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INSPECTOR GENERAL

U.S. Department of Defense



COMPENDIUM OF OPEN OFFICE OF INSPECTOR GENERAL RECOMMENDATIONS TO THE DEPARTMENT OF DEFENSE

as of March 31, 2020

INTEGRITY ★ INDEPENDENCE ★ EXCELLENCE

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MISSION

To detect and deter fraud, waste, and abuse
in Department of Defense programs and operations;
Promote the economy, efficiency, and effectiveness of the DoD; and
Help ensure ethical conduct throughout the DoD

VISION

Engaged oversight professionals dedicated
to improving the DoD



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**INSPECTOR GENERAL
DEPARTMENT OF DEFENSE
4800 MARK CENTER DRIVE
ALEXANDRIA, VIRGINIA 22350-1500**

July 23, 2020

MEMORANDUM FOR SECRETARY OF DEFENSE

**SUBJECT: Compendium of Open Office of Inspector General Recommendations
to the Department of Defense**

The DoD Office of Inspector General (DoD OIG) is issuing its fourth annual Compendium of Open Office of Inspector General Recommendations to the Department of Defense. As of March 31, 2020, the number of open DoD OIG recommendations has grown from 1,581 on March 31, 2019, to 1,602. Of these recommendations, 51 have identified potential monetary benefits totaling \$6.5 billion. DoD management has agreed to take corrective actions on 1,446 of the 1,602 open recommendations. The remaining 156 recommendations are considered unresolved because the DoD OIG and DoD Components have not agreed on corrective actions that meet the intent of the recommendation.

The DoD has provided supporting documentation that led to the closure of more than 2,200 recommendations in the past 3 years, since the Compendium's original issuance in 2017. However, 936 recommendations reported in previous Compendiums remain open, and the DoD OIG has made an additional 871 recommendations in audit and evaluation reports that were issued since the previous Compendium, of which 666 are currently open.

While the DoD is readily providing documentation that supports closing recommendations, the number of aged recommendations continues to grow at an increasing pace, indicating that more attention should be focused on addressing recommendations issued in previous years. This year, the number of open recommendations more than 5 years old jumped to 170, a 113 percent increase over the number of recommendations that were reported as more than 5 years old in last year's Compendium. This is the second year in a row that the number of aged recommendations has dramatically increased.

We have also noted an increase in the number of unresolved recommendations, with a 56 percent increase reported in this year's Compendium. The vast majority (80 percent) of these recommendations are unresolved because the DoD Component did not provide adequate comments in response to either the draft or final report. It is important for DoD Components to provide comments that address each recommendation made in the DoD OIG's reports, indicating agreement or disagreement with the recommendations and explaining how the recommendation will be implemented.

In addition to the recommendations discussed above, during the audits of the DoD's and DoD Components' FY 2019 financial statements, auditors issued notices of findings and recommendations related to material weaknesses, significant deficiencies, and non-compliance with laws and regulations. While these financial statement recommendations are not listed in this Compendium, we have included a chapter in the Compendium that discusses the FY 2019 audit as well as the process that the DoD OIG and independent public accounting firms will use to follow up on those recommendations.

In our mission to help improve DoD operations and to detect and deter fraud, waste, and abuse, the DoD OIG will continue to monitor the status of its recommendations and the DoD's corrective actions. For DoD management to demonstrate progress in addressing open DoD OIG recommendations, the DoD needs to notify the DoD OIG of actions taken, especially for recommendations that were identified as high priority, those that are aging, as well as those that have potential monetary benefits. In cases where a recommendation cannot be implemented, DoD management should explain why recommendations cannot be implemented and propose alternative corrective actions that address the audit finding.

Thank you for your support of the work of the DoD OIG.

A handwritten signature in black ink, reading "Sean W O'Donnell". The signature is fluid and cursive, with the first letters of each word being capitalized and prominent.

Sean O'Donnell
Acting Inspector General

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INTRODUCTION

The Department of Defense Office of Inspector General (DoD OIG) provides independent oversight of DoD programs and activities, in accordance with the Inspector General Act of 1978, as amended. Our mission is to detect and deter fraud, waste, and abuse in DoD programs and operations; promote the economy, efficiency, and effectiveness of the DoD; and help ensure ethical conduct throughout the DoD.

Pursuant to this mission, the DoD OIG conducts independent audits, evaluations, and investigations of DoD programs, operations, and personnel. Our audits, evaluations, and investigations result in reports that contain recommendations to improve program management and operations and to address fraud, abuse, mismanagement, and waste of DoD funds.

The DoD OIG tracks the status of recommendations made in audit, evaluation, and investigation reports to ensure that management actions are taken to implement the report's agreed-upon recommendations. Timely implementation of agreed-upon corrective actions is critical for DoD Components to improve the efficiency and effectiveness of DoD programs and operations, as well as to ensure integrity and accountability, reduce costs, manage risks, realize monetary benefits, and improve management processes.

The purpose of the Compendium is to summarize DoD OIG recommendations issued to DoD Components, and to provide transparency on the number and status of open recommendations. An open recommendation is a recommendation made in a previously issued DoD OIG report for which corrective actions have not been completed. In 2017, the DoD OIG issued its first Compendium of Open Recommendations to the DoD. The 2017 Compendium identified 1,298 open recommendations, as of March 31, 2017.

INTRODUCTION

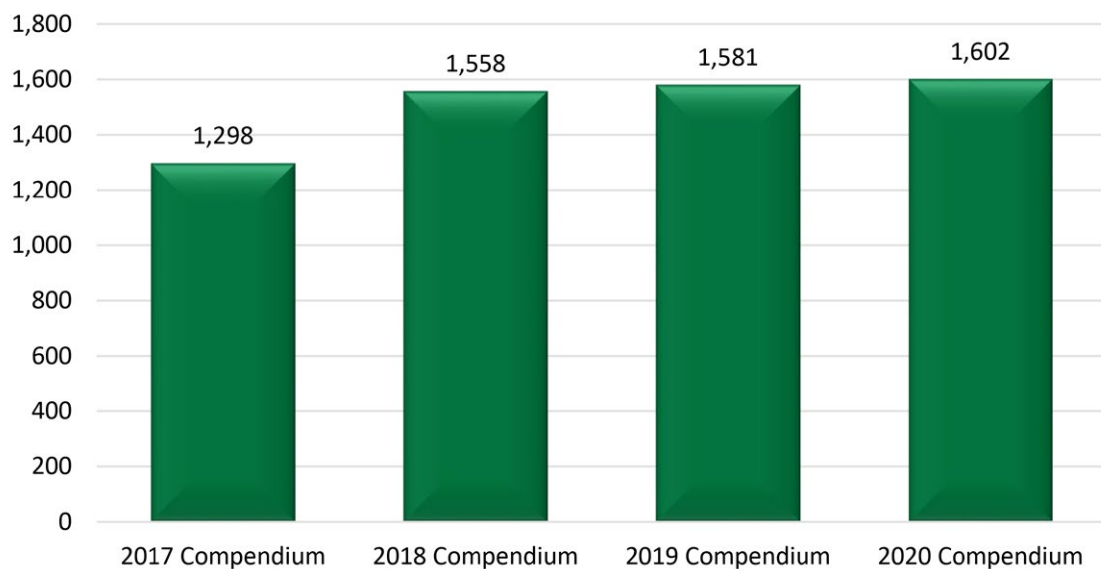
Our second Compendium, issued in 2018, identified 1,558 open recommendations, as of March 31, 2018. The second Compendium noted that 421 recommendations had been closed since the previous year, but because we had continued to make recommendations in new reports, the number of open recommendations had increased from 1,298 to 1,558. This Compendium also noted an increase in the number of aged and unresolved recommendations.

The third Compendium, issued in 2019, identified 1,581 open recommendations, as of March 31, 2019. This Compendium again noted an increase in aged recommendations compared to prior years while the number of unresolved recommendations remained consistent.

This year, the number of open recommendations continues to remain constant, but the number of unresolved and aged recommendations has again increased. As of March 31, 2020, the DoD had 1,602 open recommendations. Of the 1,602 open recommendations, all but 156 were agreed to by DoD management. The 1,602 open recommendations include 51 recommendations with \$6.5 billion of potential monetary benefits. The number of recommendations that are more than 5 years old has increased to 170.

Figure 1 illustrates the number of open recommendations that have been reported in each Compendium.

Figure 1. Number of Open Recommendations Reported in Compendiums



Source: The DoD OIG.

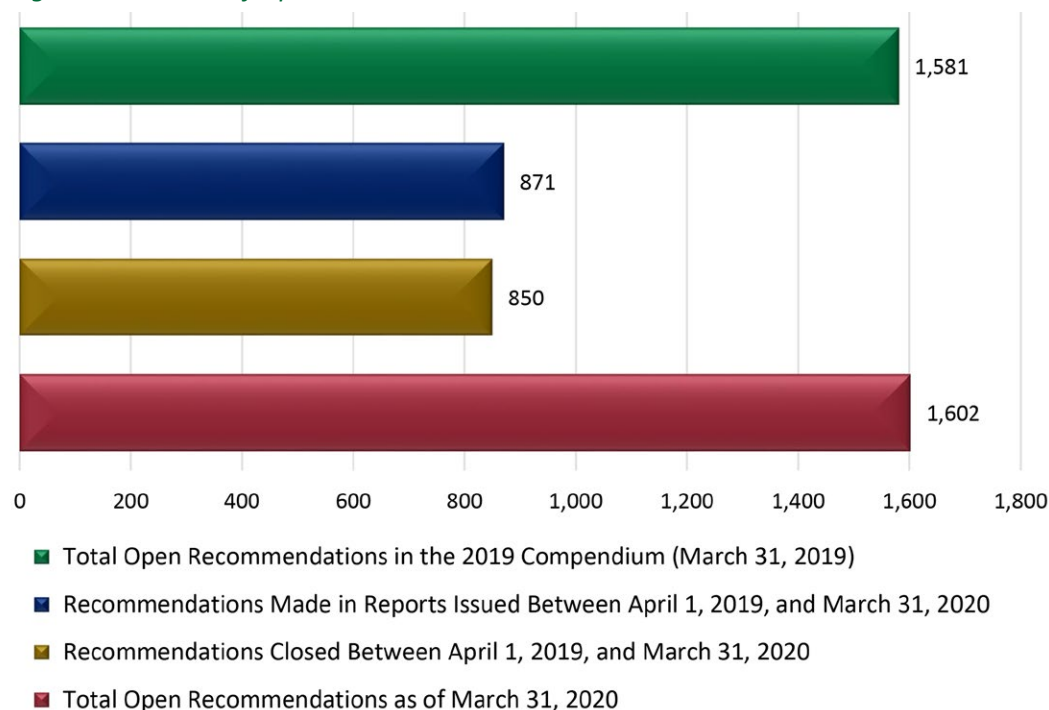
INTRODUCTION

The DoD OIG and the DoD continue to improve communication about open recommendations and the DoD's implementation of recommendations. The DoD OIG routinely meets with the Military Services and other DoD Components to provide information regarding their open recommendations and to consider information from the Components about corrective actions that have been taken and that need to be taken to close the recommendations.

DoD management has taken action or provided documentation that enabled the DoD OIG to close 647 of the 1,581 (41 percent) recommendations listed in the 2019 Compendium, including 5 of the 30 (17 percent) identified as high-priority, and 18 of the 41 (44 percent) with potential monetary benefits, totaling more than \$905 million.

The DoD OIG made 871 new recommendations in reports issued between April 1, 2019, and March 31, 2020. During this same timeframe, the DoD OIG closed 850 recommendations, based on actions taken and information provided by DoD management. The remaining 1,602 open recommendations are reported in this Compendium. Figure 2 summarizes the number of recommendations opened and closed by the OIG since last year's Compendium.

Figure 2. Number of Open Recommendations



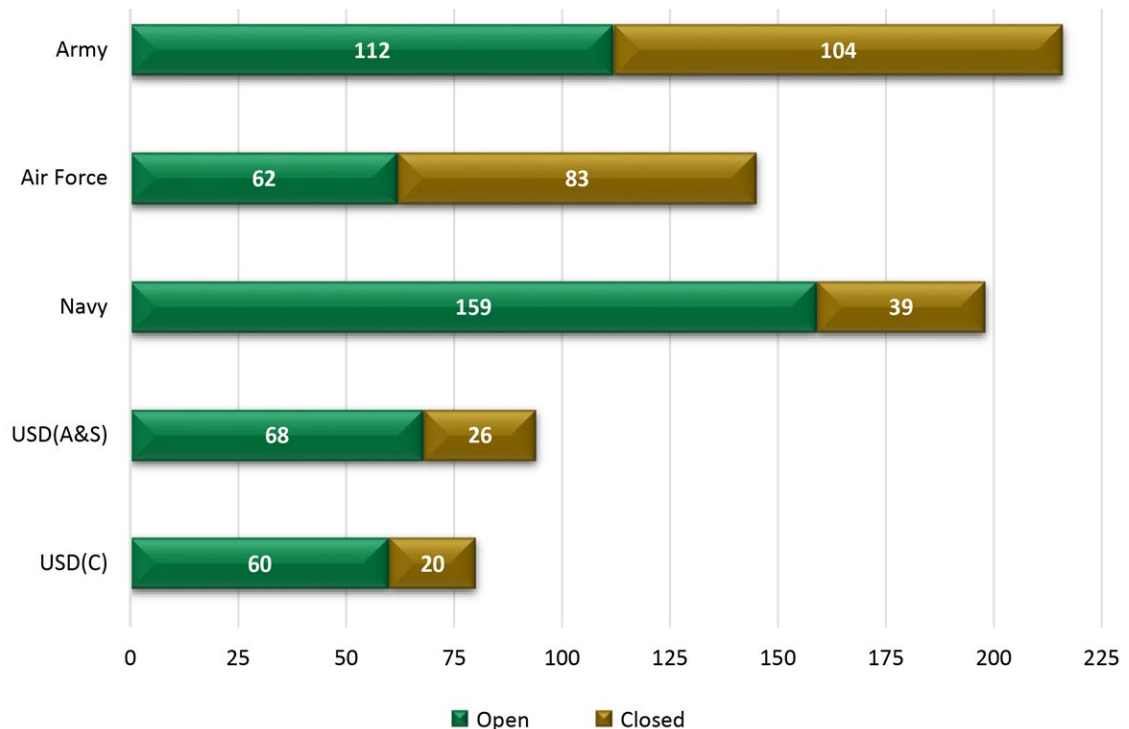
Source: The DoD OIG.

INTRODUCTION

The 2019 Compendium reported that the five DoD Components with the most open recommendations were the Army, Air Force, Navy, Under Secretary of Defense for Acquisition and Sustainment (USD[A&S]), and Under Secretary of Defense (Comptroller) (USD[C]).

Figure 3 shows the progress these five DoD Components have made in closing the recommendations reported in the 2019 Compendium.¹ Since March 31, 2019, these five Components have collectively closed 272 open recommendations identified in the prior Compendium.

Figure 3. Number of Recommendations Closed by the Five DoD Components With the Highest Number of Open Recommendations in the 2019 Compendium



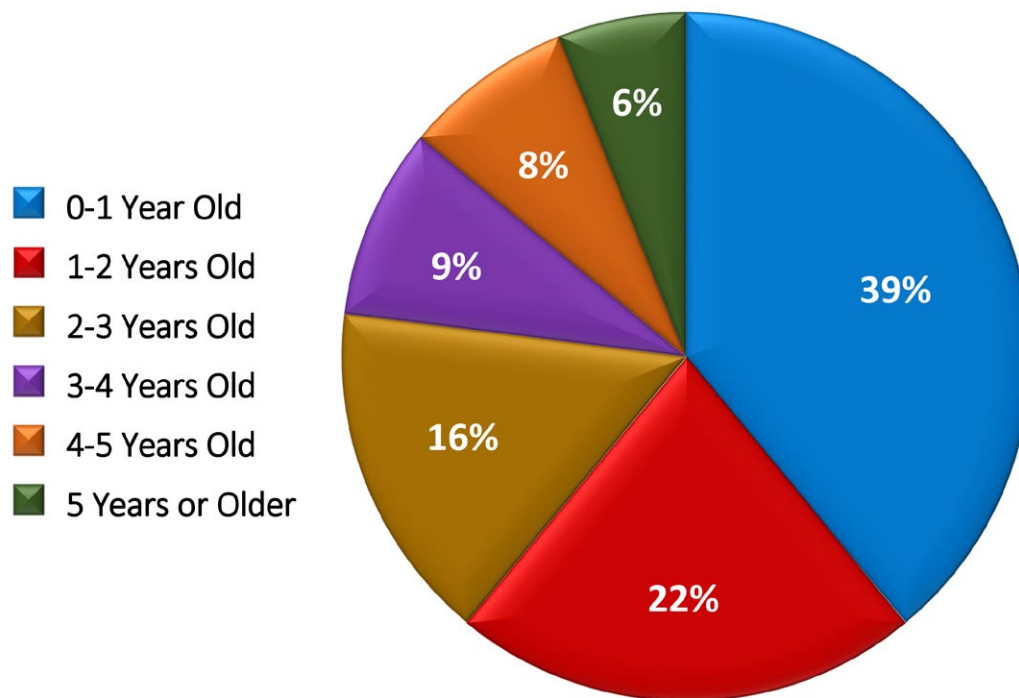
Source: The DoD OIG.

¹ These figures do not include recommendations that have transferred to or from one DoD Component to another.

INTRODUCTION

Since the issuance of the original Compendium, the DoD has closed more than 2,200 recommendations, including 98 recommendations with \$36.2 billion in potential monetary benefits. Figure 4 shows the age of the 2,200 recommendations at the time they were closed. More than half of the recommendations closed since March 31, 2017, were less than 2 years old.

Figure 4. Age of Recommendations Closed Since March 31, 2017



Source: The DoD OIG.

Although the DoD is consistently providing documentation that supports closing recommendations, we believe that DoD leadership should continue to focus attention on the implementation of open recommendations, with particular attention on unresolved and aged recommendations, since the number of recommendations in those categories has continued to grow each year.

INTRODUCTION

2020 Compendium

The 2020 Compendium lists the number, type, age, and status of 1,602 open recommendations, as of March 31, 2020.

In this Compendium, we highlight the 35 high-priority open recommendations made in 16 DoD OIG reports issued between August 2014 and September 2019 that we believe, if implemented, have the potential to result in significant improvements to DoD operations.² We provide, in each summary of the open recommendations, an overview of the DoD OIG project that produced the recommendations and why the recommendations are important. The summaries also include the implementation status of the recommendations and a description of information or actions required to close each recommendation. Recommendations that are not included in our list of high-priority recommendations are still important and warrant the full attention of the DoD.

This Compendium also summarizes 51 recommendations made in 32 OIG reports issued between July 2013 and March 2020 that identified \$6.5 billion in potential monetary benefits that the DoD could achieve based on management implementation of these recommendations.

We also identify the 170 recommendations that have been open for at least 5 years. These recommendations were issued to 25 DoD Components.

In addition, this Compendium identifies 156 recommendations for which the DoD OIG and DoD Components have not agreed on corrective actions that, if implemented, would resolve the deficiencies identified by the associated findings. For 124 of the 156 recommendations, DoD management has either not provided a response or the response did not adequately address the recommendation. For the remaining 32 recommendations, DoD management disagreed with the recommendation. DoD Components could greatly reduce the number of unresolved recommendations by either clarifying or being more specific in their responses to the recommendations or proposing alternative actions to address the associated findings.

² Of these high-priority recommendations, 26 are summarized in Chapter 1 and 9 are summarized in Appendix B.

INTRODUCTION

Each year the DoD prepares its annual Agency Financial Report (financial report) to describe and communicate the financial position and results of operations of the DoD. In FY 2019, the DoD OIG and five independent public accounting firms overseen by the DoD OIG performed audits consisting of audit procedures on balances listed on the financial statements of the DoD and 23 of the DoD's reporting entities to determine if the financial statements were accurately presented. During the audit, auditors issue Notices of Finding and Recommendations (NFRs) that communicate to management weaknesses and inefficiencies in financial processes, the impact of these weaknesses and inefficiencies on operations, the reason the weaknesses and inefficiencies exist, and recommendations to management on how to correct the weaknesses and inefficiencies. In January 2020, the DoD OIG issued the report, "Understanding the Results of the Audit of the DoD FY 2019 Financial Statements." This report summarizes in terms understandable to non-auditors the purpose, findings, and potential benefits of the DoD's financial statement audits. This Compendium provides information related to the overall results of the FY 2019 Audit and discusses the followup process associated with its findings and recommendations.

In this year's Compendium, we provide a series of snapshots in Appendix A to show the progress that each DoD Component has made since the first Compendium was issued in 2017. Prior to the listing of open recommendations for each DoD Component, we present graphics and statistics depicting the number of recommendations reported for each DoD Component in each Compendium, as well as the number of recommendations that have been implemented. We also list the number of recommendations that are unresolved, aged, and the potential monetary benefits associated with the open recommendations.

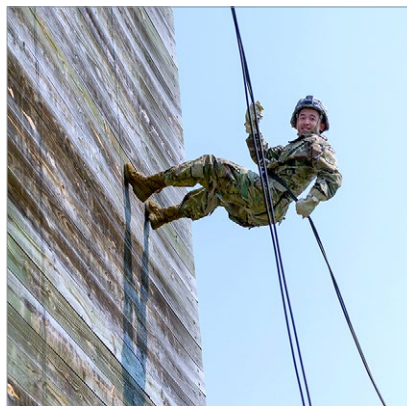
Additionally, we include information related to classified open recommendations in Appendixes B, C, and D of this Compendium. These classified Appendixes will be provided to appropriate officials in the DoD and congressional committees.

INTRODUCTION

We believe that DoD senior managers should continue to focus attention on implementing open recommendations and ensure that prompt resolution and action is taken, as required by DoD Instruction 7650.03.³ In particular, DoD managers should:

- provide timely responses to each recommendation made in DoD OIG reports;
- clearly state whether management agrees with the recommendation, as well as any potential monetary benefits, in its response to the draft report;
- provide a specific action plan with milestones on how the recommendation will be implemented when management agrees with the recommendation;
- propose alternative corrective actions to address underlying issues when management agrees that there is an issue that needs to be addressed, but does not agree with the DoD OIG recommended solution;
- provide a rationale and supporting documentation when DoD management disagrees with a finding or recommendation;
- communicate with the DoD OIG if management has questions about the intent of a recommendation or the adequacy of its intended actions; and
- when requesting closure of a recommendation, provide: (1) a written explanation of the action taken to implement each recommendation; and (2) documentation to support the actions that have been taken.

³ DoD Instruction 7650.03, "Follow-up on Government Accountability Office (GAO), Inspector General of the Department of Defense (IG DoD), and Internal Audit Reports," December 18, 2014.



SUMMARY OF OPEN RECOMMENDATIONS

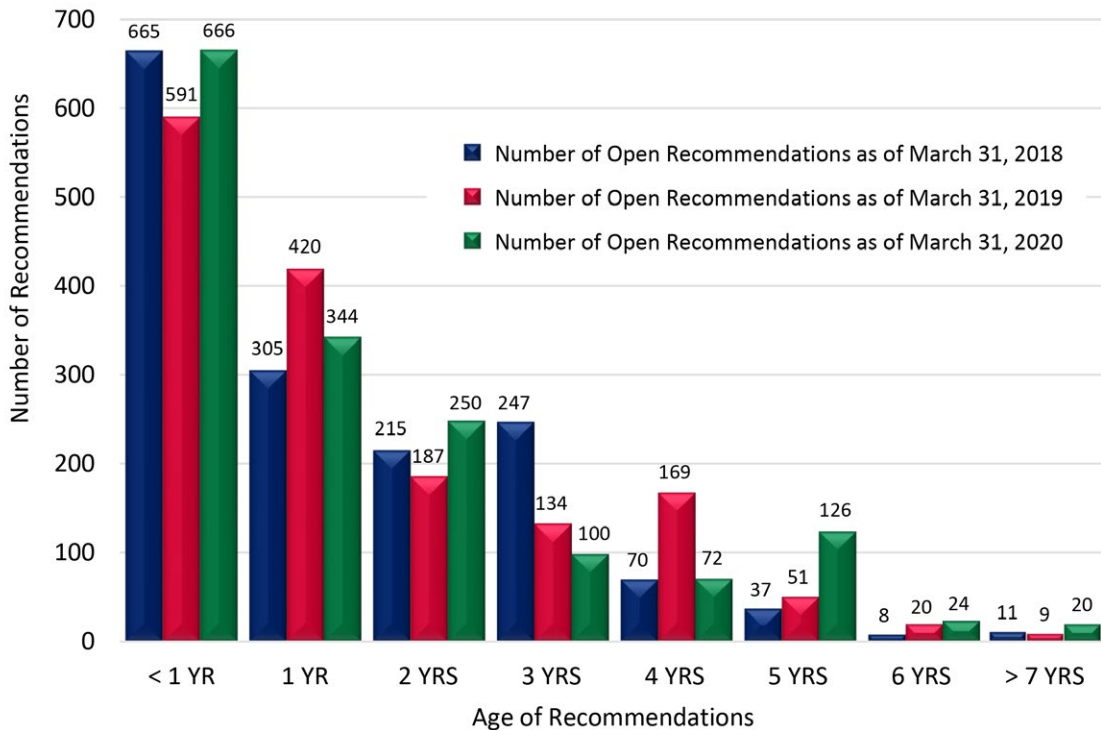
As of March 31, 2020, there were 1,602 open recommendations from DoD OIG reports, with the age of the open recommendations shown in Figure 5.⁴ Of the 1,602 recommendations, 211 are classified and listed in Appendixes B, C, and D.

Since the first Compendium was issued in 2017, the number of recommendations that are at least 5 years old has increased dramatically from 27 to 170. Although there are different reasons for the aging of recommendations, we determined that one of the biggest challenges pertains to the revision or implementation of policies, procedures, and guidance. Of the 170 recommendations, 65 were related to revising or implementing policy and guidance. Other factors that contribute to the aging of recommendations include the development and implementation of systems and ongoing litigation.

⁴ For tracking purposes, recommendations made to multiple Components are split into individual recommendations for each Component. For example, one recommendation made to the Army, Navy, and Air Force would equate to three recommendations for tracking purposes.

SUMMARY OF OPEN RECOMMENDATIONS

Figure 5. Number and Age of Open Recommendations as of March 31, 2020



Source: The DoD OIG.

Of the 1,602 open recommendations, DoD management has agreed to take corrective actions on 1,446 recommendations. The remaining 156 open recommendations are considered unresolved until the DoD OIG and DoD Components reach an agreement on the report recommendations and the planned corrective actions to implement or address those recommendations.

In addition, we believe that the DoD could achieve \$6.5 billion in potential monetary benefits by implementing 51 of the 1,602 recommendations.

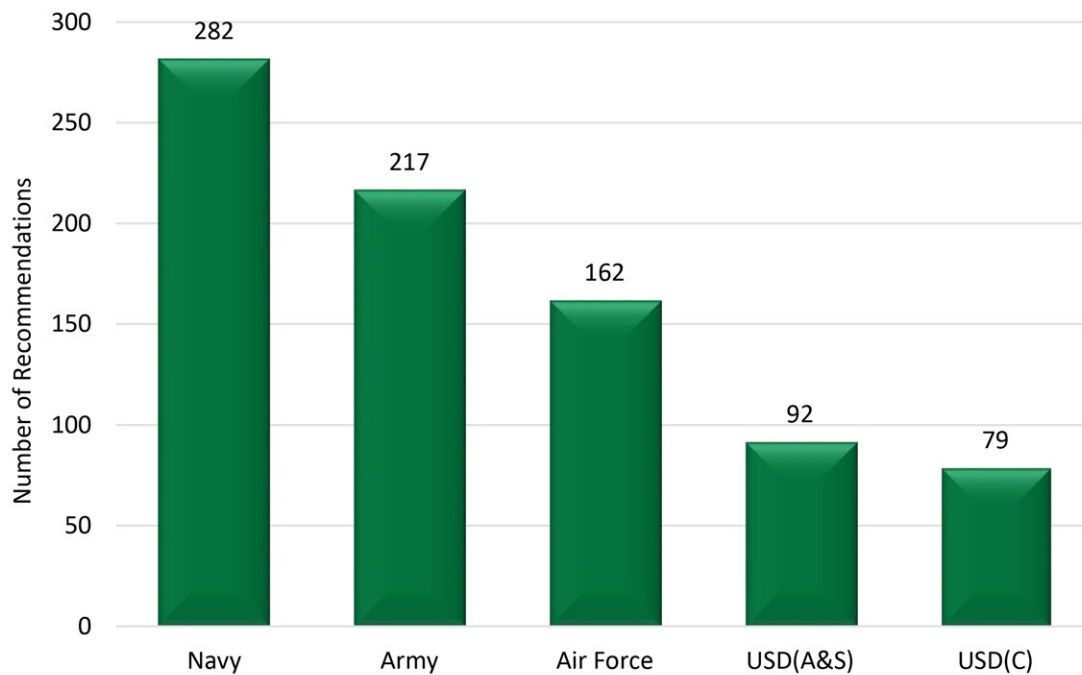
DoD Components With the Most Open Recommendations

The 1,602 open recommendations were issued to 48 DoD Components through 311 reports. Figure 6 presents the five DoD Components with the most open recommendations, which remain unchanged from the 2019 Compendium. These five Components collectively have 832 open recommendations, which represent 52 percent of all open recommendations. While the number of open recommendations

SUMMARY OF OPEN RECOMMENDATIONS

has remained stable for four of the DoD Components, the Navy's open recommendations have increased by 42 percent, from 198 to 282, since the last Compendium. One of the contributing factors in the increase of the Navy's open recommendations was the rate at which the Navy addressed open recommendations. Although the Services generally had the same number of newly opened recommendations for the year, the Army and Air Force addressed significantly more recommendations than the Navy. Specifically, the Army and Air Force took actions and provided documentation that supported closing more than double the recommendations than the Navy.

Figure 6. DoD Components With the Most Open Recommendations, as of March 31, 2020



Source: The DoD OIG.

Topic Areas of Open DoD Recommendations

Recommendations made in DoD OIG reports cover a variety of topic areas. We grouped the 1,602 open recommendations into the following nine topic areas: Logistics, Intelligence, Acquisition Programs, Finance and Accounting, Information Technology Resources, Contractor Oversight, Health Care and Morale, Construction and Installation Support, and Other. The following sections describe each topic area and list the number of open recommendations in each area.

SUMMARY OF OPEN RECOMMENDATIONS

Logistics

The logistics topic area focuses on the planning and carrying out of the movement and maintenance of military forces. This includes military operations that deal with the design, development, acquisition, storage, distribution, maintenance, evacuation, and disposition of material; transportation; housing of military personnel; acquisition or construction, maintenance, operation, and disposition of facilities; and acquisition or furnishing of services and medical and health support are within the logistics topic area.

There are 234 open recommendations related to logistics, covering such issues as maintenance and sustainment of military systems; transportation of arms, ammunition, and explosives by commercial ground carriers; timeliness of household goods shipments to DoD members; the Military Sealift Command readiness status reporting of its surge sealift ships; the Army plans and efforts to identify and address readiness challenges related to the active component brigade combat teams; and U.S. and coalition plans and efforts to train, advise, assist, and equip Afghan forces.

