f) establish procedures to prevent unnecessary access to classified information, including procedures which require that a demonstrable need for access to classified information is established before initiating administrative clearance procedures, and which ensures that the number of people granted access to classified information is reduced to and maintained at the minimum number that is consistent with operational requirements and needs, and
- (g) ensure that practices for safeguarding information are systematically reviewed and that those which are duplicative or unnecessary are climinated
- 5-405 Agencies shall submit to the Information Security Oversight Office such information or reports as the Director of the Office may find necessary to carry out the Office's responsibilities

5-501 If the Information Security Oversight Office finds that a violation of this Order or any implementing directives may have occurred it shall make a report to the head of the agency concerned so that corrective steps may be taken

5-502 Officers and employees of the United States Government shall be subject to appropriate administrative sanctions if they

(a) knowingly and willfully classify or continue the classification of information in violation of this Order or any implementing directives, or

(b) knowingly, willfully and without authorization disclose information properly classified under this Order or prior Orders or complomise properly classified information through negligence or

(c) knowingly and willfully violate any other provision of this Order or

_ implementing directive

5-503 Sanctions may include reprimand suspension without pay removal termination of classification authority, or other sanction in accordance with applicable law and agency regulations

5-504 Agency heads shall ensure that appropriate and prompt corrective action is taken whenever a violation under Section 5-502 occurs. The Director of the Information Security Oversight Office shall be informed when such violations occur.

5-505 Agency heads shall report to the Attorney General evidence reflected in classified information of possible violations of Federal criminal law by an agency employee and of possible violations by any other person of those Federal criminal laws specified in guidelines adopted by the Attorney General

SECTION 6 GENERAL PROVISIONS

6-1 Definitions.

6-101 "Agency' has the meaning defined in 5 USC 552(c)

6-102 Classified information means information or material, herein collectively termed information, that is owned by produced for or by, or under the control of, the United States Government, and that has been determined pursuant to this Order or prior Orders to require protection against unau thorized disclosure and that is so designated

6-103 'Foreign government information means information that his been provided to the United States in confidence by or produced by the United States pursuant to a written joint arrangement requiring confidentiality with, a foreign government or international organization of governments

6-104 National security' means the national defense and foreign relations of the United States

6-105 Declassification event' means an event which would eliminate the need for continued classification .

6-2 "Gènèral

6-201 Nothing in this Order shall supersede any requirement made by or under the Atomic Energy Act of 1954, as amended "Restricted Data" and information designated as Formerly Restricted Data shall be handled protected classified, downgraded, and declass fied in conformity with the provisions of the Atomic Energy Act of 1951, as amended, and regulations issued pursuant thereto

6-202 The Attorney General, upon request by the held of an agency his duly designated representative, or the Director of the Information Security Oversight Office, shall personally or through authorized representatives of the Department of Justice render an interpretation of this Order with respect to any question arising in the course of its administration

DOGID:

398404 Executive Order No 11652 of Morch 8 1972 as amended by Executive Order No 11714 of April 24, 1973, and as further amended by Executive Order No 11862 of June 11, 1975, and the National Security Council Directive of May 17, 1972 (3 CFR 1085 (1971-75 Comp.)) are revoked

6-204. This Order shall become effective on December 1, 1978, except that the functions of the Information Security Oversight Office specified in Sections 5-202(d) and 5-202(f) shall be effective immediately and shall be performed in the interim by the Interagency Classification Review Committee established pursuant to Executive Order No. 11652

Simney

THE WHITE HOUSE, - June 28, 1978

IFR Doc. 78-18505 Filed 6-29-78 4 18 pm]

The President's statement of June 29 1978 on issuing Executive Order 12065 is printed in the Weekly Compilation of Presidential Documents (vol. 14 No. 26)

DOCID: 3984041

Order of June 28, 1978

Designation of Certain Officials Within the Executive Office of the President To Classify National Security Information

--- Pursuant to the provisions of Section 1-201 of Executive Order 12065 of June-28, 1978, entitled "National Security Information", I hereby designate the following officials within the Executive Office of the President to originally classify information as "Top Secret"

Life Coasta in in

The Vice President

The Assistant to the President for National Security Affairs

The Director, Office of Management and Budget

The Director, Office of Science and Technology Policy

The Special Representative for Trade Negotiations

The Chairman, Intelligence Oversight Board

Pursuant to the provisions of Section 1-202 of said Order, I designate the Chairman of the Council of Economic Advisers and the President's Personal Representative for Micronesian Status Negotiations to originally classify information as "Secret".

Any delegation of this authority shall be in accordance with Section 1-204 of the Order

This Order shall be published in the FEDERAL REGISTER

THE-WHITE House,...

[FR Doc 78-18506 Filed 6-29-78, 4 19 pm]