Intelligence

The intelligence topic area relates to the collection, processing, evaluation, analysis, and interpretation of available information concerning national security issues, foreign nations, hostile or potentially hostile forces or elements, or military operations.

There are 189 open recommendations related to intelligence, addressing such issues as the DoD supply chain risk management program for nuclear command, control, and communication systems; combatant command insider threat programs; processes for determining and fulfilling intelligence requirements for counterterrorism; DoD oversight of combatant command intelligence interrogation approaches and techniques; and the capacity of the Military Services to fill combatant command requests for counterintelligence support.

Acquisition Programs

The acquisition programs topic area focuses on the formulation and oversight of contracting strategies that support the procurement of defense acquisition programs, automated information systems, and special interest projects for the DoD.

There are 232 open recommendations related to acquisition programs, covering such issues as the purchase of aviation critical safety items; the DoD's planning and implementation of the Afghan Personnel and Pay System; the Army Integrated Air and Missile Defense program; the purchase of spare parts to meet F/A-18 E/F Super Hornet

SUMMARY OF OPEN RECOMMENDATIONS

readiness requirements; the service acquisition executives management of defense acquisition category 2 and 3 programs; and the management of Government-owned property supporting the F-35 program.

Finance and Accounting

The finance and accounting topic area focuses on the processes of reporting on and conducting oversight of the DoD's financial transactions resulting from its operations, including the distribution and control of DoD funds and tracking of costs and obligations.

There are 186 open recommendations related to finance and accounting, addressing such issues as the DoD civilian pay budgeting process; DoD FY 2018 compliance with the Improper Payments Elimination and Recovery Act requirements; U.S. Army Corps of Engineers FY 2019 compliance with the Digital Accountability and Transparency Act requirements; the DoD accounting and financial reporting of Military Housing Privatization Initiative program transactions in DoD financial and property statements; and the DoD financial management and accounting systems' solution for providing auditable financial statement information.

Information Technology Resources

The information technology and resources topic area focuses on the use of any equipment or system for storing, retrieving, controlling, and sending information. This category includes protection of transmitted information and related resources, including personnel, equipment, funds, and systems or subsystems.

There are 330 open recommendations related to information technology, covering such issues as physical access and general application controls of DoD information technology systems; cybersecurity and protection against cyber attacks on critical infrastructure; security controls at DoD facilities, data centers, and laboratories that manage ballistic missile defense system technical information; DoD management of cybersecurity risks for Government purchase card purchases of commercial off-the-shelf items; and the protection of patient health information at military treatment facilities.

Contractor Oversight

The contractor oversight topic area focuses on the oversight and integration of contractor personnel and associated equipment providing support to DoD operations. Contractor oversight includes efforts to ensure that supplies and services are delivered in accordance with the terms and conditions of the contract.

SUMMARY OF OPEN RECOMMENDATIONS

There are 179 open recommendations related to contractor oversight, addressing such issues as the Air Force nonappropriated fund Government purchase card program; contractual maintenance requirements in the Contract Augmentation Program IV in Southwest Asia; management of energy savings performance contracts; contractor costs and performance for the National Afghan Trucking Services contracts; DoD efforts to combat trafficking in persons in Kuwait; and the contract costs for hurricane recovery efforts.

Health Care and Morale

The health care and morale topic area focuses on measures to improve or maintain the mental and physical well-being of DoD personnel and their families to enable a healthy and fit force, prevent injury and illness, and protect individuals from health hazards.

There are 167 open recommendations related to health care and morale, covering such issues as the controls over opioid prescriptions at DoD military treatment facilities; DoD-provided health care for members of the Armed Forces reserve components; the DoD Suicide Event Report data quality assessment; Armed Forces retirement home inspections; and delinquent medical service claims.

Construction and Installation Support

The construction and installation support topic area focuses on the management and oversight of military installations worldwide, including the construction, alteration, conversion, or extension of military installations.

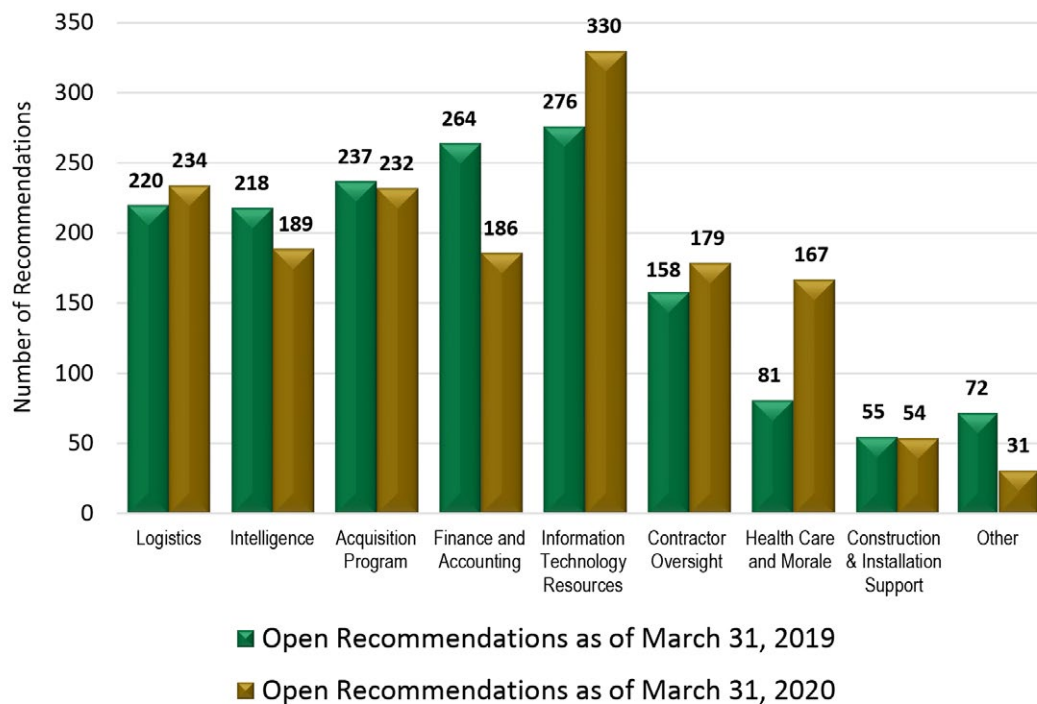
There are 54 open recommendations related to construction and installation support, addressing such issues as the controls over the requirements development process for military construction projects; health, safety, and fire protection deficiencies in DoD facilities and military housing inspections; schedule delays and cost increases of Navy and U.S. Strategic Command construction projects; and the DoD's implementation of recommendations on screening and access controls for general public tenants leasing housing on military installations.

SUMMARY OF OPEN RECOMMENDATIONS

Other

Some DoD OIG recommendations do not fall into any of the previously discussed topic areas. For example, recommendations related to the environment, assessment of U.S. military cemeteries, and external peer reviews of DoD agencies. There are 31 recommendations in this category concerning issues such as the identification and prioritization of DoD critical assets; the operation of U.S. military cemeteries; external peer reviews of DoD audit organizations; the operation, maintenance, and structural stability of DoD-owned and managed dams; and the DoD Voting Assistance Programs.

Figure 7. Total Open Recommendations by Topic Area



Source: The DoD OIG.



BACKGROUND ON OIG FOLLOWUP PROCESS

DoD management and the DoD OIG share the responsibility to follow up on recommendations. DoD managers are responsible for implementing recommendations promptly. At the same time, the DoD OIG is responsible for assessing whether the agreed-upon corrective actions are taken and meet the intent of the recommendations.

Policy for OIG Followup Process on Recommendations

The Inspector General Act of 1978, as amended, requires the DoD OIG to conduct independent audits, evaluations, and investigations of agency programs and operations.

Public Law 104-106, “National Defense Authorization Act for FY 1996,” requires the Secretary of Defense to make management decisions on all DoD OIG findings and audit recommendations within a maximum of 6 months after an audit report is issued. The Act also requires the Secretary of Defense to complete final action on each DoD management decision within 12 months after report issuance. If action is not completed within 12 months, the DoD OIG must identify the overdue actions in its Semiannual Report to the Congress until final action on the DoD management decision is completed.

The Office of Management and Budget (OMB) Circular No. A-50 Revised, “Audit Follow-Up,” September 29, 1982, defines followup as an integral part of good management and a shared responsibility between agency management officials and auditors. According to the Circular, implementation of OIG recommendations in a timely manner is essential to the DoD improving efficiency and effectiveness of programs and operations, as well as achieving integrity and accountability goals, reducing

BACKGROUND ON OIG FOLLOWUP PROCESS

costs, managing risks, and improving management processes. The Circular requires each agency to establish systems to assure the prompt and proper resolution and implementation of audit recommendations, and it also requires that resolution be made within a maximum of 6 months after a final report is issued.

Resolution for most audits and evaluations is defined as the point at which the DoD OIG and agency management or contracting officials agree on the action to be taken in response to the findings and recommendations.

DoD Instruction 7650.03 requires the DoD OIG to evaluate corrective actions taken by DoD Components on DoD OIG reports. The Instruction states that the DoD OIG oversees and coordinates followup programs within the DoD and that heads of DoD Components will work with the DoD OIG to resolve disagreements between their respective DoD Components and the DoD OIG. Disagreements that are not resolved at a lower management level may be submitted to the Deputy Secretary of Defense for decision.

In January 2019, the “Good Accounting Obligation in Government Act” was signed into law.⁵ This law requires each Federal agency, in its annual budget justification submitted to Congress, to report on the implementation status of open Government Accountability Office and OIG recommendations. Each agency must also report why the recommendations have not been fully implemented. The sponsors of this law have indicated that it is intended to stop wasteful spending in Federal agencies, bring accountability, and save taxpayer dollars. On January 31, 2020, the DoD Chief Management Officer (CMO) provided the implementation status of more than 2,000 public open GAO and DoD OIG recommendations to Congress, the Comptroller General, and the DoD OIG.

Additionally, the Council of the Inspectors General on Integrity and Efficiency has developed a database that includes open recommendations from participating OIGs across the Federal Government. The intent of this database, which launched in June 2020, is to improve the public’s access to OIG reports and to establish a public repository of information about open OIG recommendations.

⁵ Public Law 115-414, “Good Accounting Obligation in Government Act.”

BACKGROUND ON OIG FOLLOWUP PROCESS

Recommendation Followup Process

Before a recommendation from a DoD OIG audit, evaluation, or investigative report is issued, the DoD OIG requests the views of DoD management regarding the report's findings, conclusions, and recommendations. DoD management is asked to provide written comments on the formal draft report, normally within 30 days of the draft report issue date. These written comments should:

- indicate whether DoD management agrees or disagrees with each finding and recommendation in the report;
- describe and provide the completion dates for corrective actions taken or planned;
- explain specific reasons for each disagreement; and
- propose alternative actions, if appropriate.

The DoD OIG also requests that DoD management comment on any potential monetary benefits identified in the report.

The DoD OIG reviews management comments to determine whether management's comments adequately address the report's recommendations. The DoD OIG uses the following categories to describe management's comments on individual recommendations.

- **Unresolved** – Management has not agreed to implement the recommendation or has not proposed actions that will address the recommendation.
- **Resolved** – Management has agreed to implement the recommendation or has proposed alternative actions that will adequately address the underlying finding that generated the recommendation.
- **Closed** – The DoD OIG has verified that the agreed-upon corrective actions were implemented.

If DoD management agrees with the recommendations in the report, it should also provide information on the corrective actions that have been taken or are planned to be taken to implement the recommendation, and it should include estimated completion dates for the actions it intends to take to address the recommendations. The DoD OIG then assesses the corrective actions taken or proposed corrective actions and determines if the actions sufficiently meet the intent of the recommendations.

BACKGROUND ON OIG FOLLOWUP PROCESS

Specifically, in the final report, the DoD OIG evaluates and summarizes the DoD management response to each finding and recommendation and provides a response to management's comments, including its comments on the recommendations as well as a brief description of the documentation that must be provided to close each recommendation.

After the DoD OIG categorizes each recommendation as unresolved, resolved, or closed, the final report is placed in the followup process. In this process, the DoD OIG monitors the status of DoD management's implementation of corrective actions and periodically requests updates from DoD management on the implementation status of open recommendations. The DoD OIG also reports data related to open recommendations in the OIG's Semiannual Report to the Congress.

Recommendations are generally closed only after the DoD OIG has either:

- reviewed information or supporting documentation provided by DoD management and concluded that the agreed-upon corrective actions or alternative actions that met the intent of the recommendations have been completed, or
- performed field verification to confirm that the stated corrective actions were taken.

Recommendations may also be closed when they are overtaken by events, are no longer relevant, or if implementation is not feasible. Although infrequent, closed recommendations may be reopened if we subsequently conclude that documentation provided by the DoD Component does not show that actions have been taken to fully implement the recommendation.

However, if DoD management has not agreed to implement the recommendations or has not proposed alternative actions that will address the recommendations, the recommendations are considered unresolved and remain open. For example, when DoD management disagrees with the recommendation, does not provide comments, or its comments do not fully address a recommendation, the DoD OIG may request additional comments in response to the final report and will coordinate with DoD management to address the missing information.

BACKGROUND ON OIG FOLLOWUP PROCESS

Generally, DoD management is requested to provide additional written comments on the final report within 30 days of the final report issue date. If DoD management does not provide comments on the final report, disagrees with the recommendations, or its comments do not fully address the recommendations, the recommendations remain unresolved. When DoD management disagrees with the recommendations and does not provide an acceptable alternate solution, the DoD OIG will start the resolution process and elevate the recommendation through multiple levels of management, as necessary.

Ultimately, any unresolved recommendation can be elevated to the Deputy Secretary of Defense (or other levels of Office of the Secretary of Defense management below the Deputy Secretary) if resolution is not reached at a lower level. Resolution of recommendations is generally achieved at lower levels of management. However, in the rare instance that resolution cannot be achieved at lower levels of management, the Deputy Secretary has final decision making authority on unresolved issues and the decision is binding on all parties. Nevertheless, pursuant to the Inspector General Act of 1978, as amended, the Deputy Secretary's final decision making authority to not implement an OIG recommendation does not infringe on the DoD OIG's statutory independence and responsibility to inform the Secretary of Defense and Congress concerning significant problems, abuses, and deficiencies related to DoD programs and operations, or management decisions with which the DoD OIG disagrees through its Semiannual Reports to the Congress or a "Seven-Day Letter," if appropriate.⁶

In addition to the report followup process described above, the DoD OIG conducts followup audits and evaluations. The purpose of these reviews is to verify that corrective action has actually occurred, as agreed to in the DoD management response to the draft and final reports or during the resolution process, and to determine whether the problems identified in the findings still exist. The DoD OIG typically dedicates 10 percent of projects in its oversight plan to followup reviews.

⁶ The Inspector General Act authorizes Inspectors General to report immediately to the agency principal particularly serious or flagrant problems, abuses, or deficiencies relating to the administration of programs and operations. Within 7 days, the agency principal must transmit the report and any comments to the appropriate committees or subcommittees of Congress.

BACKGROUND ON OIG FOLLOWUP PROCESS

Role of the DoD Chief Management Officer in the Followup Process

The Chief Management Officer (CMO) is responsible for ensuring that business transformation policies and programs are designed and managed to improve performance standards, efficiency, and effectiveness among the Office of the Secretary of Defense (OSD), Military Services, combatant commands, and Defense agencies and field activities. Consistent with this responsibility to improve the operation and efficiency of the DoD, the Secretary of Defense tasked the Office of the Chief Management Officer (OCMO) to assist in facilitating, tracking, and reporting on the implementation and closure of DoD OIG recommendations.

In response to this tasking, the CMO has assigned staff to work with DoD management to ensure progress on implementing DoD OIG recommendations and improving the management and quality of the DoD's responses to the DoD OIG.

As a part of this effort, OCMO staff meet monthly with the DoD OIG and DoD senior leaders to discuss DoD Component plans for implementing agreed-upon corrective actions and for providing the DoD OIG with the necessary documentation to support that corrective actions were completed. According to the Washington Headquarters Services, Audit Management Division, since these meetings were initiated, the DoD OIG and DoD senior leaders have reviewed the status of approximately 1,265 recommendations. The meetings have facilitated open communication, increased coordination between the DoD and the DoD OIG, clarified business practices and expectations, and resulted in mutually agreed-upon approaches for closing open recommendations.

In addition to holding monthly meetings, OCMO staff works closely with DoD Components to improve responses to the DoD OIG and regularly reports progress to DoD leaders—including the Deputy Secretary of Defense—on the Components' implementation of DoD OIG open recommendations.

BACKGROUND ON OIG FOLLOWUP PROCESS

Top DoD Management and Performance Challenges

Each year, the DoD OIG drafts a document explaining what we regard as the top management and performance challenges facing the DoD, which we have identified based on our oversight work, research, and judgment; oversight work done by other Components within the DoD; input from DoD leaders; and oversight projects from the Government Accountability Office.

The following are the top 10 management and performance challenges for FY 2020.

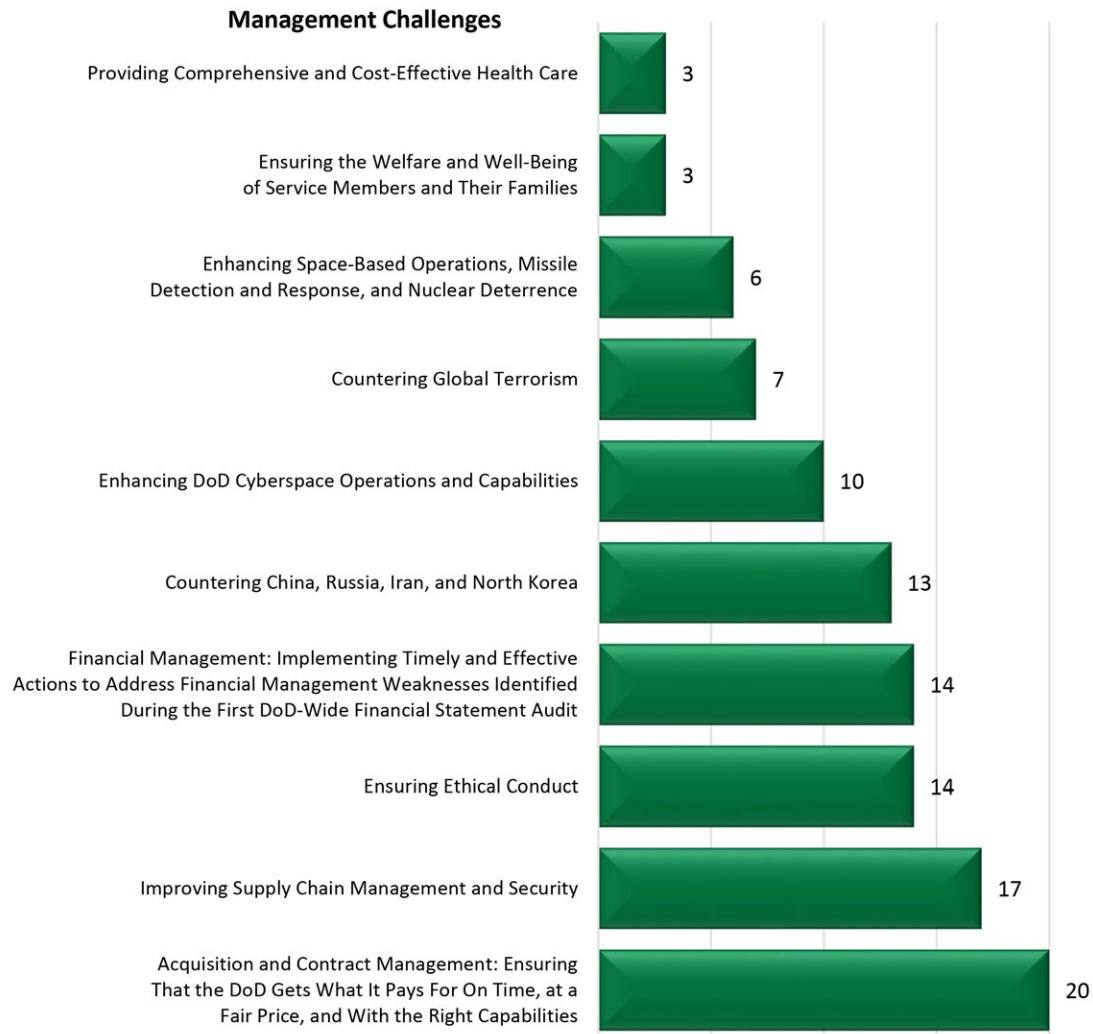
1. Countering China, Russia, Iran, and North Korea
2. Countering Global Terrorism
3. Ensuring the Welfare and Well-Being of Service Members and Their Families
4. Ensuring Ethical Conduct
5. Financial Management: Implementing Timely and Effective Actions to Address Financial Management Weaknesses Identified During the First DoD-Wide Financial Statement Audit
6. Enhancing DoD Cyberspace Operations and Capabilities
7. Enhancing Space-Based Operations, Missile Detection and Response, and Nuclear Deterrence
8. Improving Supply Chain Management and Security
9. Acquisition and Contract Management: Ensuring That the DoD Gets What It Pays For On Time, at a Fair Price, and With the Right Capabilities
10. Providing Comprehensive and Cost-Effective Health Care

The DoD OIG uses this document as a planning tool to determine where to allocate its audit, evaluation, and investigative resources. The DoD OIG also uses the top management and performance challenges as a guide for determining future oversight projects. While not all projects planned or undertaken address one of the challenges, the majority address at least one and some more than one.

In the next section of this Compendium, we summarize 35 high priority open recommendations. In each of the summaries, we list the related management and performance challenges. Figure 8 shows the number of high-priority open recommendations by FY 2020 Management and Performance Challenge.

BACKGROUND ON OIG FOLLOWUP PROCESS

Figure 8. High-Priority Open Recommendations by Management Challenge



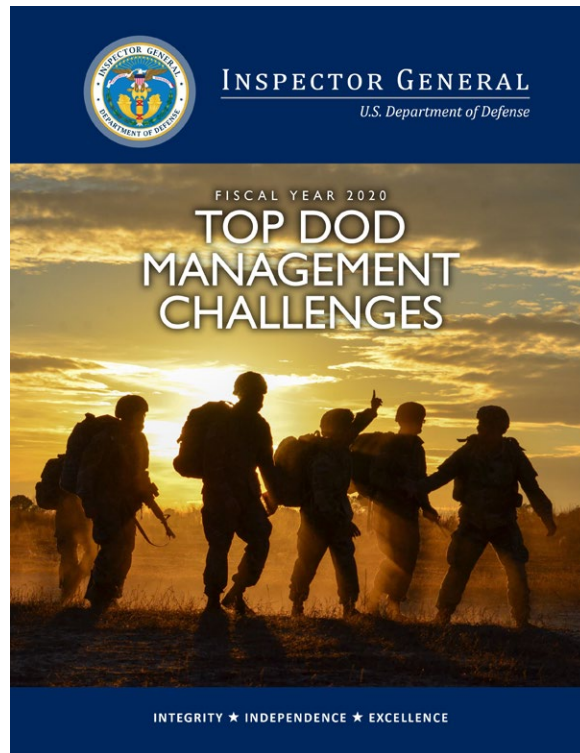
Source: The DoD OIG.

Note: For 29 recommendations, more than one management challenge was identified.

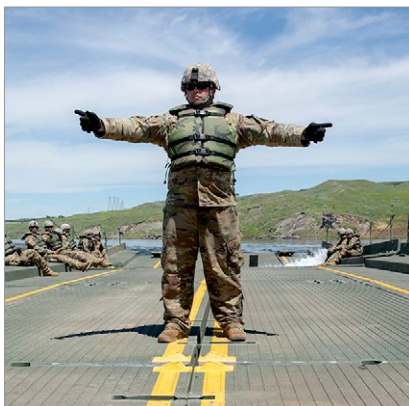
BACKGROUND ON OIG FOLLOWUP PROCESS

For additional information about the top management and performance challenges facing the DoD, visit: <http://www.dodig.mil/Reports/Top-DoD-Management-Challenges/>.

When describing the high-priority open recommendations in this Compendium, we identify the DoD management and performance challenge(s) to which the recommendation relates.



Additional information about each recommendation discussed in the Compendium can be obtained by reviewing the associated reports that are available online at the DoD OIG website: <http://www.dodig.mil/reports.html/>.



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High-Priority Open Recommendations

The DoD OIG identified 35 open recommendations made in 16 DoD OIG reports that it believes the DoD should prioritize to ensure that it implements corrective actions in a timely manner. This section of the report describes 26 unclassified recommendations; 9 recommendations made in classified reports are summarized in Appendix B. We consider these recommendations high-priority based on the recommendations' potential for improving the effectiveness of DoD operations, financial statements, or contract oversight, or for achieving cost savings.

The first three reports listed include the seven recommendations that we consider most significant to the DoD. The subsequent reports are listed chronologically, in the order they were issued.

The following 26 summaries of high-priority open recommendations, and the 9 classified summaries in Appendix B, each contain:

- the title of the report,
- the management and performance challenge most directly related to the report,
- the objective of the report,
- the key findings in the report,
- the key open recommendations,

- management's response to the recommendations, which was provided to the DoD OIG when the final report was issued,
- the implementation status of each recommendation as of March 31, 2020,
- information required or requested from the DoD for the DoD OIG to close the recommendation, and
- an explanation of why the recommendations are important.



*Seaman uses binoculars
while standing aft lookout*

Source: U.S. Navy.

Report No. DODIG-2018-035, “Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations,” December 4, 2017

FY 2020 Management Challenge – Ensuring Ethical Conduct

Objective: The objective of this evaluation was to determine whether all Military Services Law Enforcement Organizations had submitted fingerprint cards and final disposition reports for service members convicted by court-martial of qualifying offenses, such as murder, rape, arson, and burglary, as required by DoD Instruction 5505.11, “Fingerprint Card and Final Disposition Report Submission Requirements.”

The DoD OIG also issued Report No. DODIG-2020-064, “Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation,” on February 21, 2020, to determine in part whether the DoD and its law enforcement organizations (LEOs) complied with Federal law and DoD policy for submitting DoD criminal history information to the Federal Bureau of Investigation (FBI) for entry into its criminal history databases, which include fingerprints and offender final disposition reports.

Finding: The DoD OIG determined that from January 1, 2015, through December 31, 2016, the Military Services did not consistently submit fingerprint cards and final disposition reports to the FBI Criminal Justice Information Services (CJIS) as required by DoD Instruction 5505.11. Fingerprint cards are required to be submitted when probable cause exists to believe that the person has committed an offense, following coordination with the servicing Staff Judge Advocate or legal adviser. In addition, a final disposition report must be submitted when final disposition is made against the subject. Overall, of the 2,502 fingerprint cards required to be submitted to the FBI CJIS for inclusion in the FBI’s Next Generation Identification (NGI) database, 601 (24 percent) were not submitted. Of the 2,502 final disposition reports required to be submitted, 780 (31 percent) were not submitted.⁷

⁷ The NGI database’s primary function is to provide the FBI with an automated fingerprint identification and criminal history reporting system. The FBI NGI database is a national computerized system for storing, comparing, and exchanging fingerprint data and criminal history information.

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Recommendation C.1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the FBI Criminal Justice Information Service the 159 Naval Criminal Investigative Service (NCIS) fingerprint cards and 203 NCIS final dispositions reports that are not in the FBI NGI database.

Recommendation D.1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the FBI Criminal Justice Information Service the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the FBI NGI database.

Recommendation G.1.a: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to submit to the FBI Criminal Justice Information Service the 37 fingerprint cards and 46 final disposition reports of the Marine Corps that are not on file in the FBI NGI database.

Management Response to the Recommendations: The NCIS Deputy Director stated that the NCIS agreed with Recommendation C.1.a. According to the Deputy Director, the NCIS had implemented corrective actions to correct previous fingerprint submission deficiencies and to prevent further issues with submissions, including developing a “Fingerprint Verification Plan.” In his comments dated November 28, 2017, the Deputy Director also stated that this plan included a field office review of open cases and closed case files within the last 12 months to verify submission of fingerprint cards and an NCIS validation of the results. The Deputy Director further stated that the NCIS Headquarters, Criminal Investigation Directorate, would validate each field office submission and remit any cases requiring corrective action back to the field office for completion.

The Commander of Navy Installations Command (CNIC) agreed with Recommendation D.1.a and stated that he would direct Navy Security Forces under the CNIC to promptly take action to submit fingerprint cards and final disposition reports that were not in the FBI CJIS database.

The Plans, Policies, and Operations Deputy Commandant, responding for the Marine Corps, stated that the Marine Corps agreed with Recommendation G.1.a. The Deputy Commandant stated that the Marine Corps was taking steps to implement the recommendation. This included tasking all installation Provost Marshal’s Offices and Criminal Investigation Division offices to review all incident reporting and determine

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if the fingerprints of the suspect of the incident were taken and submitted to the FBI and if the disposition (command adjudication) was received from the command and submitted to the FBI. Additionally, he stated that all locations are to obtain a disposition for all suspects from the command or Staff Judge Advocate and provide those results to the FBI for the period of January 1, 2015, through October 31, 2017. The incidents referred to in this recommendation will be covered during this review.

Implementation Status: For over a year, the DoD OIG has requested a status update on the Navy and Marine Corps progress in obtaining 100 percent of the fingerprint cards and final disposition reports as required to implement Recommendations C.1.a, D.1.a, and G.1.a; however, as of March 31, 2020, the DoD OIG had not received a response to our followup inquiries.

In addition to Recommendations C.1.a, D.1.a, and G.1.a, this report contained 59 other recommendations related to the Military Services performing a comprehensive review, dating back to 1998, to ensure that required fingerprint cards and disposition reports have been submitted to the FBI CJIS Division and that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (known as DNA) samples, have been submitted for inclusion in FBI databases. Of those 62 recommendations, 30 are closed and 32 are resolved but remain open.

On February 21, 2020, the DoD OIG issued Report No. DODIG-2020-064, "Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation." One of the objectives of this evaluation was to determine whether the DoD and its LEOs complied with Federal law and DoD policy for submitting DoD criminal history information to the FBI for entry into its criminal history databases, which include fingerprints and offender final disposition reports. The evaluation identified a total of 912 service members convicted of offenses from January 1, 2015, through February 28, 2018, that resulted in a dishonorable discharge or dismissal for conviction of a qualifying offense and required submission of fingerprints and final disposition reports to the applicable FBI CJIS Division databases. The evaluation concluded that the LEOs submitted all 912 (100 percent) fingerprint and final disposition reports that were required to be submitted to the applicable FBI CJIS Division databases. Although the process is now automated and improvements have been made, there are still fingerprint cards and disposition reports from the original evaluation (D-2018-035) that have not been submitted to the FBI.

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Information Required to Close the Recommendations: The DoD OIG will close Recommendations C.1.a, D.1.a, and G.1.a once the Navy and Marine Corps have submitted the missing fingerprint cards and final disposition reports to the FBI, as demonstrated with rap sheets or an FBI Control Number. These recommendations have been open 2 years and 4 months. This is the third consecutive year these recommendations have appeared on the Compendium's list of high-priority recommendations.

Why the Recommendations Are Important: The DoD OIG previously conducted two other evaluations, in 1997 and 2015, that found a significant number of missing fingerprint cards and final disposition reports throughout the DoD. In the 2017 evaluation, the DoD OIG again found a significant number of missing fingerprints cards and final disposition reports throughout the DoD. Although the Army, Navy, and Marine Corps reported progress in locating or collecting and then submitting fingerprints and final disposition reports to the FBI CJIS Division, they have not provided the DoD OIG the necessary documentation to demonstrate that all the required information has been submitted. Any failure to populate FBI databases, even belatedly, with all the required fingerprint records can result in weapon purchases by people who should not legally be able to purchase them, as occurred in the November 2017 church shooting in Texas. This failure to populate FBI databases can also hinder criminal investigations and potentially impact law enforcement and national security interests if accurate and timely criminal history information is not available to local police officers and security clearance investigators.

Report No. DODIG-2019-105, “Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems,” July 23, 2019 (Full Report is FOUO)

FY 2020 Management Challenges – Acquisition and Contract Management:
Ensuring That the DoD Gets What It Pays For On Time, at a Fair Price, and With
the Right Capabilities

Countering China, Russia, Iran, and North Korea

Countering Global Terrorism

Enhancing DoD Cyberspace Operations and Capabilities

Improving Supply Chain Management and Security

Objective: The objective of this audit was to determine whether DoD contractors implemented adequate security controls to protect DoD-controlled unclassified information (CUI) maintained on their networks and systems from internal and external cyber threats. CUI is a designation for identifying unclassified information that requires proper safeguarding in accordance with Federal and DoD guidance.

This audit was conducted in response to a request from the Secretary of Defense that the DoD OIG conduct a DoD-wide audit to determine whether contractors were protecting CUI on their networks and systems.

Finding: The DoD OIG determined that DoD contractors did not consistently implement security controls in accordance with Federal and DoD requirements for safeguarding Defense CUI. Defense Federal Acquisition Regulation Supplement (DFARS) clause 252.204-7012 requires contractors that maintain CUI to implement security controls specified in National Institute of Standards and Technology (NIST) Special Publication (SP) 800-171, which lists security requirements for safeguarding sensitive information on non-Federal information systems. The requirements include controls for user authentication, user access, media protection, incident response, vulnerability management, and confidentiality of information. Although the DoD requires contractors to protect CUI by complying with NIST SP 800-171 requirements, DoD Component contracting offices and requiring activities did not establish processes to:

- verify that contractors’ networks and systems that process, store, and transmit CUI met the NIST security requirements before contract award;
- notify contractors of the specific CUI category related to the contract requirements;

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- determine whether contractors accessed, maintained, or developed CUI to meet contractual requirements;
- properly mark documents that contained CUI and notify contractors when CUI was exchanged between DoD agencies and the contractor; and
- verify that contractors implemented minimum security controls for protecting CUI.

Furthermore, DoD Component contracting offices and requiring activities did not always know which contracts required contractors to maintain CUI because the DoD did not implement processes and procedures to track which contractors maintain CUI. In addition, the contracting offices inconsistently tracked which contractors maintain CUI on their networks and systems.

As a result, the DoD does not know the amount of DoD information managed by contractors and cannot determine whether contractors are protecting unclassified DoD information from unauthorized disclosure. Without knowing which contractors maintain CUI on their networks and systems and taking actions to validate that contractors protect and secure DoD information, the DoD is at greater risk of its CUI being compromised by cyber attacks from malicious actors who will target DoD contractors. Malicious actors can exploit vulnerabilities on the networks and systems of DoD contractors and steal information related to some of the Nation's most valuable advanced defense technologies. Cyberattacks against DoD contractors' networks and systems require implementation of system security controls that reduce the vulnerabilities that malicious actors use to compromise DoD critical national security information.

Recommendation A.2: The DoD OIG recommended that the Principal Director for Defense Pricing and Contracting, in coordination with the appropriate DoD Component responsible for developing policy:

- a. revise its current policy to require DoD Component contracting offices, as part of the Request for Proposal and source selection processes, and requiring activities, during the performance of the contract, to assess whether contractors comply with the National Institute of Standards and Technology requirements for protecting controlled unclassified information before contract award and throughout the contracts' period of performance.

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- b. develop and implement policy requiring DoD Component contracting offices and requiring activities to maintain an accurate accounting of contractors that access, maintain, or develop controlled unclassified information as part of their contractual obligations.
- c. revise its current policy to include language that will require DoD Component contracting offices and requiring activities to validate contractor compliance with National Institute of Standards and Technology Special Publication 800-171 requirements.
- d. require DoD Component contracting offices, in coordination with DoD requiring activities, to develop and implement a risk-based process to verify that contractors comply with the Defense Federal Acquisition Regulation Supplement clause 252.204-7012 for protecting controlled unclassified information.
- e. require DoD Component contracting offices, in coordination with DoD requiring activities, to take corrective actions against contractors that fail to meet the National Institute of Standards and Technology and contract requirements for protecting controlled unclassified information.

Management Response to the Recommendation: The Defense Pricing and Contracting (DPC) Acting Principal Director agreed, stating that the DPC requires offerors to represent that they will implement NIST SP 800-171 security requirements as part of the Request for Proposal and source selection processes. The Acting Principal Director also stated that the February 5, 2019, memorandum from the Under Secretary of Defense for Acquisition and Sustainment directed the Defense Contract Management Agency, for contracts it administers, to assess contractor compliance with NIST SP 800-171 requirements. The Acting Principal Director stated that, from June through September 2019, the Defense Contract Management Agency would lead a pilot program to provide a strategic, DoD-wide approach for assessing contractor compliance with NIST SP 800-171 requirements. After completing the pilot program, the Acting Principal Director stated that DPC would work with, among others, the Defense Contract Management Agency, DoD Components, and the DoD Chief Information Officer to:

- determine how to use the results before contract award,
- revise DoD policy accordingly,

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- develop a risk-based process that uses a common methodology to assess contractor compliance with NIST SP 800-171 requirements, and
- update DFARS clause 252.204-7008, “Compliance with Safeguarding Covered Defense Information Controls,” October 2016.

The Acting Principal Director also stated that the DPC used enterprise contract data to track contracts that included DFARS clause 252.204-7012 and provide DoD Components with a quarterly update of contractors subject to DFARS clause 252.254-7012 requirements. Furthermore, the Acting Principal Director agreed with the need for DoD Components to take corrective action against contractors that fail to meet NIST SP 800-171 and contract requirements for protecting CUI. The Acting Principal Director stated that DoD Components are authorized to implement any or all of the penalties and remedies for noncompliance with the DFARS clause and NIST requirements. The Acting Principal Director further stated that the implementation of a DoD-wide approach for assessing contractor compliance with the DFARS clause and NIST requirements would enable the Defense Contract Management Agency and any contract administering organization to apply penalties and remedies when warranted.

Implementation Status: The DoD opened DFARS Case 2019-D041, “Strategic Assessment and Certification Cybersecurity Requirements,” on September 23, 2019, to implement a standard DoD-wide methodology for assessing DoD contractor compliance with the security requirements in NIST SP 800-171 and the Cybersecurity Maturity Model Certification, a DoD certification process used to certify that contractors have the controls to protect sensitive data, including Federal contract information and controlled unclassified information. On January 15, 2020, the Defense Acquisition Regulatory Council agreed to a draft proposed DFARS rule. In addition to Recommendation A.2.a-e, this report contained 44 other recommendations related to DoD Component contracting offices developing and implementing a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication; mitigating vulnerabilities in a timely manner; protecting and monitoring data on removable media; documenting and tracking cybersecurity incidents; using an automatic system lock after inactivity or unsuccessful logon attempts; implementing physical security controls; generating system activity reports; and requiring and maintaining justification for accessing systems that contain controlled unclassified information. Of those 45 recommendations, 9 are closed, 21 remain resolved and open, and 15 are unresolved.

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Information Required to Close the Recommendation: The DoD OIG will close Recommendation A.2.a-e after the Acting Principal Director provides the revised or new policies and procedures that establishes a risk-based process for assessing contractor compliance with NIST SP 800-171 requirements before contract award and throughout the contract's period of performance. In addition, the Acting Principal Director should provide the quarterly lists of contractors subject to DFARS clause 252.201-7012, the revised contractual language included in DFARS clause 252.204-7008, and the list of penalties and remedies that DoD Components could apply to contractors that fail to meet NIST and contract requirements. This recommendation has been open 8 months. This is the first year this recommendation has appeared on the Compendium's list of high-priority recommendations.

Why the Recommendation Is Important: Contractors use CUI to produce services or products for the DoD. Security measures, such as multifactor authentication, vulnerability management, and data encryption, decrease the risk of unauthorized access to CUI. In addition, identifying and mitigating vulnerabilities in a timely manner decreases the risk that cyber attacks could exploit known system and network weaknesses. Furthermore, limiting access to CUI to users with a mission-related need to know reduces the risk of intentional or unintentional disclosures of data critical to national security. Active and passive security surveillance measures, such as installing and maintaining operating security cameras that provide the ability to monitor movement throughout a facility, reduce the capability of insiders to intentionally compromise networks and systems that contain CUI.

Defense contractors that do not implement the proper security controls to protect DoD information risk disclosing critical technical details of DoD programs to U.S. adversaries. Malicious actors can exploit vulnerabilities on the networks and systems of DoD contractors and steal information related to some of the Nation's most valuable advanced defense technologies. If the DoD does not include security as a major factor in considering whether to do business with Defense contractors, there is an increased risk that DoD CUI related to national security could fall into adversaries' hands.

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Report No. DODIG-2019-060, “Review of Parts Purchased From TransDigm Group, Inc.,” February 25, 2019

FY 2020 Management Challenges – Acquisition and Contract Management:

Ensuring That the DoD Gets What It Pays For on Time, at a Fair Price, and With the Right Capabilities

Improving Supply Chain Management and Security

Financial Management: Implementing Timely and Effective Actions to Address Financial Management Weaknesses Identified During the First DoD-Wide Financial Statement Audit

Ensuring Ethical Conduct

Objective: The objective of this audit was to determine whether the DoD purchased parts at fair and reasonable prices from TransDigm Group, Inc.

TransDigm and its subsidiaries design, produce, and supply specialized parts for aircraft and airframes. The DoD OIG reviewed a sample of 47 parts purchased by the DoD from TransDigm on 113 contracts between January 2015 and January 2017, with a total value of \$29.7 million. The DoD OIG reviewed the price reasonableness determination for 47 of the 113 contracts, one for each part, to determine how DoD contracting officers established a fair and reasonable price and whether DoD contracting officers requested and received certified or uncertified cost data. The DoD OIG performed cost analyses on the parts and determined what the Defense Logistics Agency and the Army could have paid for them had TransDigm provided the uncertified cost data. The DoD OIG applied this cost data to all 113 contracts to determine the amount of excess profit that the Defense Logistics Agency and the Army paid to TransDigm between January 2015 and January 2017.

Finding: The DoD OIG determined that TransDigm earned excess profit on 46 of the 47 parts purchased by the Defense Logistics Agency and the Army. This occurred even though contracting officers followed the Federal Acquisition Regulation (FAR) and Defense Federal Acquisition Regulation Supplement (DFARS)-allowed procedures when they determined that prices were fair and reasonable for the 47 parts at the time of

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contract award. However, when the DoD OIG compared the awarded prices for the 47 parts on 113 contracts to TransDigm's uncertified cost data, our analysis determined that only one part purchased under one contract was awarded with a reasonable profit of 11 percent. The remaining 112 contracts had profit percentages ranging from 17 to 4,451 percent for 46 parts. The DoD OIG considered profit percentages of 15 percent or below to be reasonable.

Contracting officers used FAR- and DFARS-allowed pricing methods, including historical price analysis, competition, and cost analysis, to determine whether prices were fair and reasonable for the 47 parts. However, historical price analysis and competition was unreliable in identifying when TransDigm was charging excess profit because:

- prices for parts had become inflated over time, and some parts appeared to be inflated at the time the Government first purchased the part, further compounding the excess profits; and
- TransDigm was the only manufacturer at the time of the contract award for the majority of the parts competitively awarded, giving TransDigm the opportunity to set the market price for those parts because other competitors planned to buy the parts from TransDigm before selling them to the Defense Logistics Agency.

Performing cost analysis using certified or uncertified cost data is the most reliable way to determine whether a price is fair and reasonable. The one contract in our sample awarded with a reasonable profit was the only contract for which the contracting officer used cost data to determine price reasonableness. Contracting officers are required to obtain certified cost data before awarding contracts above the Truth in Negotiation Act threshold and can request uncertified cost data for those below it. However, contracting officers are often prevented from obtaining uncertified cost data for the following reasons.

- The FAR enables sole-source providers and manufacturers of spare parts to avoid providing uncertified cost data, even when requested, because of the less stringent requirements for awarding small dollar value contracts and commercial item contracts.
- There is no specific requirement in the FAR or DFARS that requires or compels contractors to provide certified or uncertified cost data to the contracting officer when requested before the contract is awarded.

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- Statutory and regulatory requirements discourage contracting officers from asking for uncertified cost data when determining whether a price is fair and reasonable.

The DoD OIG determined that for 112 contracts, TransDigm earned \$16.1 million in excess profit for 46 parts it sold to the Defense Logistics Agency and the Army for \$26.2 million between January 2015 and January 2017. In addition, the DoD could continue paying excess profits on parts purchased from sole-source manufacturers and providers of spare parts if statutory and regulatory requirements continue to discourage contracting officers from requesting uncertified cost data and allow contractors to avoid providing uncertified cost data when requested.

Recommendation 4.a: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director examine the United States Code; Federal Acquisition Regulation; Defense Federal Acquisition Regulation Supplement; and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information, to determine changes needed in the acquisition process of parts produced or provided from a sole source to ensure that contracting officers obtain uncertified cost data when requested and that the DoD receives full and fair value in return for its expenditures.

Recommendation 4.d: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director incorporate the requirements from the revised memorandum into the Defense Federal Acquisition Regulation Supplement and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information.

Recommendation 4.e: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director establish a team of functional experts to analyze data reported as a result of the revised and updated memorandum. The team of functional experts would:

1. assess parts and contractors deemed to be at high risk for unreasonable pricing and identify trends, and
2. perform price analysis and cost analysis of high risk parts to identify lower cost alternatives or fair and reasonable pricing for future procurements.

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Management Response to the Recommendations: On March 22, 2019, the Defense Pricing and Contracting Acting Principal Director agreed with Recommendation 4.a, stating that this review has been accomplished as part of a comprehensive review of all commercial prescriptions and clauses in the FAR and DFARS to implement recent legislation regarding commerciality and determination of price reasonableness. The Acting Principal Director stated that the review identified no panacea to force uncooperative contractors to provide uncertified cost and pricing data and concluded that legislative changes would be required to provide partial protection from practices described in the report. He suggested that one legislative proposal would require Original Equipment Manufacturers to offer the DoD first right of refusal when selling licensing rights for a sole-source item. However, the Acting Principal Director did not provide details on what a proposed legislative change needs to include to require uncooperative contractors to provide cost or pricing data. Defense Pricing and Contracting, based on its review and expertise, is in the best position to outline the exact legislative changes needed, but did not elaborate beyond requiring original equipment manufacturers to offer the DoD first right of refusal when selling licensing rights for a sole-source item.

The Defense Pricing and Contracting Acting Principal Director agreed with Recommendation 4.d, stating that he directed his staff to update the November 7, 2007, policy reform memorandum on “Access to Records with Exclusive Distributors/Dealers,” and will direct his staff to amend DFARS, as appropriate, to reflect the revised memorandum. The Acting Principal Director also agreed with Recommendation 4.e, stating that he will recommend that the Under Secretary of Defense for Acquisition and Sustainment request that a group of experts from the Military Departments, Defense Logistics Agency, and the Defense Contract Management Agency identify and share information regarding contractors found to be high risk for unreasonable pricing and perform price and cost analysis on high-risk parts.

Implementation Status: The Defense Pricing and Contracting Acting Principal Director rescinded and replaced the 2007 memorandum with a new memorandum issued on March 22, 2019, titled, “Process and Reporting Requirements Pertaining to Contractor Denial of Contracting Officer Requests for Data Other than Certified Cost or Pricing Data.” The memorandum stated that Heads of Contracting Activities are required to

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report, quarterly, contractors who fail to comply with contracting officer's request for cost data to support price reasonableness determination. The Defense Pricing and Contracting Acting Principal Director also created a standardized process and format for reporting the information required in the memorandum. The Defense Pricing and Contracting Acting Principal Director made progress in establishing a team of functional experts to conduct a review of information reported under the memorandum by requesting nominations for individuals from throughout the DoD to participate on the team. In addition to Recommendations 4.a, 4.d, and 4.e, this report contained six other recommendations related to seeking \$16.1 million in voluntary refunds from TransDigm for excess profits identified in the DoD OIG report, which TransDigm refunded. Of the nine recommendations, six are closed, and the three discussed above are resolved but remain open.

Information Required to Close the Recommendations: The DoD OIG will close Recommendation 4.a once it receives documentation detailing the results of examining statutory and regulatory requirements. This review should include changes needed to the acquisition process of parts produced or provided from a sole source to ensure that contracting officers obtain uncertified cost data when requested and that the DoD receives full and fair value in return for its expenditures.

The DoD OIG will close Recommendation 4.d once it receives the amended DFARS and verifies that it incorporates the expanded requirements of the Defense Pricing and Contracting policy memorandum, "Process and Reporting Requirements Pertaining to Contractor Denials of Contracting Officer Requests for Data Other than Certified Cost or Pricing Data," that was issued on March 22, 2019.

The DoD OIG will close Recommendation 4.e once it receives documentation that the team of functional experts has been established and is assessing parts and contractors deemed to be high risk for unreasonable pricing and performing price and cost analyses of high-risk parts to identify lower cost alternatives.

These recommendations have been open 1 year and 1 month. This is the second consecutive year these recommendations have appeared on the Compendium's list of high-priority recommendations.

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Why the Recommendations Are Important: The DoD OIG considers the recommendations, other than 4.a, as interim fixes to help DoD acquisition officials cope with the holes in the statutory and regulatory acquisition guidance for sole-sourced spare parts. The adjustments to the “Access to Records with Exclusive Distributors/Dealers” policy memorandum should help the Defense Pricing and Contracting Principal Director identify at-risk parts for unreasonable pricing and contractors that routinely deny uncertified cost data to contracting officers. The team of functional experts should be receiving the data obtained as a result of the new memorandum, which should result in the team identifying lower cost alternatives or fair and reasonable pricing for future procurements. However, a more permanent fix is needed in the statutory and regulatory guidance governing how cost data for sole-sourced spare parts is obtained. Without a change in legislation or regulation, the DoD could continue paying excess profits on parts purchased from sole-source manufacturers and providers of spare parts. Lowering the cost of future procurements of spare parts through both the interim and statutory and regulatory changes could result in significant savings to the DoD.

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Report No. DODIG-2014-101, “Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight,” August 13, 2014

FY 2020 Management Challenges – Acquisition and Contract Management:

Ensuring that the DoD Gets What It Pays For On Time, at a Fair Price, and With the Right Capabilities

Providing Comprehensive and Cost-Effective Health Care

Objective: The objective of this audit was to determine whether the Military Services and selected military medical treatment facilities were effectively managing medical service accounts (MSAs) at Brooke Army Medical Center (BAMC) that were more than 180 days delinquent by transferring the debt to the appropriate debt collection agency or actively pursuing collection.

The Army military treatment facility Uniform Business Office (UBO) is responsible for MSA activities, which include billing and collecting funds for medical and dental services from Uniformed Services beneficiaries, civilian emergency patients, and other patients who are authorized to receive treatment in a military treatment facility, such as the Brooke Army Medical Center and the Landstuhl Regional Medical Center. According



Computed tomography technologist conducts a CT scan on a patient at Brooke Army Medical Center, Fort Sam Houston, Texas

Source: U.S. Army.

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to DoD Regulation 7000.14-R, “DoD Financial Management Regulation” (DoD FMR), volume 16, “Department of Defense Debt Management,” January 2016, DoD Components must take prompt and aggressive action to recover and collect debts owed to the DoD and must continue to propose followup actions, as necessary, to ensure that the debts are collected.

Finding: The DoD OIG determined that BAMC UBO management did not effectively manage delinquent MSAs. As of May 29, 2013, there were 15,106 MSAs at the BAMC, valued at \$73.1 million, that were delinquent more than 180 days and were not transferred to the U.S. Treasury for collection. The DoD OIG reviewed 25 of the highest dollar MSAs, valued at \$11 million, and found that the BAMC UBO did not transfer 24 of those accounts, valued at \$10.4 million, to the U.S. Treasury for collection after the account was 180 days delinquent.⁸ In addition, BAMC UBO management did not pursue collection for 20 of the delinquent MSAs, valued at \$8.8 million. The large number of delinquent MSAs, including the sample items reviewed, existed because BAMC UBO management did not have a system in place to monitor the delinquent MSAs, prioritize the aging accounts, and notify staff of the MSAs requiring followup. As a result, the BAMC UBO missed opportunities to collect approximately \$10.4 million in delinquent payments due on 24 of the 25 highest dollar delinquent MSAs. These are funds that could be applied to administrative, operating, and equipment costs; readiness training; or trauma consortium activities. Unless BAMC UBO management takes prompt and aggressive actions to pursue collection of the delinquent debt among the MSAs the DoD OIG reviewed, including the \$62.5 million for the thousands of additional delinquent MSAs that the DoD OIG did not specifically review, and makes improvements to its collection process, it will continue to incur rising delinquent balances for future MSAs and miss opportunities to enhance health care delivery at the Medical Treatment Facility providing the care.

Recommendation 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.

Management Response to the Recommendation: The U.S. Army Medical Command (MEDCOM) Chief of Staff, responding for the BAMC Commander, agreed with the recommendation, stating that the BAMC UBO reassigned two MSA clerks to work exclusively on aged accounts to process and transfer them to the U.S. Treasury

⁸ The BAMC did not pursue one MSA because it was part of an ongoing court case.

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within 18 months. The Chief of Staff also stated that the BAMC UBO is working to hire additional MSA clerks to mitigate current claims from aging into the 180-day backlog. The BAMC planned to complete processing and transferring the backlog by January 1, 2016. The MEDCOM Chief of Staff also expressed concern that, to transfer debt for patients requesting debt compromise, which is the acceptance of a lesser amount of money as full settlement of the patient's debt, the Treasury required a financial assessment before the debt could be transferred to the Treasury. However, according to the Chief of Staff, the BAMC UBO did not have the staff or expertise to conduct these financial assessments. The Chief of Staff stated that his office was seeking additional guidance from the Office of the Secretary of the Army on how to proceed with these claims.

Implementation Status: Although MEDCOM provided additional staff to assist, the BAMC UBO did not process and transfer all of the delinquent MSA backlog by January 1, 2016. On February 6, 2018, BAMC UBO personnel stated that they were taking action to improve processes and were working to close the open delinquent MSAs; however, UBO personnel stated they were not tracking the status of the over 15,000 delinquent MSAs identified at the time of the report.

The DoD OIG performed a followup audit to determine whether the Office of the Under Secretary of Defense (Health Affairs), the Defense Health Agency (DHA), and the Military Services implemented actions to correct problems identified in six prior DoD OIG reports related to the collection of outstanding balances of MSAs for patients authorized to receive care in military medical treatment facilities and summarize systemic issues regarding delinquent MSAs.⁹ The DoD OIG followup audit determined that, although the medical treatment facilities improved the billing process for MSA accounts with the implementation of the Armed Forces Billing and Collection Utilization Solution (ABACUS) and corrective actions from prior audit recommendations, additional improvements are needed to review and pursue collections on all open and delinquent accounts.¹⁰ Specifically, the Military Services did not review and pursue collections for 27,149 open delinquent accounts, valued at \$77.7 million (which includes 15,106 MSAs at BAMC, valued at \$73.1 million), identified in Report Nos. DODIG-2014-101 and

⁹ Report No. DODIG-2019-038, "Followup of Delinquent Medical Service Account Audits," December 19, 2018.

¹⁰ ABACUS replaced the billing function in the Composite Health Care System in September 2015. ABACUS allows medical treatment facilities to manage billing and collection activities for the Uniform Business Offices' cost recovery programs. ABACUS provides electronic billing, interagency invoicing, and visibility into medical cost recovery activities, including delinquent debt.

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DODIG-2016-079.¹¹ This occurred because UBO management for all Services did not implement the proposed corrective actions for all recommendations made in the prior audit reports, including pursuing the collection of \$73.1 million in delinquent accounts identified in our previous report.

In the response to the recommendations made in the followup audit report, the DHA Deputy Director stated that the DHA UBO would coordinate with BAMC, through MEDCOM management, to develop a plan to review and process the delinquent debt.

After the followup audit, MEDCOM reported that it had completed analysis of the 15,106 delinquent MSAs identified in Report No. DODIG-2014-101 and found 15,042 of the accounts have since been paid, transferred to the Defense Finance and Accounting Service (DFAS) or the Treasury for collection, or no longer have a balance. However, the DoD OIG determined that the analysis showed 14,920 of the accounts were listed as “no longer on the aged accounts receivable,” with no indication of how each of those accounts were resolved (either paid in full, transferred to DFAS or the Treasury for collection, or terminated as uncollectible debt).¹² Therefore, the DoD OIG notified MEDCOM on April 16, 2019, that the support it provided for the completed analysis of the 15,106 MSAs was deficient, and requested that MEDCOM provide additional documentation to support the disposition of the MSAs.

MEDCOM responded that it had taken all reasonable actions to review, research, and collect on MSAs open at the time of the report. MEDCOM further stated that the DoD OIG followup Report No. DODIG-2019-038 recognized the impending takeover of military treatment facilities by the DHA and included a recommendation for the DHA to work with MEDCOM and the BAMC UBO to address delinquent debt.¹³ Based on the lack of resources to pursue further detailed research for each account, and DHA authority to address future delinquent MSA issues from an enterprise perspective, MEDCOM stated that no further research on the delinquent accounts would be taken.

¹¹ Report Nos. DODIG-2014-101, “Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight,” August 13, 2014, and DODIG-2016-079, “Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight,” April 28, 2016.

¹² The remaining 186 MSAs were transferred to the debt collection agency; still have a balance; or have a credit balance that may require a refund.

¹³ The National Defense Authorization Act for FY 2017 establishes the DHA as the authority for administration of all medical treatment facilities beginning October 1, 2018.

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The DoD OIG followed up with MEDCOM personnel, who stated that the DHA will take over all future delinquent MSA issues in February 2020, and requested that the DoD OIG meet with the DHA to discuss its plans to review and process the delinquent debt identified in prior DoD OIG reports. On January 23, 2020, the DoD OIG held a meeting with MEDCOM and DHA officials to discuss a way ahead to address the plans to review and process the delinquent debt accounts. The DHA agreed to assist MEDCOM on a plan to address the delinquent debts of MSAs.

Between July 2013 and January 2017, the DoD OIG conducted eight audits addressing oversight and management of MSAs by the Office of the Under Secretary of Defense, the Military Services, and selected military medical treatment facilities. Those eight audits resulted in a total of 77 recommendations. Of those 77 recommendations, 49 recommendations were closed and 28 remain resolved and open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 1.b once we review the BAMC UBO and DHA plan to pursue the collection of delinquent MSA debt identified in prior DoD OIG audit reports; and evidence that they pursued the collection on all 15,106 delinquent MSAs, valued at \$73.1 million, that were open at the time of the audit. This recommendation has been open 5 years and 7 months. This is the third consecutive year this recommendation has appeared on the Compendium's list of high-priority recommendations.

Why the Recommendation Is Important: MEDCOM could potentially recover \$73.1 million in delinquent payments. In addition, if these processes are not fixed, the backlog will continue to grow and additional funds that could be applied to improving the delivery of health care to DoD beneficiaries will go uncollected.

Report No. DODIG-2015-016, “Department of Defense Suicide Event Report Data Quality Assessment,” November 14, 2014

FY 2020 Management Challenges – Providing Comprehensive and Cost-Effective Health Care

Ensuring the Welfare and Well-Being of Service Members and Their Families

Objective: The objective of this assessment was to determine why the Calendar Year (CY) 2011 Department of Defense Suicide Event Report (DoDSER) Annual Report had a high number of “don’t know/data unavailable” responses to questionnaire items.

Finding: The DoD OIG determined that the CY 2011 DoDSER did not consistently include highly relevant information from other sources, such as medical records, law enforcement investigations, or command investigations, that could provide a better understanding of the circumstances and stressors related to suicidal behavior. This occurred because DoD policy did not require a multidisciplinary approach to gathering data for the DoDSER submission.

The CY 2011 DoDSER had a high number of “don’t know/data unavailable” responses in numerous critical data fields, such as, “Did the decedent have a family history of mental illness?” and “Prior to the event, was the decedent seen by a Military Treatment Facility?” This occurred because individuals assigned to prepare the DoDSER lacked awareness of how or where to obtain that information. Additionally, Line of Duty Investigating Officers, investigative agencies, and suicide prevention offices, who were likely to have been the sources of information that was reported as “don’t know/data unavailable,” often failed to share this information with those preparing the DoDSER submission.¹⁴

Recommendation 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoDSER submissions. For each suicide death, the board should:

- be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review;

¹⁴ A line of duty investigation is typically conducted in the event of the death of a service member to determine if the death occurred while the individual was in a duty status. The investigation is typically assigned to a service member as an additional duty. The line of duty investigating officer’s determination has an impact on the deceased service member’s survivor benefits received.

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- include participation by unit leadership, medical and mental health organizations, and Military Criminal Investigative organizations; and
- articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations.

Recommendation 4.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Military Service and DoD trends.

Management Response to the Recommendations: The Principal Deputy for the Under Secretary of Defense for Personnel and Readiness, responding for the Under Secretary of Defense for Personnel and Readiness, agreed with the recommendations, stating that the office would draft guidance for coordination by April 2015.

Implementation Status: The Office of the Under Secretary for Personnel and Readiness issued DoD Instruction 6490.16, "Defense Suicide Prevention Program," on November 6, 2017. The Acting Director of the Defense Suicide Prevention Office requested that the recommendations be closed based on the language in sections 2.16 and 2.18 of DoD Instruction 6490.16, which outlines the responsibilities of the Secretaries of the Military Departments and Military Service Chiefs, respectively, for implementing a suicide prevention policy and program. Sections 2.16 and 2.18 support the Defense Suicide Prevention Office's annual suicide death review by providing data elements to the Director, Defense Suicide Prevention Office, within 60 days postdate of suicide and within 90 days post Armed Forces Medical Examiner System confirmation. Data elements include personnel file, contingency tracking system deployment file, medical files, social media data (if available), and a criminal investigation report provided within 30 days of the report being completed by the criminal investigation command. However, the DoD OIG determined that these sections did not provide guidance requiring that suicide event boards take a multidisciplinary approach to obtain data for DoDSER submissions. In addition, the Instruction did not provide guidance to or authorize senior commanders to produce unit or installation reports to better understand suicide trends, make informal local suicide prevention policy, and relate their trends to Service and DoD levels. The DoD OIG is working with the Director of Policy and Plans from the Defense Suicide Prevention Office to create a complete timeline of actions taken and a way

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ahead to close these recommendations. In addition to Recommendations 2.a and 4.a, this report contained 31 other recommendations related to the DoDSER submission process, data quality, and data sharing. Of the 33 recommendations in the report, 18 are closed and 15 are resolved but remain open.

Information Required to Close the Recommendations: The DoD OIG will close Recommendations 2.a and 4.a after we verify that Under Secretary of Defense for Personnel and Readiness actions to revise DoD Instruction 6490.16 to require that suicide event boards take a multidisciplinary approach to obtain data for DoDSER submissions and allow senior commanders to produce unit and installation reports to better understand suicide trends, make informal local suicide prevention policy, and relate their trends to Service and DoD levels; and the DoD OIG has verified that it meets the intent of the recommendations. These recommendations have been open 4 years and 5 months. This is the third consecutive year that Recommendation 2.a has appeared on the Compendium's list of high-priority recommendations and the second year Recommendation 4.a has been included as a high-priority recommendation.

Why the Recommendations Are Important: Preventing DoD military personnel suicide remains a significant challenge for the DoD. The findings of the CY 2018 DoD Annual Suicide Report show an increase in suicide rates among active duty military members, as well as higher-than-expected rates in the National Guard, compared to the U.S. population. Each Service is seeking to address suicide prevention with measures such as training, data collection and analysis, and strategic communications about suicide-related behaviors. DoDSER accuracy and completeness suffered from a high number of "don't know/data unavailable" responses because DoDSER submissions did not reflect information obtained during Service suicide prevention lessons learned processes. Without a comprehensive and complete DoDSER submission, the DoD will have difficulty conducting accurate trend or causal analysis necessary for developing effective suicide prevention policy and programs to reduce suicide rates across the force. Additionally, having an enhanced understanding of suicide risk factors would better inform DoD policy makers and could ultimately improve Service suicide prevention programs.

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Report No. DODIG-2016-026, “Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions,” November 24, 2015 (Full Report is Classified)

FY 2020 Management Challenges – Enhancing DoD Cyberspace Operations and Capabilities

Acquisition and Contract Management: Ensuring That the DoD Gets What It Pays For On Time, at a Fair Price, and With the Right Capabilities

Objective: The objective of this audit was to determine whether Cyber Mission Force (CMF) teams had adequate facilities, equipment, and capabilities (cyber tools and programs) to effectively perform their missions.

(FOUO) [REDACTED]:

1. (FOUO) [REDACTED]
[REDACTED].
2. (FOUO) [REDACTED]
[REDACTED]
[REDACTED].
3. (FOUO) [REDACTED]
[REDACTED]
[REDACTED].

(FOUO) Finding: [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED].

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Recommendation 1: The DoD OIG recommended that the Commander for U.S. Cyber Command, the U.S. Army and U.S. Air Force Chiefs of Staff, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training material, leadership and education, personnel, facilities, and policy framework (DOTMLPF-P) that addresses strategies to build, grow, and sustain the Cyber Mission Force.¹⁵

The DOTMLPF-P is a process for developing a framework to determine the acceptability, suitability, and feasibility of a proposed force design change and capability solution as part of the Joint Capabilities Integration and Development System.¹⁶

(FOUO) Management Response to the Recommendation: [REDACTED]

(FOUO) Implementation Status: In October 2018, the Army provided a classified update detailing corrective actions taken to implement the recommendation. In addition, the Navy and Air Force provided classified documentation describing their frameworks for sustaining the CMF. Based on the documentation provided by the Army, Navy, and Air Force, we closed Recommendation 1 for those Services. The Marine Corps also provided a classified update; however, the actions taken by the Marine Corps were not sufficient to close the recommendation. Although the DoD OIG informed the Marine Corps in October 2018 of the additional documentation required to close this recommendation, as of March 31, 2020, the Marine Corps had not provided an additional update. U.S. Cyber Command indicated in March 2020 that several aspects of Recommendation 1 had been implemented. However, the DoD OIG has not received a closure request or documentation to support closure of this recommendation. In addition to Recommendation 1, this report contained 11 other recommendations related to developing cyber capabilities across the CMF, developing a baseline of defensive capabilities needed by Cyber Protection Teams, [REDACTED]

[REDACTED] Of the 12 recommendations, 6 are closed and 6 are resolved but remain open.

¹⁵ This recommendation is considered high-priority for both the U.S. Cyber Command and Marine Corps; therefore, it accounts for two high-priority recommendations.

¹⁶ The Joint Capabilities Integration and Development System supports the Chairman of the Joint Chiefs of Staff in identifying, assessing, validating, and prioritizing joint military capability requirements.

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Information Required to Close the Recommendation: The DoD OIG will close Recommendation 1 after we receive documentation showing that the Commander for U.S. Cyber Command and the Commandant of the Marine Corps have developed DOTMLPF-Ps that address building, growing, and sustaining the CMF. This recommendation has been open 4 years and 4 months. This is the fourth consecutive year the recommendation has appeared on the Compendium's list of high-priority recommendations.

(FOUO) Why the Recommendation Is Important: [REDACTED]

According to the Commander for U.S. Cyber Command, the CMF is essential to achieving the objectives of the DoD Cyber Strategy. [REDACTED]

[REDACTED] A DOTMLPF-P identifies capability gaps and the resources needed to execute and sustain DoD operations and missions.

¹⁷ The U.S. Cyberspace Solarium Commission was established in the FY 2019 National Defense Authorization Act as a bipartisan organization that reviews cyberspace threats facing the United States and provides strategic guidance and policy to defend the United States against cyber threats.

Report No. DODIG-2017-004, “Summary Report—Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts,” October 14, 2016

FY 2020 Management Challenge – Ensuring the Welfare and Well-Being of Service Members and Their Families

Objective: In this report, the DoD OIG summarized and analyzed previous DoD OIG health and safety inspections of DoD-occupied facilities and military housing. The DoD OIG also reviewed audit reports related to Base Operations and Support Services (BOSS) contracts and facilities maintenance. Additionally, the DoD OIG evaluated DoD policy and guidance regarding health and safety requirements for DoD-occupied facilities to determine whether any gaps or conflicts in coverage existed.

Finding: The DoD OIG issued six reports from July 2013 to July 2016 related to health and safety inspections of DoD facilities at various locations around the world, documenting 3,783 deficiencies in electrical system safety, fire protection systems, and environmental health and safety.¹⁸ During these inspections, the DoD OIG issued 12 notices of concern detailing 319 critical deficiencies requiring immediate action at 24 of the 36 installations inspected. The six inspection reports identified significant health and safety deficiencies and systemic weaknesses in inspections and maintenance. The DoD OIG determined that the average number of deficiencies per building was consistent regardless of location. For instance, the DoD OIG found an average of two to three electrical and fire protection deficiencies for each building inspected, and about one environmental health and safety deficiency for every two buildings inspected. The pervasiveness of electrical system safety, fire protection, and environmental health and safety deficiencies was the most significant trend that the DoD OIG observed.

Deficiencies in electrical system safety, fire protection systems, and environmental health and safety were pervasive because of a lack of adequate preventative maintenance and inspections being performed at the installations. As a result, DoD personnel and military families were exposed to health and safety hazards at installations around the world. DoD policy and guidance requires periodic inspections of DoD facilities. However, none

¹⁸ Report No. DODIG-2013-099, “Compliance with Electrical and Fire Protection Standards of U.S. Controlled and Occupied Facilities in Afghanistan”; Report No. DODIG-2014-121, “Military Housing Inspections – Japan”; Report No. DODIG-2015-013, “Military Housing Inspections – Republic of Korea”; Report No. DODIG-2015-162, “Continental United States Military Housing Inspections – National Capital Region”; Report No. DODIG-2015-181, “Continental United States Military Housing Inspections – Southeast”; and Report No. DODIG-2016-106, “U.S. Military-Occupied Facilities Inspection – King Abdullah II Special Operations Training Center.”

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of these inspections comprehensively examined the effectiveness of facility sustainment processes with respect to the overall health and safety of occupants. In addition to recommending that the Military Departments take action to improve inspections and maintenance in response to the previous reports, the DoD OIG recommended that the Military Departments undertake independent verification efforts to ensure the programs are effective.

Since 2010, the DoD has been improving its policy and guidance on health and safety to strengthen requirements for all facilities occupied by DoD personnel. The improvements include publications that establish safety and habitability requirements for facilities used in support of military operations, environmental policy for contingency locations, and two memorandums issued by the Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)) establishing standardized facility inspections and priorities for reinvesting in facilities sustainment. The DoD OIG did not identify any gaps or conflicts in coverage in policies and guidance establishing health and safety requirements for DoD facilities. However, the USD(AT&L) had not yet incorporated the two memorandums that implement standardized facility inspections and prioritize the reinvestment in facilities sustainment into permanent policy.

Recommendation C: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, establish a permanent policy for the sustainment of facilities, including standardized facility inspections. This policy should incorporate the requirements in the September 10, 2013, “Standardizing Facility Condition Assessments,” and in the April 29, 2014, “Facility Sustainment and Recapitalization Policy,” memorandums.

Management Response to the Recommendation: The Principal Deputy Assistant Secretary of Defense for Energy, Installations, and Environment, responding for the USD(AT&L), agreed, and stated that the two memorandums will be included in a new DoD instruction. The target publication date was FY 2017.

Implementation Status: A followup audit to determine the status of corrective actions under Report Nos. DODIG-2017-004, DODIG-2015-181, DODIG-2015-013, and DODIG-2014-121, was announced on May 6, 2019. The objective of this followup audit is to determine whether the DoD corrected previously identified deficiencies in these four military housing reports. In addition, this followup audit will determine whether the DoD established oversight procedures to ensure that service members and their families have access to safe housing.

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In addition to Recommendation C, Report No. DODIG-2017-004 contained nine other recommendations related to performing comprehensive and independent inspections of installations to verify compliance with all applicable health and safety requirements; establishing a joint-Service working group to identify and implement improvements in facility inspection and maintenance programs; and developing standard procedures or templates for services performed under BOSS contracts in contingency environments to assist the DoD in the development and oversight of those contracts. Of the 10 recommendations, 3 are closed and 7 remain resolved and open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation C once we verify that the new DoD instruction includes the policies discussed in the September 10, 2013, and April 29, 2014, policy memorandums. This recommendation has been open 3 years and 7 months. This is the first year this recommendation has appeared on the Compendium's list of high-priority recommendations.

Why the Recommendation Is Important: Our reports noted some severe health and safety hazards that can undermine the morale, welfare, and readiness of service members and their families. Establishing a permanent policy for the sustainment of facilities, including standardized facility inspections, will strengthen the effectiveness of facility sustainment processes with respect to the overall health and safety service members and their families. Overall, the DoD and Military Departments have made some improvements over housing related to updating housing policy and preparing inspection and maintenance plans as a result of addressing recommendations in prior DoD OIG evaluation reports. However, the safety and security of military personnel, dependents, civilians, and assets are at an otherwise preventable risk because recommendations related to the DoD or Service-level housing policies and procedures, performing annual inspections, and completing repairs remain unaddressed by the DoD. If DoD management does not address previous recommendations made to improve military housing, the DoD will continue to expose military families to various health and safety hazards at installations around the world.

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Report No. DODIG-2018-018, “Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces,” November 16, 2017

FY 2020 Management Challenges – Countering Global Terrorism

Ensuring Ethical Conduct

Objective: The objective of this assessment was to evaluate the implementation of the DoD Leahy Law regarding child sexual abuse as it applies to DoD interaction with, and support and funding of, the Afghan Security Ministries and the Afghan National Defense and Security Forces (ANDSF).

The term “Leahy Law” refers to two statutory provisions prohibiting the U.S. Government from using funds for assistance to units of foreign security forces that have committed a gross violation of human rights (GVHR).¹⁹ According to the Foreign Assistance Act of 1961, as amended, a GVHR includes torture or cruel, inhuman, or degrading treatment or punishment; prolonged detention without charges and trial; causing the disappearance of persons by the abduction and clandestine detention of those persons; and other flagrant denial of the right to life, liberty, or the security of a person. Section 362, title 10, United States Code, which the DoD OIG referred to as the “DoD Leahy Law,” provides that no funds made available to the DoD may be used for any training, equipment, or other assistance for a unit of a foreign security force if the Secretary of Defense has credible information that the unit has committed a GVHR.

Finding: The DoD OIG determined that the Office of the Under Secretary of Defense for Policy (OUSD[P]) did not have standardized guidance or a process for determining whether information supporting GVHR allegations were credible. This occurred because:

- the phrase “credible information” was not defined as it applies to the DoD Leahy Law;
- there was no DoD or OUSD(P) guidance for determining whether credible information existed; and
- the OUSD(P) did not require or maintain any documentation pertaining to whether or how information was determined to be credible.

¹⁹ The limitations on the use of DoD funds is codified in section 362, title 10, United States Code. The limitation on assistance to security forces is codified in section 2378d, title 22, United States Code.

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As a result, the issues identified created the risk of inconsistent credibility determinations that, in the absence of clearly articulated guidance, could adversely affect the DoD's ability to comply with the DoD Leahy Law.

Recommendation B.2: The DoD OIG recommended that the Secretary of Defense establish a specific process by which DoD Leahy Law credible information determinations are made.

Recommendation B.3: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia to maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.

Management Response to the Recommendations: The Assistant Secretary of Defense for International Security Affairs, performing the duties of the Under Secretary of Defense for Policy, responding for the Secretary of Defense, agreed with Recommendation B.2. He stated that the Under Secretary of Defense for Policy was directed to develop and implement detailed procedures on GVHR reporting within the DoD and that those procedures are addressed in a draft of DoD Instruction 2110.A, "Implementation of DoD Leahy Law Restrictions on Assistance to Foreign Security Forces," which was undergoing interagency review. He also stated that the definition of "credible information" would be adapted from the Department of State Leahy Vetting Guide and included in DoD Instruction 2110.A, the DoD would develop a checklist outlining a specific process by which GVHR credible information determinations were made in Afghanistan, and that the Office of the Deputy Assistant Secretary of Defense for Stability and Humanitarian Affairs would issue a clarification memorandum on the application of the DoD Leahy Law in Afghanistan that would include the checklist for the GVHR credibility determination process.

In response to Recommendation B.3, the Assistant Secretary of Defense for International Security Affairs, performing the duties of the Under Secretary of Defense for Policy, stated that the OUSD(P) agreed with Recommendation B.3 and that the Deputy

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Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia had created and launched a central database accessible to all stakeholders in July 2017 to record allegations of GVHR by ANDSF and document the credibility determinations for each report.

Implementation Status: As of February 5, 2020, the Office of the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia, the OUSD(P) continued to draft an update to the Secretary of Defense guidance on the implementation of the Leahy Law in Afghanistan. The OUSD(P) anticipates issuing memorandums, which will include a process chart for GVHR credibility determination and also establish and implement a records management policy. In addition to Recommendations B.2 and B.3, this report contained six other recommendations related to developing and implementing detailed procedures on GVHR reporting within the DoD, defining “credible information” as it applies to GVHR determinations and the DoD Leahy Law, and maintaining an official system to track GVHR information. Of the eight recommendations, one is closed and seven remain resolved and open.

Information Required to Close the Recommendations: The DoD OIG will close Recommendation B.2 once the Office of the Deputy Assistant Secretary of Defense for Stability and Humanitarian Affairs issues a clarification memorandum on the application of the DoD Leahy Law in Afghanistan that includes the checklist for the GVHR credibility determination process. The DoD OIG will close Recommendation B.3 once the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia updates Secretary of Defense guidance on implementation of the Leahy Law in Afghanistan to include the records management policy. These recommendations have been open 2 years and 4 months. This is the third consecutive year these recommendations have appeared on the Compendium’s list of high-priority recommendations.

Why the Recommendations Are Important: Implementing Recommendations B.2 and B.3 will help the DoD comply with legal requirements to withhold funding assistance when there are credible allegations of GVHR by the ANDSF by having a process to determine whether allegations are credible and recording those credibility decisions. Furthermore, such withholding may influence behaviors of the ANDSF, as well as other foreign military and security force units that want U.S. assistance.

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**Report No. DODIG-2019-062, “Audit of Management of Government-Owned Property Supporting the F-35 Program,”
March 13, 2019**

FY 2020 Management Challenges – Acquisition and Contract Management:
Ensuring that the DoD Gets What It Pays For On Time, at a Fair Price, and
With the Right Capabilities

Financial Management: Implementing Timely and Effective Actions to Address
Financial Management Weaknesses Identified During the First DoD-Wide Financial
Statement Audit

Improving Supply Chain Management and Security

Objective: The objective of this audit was to determine whether DoD personnel managed Government property supporting the F-35 Program in accordance with the Federal Acquisition Regulation, Defense Federal Acquisition Regulation Supplement, and DoD Instruction 5000.64 requirements for property accountability.²⁰

The F-35 program is the DoD’s largest acquisition program. The F-35 Program is a multiservice and multinational acquisition to develop and field the next-generation strike fighter aircraft of the Navy, Air Force, Marine Corps, and international partners. The estimated acquisition cost is over \$406 billion.

As a part of the F-35 aircraft production, Lockheed Martin, the prime contractor, acquires Government property, which includes material, equipment, special tooling (for example, a device that holds an item in place while being worked on or a mold used to produce more than one part), special test equipment, and real property. Government property includes both:

- Government-Furnished Property (GFP), property that is in the possession of, or directly acquired by, the Government and then furnished to the contractor for performance of a contract; or
- Contractor-Acquired Property (CAP), property that is acquired, fabricated, or otherwise provided by the contractor for performing a contract.

²⁰ DoD Instruction 5000.64, “Accountability and Management of DoD Equipment and Other Accountable Property,” first issued April 27, 2017 (incorporating Change 2 August 31, 2018), and newest version issued August 31, 2018, required the DoD to establish accountable property records.

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DoD Instruction 5000.64 requires DoD Components to establish accountable property records. The Federal Acquisition Regulation also requires the contractor to create and maintain records of all Government property identified in the contract, including GFP and CAP, and to maintain a complete, current, auditable record of all property transactions.

Finding: The DoD OIG found that DoD officials did not account for and manage F-35 Program Government property that was in the possession of Government contractors, including recording the property in a Government accountable property system of record, as required. The only record of Government property for the F-35 Program is with the prime contractor and its subcontractor, which valued the 3.45 million pieces of property at \$2.1 billion. Specifically, F-35 Program officials did not:

- maintain a Government record of GFP;
- award contracts with complete GFP lists; and
- coordinate with Defense Contract Management Agency (DCMA) officials to execute contracting actions to transition CAP to GFP as required.

Recommendation 2.c: The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.²¹

Recommendation 3.a: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, reach an agreement for how to implement processes and procedures to transition F-35 Program contractor-acquired property to Government-furnished property from original contracts to subsequent contracts in accordance with the Defense Federal Acquisition Regulation Supplement.

Recommendation 3.b: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made and upon completion of Recommendation 3.a, ensure

²¹ Full-rate production is a decision, following the completion of operational testing of representative initial production products, to scale up production, leading to full deployment.

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contractor-acquired property that was procured on past contracts is transitioned to Government-furnished property on contracting actions as required by the Defense Federal Acquisition Regulation Supplement.

Recommendation 3.c: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made and upon completion of Recommendation 3.a, ensure the required delivery of contractor-acquired property identified as special tooling or special test equipment for accountability and management purposes as required by the Defense Federal Acquisition Regulation Supplement.

Management Response to the Recommendations: The Assistant Secretary of Defense for Sustainment, responding for the Under Secretary of Defense for Acquisition and Sustainment and for the F-35 Program Executive Officer, agreed with the recommendations. The Assistant Secretary agreed to perform a complete inventory of Government property but did not agree to complete the inventory before the full-rate production decision for the F-35. The Assistant Secretary stated that corrective actions are underway and will be completed by December 31, 2019, and that these actions will occur at the same time as the implementation of the F-35 Program accountable property system of record.

The Assistant Secretary also stated that the F-35 Program Office will work with the DCMA to ensure that CAP is delivered and transferred as GFP as required. Additionally, the Assistant Secretary stated that the F-35 Program Office will direct the contractor to produce an enterprise-wide property acceptance plan that will identify the most efficient methods for transitioning CAP to GFP on past contracts as well as transitioning special tooling and special test equipment currently identified as CAP to GFP.

Implementation Status: On January 13, 2020, the DoD OIG requested a status update on actions taken toward implementing Recommendations 2.c, 3.a, 3.b, and 3.c. However, as of March 31, 2020, the DoD OIG had not received a response to the followup inquiry. In addition to Recommendations 2.c, 3.a, 3.b, and 3.c, this report contained six other recommendations related to reviewing the accounting and management actions of the F-35 Program Office for Government property and holding the necessary officials

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accountable, as appropriate, and establishing and implementing procedures for property officials to continuously input the data required by DoD Instruction 5000.64 in its accountable property system of record. All ten recommendations are resolved but remain open.

Information Required to Close the Recommendations: The DoD OIG will close these recommendations upon receipt of documentation that shows the completed baseline inventory and that the inventory is in the accountable property system of record with the understanding that the inventory may not be completed before a decision is made for full-rate production of the F-35; a copy of the agreement between the F-35 Program Office and the DCMA for how CAP will be transitioned to GFP; documentation showing that CAP was transitioned to GFP from past F-35 contracts; and documentation showing that special tooling and special test equipment currently identified as CAP was transitioned to GFP. These recommendations have been open for 1 year. This is the second year the recommendations have appeared on the Compendium's list of high-priority recommendations.

Why the Recommendations Are Important: For many years, the DoD has not implemented adequate procedures, or held officials responsible, for accounting for and managing Government property for the F-35 Program. On November 13, 2019, the House Armed Services Subcommittees on Readiness and Tactical Air and Land Forces held a joint hearing on the F-35 Program. During this hearing, both congressional and senior Office of the Under Secretary of Defense officials communicated the importance for the DoD to establish its own property records for F-35 Program parts and equipment. According to the Government Accountability Office Director for Defense Capabilities and Management, property records were not previously maintained because, when the program started almost 20 years ago, the plan was for the Government to hand over logistics support almost entirely to the prime contractor. The Director also stated that the DoD did not know where F-35 Program parts were located and that the DoD was unable to match up the dollars spent back to specific major end items and major parts.

As previously mentioned, the DoD has a history of not implementing adequate procedures, or holding officials responsible for accounting for and managing Government property for the F-35 Program. Specifically, F-35 Program officials did not: (1) maintain a Government record of GFP; (2) award contracts with complete GFP lists; or (3) coordinate with DCMA officials to execute contracting actions to transition CAP to GFP, as required. The DoD is required to use an accountable property system of record to account for and manage GFP. Although the F-35 Program Office obtained an accountable property system of

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record during our audit, the records in the system remained empty for more than a year. According to the F-35 Program Office, as of May 28, 2019, officials began inputting support equipment property into the accountable property system of record as a test case. However, the F-35 Program Office did not specify when officials would complete the test records or complete the records for all GFP. Therefore, as of May 28, 2019, the only record of Government property for the F-35 Program is with the contractor and its subcontractor, which valued the 3.45 million pieces of property located at its Fort Worth, Texas, location at \$2.1 billion.

As a result, the DoD does not have an independent record to verify the contractor-valued Government property of \$2.1 billion for the F-35 Program. Without accurate records, the F-35 Program officials have no visibility over the property and have no metrics to hold the prime contractor accountable for how it manages Government property. The lack of asset visibility restricts the DoD's ability to conduct the necessary checks and balances that ensure the prime contractor is managing and spending F-35 Program funds in the Government's best interest and could impact the DoD's ability to meet its operational readiness goals for the F-35 aircraft. In addition, the lack of existence and completeness of DoD inventory (GFP) directly affects DoD financial statements. The lack of a DoD record of GFP for the F-35 Program results in an understatement of either the assets or expenses of DoD financial statements.

F-35A Lightning IIs in flight

Source: U.S. Air Force.



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Report No. DODIG-2019-085, “Audit of the Defense Security Cooperation Agency—Security Assistance Accounts,” May 8, 2019

FY 2020 Management Challenge – Financial Management: Implementing Timely and Effective Actions to Address Financial Management Weaknesses Identified During the First DoD-Wide Financial Statement Audit

Objective: The objective of this audit was to determine whether the Defense Security Cooperation Agency (DSCA)—Security Assistance Accounts (SAA) September 30, 2017, balance sheet was accurate and whether the DSCA and Defense Finance and Accounting Service (DFAS) implemented effective controls over financial reporting for the SAAs.

The SAAs are a group of Department of the Treasury financial accounts that the DoD uses to execute the security assistance programs for which the DoD has responsibility. Through these assistance programs, the United States provides foreign customers with defense articles, military education and training, and other defense services by cash sales, credit, grant, loan, or lease, in furtherance of national policies and objectives.

Finding: The DoD OIG determined that the DSCA and DFAS-Indianapolis misstated assets and liabilities on the September 30, 2017, DSCA-SAA balance sheet. Specifically, DSCA and DFAS-Indianapolis personnel did not:

- report up to \$410.7 million in Special Defense Acquisition Fund (SDAF) inventory; and
- transfer up to \$745.5 million in available collections received in DoD Miscellaneous Receipt accounts to the SDAF.

These conditions occurred because DSCA personnel did not maintain complete and accurate inventory accounting records and DFAS-Indianapolis did not design the Defense Departmental and Reporting System to collect and report SDAF inventory accounting records.²² In addition, DSCA personnel did not provide detailed guidance to the implementing organizations or enforce requirements for recording and reporting SDAF collections.

The DoD needs complete and accurate accounting records to determine whether it is effectively executing the mission of each security assistance program. Without reliable accounting records, the DoD cannot be sure that SDAF inventory is readily available for

²² The Defense Departmental Reporting System is the system used to prepare the DoD’s financial statements.

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its foreign customers to develop military capabilities that are consistent with U.S. strategy, priorities, and defense objectives. The SDAF also reduces the need to divert equipment and material from U.S. inventory when foreign partners have urgent requirements that cannot otherwise be satisfied. Without its full level of funding, the SDAF may not achieve these objectives. Therefore, the DoD OIG considers the \$745.5 million in available collections that the DSCA and DFAS-Indianapolis did not transfer to the SDAF to represent a potential monetary benefit to the DoD.

Recommendation A.1.f.8: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to recover and transfer into the Special Defense Acquisition Fund account all authorized collections dating back to FY 2012 that the Defense Finance and Accounting Service did not transfer into the Special Defense Acquisition Fund account.

Management Response to the Recommendation: The DSCA Director agreed with Recommendation A.1.f.8, stating that the DSCA, in coordination with the Office of the Under Secretary of Defense (Comptroller), DFAS, and the implementing organizations, has developed corrective action plans to address the recommendation. The Director's corrective actions include developing and implementing a process to reconcile offsetting collections transferred to the Treasury. The Director plans to implement the corrective actions by September 30, 2020.

Implementation Status: On January 28, 2020, the DSCA stated that DFAS-Indianapolis personnel analyzed a percentage of the collections that were posted to the miscellaneous receipt accounts to determine the amount of collections that should be transferred to various DoD accounts. DFAS-Indianapolis personnel did not analyze the entire population of collections due to the significant resources needed to perform the task. Therefore, we do not consider the DFAS-Indianapolis analysis adequate to close the recommendation. In addition to Recommendation A.1.f.8, this report contained 25 other recommendations related to implementing corrective action plans, which include performing quarterly inspections of DoD and contractor facilities to monitor SDAF inventory; developing a comprehensive accounting and reporting process for SDAF inventory; updating the DSCA-DFAS mission work agreement to include detailed performance measures and targets; and improving the management of overaged transactions and supporting documentation so that the data are readily available in a single location. Of the 26 recommendations, 1 is closed, 24 remain resolved and open, and 1 is unresolved.

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Information Required to Close the Recommendation: The DoD OIG will close Recommendation A.1.f.8 after we receive documentation that supports that the DSCA has implemented the corrective action plans. This recommendation has been open 10 months. This is the first year this recommendation has appeared on the Compendium's list of high-priority recommendations.

Why the Recommendation Is Important: The objective of the SDAF is to have inventory readily available for the DoD's foreign customers and provide faster delivery times of items purchased on Foreign Military Sales contracts. Additionally, the SDAF reduces the need to divert equipment and material from DoD inventory when foreign partners have urgent requirements that cannot otherwise be satisfied. Between FYs 2012 and 2017, DSCA and DFAS-Indianapolis personnel did not transfer all available collections authorized by the Arms Export Control Act to the SDAF. Therefore, the SDAF did not receive up to \$745.5 million in funding. If the SDAF is not fully funded, the DoD may not have the ability to purchase SDAF inventory, which may cause the DSCA to not have the correct SDAF inventory readily available for its foreign customers to meet future demands.

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**Report No. DODIG-2019-094, “Audit of F-35 Ready-For-Issue Spare Parts and Sustainment Performance Incentive Fees,”
June 13, 2019**

FY 2020 Management Challenges – Acquisition and Contract Management:
Ensuring That the DoD Gets What It Pays For On Time, at a Fair Price, and
With the Right Capabilities

Financial Management: Implementing Timely and Effective Actions to Address
Financial Management Weaknesses Identified During the First DoD-Wide
Financial Statement Audit

Countering China, Russia, Iran, and North Korea

Improving Supply Chain Management and Security

Ensuring Ethical Conduct

Objective: The objective of this audit was to determine whether the DoD received Ready-For-Issue (RFI) spare parts for the F-35 Joint Strike Fighter (F-35) in accordance with contract requirements and paid sustainment performance incentive fees according to the incentive fee plan.

The F-35 program is the DoD’s largest acquisition program. The F-35 program is a multiservice and multinational acquisition to develop and field the next-generation strike fighter aircraft of the Navy, Air Force, Marine Corps, and international partners. The estimated acquisition cost is over \$406 billion.

Lockheed Martin, the prime contractor for aircraft production, is required to deliver RFI F-35 spare parts, such as wheel, seat, and window assemblies, as part of the F-35 sustainment contract. According to the contract, RFI means that spare parts: (1) are ready for aircraft maintenance personnel to install on the aircraft, and (2) have an Electronic Equipment Logbook (EEL) assigned, which includes information such as part history and remaining life (hours).

Additionally, the 2016, 2017, and 2018 sustainment contracts each contained a clause establishing performance metrics to evaluate the contractor’s ability to sustain F-35 fleet operations. The Joint Program Office (JPO) paid performance incentive fees based on the contractor’s ability to meet three performance metrics, all of which are related to the number of hours the aircraft are “available” for use, or aircraft availability hours.

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Finding: The DoD OIG determined that the DoD did not receive RFI F-35 spare parts in accordance with contract requirements and paid performance incentive fees on the sustainment contracts based on inflated and unverified F-35A aircraft availability hours.²³ This occurred because the JPO did not conduct adequate oversight of contractor performance related to receiving F-35 spare parts and aircraft availability hours. Specifically, the JPO did not:

- resolve contractor non-performance related to the delivery of non-RFI spare parts since 2015;
- verify that contracting officer representatives (CORs) collected and reported information to the contracting officer on the number of non-RFI spare parts received, the manual processes used by the DoD to keep aircraft flying when non-RFI spare parts are used, and the number of aircraft availability hours reported at each F-35 site to assess contractor performance; and
- assign CORs at all F-35 sites and consolidate information from the CORs and the Defense Contract Management Agency (DCMA) to identify systemic problems on the sustainment contracts.

Recommendation A.1: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office, in coordination with the Defense Contract Management Agency, pursue compensation from the contractor for costs of non-Ready-For-Issue spare parts that have been delivered since 2015 on the sustainment contracts.

Recommendation A.2: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office direct the Contracting Officer to add language to the future F-35 sustainment contracts to allow the DoD to collect compensation for each non-Ready-For-Issue spare part provided by the contractor.

Recommendation A.3: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office direct the Lead Contracting Officer's Representative to update the Quality Assurance Surveillance Plan, approve the site surveillance plans, and require the Contracting Officer's Representatives to provide monthly information on contractor performance, including:

- The number of non-Ready-For-Issue spare parts received.
- The manual processes used by the DoD to correct non-Ready-For-Issue problems.

²³ The F-35A is one variant of the F-35.

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- The manual processes used by the F-35 sites to keep aircraft flying when non-Ready-For-Issue spare parts are used and the associated increase in availability hours.
- The total F-35 aircraft availability hours.

Recommendation A.4: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office direct the Lead Contracting Officer's Representative to assign Contracting Officer's Representatives to provide oversight at all F-35 sites and collect contractor performance data from the Contracting Officer's Representatives and the Defense Contract Management Agency to identify systemic contractor performance problems.

Management Response to the Recommendations: The Program Executive Officer (PEO) for the F-35 Joint Program Office agreed with Recommendation A.1, for the JPO to work with the DCMA to collect data associated with non-RFI problems to support a consideration package for the Lockheed Martin contracts, dating back to December 2015. The PEO stated that work on the development of a consideration (compensation) package to include the potential monetary benefits associated with the non-RFI problems was ongoing. The PEO also stated that the JPO will continue to work with the DCMA on the strategy and timeline for engagement with Lockheed Martin on consideration for the non-RFI problems.

The PEO also agreed with Recommendation A.2, stating that the JPO will evaluate contractual alternatives for the sustainment contracts to allow for the DoD to be compensated for future non-RFI spare parts delivered by the contractor.

The PEO agreed with Recommendation A.3, stating that there is value added in tracking and utilizing contractor performance data. The PEO stated that the lead COR is developing an electronic Quality Assurance Surveillance Plan (QASP) and data repository for F-35 QASP reports and audits. According to the PEO, the system will be able to tailor the site surveillance plans and collect data for supply chain issues. The software trend analysis capability will improve current manual processes by allowing automated data collection and real time visibility of non-RFI spare part problems and associated impacts to aircraft availability. In comments on the draft report, the PEO estimated that pilot implementation at U.S. sites was scheduled to begin in FY 2020. Additionally, those comments noted that the JPO and the contractor had already taken action to aggressively track and monitor non-RFI problems as part of the planning to achieve 80-percent mission capability.

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The PEO agreed with Recommendation A.4, stating that the lead COR assigns CORs to provide oversight at all F-35 sites. According to DCMA guidance, contractor surveillance at operational locations is outside the DCMA's responsibility. Instead, systemic contractor performance problems will be tracked and managed by the JPO. The Director of Logistics and Sustainment, Product Support Manager, for the F-35 JPO signed a memorandum on March 26, 2019, that required the Military Services and international partners to appoint CORs to perform contractor oversight at all F-35 sites. In comments on the draft report, the PEO stated that the Military Services were currently formulating their plans for appointing CORs for each current and future site. The PEO stated that once all the newly delegated CORs were appointed, they would be trained on contractor oversight procedures, including training on the use of the new electronic QASP once approved for JPO use.

Implementation Status: On January 21, 2020, the Acting Deputy Assistant Secretary of Defense for Product Support stated that after investigating the EEL errors that were the driver of the non-RFI parts issue, it was determined not all the fault could be pointed at Lockheed Martin. As a result, the DCMA is working with the JPO and Lockheed Martin to determine Lockheed Martin's responsibility and will explore options to seek relief from Lockheed Martin, in accordance with Section 162 of the FY 2020 National Defense Authorization Act. Lockheed Martin is cooperating with the DoD in the development of the improvement process for EELs, which the JPO believes should be finalized and implemented by April 30, 2020. The Acting Deputy also stated that language was included in the FY 2019 amended contract requiring Lockheed Martin to include EELs where required. If DoD Components are delivered non-RFI parts due to EEL issues, the JPO will work to address potential compensation in accordance with Section 162 of the FY 2020 National Defense Authorization Act.

The DoD OIG notified the Office of the Under Secretary of Defense for Acquisition and Sustainment that these actions were insufficient because the additional language quoted by the JPO is similar to what was included in the 2018 sustainment contract that did not allow the Government to legally obtain compensation from Lockheed Martin when it provided non-RFI parts. The JPO still did not include the necessary language in the FY 2019 amended contract or the FY 2020 sustainment contract that allows the Government to legally collect compensation from the contractor. Additionally, Section 162 of the FY 2020 National Defense Authorization Act does not allow

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the Government to legally obtain compensation from the contractor for the delivery of non-RFI parts. Instead, the current contract requires parts be delivered with EELs but did not allow compensation to be collected for parts delivered without EELs. Until the new language is added to the sustainment contract that specifically allows the Government to collect compensation, this recommendation will remain open.

In his January 21, 2020 response, the Acting Deputy Assistant Secretary of Defense for Product Support also stated that the JPO is currently in the process of identifying funding for an electronic Quality Assurance Surveillance Plan (E-QASP), which is intended to go on contract in FY 2020. The automated database containing E-QASP, and a data repository for reports and audits, is expected to be implemented during FY 2020.

Regarding Recommendation A.4 related to requiring contracting officer's representatives to provide monthly information on contractor performance, on January 21, 2020, the Acting Deputy Assistant Secretary of Defense for Product Support stated that the Military Services are actively appointing CORs to provide contractor quality oversight across their sites. According to the January 21 update, the program has added 14 additional CORs supporting Burlington (Vermont Air National Guard); Eglin Air Force Base; Edwards Air Force Base; Marine Corps Air Station Miramar; Italy; United Kingdom; Australia; and the Netherlands. Additional CORs have been appointed by the Military Services and are working on their training requirements for delegation. The Military Services are continuing to appoint CORs, with estimated full enterprise support by the end of FY 2021.

Information Required to Close the Recommendations: The DoD OIG will close Recommendation A.1 after we receive documentation that supports the specific actions taken by the PEO to collect data associated with non-RFI problems on the sustainment contracts and the potential monetary benefits resulting from the consideration package discussion with Lockheed Martin. The DoD OIG will close Recommendation A.2 after we receive documentation that supports the changes made to future sustainment contracts that allow for compensation to be obtained when non-RFI spare parts are delivered by the contractor. In the 9 months that Recommendations A.1 and A.2 have been open, at least one sustainment contract has been awarded; however, that contract did not include the necessary contractual language.

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The DoD OIG will close Recommendation A.3 when the JPO provides documentation that demonstrates that E-QASP provides evidence of the lead COR updating and approving the QASP and site surveillance plans and that the CORs are providing contractor performance information monthly related to the:

- number of non-RFI spare parts received;
- manual processes used by the DoD to correct non-RFI problems;
- manual processes used by the F-35 sites to keep aircraft flying when non-RFI spare parts are used and the associated increase in availability hours; and
- total F-35 aircraft availability hours.

The DoD OIG will close Recommendation A.4 when the JPO provides documentation showing F-35 CORs are assigned at all F-35 sites and the lead COR is collecting contractor performance data from the CORs and the DCMA to identify systemic contractor performance problems.

This is the first year these four recommendations have appeared on the Compendium's list of high-priority recommendations.

Why the Recommendations Are Important: The DoD has received F-35 non-RFI spare parts since 2015, has spent up to \$303 million in DoD labor costs to fix the non-RFI issues, and will continue to pay up to \$55 million annually for non-RFI spare parts until the non-RFI spare parts issue is resolved. This is because the sustainment contract does not include language to legally allow the DoD to collect compensation for each non-RFI spare part provided by the contractor. In addition, the DoD has potentially overpaid \$10.6 million in performance incentive fees by not independently collecting and verifying aircraft availability hours. The lack of available RFI spare parts could result in the F-35 fleet being unable to perform required operational and training missions. In addition, the F-35 fleet is growing each year, and this growth will multiply the non-RFI issues and decrease readiness rates even more as F-35s are added to units throughout the years if the non-RFI issue is not corrected. Until the DoD addresses the delivery of non-RFI spare parts, the use of manual processes to mitigate non-RFI problems could create a life and safety concern for aircrews if DoD personnel make mistakes on the number of hours the spare part was flown when manually tracking hours for limited-life non-RFI spare parts.

Report No. DODIG-2019-128, “Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico,” September 30, 2019

FY 2020 Management Challenges – Acquisition and Contract Management:
Ensuring That the DoD Gets What It Pays For On Time, at a Fair Price, and
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Financial Management: Implementing Timely and Effective Actions to Address
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Improving Supply Chain Management and Security

Ensuring Ethical Conduct

Objective: The objective of this audit was to determine whether the U.S. Army Corps of Engineers (USACE) properly monitored contractor labor hours and accurately reviewed and paid invoices for the Puerto Rico power grid repair and restoration contracts in accordance with Federal and DoD guidance.

On September 20, 2017, Hurricane Maria severely damaged the Puerto Rico power grid and left nearly all of Puerto Rico’s 1.5 million electric customers without power or communications. USACE Huntsville awarded two time-and-material contracts to one contractor for the repair and restoration of the Puerto Rico power grid. Contract W912DY-18-F-0003 (F-0003), awarded on October 15, 2017, was valued at \$505.8 million as of November 2018. Contract W912DY-18-F-0032 (F-0032), awarded on December 1, 2017, was valued at \$276.4 million as of November 2018.

USACE Jacksonville awarded a time-and-materials contract to a second contractor for the repair and restoration of the Puerto Rico power grid. Contract W912EP-18-C-0003 (C-0003), awarded on October 18, 2017, was valued at \$523 million as of the contract modification in May 2018.

According to Federal and DoD guidance, time-and-materials contracts are the least favorable Government contract type because they provide no positive profit incentive to the contractor for cost control or labor efficiency. The Federal Acquisition Regulation requires appropriate Government surveillance of contractor performance under time-and-materials contracts to give reasonable assurance that efficient methods and effective cost controls are being used by the contractor. The Federal Acquisition Regulation also states that a contractor is responsible for accounting for costs

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appropriately and for maintaining records, including supporting documentation, adequate to demonstrate that costs claimed have been incurred, are allocable to the contract, and comply with applicable cost principles. The contracting officer may disallow all or part of claimed costs that are inadequately supported or improperly charged.

Finding: The DoD OIG determined that USACE Huntsville did not adequately monitor contractor labor hours worked or accurately review invoices to ensure contractor invoices corresponded to actual work performed on its two power grid repair and restoration contracts. Specifically, USACE Huntsville contracting officials did not:

- provide appropriate surveillance of contractor performance to verify that labor hours billed were accurate;
- obtain adequate supporting documentation for labor hours billed before approving invoices for payment, such as individually certified timesheets, support for work that employees performed before their arrival in Puerto Rico, and support for work performed and overtime charged that was not specifically for power grid repair and restoration work;
- verify whether contractor employees met qualifications for labor categories included in the contracts before approving invoices for payment; or
- verify whether contractor employees exceeded the weekly labor hours allowed by USACE policy before approving invoices for payment.

This occurred because USACE Huntsville contracting officials did not have quality assurance procedures or written invoice review procedures that ensured adequate Government oversight of contractor labor hours worked and adequate documentation from the contractor to support labor hours billed before payment.

As a result, USACE Huntsville did not know whether contractor labor costs paid on 11 invoices, valued at \$258.9 million, were allowable in accordance with the terms of the contracts. Based on our testing of a sample of labor costs, the DoD OIG identified at least \$20.9 million paid by USACE that was unsupported and potentially unallowable.

Additionally, USACE Jacksonville did not adequately monitor contractor labor hours worked or accurately review invoices to ensure contractor invoices corresponded to actual work performed on a third power grid repair and restoration contract. Specifically, USACE Jacksonville contracting officials did not:

- provide appropriate surveillance of contractor performance to verify that labor hours billed were accurate;

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- obtain adequate supporting documentation for labor hours billed before approving invoices for payment, such as individually certified timesheets; or
- review contractor labor rates or verify whether contractor employees met labor qualifications included in the contract.

This occurred because USACE Jacksonville contracting officials did not incorporate required elements of a time-and-materials contract into contract C-0003, such as labor qualifications and hourly rates, before approving invoices for payment, in accordance with Federal regulations. In addition, Defense Contract Audit Agency officials could not provide audit assistance because USACE Jacksonville contracting officials did not incorporate required elements of a time-and-materials contract, such as labor qualifications and required contract clauses, into contract C-0003. Furthermore, USACE Jacksonville contracting officials awarded a time-and-materials contract without determining whether the contractor's accounting system was acceptable, as required by DoD regulations. In addition, USACE Jacksonville contracting officials did not have quality assurance procedures or written invoice review procedures that ensured adequate Government oversight of contractor labor hours worked and adequate documentation from the contractor to support labor hours billed before payment.

As a result, USACE Jacksonville did not know whether contractor labor costs paid on seven invoices, valued at \$61.3 million, were allowable in accordance with Federal regulations or terms of the contract. Based on our testing of labor costs, the DoD OIG identified at least \$29.2 million paid by USACE that was unsupported and potentially unallowable.

Recommendation A.2.a: The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all labor and material costs for contracts F-0003 and F-0032 and determine whether they are supportable and allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."

Recommendation B.3: The DoD OIG recommended that the Commander of the U.S. Army Corps of Engineers, Jacksonville District, direct contracting officials to review all labor and material costs for contract C-0003 and determine whether they are supportable and allowable in accordance with Federal Acquisition Regulation 31.201-2,

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“Determining Allowability,” and provide the DoD OIG with the results of the review. If contracting officials are unable to determine whether costs are allowable, they should work with Defense Contract Audit Agency officials to develop a total contract cost reduction to reduce total costs for contract C-0003.

Management Response to the Recommendations: The USACE Commanding General agreed with Recommendations A.2.a and B.3 and stated that, in accordance with FAR 52.216-7, “Allowable Cost and Payment,” and FAR 52.232-7, “Payments Under Time and Materials and Labor-Hour Contracts,” the contracting officers are performing an audit of the vouchers and supporting documentation before final payment on the contracts. USACE will adhere to the DoD OIG’s intent that final invoices without adequate supporting documentation should be considered unallowable. USACE will also determine appropriate action for any supported incurred costs that resulted in USACE safety violations.

Additionally, the Director of Contracting, Headquarters, USACE stated that if the DCAA is unable to determine cost allowability, contracting officials will determine whether USACE will pursue a cost reduction or other course of action with the contractor for contract C-0003. Other courses of action may include a cost-comparison analysis of unsupported costs based on supported costs from other contracts to settle costs for contract closeout.

USACE also agreed to provide the DoD OIG with a summary of the results of voucher audits, including DCAA reports, and supporting documentation for voucher audits that are performed by USACE. The Commanding General added that the DCAA is assisting USACE and that the planned completion of these audits is June 2021.

Implementation Status: On October 30, 2019, Headquarters USACE clarified that it will take appropriate action for safety violations of USACE policy and provide the DoD OIG with a summary review for Recommendation A.2.a. On December 4, 2019, the DCAA met with the contractor to determine the types of available information in order to develop detailed agreed-upon procedures for preparing the scope of the audit. As of February 6, 2020, USACE staff was assessing the costs and requirements as requested in Recommendation A.2.a.

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Headquarters USACE also explained that the review for Recommendation B.3 will involve the DCAA or an alternative auditing methodology, such as cost-comparison analysis of unsupported costs based on supported costs from other contracts, in order to settle costs for contract closeout. On January 10, 2019, the USACE Senior Contracting Official, DCAA, and the contracting officer met to determine additional alternatives to auditing the contract. As of February 6, 2020, USACE staff was assessing the costs and requirements and evaluating the use of an external audit firm contract to obtain additional information that will assist with the USACE review process. The target date of completion for both Recommendations A.2.a and B.3 still remains June 2021.

In addition to Recommendations A.2.a and B.3, this report contained 14 other recommendations related to developing, implementing, and requiring training on standard operating procedures for time-and-materials contracts that require: detailed quality assurance surveillance plans and invoice review procedures; specific labor qualifications for all labor categories in the contracts; and individually certified timesheets from contractors to support labor billed. The DoD OIG also recommended performing a review of the concerns addressed in this report regarding contract C-0003, identifying responsible personnel, and initiating as appropriate any administrative actions warranted by the review. Of the 16 recommendations, 9 are closed and 7 remain resolved and open.

Information Required to Close the Recommendations: The DoD OIG will close Recommendations A.2.a and B.3 after USACE provides summary results of the voucher audits and supporting documentation on contracts F-0003, F-0032, and C-0003, and any DCAA reports, along with any applicable costs that were determined unallowable. These recommendations have been open 6 months. This is the first year these recommendations have appeared on the Compendium's list of high-priority recommendations.

Why the Recommendations Are Important: USACE could potentially recover \$50.1 million in unsupported and potentially unallowable payments. Disasters provide unique opportunities for fraud, abuse, and mismanagement, and proper controls and oversight of these contracts are important to ensure the proper use of taxpayer dollars and to ensure that the proper recipients receive the full benefit and use of Federal funds designated for relief and recovery.

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Status of 2019 High-Priority Open Recommendations

In our 2019 Compendium, we highlighted 30 recommendations for the DoD to prioritize.²⁴ As of March 31, 2020, the DoD had implemented 5 of the 30 high-priority recommendations identified in the 2019 Compendium. Of the 30 high-priority recommendations in the 2019 Compendium, 21 are highlighted again in this year's Compendium, with 16 recommendations summarized in Chapter 1 and 5 classified recommendations summarized in Appendix B. The four remaining recommendations that were highlighted in last year's Compendium are not highlighted in this year's Compendium. These recommendations are still important and the DoD should continue to prioritize them. However, in this year's Compendium we have highlighted additional areas that warrant the attention of the DoD. The table below provides a status on the 20 unclassified recommendations highlighted in the 2019 Compendium.

Table. Status of 2019 High-Priority Recommendations as of March 31, 2020

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Status of 2019 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
1	Report No. DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014	Recommendation 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.	<p>This recommendation is resolved but remains open.</p> <p>The DoD OIG is awaiting documentation that the Defense Health Agency (DHA) and the Brooke Army Medical Center Commander developed a plan to review the delinquent medical service accounts debt identified in DoD OIG prior reports. Although DHA and BAMC management are working together to address the open delinquent medical service accounts of concern to the DoD OIG, no estimated completion date has been provided on when the plan will be developed and implemented.</p> <p>This recommendation is included in the 2020 listing of high-priority recommendations.</p>
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²⁴ Ten of the 30 high-priority recommendations were made in classified reports and summarized in Appendix B of the 2019 Compendium.

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(FOUO) Status of 2019 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
2	Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014	<p>Recommendation 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.</p> <p>For each suicide death, the board should:</p> <ol style="list-style-type: none"> 1. be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review; 2. include participation by unit leadership, medical and mental health organizations, and Military Criminal Investigative organizations; and 3. articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations. 	<p>This recommendation is resolved but remains open.</p> <p>The DoD OIG is awaiting revision to DoD Instruction 6490.16, "Defense Suicide Prevention Program," that specifically provides guidance requiring suicide event boards or a multidisciplinary approach to obtain data for DoDSER submissions. No estimated completion date has been provided.</p> <p>This recommendation is included in the 2020 listing of high-priority recommendations.</p>
3	Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014	<p>Recommendation 4.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Service and DoD trends.</p>	<p>This recommendation is resolved but remains open.</p> <p>The DoD OIG is awaiting revision to DoD Instruction 6490.16 sections 2.16 and 2.18, providing guidance to senior commanders, authorizing them to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy and relate their trends to Service and DoD levels. No estimated completion date has been provided.</p> <p>This recommendation is included in the 2020 listing of high-priority recommendations.</p>
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Status of 2019 High-Priority Recommendations

	Report Number, Title, Publication Date	Recommendation Number and Text	Status
4	Report No. DODIG-2015-114, "Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance," May 1, 2015	Recommendation 4.a: The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and the Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require the preparation of performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy.	This recommendation is resolved but remains open. The DoD OIG is awaiting documentation that the Navy addressed the 120-day requirement and evidence of the quantity of assessments over the last 5 years. On January 22, 2020, the DoD OIG issued a followup inquiry to the Navy to obtain a status update on corrective actions taken to address Recommendation 4.a; however, to date, we have not received a management response to our followup inquiries. No estimated completion date has been provided. This recommendation is not included in the 2020 listing of high-priority recommendations.
5	Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)	Recommendation 1: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework (DOTMLPF-P) that addresses the strategies to build, grow, and sustain the Cyber Mission Force.	This recommendation is resolved but remains open. The DoD OIG is waiting to receive evidence that U.S. Cyber Command and the Marine Corps have developed a DOTMLPF-P that addresses building, growing, and sustaining the Cyber Mission Force. No estimated completion date has been provided. This recommendation is included in the 2020 listing of high-priority recommendations.

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Status of 2019 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
6	Report No. DODIG-2018-018, "Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces," November 16, 2017	Recommendation B.2: The DoD OIG recommended that the Secretary of Defense establish the specific process by which DoD Leahy Law credible information determinations are made.	This recommendation is resolved but remains open. The Office of the Under Secretary of Defense for Policy continues to work toward finalizing and implementing changes to the Leahy Law in Afghanistan. On November 6, 2019, and January 13, 2020, the DoD OIG issued a followup inquiry to the Office of the Under Secretary of Defense for Policy to obtain a status update on corrective actions taken to address Recommendations B.2 and B.3; however, to date, we have not received a management response to our followup inquiries. No estimated completion date has been provided. This recommendation is included in the 2020 listing of high-priority recommendations.
7	Report No. DODIG-2018-018, "Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces," November 16, 2017	Recommendation B.3: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia to maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.	This recommendation is resolved but remains open. The Office of the Under Secretary of Defense for Policy continues to work toward finalizing and implementing changes to the Leahy Law in Afghanistan. On November 6, 2019, and January 13, 2020, the DoD OIG issued a followup inquiry to the Office of the Under Secretary of Defense for Policy to obtain a status update on corrective actions taken to address Recommendations B.2 and B.3; however, to date, we have not received a management response to our followup inquiries. No estimated completion date has been provided. This recommendation is included in the 2020 listing of high-priority recommendations.
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(FOUO) Status of 2019 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
8	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation A.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the Federal Bureau of Investigation (FBI) Criminal Justice Information Service (CJIS) the 159 Criminal Investigative Division (CID) fingerprint cards and 264 CID final dispositions reports that are not in the FBI Next Generation Identification (NGI) database.	This recommendation is resolved but remains open. On December 18, 2019, the DoD OIG issued a followup inquiry to the Department of the Army to obtain a status update on corrective actions taken to address Recommendations A.1.a and B.1.a. The Army responded to the inquiry; however, based on the information provided, we were unable to close Recommendation A.1.a. This recommendation will remain open until the Army provides evidence that the fingerprints and final disposition reports were submitted to the FBI. Because the Army has demonstrated that it has made progress toward implementing the recommendation, it is not included in the 2020 listing of high-priority recommendations.
9	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation B.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the FBI CJIS the 103 Installation Management Command fingerprint cards and 121 installation final disposition reports that are not in the FBI NGI database.	This recommendation is resolved but remains open. On December 18, 2019, the DoD OIG issued a followup inquiry to the Department of the Army to obtain a status update on corrective actions taken to address Recommendations A.1.a and B.1.a. The Army responded to the inquiry; however, based on the information provided, we were unable to close Recommendation B.1.a. This recommendation will remain open until the Army provides evidence that the fingerprints and final disposition reports were submitted to the FBI. Because the Army has demonstrated that it has made progress toward implementing the recommendation, it is not included in the 2020 listing of high-priority recommendations.
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(FOUO) Status of 2019 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
10	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation C.1.a: The DoD OIG recommended that the Navy take prompt action to submit to the FBI CJIS the 159 Naval Criminal Investigative Service (NCIS) fingerprint cards and 203 NCIS final disposition reports that are not in the FBI NGI database.	This recommendation is resolved but remains open. In December 2019, the DoD OIG issued a followup inquiry to the Navy to obtain a status update on corrective actions taken to implement Recommendations C.1.a and G.1.a; however, as of March 31, 2020, we had not received a management response to our followup inquiries. This recommendation is included in the 2020 listing of high-priority recommendations.
11	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation D.1.a: The DoD OIG recommended that the Navy take prompt action to submit to the FBI CJIS the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the FBI NGI database.	This recommendation is resolved but remains open. In January 2020, the DoD OIG issued a followup inquiry to the Navy to obtain a status update on corrective actions taken to implement Recommendation D.1.a; however, as of March 31, 2020, we had not received a management response to our followup inquiry. This recommendation is included in the 2020 listing of high-priority recommendations.
12	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation G.1.a: The DoD OIG recommended that Secretary of the Navy and Commandant of the Marine Corps take prompt action to submit to the FBI CJIS the 37 fingerprint cards and 46 final disposition reports of the Marine Corps that are not on file in the FBI NGI database.	This recommendation is resolved but remains open. In December 2019, the DoD OIG issued a followup inquiry to the Navy to obtain a status update on corrective actions taken to implement Recommendations C.1.a and G.1.a; however, as of March 31, 2020, we had not received a management response to our followup inquiries. This recommendation is included in the 2020 listing of high-priority recommendations.
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Status of 2019 High-Priority Recommendations

	Report Number, Title, Publication Date	Recommendation Number and Text	Status
13	Report No. DODIG-2018-113, "Army and Marine Corps Joint Light Tactical Vehicle," May 2, 2018 (Full Report is For Official Use Only)	(FOUO) Recommendation 1.b: The DoD OIG recommended the Joint Program Office Project Manager Joint Light Tactical Vehicles, prior to fielding, equip all Joint Light Tactical Vehicles with an [REDACTED].	This recommendation is resolved but remains open. A followup audit to determine the status of corrective actions was announced on January 31, 2020. As a result, this recommendation is not included in the 2020 listing of high-priority recommendations.
14	Report No. DODIG-2019-060, "Reviews of Parts Purchased From TransDigm Group, Inc.," February 25, 2019	Recommendation 4.a: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director examine the United States Code, Federal Acquisition Regulation, Defense Federal Acquisition Regulation Supplement, and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information, to determine changes needed in the acquisition process of parts produced or provided from a sole source to ensure that contracting officers obtain uncertified cost data when requested and that the DoD receives full and fair value in return for its expenditures.	This recommendation is resolved but remains open. The DoD OIG is waiting to receive documentation detailing the results of examining statutory and regulatory requirements. This recommendation is included in the 2020 listing of high-priority recommendations.
15	Report No. DODIG-2019-060, "Reviews of Parts Purchased From TransDigm Group, Inc.," February 25, 2019	Recommendation 4.d: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director incorporate the requirements from the revised memorandum into the Defense Federal Acquisition Regulation Supplement and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information.	This recommendation is resolved but remains open. Corrective actions are ongoing to incorporate the expanded requirements of the Defense Pricing and Contracting policy memorandum into the Defense Federal Acquisition Regulation Supplement. This recommendation is included in the 2020 listing of high-priority recommendations.

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	Report Number, Title, Publication Date	Recommendation Number and Text	Status
16	Report No. DODIG-2019-060, "Reviews of Parts Purchased From TransDigm Group, Inc.," February 25, 2019	Recommendation 4.e: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director establish a team of functional experts to analyze data reported as a result of the revised and updated memorandum. The team of functional experts would: <ol style="list-style-type: none"> 1. assess parts and contractors deemed to be at high risk for unreasonable pricing and identify trends; and 2. perform price analysis and cost analysis of high-risk parts to identify lower cost alternatives or fair and reasonable pricing for future procurements. 	This recommendation is resolved but remains open. The DoD OIG is waiting to receive evidence that the team of functional experts is assessing parts and contractors deemed to be high risk for unreasonable pricing and performing price and cost analyses of high-risk parts to identify lower cost alternatives. This recommendation is included in the 2020 listing of high-priority recommendations.
17	Report No. DODIG-2019-062, "Audit of Management of Government Owned Property Supporting the F-35 Program," March 13, 2019	Recommendation 2.c: The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.	This recommendation is resolved but remains open. On July 25, 2019, and January 13, 2020, the DoD OIG requested a status update on actions taken toward implementing Recommendations 2.c, 3.a, 3.b, and 3.c. However, as of March 31, 2020, the DoD OIG had not received a response to our followup inquiries. This recommendation is included in the 2020 listing of high-priority recommendations.
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Status of 2019 High-Priority Recommendations

	Report Number, Title, Publication Date	Recommendation Number and Text	Status
18	Report No. DODIG-2019-062, "Audit of Management of Government Owned Property Supporting the F-35 Program," March 13, 2019	Recommendation 3.a: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full rate production of the F-35 is made, reach an agreement for how to implement processes and procedures to transition F-35 Program contractor-acquired property to Government-furnished property from original contracts to subsequent contracts in accordance with the Defense Federal Acquisition Regulation Supplement.	This recommendation is resolved but remains open. On July 25, 2019, and January 13, 2020, the DoD OIG requested a status update on actions taken toward implementing Recommendations 2.c, 3.a, 3.b, and 3.c. However, as of March 31, 2020, the DoD OIG had not received a response to our followup inquiries. This recommendation is included in the 2020 listing of high-priority recommendations.
19	Report No. DODIG-2019-062, "Audit of Management of Government-Owned Property Supporting the F-35 Program," March 13, 2019	Recommendation 3.b: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, upon completion of Recommendation 3.a, ensure contractor-acquired property procured on past contracts is transitioned to Government-furnished property on contracting actions as required by the Defense Federal Acquisition Regulation Supplement.	This recommendation is resolved but remains open. On July 25, 2019, and January 13, 2020, the DoD OIG requested a status update on actions taken toward implementing Recommendations 2.c, 3.a, 3.b, and 3.c. However, as of March 31, 2020, the DoD OIG had not received a response to our followup inquiries. This recommendation is included in the 2020 listing of high-priority recommendations.

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Status of 2019 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
20	Report No. DODIG-2019-062, "Audit of Management of Government-Owned Property Supporting the F-35 Program," March 13, 2019	Recommendation 3.c: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made and upon completion of Recommendation 3.a, ensure the required delivery of contractor-acquired property identified as special tooling or special test equipment for accountability and management purposes as required by the Defense Federal Acquisition Regulation Supplement.	This recommendation is resolved but remains open. On July 25, 2019, and January 13, 2020, the DoD OIG requested a status update on actions taken toward implementing Recommendations 2.c, 3.a, 3.b, and 3.c. However, as of March 31, 2020, the DoD OIG had not received a response to our followup inquiries. This recommendation is included in the 2020 listing of high-priority recommendations.
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CHAPTER 2

Open Recommendations Containing Potential Monetary Benefits

DoD OIG reports also provide information on the estimated potential monetary benefits that can be achieved based on DoD management implementation of report recommendations. The DoD OIG staff calculates projected potential monetary benefits during the performance of an audit or evaluation and includes them as part of a recommendation when providing the draft report to DoD management for comments. The potential monetary benefits are also included in the publicly released final report. However, reported potential monetary benefits do not always translate into an equal amount of recovered funds or savings upon further review by DoD management. This is because potential monetary benefits are estimates at a point in time based on available information. While potential monetary benefits may be addressed by the recovery of funds, they also may be addressed by (1) providing contemporaneous supporting documentation that was unavailable to the auditors at the time of the audit; (2) applying additional allowable costs to a project or program to offset the reported potential monetary benefit; or (3) providing a justification by a properly authorized DoD official, such as the Deputy Secretary of Defense, explaining why the recommendation containing the potential monetary benefit will not be implemented.

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As of March 31, 2020, there were 51 open recommendations from 32 DoD OIG reports, with associated potential monetary benefits of \$6.5 billion. This chapter lists the 32 final reports, the 51 open recommendations, and the associated potential monetary benefits. The vast majority of the potential monetary benefits associated with the 51 open recommendations resulted from reports related to acquisition and contract and contract management. These recommendations affect the current and future reporting of the DoD's management of the third-party collection program for medical claims, procurement of parts at fair and reasonable prices, and DoD compliance with acquisition regulations.

Potential monetary benefits can be classified as questioned costs or funds put to better use. Questioned costs are identified from an alleged violation of a law, regulation, contract, grant, cooperative agreement, or other agreements or documents governing the expenditures of funds or reimbursement of costs. Questioned costs may also be costs that were not supported by adequate documentation at the time of the DoD OIG review or unnecessary or unreasonable expenditure of funds for an intended purpose. For example, in Report No. DODIG-2019-128, "Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico," September 30, 2019, the DoD OIG determined that the U.S. Army Corps of Engineers (USACE) Huntsville and Jacksonville did not adequately monitor contract labor hours worked or accurately review invoices to ensure contractor invoices corresponded to actual work performed on three Puerto Rico power grid repair and restoration contracts. Specifically, contracting officials did not:

- provide appropriate surveillance of contractor performance to verify that labor hours billed were accurate;
- obtain adequate supporting documentation for labor hours billed before approving invoices for payment;
- verify whether contractor employees met qualifications for labor categories included in the contracts before approving invoices for payment; or
- verify whether contractor employees exceeded the weekly labor hours allowed by USACE policy before approving invoices for payment.

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As a result, USACE Huntsville and Jacksonville contracting officials did not know whether contractor labor costs paid on 18 invoices, valued at \$320.2 million, were allowable in accordance with Federal regulations or terms of the contracts. Based on our testing of labor costs, we identified at least \$50.1 million paid by the USACE that was unsupported and potentially unallowable.

Funds put to better use are funds that could be used more efficiently if management takes action to implement and complete the recommendations in the report, such as reducing expenditures, de-obligating funds from programs or operations, implementing improvements to operations, or taking actions that will result in avoiding costs. For example, in Report No. DODIG-2019-112, “Audit of TRICARE Payments for Health Care Services and Equipment That Were Paid Without Maximum Allowable Reimbursement Rates,” August 20, 2019, the DoD OIG determined that the Defense Health Agency (DHA) regularly paid more than other pricing benchmarks for health care services and equipment where it did not establish or use existing TRICARE maximum allowable reimbursement rates. Specifically, the DHA paid more than other pricing benchmarks for vaccines, contraceptive systems, compression devices, oral appliances, costs associated with the installation of medical equipment, and stem cell acquisition provided to TRICARE beneficiaries in the three TRICARE regions (North, South, and West health service regions) in 2017. For example, the DHA paid higher prices for 70,248 of 107,953 vaccines (65 percent), and 1,341 of 5,450 contraceptive systems (25 percent). This occurred because the DHA did not:

- use existing TRICARE maximum allowable reimbursement rates or other industry pricing benchmarks to pay TRICARE claims for vaccines and contraceptive systems;
- identify services and equipment that were paid at prices that exceeded other pricing benchmarks;
- define in TRICARE guidance what would constitute an excessive payment for TRICARE services and equipment, and provide instructions to its TRICARE contractors to identify and limit these charges; or
- consistently revise TRICARE reimbursement methodology to align with Medicare reimbursement methodologies when paying for TRICARE services and equipment.

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As a result, of the \$18.1 million reimbursement that the DoD OIG reviewed, the DHA paid \$3.9 million more than other pricing benchmarks for vaccines and contraceptive systems provided to TRICARE beneficiaries in the three TRICARE regions in 2017. If the DHA continues its current paid-as-billed practice, and prices and volume stay the same, the DHA will waste an additional \$19.5 million for health care services and equipment over the next 5 years.

Achieving potential monetary benefits is dependent on various factors, such as whether the agreed-upon corrective action is taken and implementation of the corrective action is completed in a timely manner. Actual savings may be less, depending on costs associated with implementing the corrective action, or denied due to missed opportunities by the DoD in issuing effective guidance, following established guidance, effectively negotiating contracts, or conducting proper contractor oversight. For example, in Report No. DODIG-2016-074, “Army Contracting Officials Could Have Purchased Husky Mounted Detection System Spare Parts at Lower Prices,” March 31, 2016, the DoD OIG determined that Army Contracting Command–Aberdeen Proving Ground (ACC-APG) contracting officials established the minimum quantity for the Husky Mounted Detection System (HMDS) contract as a dollar value, instead of a number of spare parts, which limited the contracting officials’ effectiveness in obtaining lower prices for HMDS spare parts. This occurred because ACC-APG contracting officials did not use available spare part estimates to establish a minimum number of spare parts to purchase on the contract. As a result of not having spare part estimates, NIITEK, Inc. (NIITEK) could not effectively negotiate with its suppliers until the ACC-APG provided actual order quantities, which occurred after the ACC-APG and NIITEK negotiated prices for HMDS spare parts. NIITEK subsequently negotiated significantly lower prices with its suppliers. Instead of using available spare part estimates to establish the contract minimum, ACC-APG contracting officials established the \$50 million contract minimum for HMDS spare parts to support 3 months of sustainment because it allowed them flexibility to adjust order quantities if needed. As a result, ACC-APG contracting officials likely paid NIITEK \$27 million more than they would have paid for those 13 spare parts if the contract minimum was based on a number of spare parts, instead of a dollar value.

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The U.S. Army Contracting Command, responding for the Executive Director of the ACC-APG, agreed with the DoD OIG's recommendation to determine whether it was appropriate to request a \$27 million voluntary refund from NIITEK for 13 sole-source Husky Mounted Detection System spare parts that were not purchased at fair and reasonable prices. The Executive Director of the ACC-APG conducted a review of the contract and determined there was sufficient concern that NIITEK overcharged the Government. The ACC-APG requested a voluntary refund for \$27 million; however, NIITEK declined the refund request because it believed it followed all applicable cost and pricing disclosure requirements at the time the contract price was negotiated. Therefore, the potential monetary savings were not realized.

Following the issuance of the 2019 Compendium, personnel from the DoD Components met with OIG personnel to ensure that appropriate actions were taken in response to the recommendations and that adequate documentation was provided to close recommendations, as appropriate. As a result of these actions, 18 of the 41 recommendations with the potential monetary benefits in the 2019 Compendium, totaling \$905 million, have been closed. Achieved monetary benefits were \$76 million for these 18 recommendations. An additional 9 recommendations with potential monetary benefits totaling \$382 million were made in reports issued after the 2019 Compendium, and closed before the issuance of the 2020 Compendium. Achieved monetary benefits were \$46 million for these 9 recommendations.

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Table. Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-062, Audit of Management of Government-Owned Property Supporting the F-35 Program Recommendation 2.c: The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.	\$2,087,515,481	Questioned Costs	3/13/2019	F-35 Joint Program Office
DODIG-2020-063, Audit of DoD Service-Disabled Veteran-Owned Small Business Contract Awards Recommendation 1.a: The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review, in coordination with the Military Departments and Defense agencies, of all 17 contractors that received DoD Service-Disabled Veteran-Owned Small Business set-aside or sole-source contracts but were denied Service-Disabled Veteran-Owned Small Business status by the Department of Veterans Affairs Center for Verification and Evaluation to determine if they meet the requirements for Service-Disabled Veteran-Owned Small Business status. Based on the review, the Director should take action, as appropriate, against any contractors found to have misrepresented their Service-Disabled Veteran-Owned Small Business status to the DoD to obtain contracts by coordinating with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	\$876,800,000	Questioned Costs	2/18/2020	Under Secretary of Defense for Acquisition and Sustainment
(FOUO)				

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(FOUO)

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-085, Audit of the Defense Security Cooperation Agency-Security Assistance Accounts Recommendation A.1.f.8: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to recover and transfer into the Special Defense Acquisition Fund account all authorized collections dating back to FY 2012 that the Defense Finance and Accounting Service did not transfer into the Special Defense Acquisition Fund account.	\$736,000,000	Funds Put to Better Use	5/8/2019	Defense Security Cooperation Agency
DODIG-2013-100, Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed Recommendation 1.a: The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.	\$631,700,000 ¹	Funds Put to Better Use	7/2/2013	Defense Logistics Agency
DODIG-2018-151, Military Sealift Command's Maintenance of Prepositioning Ships Recommendation 2.b: The DoD OIG recommended that the Military Sealift Command Contracting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, ensure that contracting officers appoint a qualified contracting officer's representative or contracting officer's technical representative to conduct regular surveillance of contractors at sea and during shipyard availabilities. Military Sealift Command should also ensure the contracting officer's representative or contracting officer's technical representative executes quality assurance using a quality assurance surveillance plan.	\$544,743,015	Questioned Costs	9/24/2018	Department of the Navy

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2020-042, Audit of the Service Acquisition Executives' Management of Defense Acquisition Category 2 and 3 Programs Recommendation A.3: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology determine whether the modification effort to the Common Sensor Payload program supports a valid need or cancel the program modification. If the Assistant Secretary decides to continue the program modification, program officials should establish an Acquisition Program Baseline, along with the required documents to start a new program, to manage the program to cost and schedule constraints.	\$376,000,000	Funds Put to Better Use	12/20/2019	Department of the Army
DODIG-2019-094, Audit of F-35 Ready-For-Issue Spare Parts and Sustainment Performance Incentive Fees Recommendation A.1: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office, in coordination with the Defense Contract Management Agency, pursue compensation from the contractor for costs of non-Ready-For-Issue spare parts that have been delivered since 2015 on the sustainment contracts.	\$303,000,000	Fund Put to Better Use	6/13/2019	F-35 Joint Program Office
(FOUO)				

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2014-077, Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case Recommendation F.5: The DoD OIG recommended that the Defense Contract Management Agency Director follow the procedures in Federal Acquisition Regulation clause 30.605, paragraphs (c) through (h), to correct the noncompliant cost accounting practice in a timely manner and ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 and resulting from the contractor's use of a cost accounting practice determined by DCMA to be noncompliant with CAS 418 on June 5, 2006.	\$210,968,414 ¹	Questioned Costs	5/30/2014	Defense Contract Management Agency
DODIG-2019-047, Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles Recommendation C.1: The DoD OIG recommended that the Marine Corps Installations and Logistics Deputy Commandant require Installations and Logistics officials to initiate and complete depot maintenance float allowance annual reviews and approve all depot maintenance float allowance authorization changes according to Marine Corps Order 5311.1E.	\$103,000,000	Funds Put to Better Use	1/18/2019	U.S. Marine Corps
DODIG-2014-101, Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight Recommendation 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.	\$69,184,113	Funds Put to Better Use	8/13/2014	Department of the Army

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2018-110, Defense Contract Management Agency's Information Technology Contracts Recommendation A.1.a.1: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop contract performance work statements for service acquisitions that include performance requirements in terms of defined deliverables, contractor performance objectives and standards, and a quality assurance plan.	\$57,296,830	Questioned Costs	4/25/2018	Defense Contract Management Agency
DODIG-2016-087, Air Force Civil Engineer Center Management of Energy Savings Performance Contracts Needs Improvement Recommendation 1.c: The DoD OIG recommended that the Air Force Civil Engineer Center Director validate Joint Base Charleston energy savings performance contract savings achieved for performance years 2 through 8 as statutorily mandated and recommend the contracting officer take appropriate contractual action, such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the contract.	\$48,951,000	Questioned Costs	5/4/2016	Department of the Air Force
DODIG-2020-042, Audit of the Service Acquisition Executives' Management of Defense Acquisition Category 2 and 3 Programs Recommendation A.8.a: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics determine the number of low cost modifications, their associated costs, and the accountable program offices.	\$41,400,000	Questioned Costs	12/20/2019	Department of the Air Force
(FOUO)				

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-069, Audit of the Army's Oversight of National Afghan Trucking Services 3.0 Contracts Recommendation 1.c: The DoD OIG recommended that the Commander of the Army Contracting Command—Afghanistan review the May and June 2018 invoices to determine the amount overpaid to as a result of using the incorrect performance work statement and request that the contractors return the funds paid in error.	\$41,118,242	Questioned Costs	4/1/2019	Department of the Army
DODIG-2017-045, Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight Recommendation 1.a: The DoD OIG recommended that the Commander of U.S. Army Medical Command review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure that all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible.	\$40,212,000	Funds Put to Better Use	1/27/2017	Department of the Army
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 8.d: The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$36,508,515	Funds Put to Better Use	9/16/2019	Under Secretary of Defense for Health Affairs
(FOUO)				

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2020-072, Audit of DoD Hotline Allegations Concerning the Defense Microelectronics Activity Recommendation 1: The DoD OIG recommended that the Director of Defense Research and Engineering for Research and Technology, Office of the Under Secretary of Defense for Research and Engineering, complete an assessment of the use of the existing foundry and determine whether the existing foundry is still needed.	\$35,800,000	Funds Put to Better Use	3/24/2020	Under Secretary of Defense for Research and Engineering
DODIG-2020-042, Audit of the Service Acquisition Executives' Management of Defense Acquisition Category 2 and 3 Programs Recommendation A.5.b: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition determine how \$49.8 million, including the \$34.3 million listed in the Navy's database and the \$15.5 million requested in the FYs 2012 through 2019 President's Budgets, was appropriated and whether the appropriated funding was properly spent.	\$34,300,000	Funds Put to Better Use	12/20/2019	Department of the Navy
DODIG-2019-128, Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico Recommendation B.3: The DoD OIG recommended that the Commander of the U.S. Army Corps of Engineers, Jacksonville District, direct contracting officials to review all labor and material costs for contract W912EP-18-C-0003 and determine whether they are supportable and allowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability," and provide the DoD Office of Inspector General with the results of the review. If contracting officials are unable to determine whether costs are allowable, they should work with Defense Contract Audit Agency officials to develop a total contract cost reduction to reduce total costs for contract W912EP-18-C-0003.	\$29,200,000	Questioned Costs	9/30/2019	Department of the Army
(FOUO)				

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-029, DoD Task Orders Issued Under One Acquisition Solution for Integrated Services Contracts Recommendation 2.a: The DoD OIG recommended that the Executive Director for Army Contracting Command, Redstone Arsenal, require the contracting officer to determine if the employees met the labor categories specified in task order W31P4Q-15-F-0007 and, if not, take appropriate corrective action, including the recovery of improper payments, such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the contract.	\$28,000,000	Questioned Costs	11/27/2018	Department of the Army
DODIG-2019-128, Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico Recommendation A.2.a: The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all labor and material costs for contracts W912DY-18-F-0003 and W912DY-18-F-0032 and determine whether they are supportable and allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."	\$20,900,000	Questioned Costs	9/30/2019	Department of the Army

(FOUO)

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-112, Audit of TRICARE Payments for Health Care Services and Equipment That Were Paid Without Maximum Allowable Reimbursement Rates Recommendation 1.a: The DoD OIG recommended that the Defense Health Agency Director identify the reasons why TRICARE region contractors did not use existing TRICARE maximum allowable reimbursement rates, and take immediate actions to confirm that TRICARE claims for vaccines and contraceptive systems are paid using the TRICARE maximum allowable reimbursement rates. Further, the Director should recoup overpayments for which the TRICARE contractors did not use existing TRICARE maximum allowable reimbursement rates.	\$19,500,000	Funds Put to Better Use	8/20/2019	Under Secretary of Defense for Health Affairs
DODIG-2018-110, Defense Contract Management Agency's Information Technology Contracts Recommendation B.1.a.4: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors to ensure that the contracting officer or contracting officer's representative determines whether the contractor performed satisfactorily and ensure the work progressed according to the contract before approving invoices.	\$17,096,393	Questioned Costs	4/25/2018	Defense Contract Management Agency
DODIG-2020-042, Audit of the Service Acquisition Executives' Management of Defense Acquisition Category 2 and 3 Programs Recommendation A.5.b: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition determine how \$49.8 million, including the \$34.3 million listed in the Navy's database and the \$15.5 million requested in the FYs 2012 through 2019 President's Budgets, was appropriated and whether the appropriated funding was properly spent.	\$15,500,000	Questioned Costs	12/20/2019	Department of the Navy
(FOUO)				

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 5.d: The DoD OIG recommended that the Commander of Brooke Army Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$9,628,106	Funds Put to Better Use	9/16/2019	Department of the Army
DODIG-2019-085, Audit of the Defense Security Cooperation Agency-Security Assistance Accounts Recommendation A.1.f.7: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to recover and transfer into the Special Defense Acquisition Fund all lease payments dating back to FY 2012 that the Defense Finance and Accounting Service did not transfer into the Special Defense Acquisition Fund account.	\$9,500,000	Funds Put to Better Use	5/8/2019	Defense Security Cooperation Agency
DODIG-2020-060, Audit of Contract Costs for Hurricane Recovery Efforts at Navy Installations Recommendation A.1.e: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Atlantic based on the results of Recommendation A.1.d, request a Defense Contract Audit Agency audit to review the allowability of all costs and profit paid to the prime contractors, and request a refund for any excess payments made to the prime contractors.	(FOUO)	Questioned Costs	2/12/2020	Department of the Navy

(FOUO)

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 6.c: The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$8,073,425	Funds Put to Better Use	9/16/2019	Department of the Air Force
DODIG-2019-004, DoD Oversight of Bilateral Agreements With the Republic of the Philippines Recommendation 3.b: The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 15 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	\$7,288,225	Questioned Costs	11/2/2018	U.S. Marine Corps
DODIG-2018-050, Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts Recommendation B.1.a: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command Expeditionary Warfare Center contracting officer to validate contractor-proposed currency adjustments for the Commander Fleet Activities Yokosuka performance of September 1, 2008, through August 31, 2017 (performance years 1 through 9).	\$6,700,000	Questioned Costs	12/19/2017	Department of the Navy
(FOUO)				

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2013-123, Army Needs to Improve Mi-17 Overhaul Management and Contract Administration Recommendation A.2.a: The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.	\$6,438,000	Questioned Costs	8/30/2013	Department of the Army
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 10.b: The DoD OIG recommended that the Commander of the Kimbrough Ambulatory Care Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$6,193,785	Funds Put to Better Use	9/16/2019	Department of the Army
DODIG-2019-004, DoD Oversight of Bilateral Agreements With the Republic of the Philippines Recommendation 2.d: The DoD OIG recommended that the Pacific Air Forces Commander input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 57 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	\$5,193,254	Questioned Costs	11/2/2018	Department of the Air Force

(FOUO)

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-073, Audit of Payments to the DoD for Medical Services Provided to Department of Veterans Affairs Beneficiaries at Selected Army Medical Centers Recommendation 4.f: The DoD OIG recommended that the Tripler Army Medical Center Commander review all FY 2017 claims denied by the Veterans Affairs Pacific Island Health Care System because of the FY 2016 settlement and resubmit those claims denied due to the settlement.	\$5,000,000	Funds Put to Better Use	4/8/2019	Department of the Army
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 9.c: The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$4,897,345	Funds Put to Better Use	9/16/2019	Assistant Secretary of Defense for Health Affairs
DODIG-2016-079, Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight Recommendation 1.a: The DoD OIG recommended that the Regional Health Command–Europe Commander review, research, and pursue collection on the delinquent medical service accounts that remain open.	\$4,287,000	Funds Put to Better Use	4/28/2016	Department of the Army
(FOUO)				

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2020-060, Audit of Contract Costs for Hurricane Recovery Efforts at Navy Installations (FOUO) Recommendation B.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Mid-Atlantic require the contracting officer to request a refund or a price adjustment for the excess payment identified for Recommendation B.2.a, which could include the [REDACTED] million we identified [REDACTED]	(FOUO) [REDACTED]	Questioned Costs	2/12/2020	Department of the Navy
DODIG-2019-038, Follow-up of Delinquent Medical Service Account Audits Recommendation A.3.a: The DoD OIG recommended that the Surgeon General of U.S. Navy Bureau of Medicine and Surgery require Naval Medical Center Portsmouth Uniform Business Office personnel to review and process the 18,898 billable accounts, valued at \$2.4 million, and determine whether all billable accounts are included in the medical treatment facility's daily reviews.	\$2,400,000	Funds Put to Better Use	12/19/2018	Department of the Navy
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 3.b: The DoD OIG recommended that the Commander of Naval Medical Center San Diego review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$1,845,005	Funds Put to Better Use	9/16/2019	Department of the Navy

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2019-056, Accounting and Financial Reporting for the Military Housing Privatization Initiative Recommendation B.2: The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment, in coordination with the Assistant Secretary of Defense for Sustainment and any other necessary DoD organizations, rebalance the subsidy cost for the Fort Wainwright/Greely project loan guarantee after the next reestimate process, to include deobligating the \$1.8 million that the Army unnecessarily paid.	\$1,800,000	Funds Put to Better Use	2/12/2019	Department of the Army
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 7.g: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$1,751,110	Funds Put to Better Use	9/16/2019	Department of the Air Force
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 4.f: The DoD OIG recommended that the Commander of Madigan Army Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$1,718,474	Funds Put to Better Use	9/16/2019	Department of the Army
(FOUO)				

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(FOUO)

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2020-060, Audit of Contract Costs for Hurricane Recovery Efforts at Navy Installations (FOUO) Recommendation A.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southeast request a refund from URS for any excess payment identified by the Defense Contract Audit Agency audit, which could include the [REDACTED] million of profit incorrectly paid to the prime contractor.	(FOUO) [REDACTED]	Questioned Costs	2/12/2020	Department of the Navy
DODIG-2016-116, Navy Needs to Establish Effective Metrics to Achieve Desired Outcomes for SPY-1 Radar Sustainment Recommendation B.1.b: The DoD OIG recommended that the Naval Supply Systems Command Commander require the Naval Supply Systems Command Weapon Systems Support to perform additional reviews of the completed semiannual reports for contracts N00104-12-D-ZD21 and N00104-13-D-ZD00 to determine if there is a change to the amount of incentives the contractors received and take corrective actions if appropriate.	\$1,048,015	Questioned Costs	8/1/2016	Department of the Navy
DODIG-2016-061, U.S. Army Military Surface Deployment and Distribution Command Needs to Improve its Oversight of Labor Detention Charges at Military Ocean Terminal Sunny Point Recommendations 1.b: The DoD OIG recommended that the Contracting Officer for the U.S. Transportation Command take action to recoup charges for time charged as safety briefings erroneously charged as labor detention time.	\$635,000	Questioned Costs	3/16/2016	U.S. Transportation Command

(FOUO)

CHAPTER 2

(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020				
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2018-050, Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts Recommendation B.1.b.1: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command Expeditionary Warfare Center contracting officer to recover the unsupported currency fluctuation payments calculated by the audit.	\$250,000	Funds Put to Better Use	12/19/2017	Department of the Navy
DODIG-2014-118, Improvements Needed in Contract Award of Mi-17 Cockpit Modification Task Order Recommendation B.2: The DoD OIG recommended that the Army Contracting Command–Redstone Non-Standard Rotary Wing Aircraft Directorate Director take action to recoup payments for the sets of manuals that were not accepted or delivered.	(FOUO)	Questioned Costs	9/19/2014	Department of the Army
DODIG-2016-080, Army's Management of Gray Eagle Spare Parts Needs Improvements Recommendation A.1.b.iv: The DoD OIG recommended that the Unmanned Aircraft System Project Manager require that the Product Manager–Medium Altitude Endurance use existing Defense Logistics Agency inventory, when possible, before purchasing the spare parts from General Atomics.	(FOUO)	Funds Put to Better Use	4/29/2016	Department of the Army
(FOUO)				

CHAPTER 2

(FOUO)

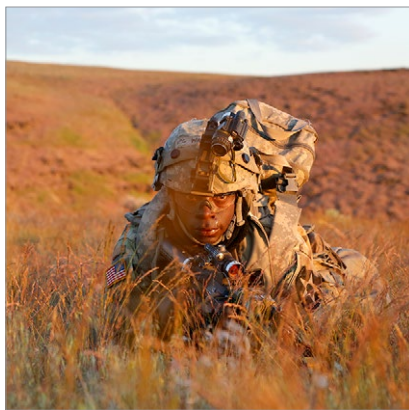
Open Recommendations Containing Potential Monetary Benefits as of March 31, 2020

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended	Principal Action Office
DODIG-2014-096, Improvements Needed in Contract Administration of Mi-17 Cockpit Modification Task Order (FOUO) Recommendation A: The DoD OIG recommended that the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate Director require the contracting officer to modify the contract to remove the additional fee and recoup from the contractor the [REDACTED] unallowable fixed-fee increase (which includes the [REDACTED] the Army Contracting Command-Redstone agreed to recoup) in accordance with Federal Acquisition Regulation subpart 16.306, "Cost-plus-fixed-fee contracts."	(FOUO) [REDACTED]	Questioned Costs	7/28/2014	Department of the Army
DODIG-2019-108, Audit of the DoD's Management of the Third Party Collection Program for Medical Claims Recommendation 2.f: The DoD OIG recommended that the Commander of Naval Hospital Bremerton review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	\$98,541	Funds Put to Better Use	9/16/2019	Department of the Navy
DODIG-2019-112, Audit of TRICARE Payments for Health Care Services and Equipment That Were Paid Without Maximum Allowable Reimbursement Rates Recommendation 1.g: The DoD OIG recommended that the Defense Health Agency Director seek voluntary refunds from TRICARE providers where Defense Health Agency paid more than other pricing benchmarks identified in this report.	Open ²	Funds Put to Better Use	8/20/2019	Assistant Secretary of Defense for Health Affairs
Total	\$6,504,707,288			

¹ The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

² Potential monetary benefits are anticipated but cannot be quantified or estimated.

(FOUO)



CHAPTER 3

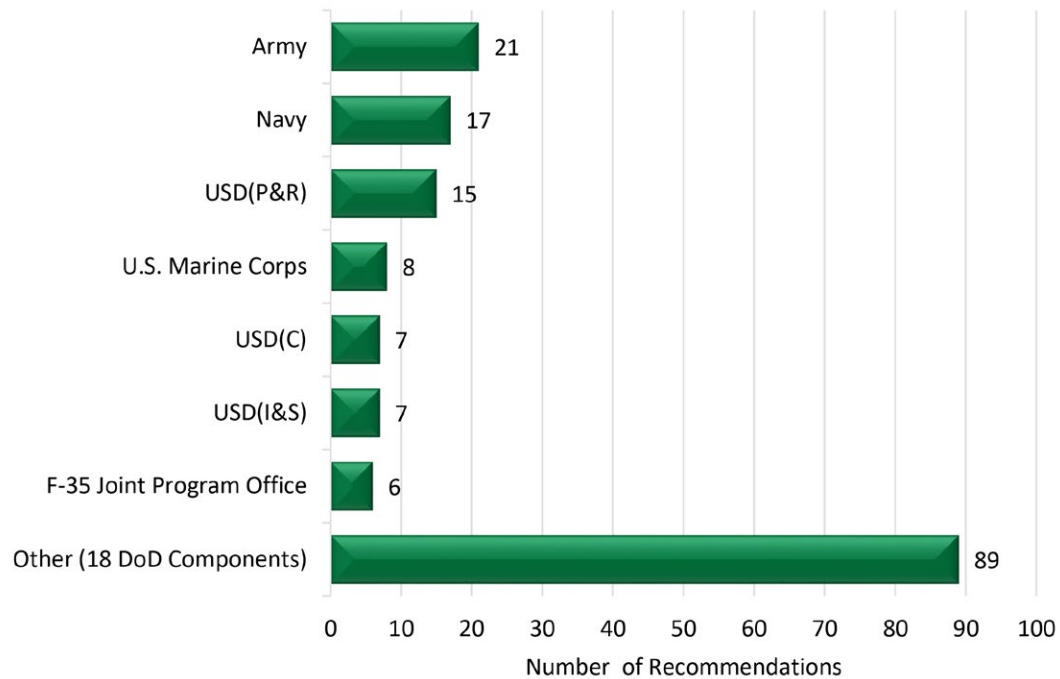
Recommendations Open for at Least 5 Years

The DoD OIG relies on documentation from the DoD Components to determine whether all agreed-upon corrective actions have been implemented before closing a recommendation. However, several issues can affect the DoD Components' ability to implement recommendations in a timely manner. This chapter highlights the 170 DoD OIG recommendations that have been open for at least 5 years. Of the 170 recommendations, 81 were issued to the following DoD Components: Department of the Army, Department of the Navy, Under Secretary of Defense (Comptroller), Under Secretary of Defense for Personnel and Readiness, F-35 Joint Program Office, U.S. Marine Corps, and Under Secretary of Defense for Intelligence and Security.²⁵ The remaining 89 recommendations were issued to 18 other DoD Components.

²⁵ The National Defense Authorization Act for FY 2020 redesignated the Under Secretary of Defense for Intelligence as the Under Secretary of Defense for Intelligence and Security.

CHAPTER 3

Figure 9. Number of Recommendations Open for at Least 5 Years by Responsible Component

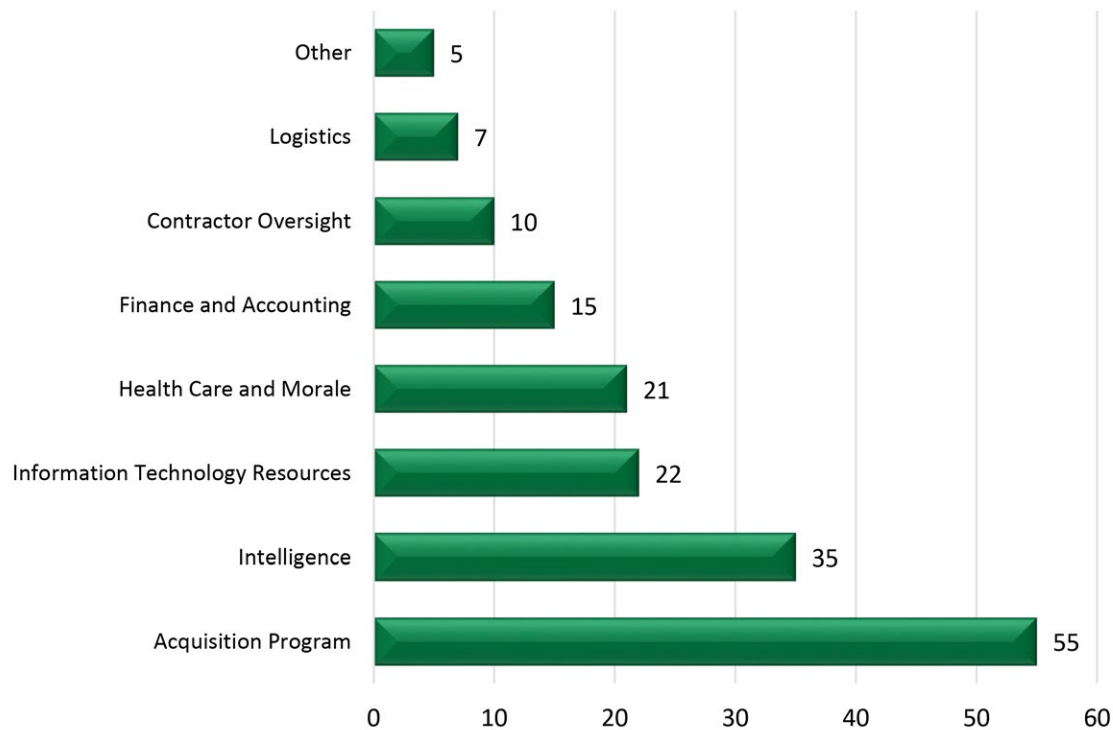


Source: The DoD OIG.

The 170 recommendations were related to the topic areas of Acquisition Programs, Intelligence, Information Technology Resources, Health Care and Morale, Finance and Accounting, Contractor Oversight, Logistics, and Other. Figure 10 illustrates the number of recommendations in each topic area.

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Figure 10. Number of Recommendations Open for at Least 5 Years by Topic Area



Source: The DoD OIG.

Although there are different reasons for the DoD Components' inability to implement the 170 recommendations, one of the biggest challenges continues to involve the revision or implementation of policies, procedures, and guidance. Of the 170 recommendations, 65 were related to revising or implementing policy. Specifically, revising or implementing policy at the DoD level also required changes to existing policies at the Component level to ensure consistent guidance across the DoD.

For example, the oldest DoD OIG open recommendation was made in DoD OIG Report D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006. The DoD OIG recommended updates to policy for the DoD personnel security clearance program in areas such as program management responsibilities, agencies responsible for conducting personnel security investigations and investigative responsibilities, and security clearance systems. The Air Force and other DoD Components issued interim guidance closing their portions of the recommendation, while the Army has reported that Army-wide staffing and comment adjudication of draft Army Regulation 380-67 was completed in April 2018, and submitted for a legal sufficiency review in September 2018. However, as of March 31, 2020, the Army

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Publishing Directorate was still waiting for the results of the legal sufficiency review before publishing the Army guidance, leaving the Army recommendation open for almost 14 years. Appropriate coordination among DoD Components is critical to ensure that the recommended revisions to the DoD policies are made in a timely manner for the efficiency of the DoD programs and operations.

In another example, the DoD OIG recommended in Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,” April 14, 2014, that the Office of the Under Secretary of Defense for Intelligence and Security develop and issue an overarching policy governing operation of the System of Record for Personnel Security Clearances. In 2018, the Under Secretary of Defense for Intelligence and Security informed the DoD OIG that an overarching policy was developed and that a memorandum requesting closure was in progress. However, the Under Secretary of Defense for Intelligence and Security has not provided the DoD OIG with supporting documentation to show the development and issuance of overarching policy governing operation of the System of Record for Personnel Security Clearances; therefore, the recommendation has been open for 6 years. Without an overarching policy governing operation of the System of Record for Personnel Security Clearances, there will continue to be a lack of effective personnel security policy.

Additionally, in Report No. DODIG-2013-112, “Assessment of DoD Long-Term Intelligence Analysis Capabilities,” August 5, 2013, the DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence and Security, partnering with the Defense Intelligence Agency, the combatant commands, and the Services, develop an All-Source Analysis certification program that leads to training, developing, and retaining a more experienced and robust workforce. We recommended that such a program includes common core analytical skills and performance standards, and an enterprise-wide all-source analysis occupational-specialty career track and development program. Although the Under Secretary of Defense for Intelligence and Security has performed corrective actions, the policy has been in legal sufficiency review since August 2015; therefore, the recommendation has been open for nearly 7 years.

Another issue that prevents the DoD Components from implementing three DoD OIG recommendations is ongoing litigation. For example, in Report No. DODIG-2013-100, “Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed,” July 2, 2013, the DoD OIG recommended that the Defense Logistics Agency Troop Support Commander implement corrective actions to address all outstanding recommendations from DoD OIG Report No. D-2011-047,

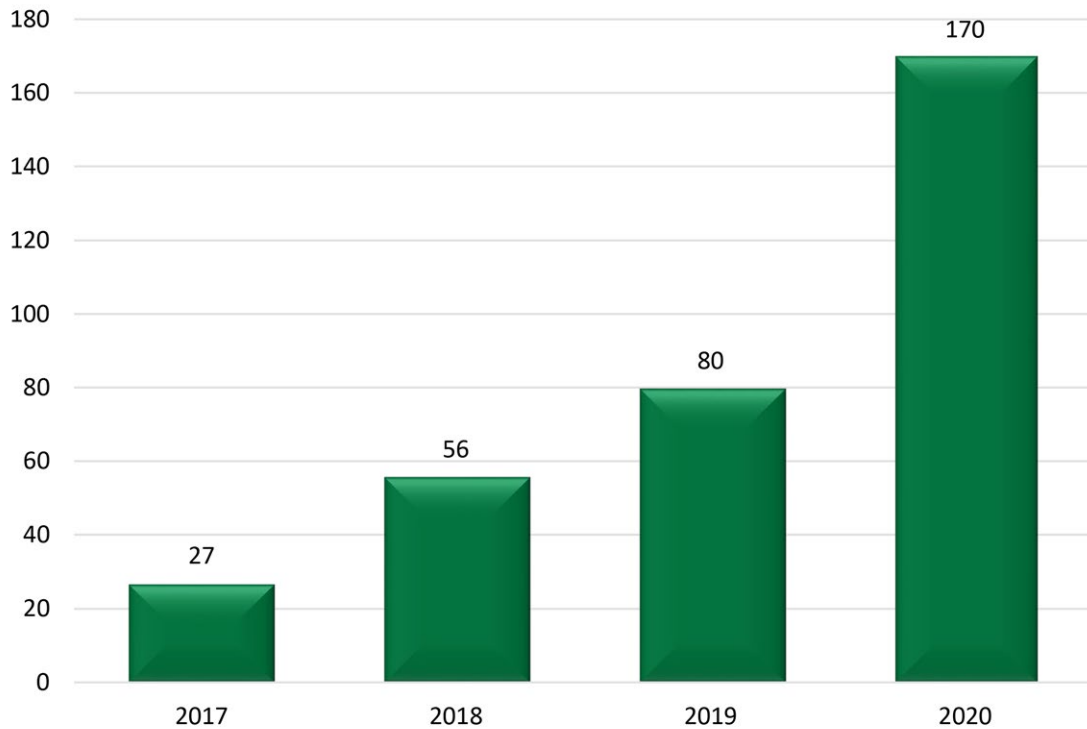
CHAPTER 3

“Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan,” March 2, 2011, to include initiating corrective actions to recover premium transportation fees and refund the Army after litigation was completed. Although the Defense Logistics Agency has performed all agreed-upon corrective actions, the recovery of monetary benefits of over \$630 million is pending the results of an ongoing Armed Services Board of Contract Appeals litigation. An Armed Services Board of Contract Appeals hearing was postponed four times between March 2015 and January 2018. The hearing, which ultimately began on January 15, 2019, and ended on February 14, 2019, was followed by post-hearing briefs that were provided on August 13, 2019, and by reply briefs submitted on August 26, 2019. The Armed Services Board of Contract Appeals held oral arguments on October 31, 2019. Although the hearing has been held, as of March 31, 2020, a decision was pending and not expected to be issued until 2021 or 2022; by then the recommendation will have been open for more than 7 years.

The number of DoD OIG recommendations that have been open for at least 5 years has increased significantly over the last 4 years. Specifically, the number of aged recommendations from 2017 to 2018 increased by 108 percent, from 2018 to 2019 the number of aged recommendations increased by 43 percent, and the number of aged recommendations from 2019 to 2020 increased by 113 percent. Figure 11 illustrates the increase in the number of recommendations open for at least 5 years. Overall, the number of recommendations that have been open for at least 5 years has increased by 143 (530 percent) since the first Compendium was issued in 2017. Although the DoD Components provided documentation to close 36 of the aged recommendations listed in last year’s Compendium, 44 of the 80 recommendations (55 percent) remain open. Of these 44 recommendations, 7 have been reported as aged in all four editions of the Compendium.

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Figure 11. Increase in the Number of Recommendations Open for at Least 5 Years From March 31, 2017, to March 31, 2020



Source: The DoD OIG.

While there is no standard timeframe for implementing recommendations, we believe the maximum benefits are generally achieved when recommendations are implemented within 3 years. However, some recommendations, such as those relating to cybersecurity or health and safety, require immediate implementation since noncompliance can have far-reaching, devastating consequences. Additionally, some recommendations impact life and safety, requiring management to take critical corrective actions for the agreed-upon recommendations. Moreover, not taking action to correct deficiencies identified in the DoD OIG reports can adversely impact the efficiency and effectiveness of DoD programs and waste taxpayer dollars.

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Tables. Recommendations Open for at Least 5 years

The tables below list 170 recommendations that have been open for at least 5 years.

DoD Chief Management Officer

Report No. DODIG-2014-093, "Inspection of the Armed Forces Retirement Home," July 23, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 53.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, under the authority given to the Secretary of Defense in section 411(d)(3), title 24, United States Code, issue a directive-type memorandum for immediate action (followed by a revision of Department of Defense Instruction 1000.28, "Armed Forces Retirement Home," February 1, 2010) to codify the results from Recommendation 53.a.	5 years 8 months 8 days

Department of the Army

Report No. D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 3.a-f: The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Naval Criminal Investigative Service Director; the Air Force Director of Security Forces, Information Security; the Defense Information Systems Agency Director; and the Defense Logistics Agency Director update policies for the DoD personnel security clearance program to include the following areas:</p> <ul style="list-style-type: none"> a. program management responsibilities; b. agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities; c. security clearance systems for tracking security clearance information; d. Personnel Security Investigation submission processes; e. the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations to include documentation required for each PSI; and f. training requirements for security personnel. 	13 years 11 months 12 days

CHAPTER 3

Department of the Army (cont'd)

Report No. DODIG-2013-097, "Improvements Needed in the Oversight of the Medical-Support Services and Award-Fee Process Under the Camp As Sayliyah, Qatar, Base Operation Support Services Contract," June 26, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the U.S. Army Medical Command Commander revise Army Regulation 40-68, "Clinical Quality Management," to align the regulation with supervision requirements in Federal Acquisition Regulation Subpart 37.4.	6 years 9 months 5 days

Report No. DODIG-2013-123, "Army Needs To Improve Mi-17 Overhaul Management and Contract Administration," August 30, 2013, (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.a: The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments paid to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.	6 years 7 months 1 day

Report No. DODIG-2013-130, "Army Needs to Improve Controls and Audit Trails for the General Fund Enterprise Business System Acquire-to-Retire Business Process," September 13, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop and implement the identified functionality into the General Fund Enterprise Business System, including the capability to generate an Army-wide real property universe.	6 years 6 months 18 days
Rec. A.6: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to perform a review of all real property data in the General Fund Enterprise Business System to ensure that the General Fund Enterprise Business System contains the correct data going forward and track the costs associated with this effort and other data cleansing efforts so they can be calculated as part of the cost of the General Fund Enterprise Business System implementation or as part of the Army's audit readiness efforts.	6 years 6 months 18 days

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Department of the Army – Report No. DODIG-2013-130 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.7.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to record in-house costs incurred in the construction of a real property asset to the corresponding project's construction-in-progress account.	6 years 6 months 18 days

Report No. DODIG-2014-066, "Logistics Modernization Program System Not Configured to Support Statement of Budgetary Resources," May 5, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the DoD Deputy Chief Financial Officer approve the baseline configuration of the Logistics Modernization Program system Budget-to-Report business process based on Army certification that the Army has implemented the appropriate DoD United States Government Standard General Ledger Transaction Library transactions for recording budgetary accounts for the Army Working Capital Fund.	5 years 10 months 26 days
Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should investigate the root causes for each manual adjustment and other workarounds related to the Budget-to-Report business process and develop the reengineering plan for implementing the system functionality to record the data correctly. The reengineering plan should also incorporate, to the extent possible, the functionality to integrate within the Logistics Modernization Program system the: <ol style="list-style-type: none"> 1. Debt Management Process, and 2. Cash Management Process. 	5 years 10 months 26 days
Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should document and certify to the Deputy Chief Financial Officer the comprehensive review of Army Working Capital Fund business activities that baselines the DoD transaction codes and general ledger accounts needed for recording the Logistics Modernization Program Budget-to-Report business process.	5 years 10 months 26 days

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Department of the Army – Report No. DODIG-2014-066 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.e: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should direct activities to conduct an immediate investigation of abnormal balances in unobligated accounts to determine whether a potential funding violation occurred.	5 years 10 months 26 days

Report No. DODIG-2014-090, “Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process,” July 2, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete, and use it to validate General Fund Enterprise Business System general ledger account postings.	5 years 8 months 29 days
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) direct the General Fund Enterprise Business System Program Management Office to reconfigure the General Fund Enterprise Business System to properly record Budget-to-Report transactions, including implementing system controls to address items identified in this report.	5 years 8 months 29 days
Rec. 1.d: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) use the General Fund Enterprise Business System to execute all Army General Fund appropriations.	5 years 8 months 29 days

Report No. DODIG-2014-096, “Improvements Needed in Contract Administration of Mi-17 Cockpit Modification Task Order,” July 28, 2014 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. A: The DoD OIG recommended that the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate Director require the contracting officer to modify the contract to remove the additional fee and recoup from the contractor the [REDACTED] unallowable fixed-fee increase (which includes the [REDACTED] the Army Contracting Command-Redstone agreed to recoup) in accordance with Federal Acquisition Regulation subpart 16.306, “Cost-plus-fixed-fee contracts.”	5 years 8 months 3 days
(FOUO)	

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Department of the Army – Report No. DODIG-2014-096 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Director of the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate take action to terminate the sixth cockpit modification and, as appropriate, negotiate a settlement with the contractor.	5 years 8 months 3 days
(FOUO)	

Report No. DODIG-2014-101, “Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight,” August 13, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.	5 years 7 months 18 days
Rec. 1.g: The DoD OIG recommended that the Brooke Army Medical Center Commander provide U.S. Army Medical Command all the Medicaid-eligible claims denied by Texas Medicaid Health Partnership for missing the 95-day filing requirement to identify the value and impact of those claims to Brooke Army Medical Center.	5 years 7 months 18 days

Report No. DODIG-2014-118, “Improvements Needed in Contract Award of Mi-17 Cockpit Modification Task Order,” September 19, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Director of the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate take action to recoup payments for the sets of manuals that were not accepted or delivered.*	5 years 6 months 12 days

* The agreed-upon corrective actions have been completed by DoD management; however, the Department’s recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

CHAPTER 3

Department of the Army (cont'd)

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment,"
November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	5 years 4 months 17 days
Rec. 3.d: The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> 1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2. adapt and implement the proposed standard operating procedure/guidelines for the Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report. 	5 years 4 months 17 days
Rec. 4.e: The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	5 years 4 months 17 days

Department of the Navy

Report No. DODIG-2012-017, "U.S. Naval Academy Officials Did Not Adhere to Contracting and Gift Policies,"
November 7, 2011

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.d: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to implement the Naval Heritage and History Command inventory system, Department of Navy Heritage Asset Management System, and record all the in-kind gifts into the systems.	8 years 4 months 24 days
Rec. B.3.b: The DoD OIG recommended that the Naval Heritage and History Command Director require the United States Naval Academy Museum Director to use the Naval Heritage and History Command inventory system and the Department of Navy Heritage Asset Management System.	8 years 4 months 24 days

CHAPTER 3

Department of the Navy (cont'd)

Report No. DODIG-2012-122, "The DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access," August 29, 2012 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs), in conjunction with the Assistant Secretary of the Army (Installations, Energy, and Environment); Commander of Headquarters Air Force Security Forces Center; Deputy Chief of Naval Operations for Fleet Readiness and Logistics; and Assistant Deputy Commandant of Plans, Policies and Operations (Security), U.S. Marine Corps, before approving physical access control systems for a location require installation security personnel to be involved during the site surveys.	7 years 7 months 2 days

Report No. DODIG-2013-082, "Hotline Allegation Regarding the Failure to Take Action on Material Management and Accounting System Audit Findings," May 29, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.d: The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, re-evaluate the determination that the costs of complying with Standard 2 outweigh the benefits, and document adequate rationale for any disagreements with the auditor in accordance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports."	6 years 10 months 2 days
Rec. A.2.e: The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, in consultation with Defense Contract Audit Agency, reassess the appropriateness of the March 15, 2013, agreement with the contractor on the master production schedule accuracy calculation.	6 years 10 months 2 days

Report No. DODIG-2014-001, "MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness," October 23, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Naval Air Forces Commander revise the Naval Air Forces Commander Instruction 4790.2A, "Naval Aviation Maintenance Program," November 10, 2009, to include detailed procedures for maintenance officers to use to verify the accuracy and completeness of aircraft inventory reports and work orders before submission.	6 years 5 months 8 days

CHAPTER 3

Department of the Navy (cont'd)

Report No. DODIG-2014-083, "Insufficient Infrastructure Support to the Fixed Submarine Broadcast System," June 23, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Chief of Naval Operations establish criteria for Broadcast Transmit Stations [REDACTED]. This criteria should include a requirement establishing the minimum duration [REDACTED].*	5 years 9 months 8 days
Rec. C.1: Recommendation is Classified.*	5 years 9 months 8 days
Rec. D.2: The DoD OIG recommended that the Navy Inspector General coordinate with the Navy Technical Proficiency Inspection program managers to ensure establishment of a comprehensive inspection program based on requirements directed in the "Navy Technical Proficiency Inspection" program; Chairman, Joint Chiefs of Staff Instruction 6811.018, "Nuclear Command and Control Technical Performance Criteria," and Chairman, Joint Chiefs of Staff Instruction 6810.01B, "Critical Nuclear Command and Control (NC2) Equipment and Facilities."*	5 years 9 months 8 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the U.S. Army Criminal Investigative Command Commander; the Naval Criminal Investigative Service Director; and the Air Force Office of Special Investigations Commander ensure Defense Incident-Based Reporting System error corrections are completed within 30 days of the Defense Manpower Data Center providing notification, as required by DoD Manual 7730.47-M, volume 1.	5 years 5 months 2 days

Report No. DODIG-2015-046, "Navy Commands Need to Improve Logical and Physical Controls Protecting SIPRNET Access Points," December 10, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the Deputy Under Secretary of the Navy, Policy, update Department of the Navy policy to implement at least the minimum requirements for performing a risk assessment, as required by DoD Manual 5200.01, volume 3.	5 years 3 months 21 days
Rec. A.5.b: The DoD OIG recommended that the Department of the Navy Deputy Chief Information Officer (Navy) implement the requirements for performing a risk assessment in accordance with updated Department of Navy policy and DoD Manual 5200.01, volume 3.	5 years 3 months 21 days

CHAPTER 3

Department of the Navy (cont'd)

Report No. DODIG-2015-053, "Naval Supply Systems Command Needs to Improve Cost Effectiveness of Purchases for the Phalanx Close-In Weapon System," December 19, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Naval Supply Systems Command Weapons Systems Support Commander require the Naval Supply Systems Command Weapon Systems Support contracting officers to complete timely reviews for variations in quantity before determining forecasted demand.	5 years 3 months 12 days

Report No. DODIG-2015-072, "Improvements Needed for Navy's Triannual Review," January 22, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop standard queries for the budget submitting offices to ensure completeness of data extracted for triannual reviews.	5 years 2 months 9 days
Rec. 2.a: The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop and implement Navy triannual review standard procedures, based on U.S. Marine Corps best practices, to compile a universe of obligations for the budget submitting offices to use in performing the triannual review.	5 years 2 months 9 days

Report No. DODIG-2015-081, "Evaluation of Department of Defense Compliance with Criminal History Data Reporting Requirements," February 12, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Secretaries of the Navy and Air Force take prompt action to submit the missing 304 fingerprints and 334 final disposition reports to the Federal Bureau of Investigation for inclusion into the Integrated Automated Fingerprint Identification System.	5 years 1 month 19 days

Report No. DODIG-2015-090, "Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors," March 9, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Navy and Air Force ensure consistent documentation of aircraft ejection data to increase the data available for ejections with Helmet Mounted Devices and/or Night Vision Goggles thus improving the safety risk analysis. The data should include aircraft speed at time of ejection, whether aircrew was wearing Helmet Mounted Devices and/or Night Vision Goggles, and type of injury sustained.	5 years 22 days

CHAPTER 3

U.S. Marine Corps

Report No. D-2011-060, "Marine Corps Inventory of Small Arms Was Generally Accurate but Improvements Are Needed for Related Guidance and Training," April 22, 2011

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Deputy Commandant, Plans, Policies, and Operations, update the small arms accountability guidance in Marine Corps Order 5530.14A consistent with Marine Corps Bulletin 4440 and the updates to Marine Corps Order 8300.1C.	8 years 11 months 9 days

Report No. DODIG-2014-100, "Assessment of DoD Wounded Warrior Matters: Selection and Training of Warrior Transition Unit and Wounded Warrior Battalion Leaders and Cadre," August 28, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs revalidate whether the manning precedence level category of Wounded Warrior Battalion-East and Wounded Warrior Battalion-West should be changed in the Commandant of the Marine Corps Precedence Levels for Manning and Staffing.	5 years 7 months 3 days
Rec. 2.d: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs develop policy and procedures to extend the standard length of Wounded Warrior Battalion Reserve Individual Mobilization Augmentee assignments to 2 years to ensure greater stability in force structure, staff continuity, and to sustain the mission.	5 years 7 months 3 days
Rec. 3.a: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard formalized screening, selection, and assignment process for Enlisted Active Component Marines filling Wounded Warrior Battalion positions similar to the process currently used for Reserve Individual Mobilization augmentee Marines.	5 years 7 months 3 days
Rec. 3.b: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard review process whereby regiment and battalion leaders can interview potential Enlisted Active Component U.S. Marine Corps Wounded Warrior Battalion candidates to ensure they are the "best fit" and most qualified to better serve the Marines in the Wounded Warrior Battalions.	5 years 7 months 3 days

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	5 years 4 months 17 days

CHAPTER 3

U.S. Marine Corps – Report No. DODIG-2015-016 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.d: The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> 1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2. adapt and implement the proposed standard operating procedure/guidelines for Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report. 	5 years 4 months 17 days
Rec. 4.e: The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	5 years 4 months 17 days

Department of the Air Force**Report No. DODIG-2013-035, “Better Reporting and Certification Processes Can Improve Red Teams’ Effectiveness,” December 21, 2012 (Full Report is Classified)**

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. B.7: The DoD OIG recommended that the Commander, 377th Air Base Wing, implement, track, and validate that a Plan of Actions and Milestones has been created to correct the outstanding vulnerabilities for [REDACTED], safeguarding Personally Identifiable Information, identifying false credentials used to gain installation access, and controlling actions in restricted areas and verify that all security weaknesses are reported.	7 years 3 months 10 days
(FOUO)	

Report No. DODIG-2014-038, “Air Force Life Cycle Management Center Could Not Identify Actual Cost of F119 Engine Spare Parts Purchased from Pratt and Whitney,” February 10, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Air Force Life Cycle Management Center Commander develop a process to identify and document actual spare part costs for 2010 and each subsequent year on contract FA8611-08-C-2896 for use in determining fair and reasonable prices.	6 years 1 month 21 days

CHAPTER 3

Department of the Air Force (cont'd)

Report No. DODIG-2015-052, "Air Force Life Cycle Management Center's Management of F119 Engine Spare Parts Needs Improvement," December 19, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.5: The DoD OIG recommended that the Air Force Life Cycle Management Center Director clarify the Defense Contract Management Agency's responsibility to formally accept F119 engine spare parts on behalf of the Life Cycle Management Center.	5 years 3 months 12 days

Report No. DODIG-2015-090, "Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors," March 9, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: The DoD OIG recommended that the Navy and Air Force review and update the Joint Service Specification Guide to reflect changes in policy and technology that have occurred in the last 16 years.	5 years 22 days

Under Secretary of Defense for Acquisition and Sustainment

Report No. DODIG-2012-082, "The DoD Can Improve Its Accounting for Residual Value From the Sale of U.S. Facilities in Europe," May 4, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. B: The DoD OIG recommended that the Deputy Under Secretary of Defense for Installations and Environment and the Commander for U.S. European Command revise DoD Instruction 4165.69 and U.S. European Command Instruction 4101.02, respectively, to require that future residual value settlement negotiations analyze and document how the residual value settlement amount was determined, to include at a minimum:</p> <ol style="list-style-type: none"> 1. results of an independent appraisal of the facility's value or the reasons why it was deemed not worth performing one; 2. analysis of any agreed-upon calculation methodology used to determine the values for the land and capital improvements; 3. evaluation of any environmental remediation being claimed for reasonableness, if there is an offsetting effect on the residual value received; and 4. description of specific documents that should be maintained supporting residual value settlements and how long these documents should be maintained. 	7 years 10 months 27 days

CHAPTER 3

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2013-050, "Recovering Organizational Clothing and Individual Equipment from Civilians and Contractor Employees Remains a Challenge," February 22, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.a-c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics implement corrective actions to address all recommendations, with the exception of Recommendation 1.b, in DoD Office of Inspector General Report No. D-2010-069, "Central Issue Facilities at Fort Benning and Related Activities," June 21, 2010. Specifically:</p> <ul style="list-style-type: none"> a. identify civilians and contractor employees who returned from deployment but did not return organizational clothing and individual equipment; b. obtain unreturned organizational clothing and individual equipment or reimbursement from civilians and contractor employees; and c. require DoD Components to include proper language in new contracts and modify existing contracts to hold contracting companies liable for unreturned organizational clothing and individual equipment. 	7 years 1 month 9 days

Report No. DODIG-2014-049, "DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed," March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 2: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director address inconsistencies between the Defense Federal Acquisition Regulation Supplement 252.227-7018 and the Small Business Administration Small Business Innovation Research Policy Directive regarding intellectual property protections, specifically on when the Small Business Innovation Research data protection period begins, when it can be extended, and whether the protection period can be revived after expiration.</p>	6 years 4 days

Report No. DODIG-2015-031, "The Services Need To Improve Accuracy When Initially Assigning Demilitarization Codes," November 7, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 6: The DoD OIG recommended that the Assistant Secretary of Defense for Logistics and Materiel Readiness require the Services to establish controls for personnel to assign accurate demilitarization codes and hold personnel accountable for not reviewing and assigning accurate demilitarization codes.</p>	5 years 4 months 24 days

CHAPTER 3

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2015-062, "DoD Needs Dam Safety Inspection Policy To Enable the Services To Detect Conditions That Could Lead to Dam Failure," December 31, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish DoD dam safety inspection policy that is in accordance with the Federal Guidelines for Dam Safety, which define inspection frequency, scope, and inspector qualifications and outline the need to develop and maintain inspection support documentation.	5 years 3 months

Under Secretary of Defense for Research and Engineering

Report No. DODIG-2014-049, "DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed," March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.a: The DoD OIG recommended that the Administrator, DoD Office of Small Business Programs, Small Business Innovation Research Office, issue single, overarching guidance and related training for all DoD organizations to follow that will provide for the uniform application of intellectual property protections across DoD. Guidance and training should include:</p> <ol style="list-style-type: none"> 1. standard intellectual property protections within the Small Business Innovation Research Program, including the use and application of the data assertions table; and 2. when the protection period begins and when it can be extended. 	6 years 4 days
<p>Rec. 1.b: The DoD OIG recommended that the Administrator for the DoD Office of Small Business Programs, Small Business Innovation Research Office, issue clarifying guidance to address the requirement for organizations to provide the Small Business Administration a complete and timely notification detailing why a proposed Small Business Innovation Research Phase III contract could not be awarded to the developer. The clarifying guidance should provide a single DoD interpretation of the requirement and address reporting requirements outlined in the Small Business Administration Small Business Innovation Research Policy Directive.</p>	6 years 4 days

CHAPTER 3

Under Secretary of Defense for Policy

Report No. DODIG-2015-070, "Evaluation of Alternative Compensatory Control Measures Program,"
January 28, 2015 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO)	Rec. A: The DoD OIG recommended that the Office of the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO)	Rec. B: The DoD OIG recommended that the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO)	Rec. C: The DoD OIG recommended that the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO)	Rec. D: The DoD OIG recommended that the Office of the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO)	Rec. E: The DoD OIG recommended that the Office of the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO)		

Under Secretary of Defense (Comptroller)

Report No. D-2009-062, "Internal Controls Over DoD Cash and Other Monetary Assets," March 25, 2009

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, charge a proper appropriation, or coordinate with the Office of Management and Budget and the U.S. Treasury to: <ol style="list-style-type: none"> 1. obtain a waiver to hold a certain amount of cash without charging an appropriation, or 2. establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury. 	11 years 6 days

CHAPTER 3

Under Secretary of Defense (Comptroller) – Report No. D-2009-062 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise DoD Financial Management Regulation 7000.14, volume 5, to reflect the implementation of Recommendation A.1.a.(1)-(2).	11 years 6 days

Report No. DODIG-2012-107, “Defense Finance and Accounting Service Needs to Improve the Process for Reconciling the Other Defense Organizations’ Fund Balance with Treasury,” July 9, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a systems infrastructure that will allow personnel to readily retrieve the detailed transactions supporting all open appropriations that the Accounts Maintenance and Control branch is responsible for accounting for and reconciling on the Cash Management Report.*	7 years 8 months 22 days

* Responsibility for implementing Recommendation 1.a was transferred from DFAS to OUSD(C).

Report No. DODIG-2013-070, “Defense Agencies Initiative Did Not Contain Some Required Data Needed to Produce Reliable Financial Statements,” April 19, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the guidance contained in the DoD Financial Management Regulation, volume 6B, chapter 5, paragraph 050301.B. to require costs of program reported in the Statement of Net Cost to be accounted for by program costs and not by appropriation, enabling the use of the Program Indicator Code attribute.	6 years 11 months 12 days

Report No. DODIG-2015-056, “Opportunities to Improve the Elimination of Intragovernmental Transactions in DoD Financial Statements,” December 22, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, develop cost estimates and obtain funding for implementing the Invoice Processing Platform across the DoD.	5 years 3 months 9 days

CHAPTER 3

Under Secretary of Defense (Comptroller) – Report No. DODIG-2015-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.e: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, revise DoD Financial Management Regulation, volume 6B, chapter 13, "Adjustments, Eliminations, and Other Special Intragovernmental Reconciliation Procedures," to mandate the use of the Invoice Processing Platform for Buy/Sell transactions.	5 years 3 months 9 days
Rec. 1.f: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, ensure implementation guidance includes procedures for reconciling and eliminating intragovernmental transactions other than Buy/Sell intragovernmental transactions, including intragovernmental Benefit, Fiduciary, and Transfer transactions.	5 years 3 months 9 days

Under Secretary of Defense for Personnel and Readiness

Report No. DODIG-2012-122, "The DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access," August 29, 2012 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, coordinate and convene a meeting with the Under Secretary of Defense for Acquisition, Technology, and Logistics; the Under Secretary of Defense for Policy; the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD; the Under Secretary of Defense for Intelligence; the DoD Chief Information Officer, and the Director of Cost Assessment and Program Evaluations within 90 days to reassess and require each office responsible for the full implementation of HSPD-12 to provide oversight and accountability including the reprogramming of funding when appropriate or necessary.	7 years 7 months 2 days
Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, establish a requirement for the Services and DoD agencies to report semiannually to the Under Secretary of Defense for Personnel and Readiness on the status of their Homeland Security Presidential Directive-12 implementation efforts including compliance with the Office of Management and Budget Homeland Security Presidential Directive-12 implementation efforts including compliance with the Office of Management and Budget Homeland Security Presidential Directive-12 implementation requirements.	7 years 7 months 2 days

CHAPTER 3

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2013-072, "Data Loss Prevention Strategy Needed for the Case Adjudication Tracking System," April 24, 2013 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: The DoD OIG recommended that the Director of Information Management for the Department of Army Military Intelligence and the Program Executive Officer for the Defense Logistics Agency immediately move the back-up servers to an approved location outside of the geographic region that complies with Federal and DoD information assurance requirements. If moving the back-up servers is not immediately feasible, request an interim waiver from the Designated Approving Authority and develop a time-phased plan to move the back-up servers outside of the geographic region.	6 years 11 months 7 days

Report No. DODIG-2014-121, "Military Housing Inspections – Japan," September 30, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.2.a-b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: a. control and remediation of mold; and b. radon evaluation and mitigation. ^{1, 2}	5 years 6 months 1 day

¹ Responsibility for implementing Recommendation D.2.a-b was transferred from USD(A&S) to USD(P&R).

² The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2015-001, "Assessment of the Department of Defense Prisoner of War/Missing in Action Accounting Community," October 17, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish DoD-wide policy regarding the disinterment of unknowns from past conflicts.	5 years 5 months 14 days

CHAPTER 3

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2015-002, "Assessment of DoD-Provided Healthcare for Members of the United States Armed Forces Reserve Components," October 8, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish policy that assigns responsibilities to Commanders and medical authorities to manage medical histories and line of duty documentation for deployed or temporary duty Reserve Component service members in a standardized manner across all Services so that both are complete and available to their units in a timely manner.	5 years 5 months 23 days
Rec. 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish standardized DoD form(s) and procedures that provide access for all Reserve Component service members to line of duty care at all military treatment facilities.	5 years 5 months 23 days

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Human Resources Activity Director provide functional guidance to the Defense Manpower Data Center and the Defense Incident-Based Reporting System data submitters by reestablishing the cross-functional DIBRS Council to provide a forum for the exchange of information, best practices, and the continuing operation of the DIBRS, as required by DoD Manual 7730.47-M, volume 1.	5 years 5 months 2 days

Report No. DODIG-2015-013, "Military Housing Inspections – Republic of Korea," October 28, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.2.a-b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: a. control and remediation of mold; and b. radon evaluation and mitigation. ^{1, 2}	5 years 5 months 3 days

¹ Responsibility for implementing Recommendations D.2.a-b was transferred from USD(A&S) to USD(P&R).

² The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

CHAPTER 3

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive Department of Defense Suicide Event Report submissions. For each suicide death, this board should:</p> <ol style="list-style-type: none"> 1. be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review; 2. include participation by unit leadership, medical/mental health, and Military Criminal Investigative Organizations; and 3. articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations. 	5 years 4 months 17 days
<p>Rec. 3.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in accordance with Recommendation 2.a, publish guidance requiring a suicide event board to enable a multidisciplinary approach for obtaining the data required to make a comprehensive Department of Defense Suicide Event Report submission.</p>	5 years 4 months 17 days
<p>Rec. 4.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Service and DoD trends.</p>	5 years 4 months 17 days

Report No. DODIG-2015-078, "Evaluation of the Defense Criminal Investigative Organizations' Compliance with the Lautenberg Amendment Requirements and Implementing Guidance," February 6, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. B.1: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to incorporate language requiring commanders and supervisors to advise all employees (military and civilian) found to have a qualifying conviction to dispose of their privately owned firearms and ammunitions in accordance with the law.</p>	5 years 1 month 25 days
<p>Rec. C.1: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to require all employees (military and civilian) serving in a covered position to complete the DD Form 2760 annually, at a minimum.</p>	5 years 1 month 25 days
<p>Rec. D: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 by removing the requirement for a separate memorandum of understanding executed between civilian law enforcement and the Military Criminal Investigative Organizations.</p>	5 years 1 month 25 days

CHAPTER 3

Under Secretary of Defense for Intelligence and Security

Report No. DODIG-2013-112, "Assessment of DoD Long-Term Intelligence Analysis Capabilities," August 5, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence, partnering with the Defense Intelligence Agency, the Combatant Commands, and the Services, develop an All-Source Analysis certification program that leads to training, developing, and retaining a more experienced and robust workforce. We recommend that such a program includes common core analytical skills and performance standards, and an enterprise-wide all-source analysis occupational-specialty career track and development program.	6 years 7 months 26 days

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop and issue an overarching policy governing operation of the System of Record for Personnel Security Clearances.	5 years 11 months 17 days
Rec. B.1.a: The DoD OIG recommended that the Under Secretary of Defense for Intelligence prepare an overarching policy governing the operation of the Defense Central Index of Investigations, including identification of the categories of investigations to be titled and indexed, and the retention criteria for investigations so titled and indexed.	5 years 11 months 17 days
Rec. B.1.b: The DoD OIG recommended that the Under Secretary of Defense for Intelligence direct the Defense intelligence agencies to review the procedures that their Offices of Security use to ensure that the Joint Personnel Adjudicative System and SCATTERED CASTLES system are being properly populated.	5 years 11 months 17 days
Rec. B.1.d: The DoD OIG recommended that the Under Secretary of Defense for Intelligence conduct one of the following actions to ensure subjects of past investigations are titled and indexed in the Defense Central Index of Investigations (DCII): <ol style="list-style-type: none"> 1. initiate action with the Office of Personnel and Management to require that OPM investigators conducting background investigations on current and former civilian employees, military assignees, and contract employees of the Defense intelligence agencies conduct name checks with the inspectors general of those agencies; or 2. direct that the directors of the agencies ensure that the subjects of past agency inspector general criminal investigations are titled and indexed in DCII. 	5 years 11 months 17 days

CHAPTER 3

Under Secretary of Defense for Intelligence and Security (cont'd)

**Report No. DODIG-2015-004, "Assessment of DoD Long-Term Intelligence Analysis," October 10, 2014
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop policy mandating joint intelligence standards, including Joint Intelligence Preparation of the Operational Environment, be incorporated into Defense Intelligence training. Joint Intelligence standards should be established by the Joint Staff, incorporated into overall Defense Intelligence Environment standards in conjunction with the Director of the Defense Intelligence Agency as the Functional Manager for Intelligence Analysis, and training executed as required by the functional organization responsible.	5 years 5 months 21 days

**Report No. DODIG-2015-064, "Assessment of Intelligence Support to In-Transit Force Protection,"
January 2, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: The DoD OIG recommended that the Under Secretary of Defense for Intelligence coordinate with the appropriate Department of State office to update the 2003 Memorandum of Understanding to reflect DoD policy and requirements with the Force Protection Detachment program and the Embassy's Country Team environment.	5 years 2 months 29 days

National Guard Bureau

Report No. DODIG-2013-102, "Improved Oversight of Communications Capabilities Preparedness Needed for Domestic Emergencies," July 1, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the National Guard Bureau Chief establish oversight procedures, including performance metrics, to verify that National Guard units report the readiness status of personnel and equipment for the Joint Incident Site Communications Capability system in a timely manner.	6 years 8 months 30 days

CHAPTER 3

U.S. Northern Command

Report No. DODIG-2014-019, "Assessment of Continental United States-Based Nuclear Response Task Force Programs," December 3, 2013 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the U.S. Northern Command, the Air Force Global Strike Command, and the Joint Staff determine the appropriate method of supporting the logistical and operational requirements to deploy the Air Force Global Strike Command Response Task Force. Stakeholders should consider drafting an "Execute Order" addressing the necessary requirements, capabilities, resources, and procedures.	6 years 3 months 28 days
(FOUO) Rec. C.1: The DoD OIG recommended that the U.S. Northern Command, in conjunction with the U.S. Pacific Command Commander, update the appropriate concept of operations plan and operations orders [REDACTED]	6 years 3 months 28 days
(FOUO)	

DoD Office of the General Counsel

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the DoD Office of General Counsel prepare an update to or replacement for DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency.	5 years 11 months 17 days

DoD Chief Information Officer

Report No. DODIG-2015-045, "DoD Cloud Computing Strategy Needs Implementation Plan and Detailed Waiver Process," December 4, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.3: The DoD OIG recommended that the DoD Chief Information Officer develop and publish a waiver process providing detailed guidance on how to obtain a Global Information Grid waiver for cloud computing in the DoD.	5 years 3 months 27 days

CHAPTER 3

Assistant Secretary of Defense for Health Affairs

Report No. DODIG-2013-078, "TRICARE Management Activity Needs to Improve Oversight of Acquisition Workforce," May 1, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, perform a comprehensive review of TRICARE Management Activity's compliance with Recommendation 1.	6 years 10 months 30 days

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4.c: The DoD OIG recommended that the National Center for Telehealth and Technology Director, upon receipt of authority resulting from Recommendation 4.a, update software to allow unit/installation trend reports.	5 years 4 months 17 days

Assistant Secretary of Defense for Homeland Defense and Global Security

Report No. DODIG-2013-119, "Better Procedures and Oversight Needed to Accurately Identify and Prioritize Task Critical Assets," August 16, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Instruction 3020.45, "Defense Critical Infrastructure Program Management," April 21, 2008, to require that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs perform comprehensive Defense Critical Infrastructure Program reviews to help identify and resolve challenges in implementing the Critical Asset Identification Process across all DoD Components and Defense Infrastructure Sector Lead Agents.	6 years 7 months 15 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Policy, in coordination with the DoD Chief Information Officer and the Chairman of the Joint Chiefs of Staff, develop and implement a Defense Critical Infrastructure Program net-centric approach to facilitate asset information sharing among the DoD Components and Defense Infrastructure Sector Lead Agents.	6 years 7 months 15 days
Rec. 2.a: The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs implement a comprehensive program review process to verify that the critical asset identification and prioritization process is working effectively for DoD Components and Defense Infrastructure Sector Lead Agents to identify, prioritize, and coordinate critical asset information that could affect each other's missions or functions.	6 years 7 months 15 days

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***Assistant Secretary of Defense for Homeland Defense and Global Security –
Report No. DODIG-2013-119 (cont'd)***

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs require the heads of DoD Components to develop or update policies and procedures to include all Defense Critical Infrastructure Program requirements and critical asset identification process steps in DoD Manual 3020.45, volume 1, "Defense Critical Infrastructure Program: DoD Mission-Based Critical Asset Identification Process," October 24, 2008.	6 years 7 months 15 days

Assistant Secretary of Defense for Public Affairs

Report No. D-2010-026, "Joint Civilian Orientation Conference Program," December 9, 2009

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Assistant Secretary of Defense (Public Affairs) and the Washington Headquarters Services Director work with the DoD General Counsel to establish detailed policies and procedures for managing future Joint Civilian Orientation Conferences in compliance with section 2262, title 10, United States Code. Specifically, they should update DoD Instruction 5410.19 to provide guidance on how to effectively administer and manage the Joint Civilian Orientation Conference program. This guidance should require the development and submission of an annual budget estimate for conducting the program, including an estimate of the amount of appropriated funding needed to support DoD personnel involved in the program and the amount of reimbursable authority needed to collect fees from non-DoD participants. The Instruction should also describe refund procedures for conference fees.	10 years 3 months 22 days

CHAPTER 3

Defense Threat Reduction Agency

Report No. DODIG-2014-055, "Investigation of a Hotline Allegation of a Questionable Intelligence Activity Concerning the Joint IED Defeat Organization (JIEDDO) Counter-IED Operations/Intelligence Integration Center (COIC)," April 4, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Deputy Secretary of Defense approve DoD Directive 2000.19E to reflect the Joint Improvised Explosive Device Defeat Organization Counter-Improvised Explosive Device Operations/Intelligence Integration Center's authorized intelligence functions, roles, and responsibilities, and assign an executive agent for the Joint Improvised Explosive Device Defeat Organization's external intelligence oversight.	5 years 11 months 27 days
Rec. A.6: The DoD OIG recommended that the Joint Improvised Explosive Device Defeat Organization Director cease intelligence collection activities, pending Office of the Secretary of Defense authorization.	5 years 11 months 27 days

Defense Intelligence Agency

Report No. DODIG-2015-064, "Assessment of Intelligence Support to In-Transit Force Protection," January 2, 2015 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.1: The DoD OIG recommended that the Defense Intelligence Agency Director ensure that the Joint Counterintelligence Training Academy completes and fields the Force Protection Detachment computer-based training course.	5 years 2 months 29 days
Rec. C.2: The DoD OIG recommended that the Defense Intelligence Agency Director ensure that the Joint Counterintelligence Training Academy establishes a formal system to integrate Force Protection Detachment course feedback from graduates and their supervisors and report results, at least annually, to the Force Protection Detachment Functional Manager.	5 years 2 months 29 days

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Defense Logistics Agency

Report No. DODIG-2013-100, "Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed," July 2, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander implement corrective actions to address all recommendations, with the exception of Recommendations A1.b, A2.a, A2.b, A2.c, A3, A4.a, A4.b, B1, and B2, in DoD OIG Report No. D-2011-047, "Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan," March 2, 2011. Specifically, initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.	6 years 8 months 29 days

Defense Security Cooperation Agency

Report No. DODIG-2013-005, "Performance Framework and Better Management of Resources Needed for the Ministry of Defense Advisors Program," October 23, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The Deputy Assistant Secretary of Defense for Partnership Strategy and Stability Operations, in coordination with the Commander of U.S. Combined Security Transition Command-Afghanistan, develop a performance management framework to include goals, objectives, and performance indicators to assess progress and measure program results. The performance management framework should also consider environmental and external factors that could affect the goals and objectives from being accomplished.	7 years 5 months 8 days

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Defense Contract Management Agency

Report No. DODIG-2014-077, "Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case," May 30, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. F: The DoD OIG recommended that the DCMA Director take the following actions in a timely manner to ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 resulting from the contractor's use of a cost accounting practice determined by the DCMA to be noncompliant with CAS 418 on June 5, 2006:</p> <ol style="list-style-type: none"> 1. if legally required, make a second determination of compliance or noncompliance in accordance with FAR 30.605(b)(3)(ii); 2. if legally required, notify the contractor of this determination in accordance with FAR 30.605(b)(3)(iii); 3. make a determination of materiality in accordance with the requirements of FAR 30.605(b)(4); 4. in making the decision on materiality as required by FAR 30.605(b)(4), abide by the decision of the United States Court of Appeals for the Federal Circuit and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts; 5. follow the procedures in paragraphs (c) through (h) of FAR 30.605 to correct the noncompliant cost accounting practice; 6. when evaluating a general dollar magnitude proposal (FAR 30.605(d)) or a detailed cost impact proposal (FAR 30.605(f)), abide by the decision of the Court and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts; 7. obtain a legal counsel opinion regarding the applicability, if any, of the requirement in the Contracts Disputes Act that the government submit a claim to the contractor within 6 years after the accrual of the claim and how this may impact the U.S. Government's ability to recover any increased costs paid since 2005; and 8. provide semiannual updates to the DoD OIG Assistant Inspector General, Audit Policy & Oversight, until all recommendations have been implemented. 	<p>5 years 10 months 1 day</p>

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Defense Contract Management Agency (cont'd)

Report No. DODIG-2015-006, "Policy Changes Needed at Defense Contract Management Agency to Ensure Forward Pricing Rates Result in Fair and Reasonable Contract Pricing," October 9, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to the administrative contracting officer community on the Federal Acquisition Regulation requirement to tailor the request for audit services.	5 years 5 months 22 days
Rec. C.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to the administrative contracting officer community on: <ol style="list-style-type: none"> 1. the need to document in the contract case file the cost analysis performed and the data and information related to the contracting officer's determination of fair and reasonable Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement rates; 2. the use of the revised Pre-negotiation Memorandum template; and 3. any revisions made to DCMA Instruction 809, "Records Management," to ensure the Government contract case file is sufficient to constitute a complete history of an Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement transaction. 	5 years 5 months 22 days

Defense Criminal Investigative Service

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the U.S. Army Criminal Investigative Command Commander; the Naval Criminal Investigative Service Director; the Air Force Office of Special Investigations Commander; and the Defense Criminal Investigative Service Director ensure that Defense Incident-Based Reporting System data submitters provide accurate and complete data submissions within 15 workdays after the end of each month, as required by DoD Manual 7730.47-M, volume 1.	5 years 5 months 2 days

F-35 Joint Program Office

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information Systems (ALIS)," December 10, 2012 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: Recommendation is Classified.	7 years 3 months 21 days
Rec. D.1: Recommendation is Classified.	7 years 3 months 21 days

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F-35 Joint Program Office – Report No. DODIG-2013-031 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.3: Recommendation is Classified.	7 years 3 months 21 days
Rec. D.4: Recommendation is Classified.	7 years 3 months 21 days
Rec. D.5: Recommendation is Classified.	7 years 3 months 21 days

Report No. DODIG-2015-092, “F-35 Lightning II Program Quality Assurance and Corrective Action Evaluation,” March 11, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the F-35 Joint Program Office conduct periodic Critical Safety Item Program evaluations of Lockheed Martin and its suppliers to ensure compliance with public law and the Joint Service Critical Safety Item Instruction.	5 years 20 days

Classified**Report No. DODIG-2015-057, “Title is Classified,” December 19, 2014 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.1: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.2.2: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.2.3: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.2.4: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.2.5: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.3.1: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.3.2: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.3.3: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.3.4: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.1: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.2: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.3: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.4: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.5: Recommendation is Classified.	5 years 3 months 12 days

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Classified – Report No. DODIG-2015-057 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.4.6: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.7: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.8: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.9: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.10: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.11: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.1: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.2: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.3: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.4: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.5: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.6: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.7: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.8: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.9: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.10: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.11: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.12: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.13: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.14: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.2: Recommendation is Classified.	5 years 3 months 12 days

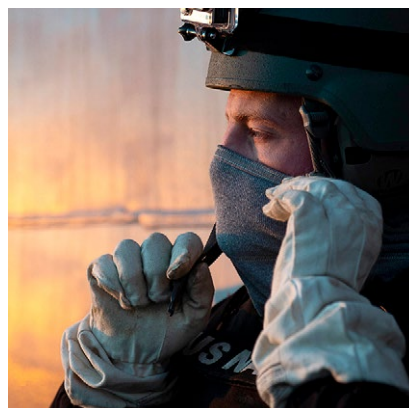
**Report No. DODIG-2015-065, “Evaluation of the Defense Sensitive Support Program,” January 5, 2015
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: Recommendation is Classified.	5 years 2 months 26 days
Rec. A.1.b: Recommendation is Classified.	5 years 2 months 26 days
Rec. A.2.a: Recommendation is Classified.	5 years 2 months 26 days
Rec. A.2.b: Recommendation is Classified.	5 years 2 months 26 days

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Classified – Report No. DODIG-2015-065 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.c: Recommendation is Classified.	5 years 2 months 26 days
Rec. B.1.a: Recommendation is Classified.	5 years 2 months 26 days
Rec. B.1.b: Recommendation is Classified.	5 years 2 months 26 days
Rec. B.1.c: Recommendation is Classified.	5 years 2 months 26 days
Rec. B.2: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.1.a: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.1.b: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.2.b.1: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.2.b.2: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.2.b.3: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.2.b.4: Recommendation is Classified.	5 years 2 months 26 days



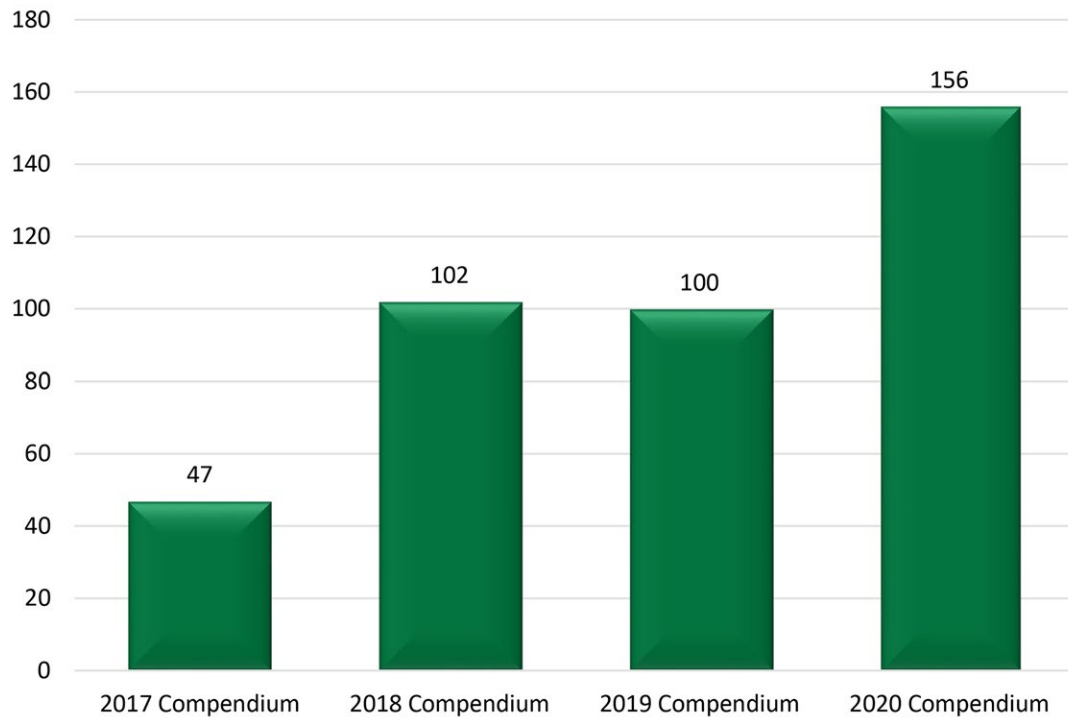
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Reports With Unresolved Recommendations

Open recommendations can be either resolved or unresolved. Resolved recommendations are those that DoD management has agreed to implement but for which it has not yet completed the agreed-upon actions. DoD OIG recommendations remain unresolved when DoD management either disagrees with the recommendation and does not propose an alternative corrective action that addresses the reported finding, or it does not adequately explain in its response to an OIG draft report what actions it plans to take to implement the recommendation. The number of unresolved recommendations has grown considerably since the first Compendium was issued on July 11, 2017. Our first Compendium reported 47 unresolved recommendations. The number increased substantially to 102 unresolved recommendations in the Compendium issued on July 30, 2018. Our third Compendium, issued on July 22, 2019, reported 100 unresolved recommendations. This year, we have seen a 56-percent increase, with 156 unresolved recommendations as of March 31, 2020. Figure 12 illustrates the number of unresolved recommendations reported in each of our four Compendiums.

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Figure 12. Number of Unresolved Recommendations Reported in Compendiums



Source: The DoD OIG.

When DoD management disagrees with a recommendation, the OIG and DoD management enter a resolution process in an attempt to reach agreement on the recommendation. During the resolution process, the unresolved recommendations are elevated through DoD and OIG management and a determination of whether to continue pursuing the recommendation can be made by each level of management. If agreement is not reached on a recommendation, the DoD OIG may elevate the recommendation in dispute to the Deputy Secretary of Defense who has final decision making authority on whether to implement a recommendation. As the final decision making authority on recommendations, the Deputy Secretary of Defense either directs the responsible Component to take some specific action or informs the DoD OIG that the Department considers the recommendation closed and why no further action to implement the recommendation will be taken.

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In addition to DoD management disagreeing with a recommendation, a recommendation may be unresolved because: (1) management does not provide comments on the draft or final report addressing the report's recommendations, or (2) management's response to the recommendation does not adequately explain what actions it plans to take to implement the recommendation. For example, DoD management provides a response that is vague or noncommittal with regard to the DoD OIG recommendation (such as management saying that it will "consider" implementing corrective actions), or the response does not address the DoD OIG recommendation or propose an alternative corrective action that addresses the reported finding. In such cases, the DoD OIG requests that DoD management clarify or provide additional comments on the final report that address the corrective actions that have been taken or are planned to be taken to implement the recommendations.

Although there are various reasons why the status of a recommendation is unresolved, communication is critical to avoid unnecessary delays in resolving the recommendation. Prior to issuance of the final report, the assigned project team should communicate all identified issues to the client for discussion. For example, in Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018, the DoD OIG recommended that the Director of the Defense Finance and Accounting Service–Indianapolis (DFAS-Indianapolis) require DFAS-Indianapolis to obtain written approval from the Other Defense Organizations for any adjustment made to their Treasury Index 97 accounts. In the draft report, the Director disagreed with the recommendation, stating that the nature of some adjustments made by DFAS-Indianapolis Departmental Reporting is such that a single adjustment can affect dozens of Other Defense Organizations' lines of accounting. The Director indicated that short reporting deadlines make it impractical to request written approval from dozens of organizations. However, the Director stated that he would consider other courses of action to improve the Other Defense Organizations' visibility of adjustments performed by the DFAS-Indianapolis Treasury division. In the final report, the DoD OIG stated that the Director of DFAS-Indianapolis did not address the specifics of the recommendation; therefore, the recommendation was considered unresolved. When a recommendation cannot be resolved, the project team and the audit client must work together until a resolution can be reached. Discussions continued from May 23, 2018, through

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April 2, 2020, between officials from the DoD OIG and DFAS-Indianapolis. To resolve the recommendation, the involved parties discussed the different interpretations of the DoD Financial Management Regulation. Additionally, during the course of the conversations, DoD management explained to the DoD OIG project team that because of the volume of journal voucher adjustments and the need to process these adjustments quickly, it was not reasonable to require DFAS-Indianapolis to get prior approval. There was then a negotiation between the DoD OIG and DFAS-Indianapolis officials regarding an alternative solution that would meet the intent of the recommendation and be acceptable to all parties. As an alternative solution, DFAS-Indianapolis created a corrective action plan with milestone activities that the project team agreed to. As a result, the DoD OIG changed the status of the recommendation from unresolved to resolved and open. Once DFAS-Indianapolis completes the corrective action plan and provides supporting documentation, the DoD OIG will close the recommendation.

Recommendations may also remain unresolved due to disagreement from the primary action office required to implement the recommendation. For instance, Recommendation B.1.b in Report No. DODIG-2018-154, “DoD Information Technology System Repositories,” September 24, 2018, recommended that the DoD Chief Information Officer require DoD Components to conduct and submit a business case analysis to the DoD Chief Information Officer before selecting or renewing the use of a commercial Risk Management Framework (RMF) accreditation and authorization tool, rather than using the Enterprise Mission Assurance Support Service (eMASS). The Principal Deputy CIO, responding for the DoD CIO, disagreed, stating that this recommendation did not consider guidance already in place regarding the use of business case analysis to inform investment decisions. The DoD OIG project team acknowledged that the guidance was in place regarding the use of business case analysis; however, during the audit, the DoD Components could not provide a business case analysis to justify their selection of a commercial RMF tool rather than the DoD-recommended tool eMASS. Accordingly, the DoD CIO should have provided additional comments specifying how he would ensure that DoD Components conduct and submit a business case analysis before they select or renew the use of a commercial RMF accreditation and authorization tool rather than eMASS.

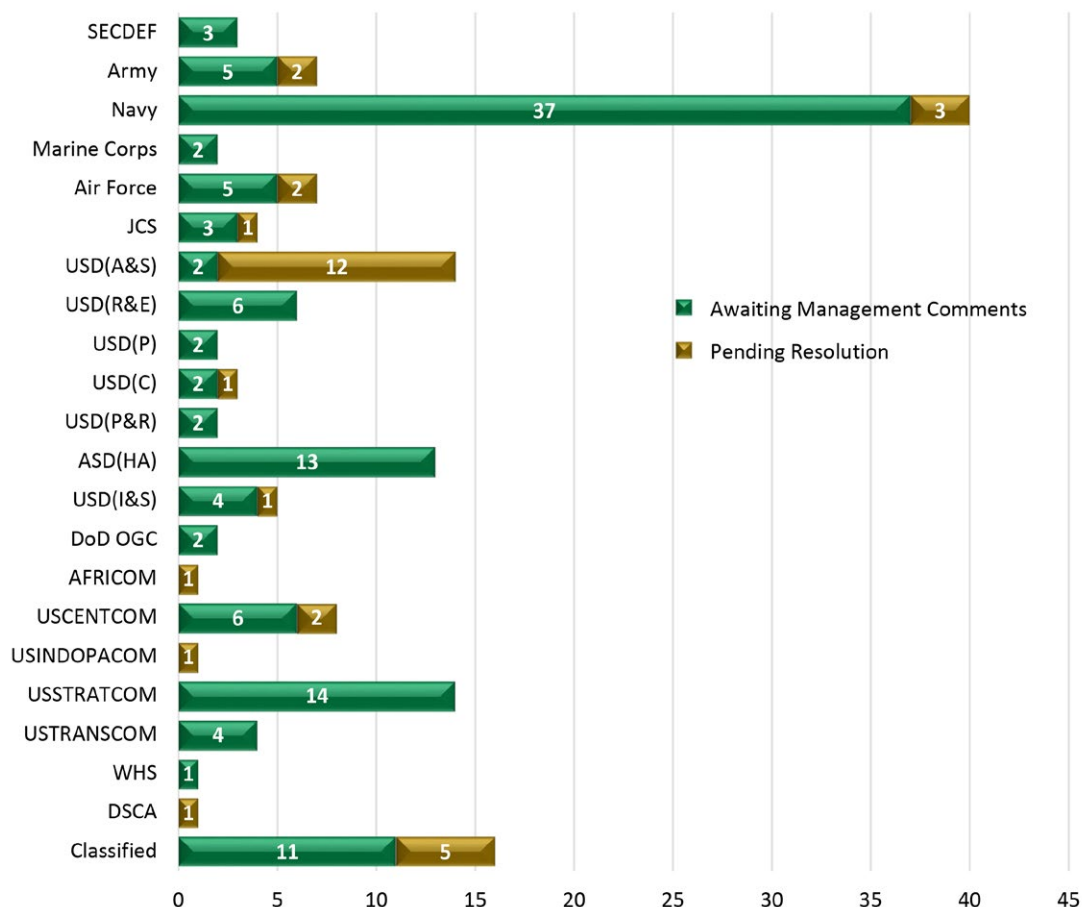
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To resolve the recommendation, the DoD OIG project team scheduled a meeting with the DoD CIO principals to discuss progress made toward resolving the recommendation and to explore options for addressing and closing the recommendation. During a meeting with the DoD OIG on March 26, 2019, Defense Information Systems Agency personnel said “given the support eMASS provides with the DoD RMF process including reciprocity, a DoD CIO enterprise memo describing the capabilities and benefits of eMASS, including recent improvements and encouraging consideration of the eMASS tool, would provide a benefit to DoD Components when considering the use of commercial RMF tools.” On August 12, 2019, the Principal Deputy CIO signed and provided the DoD OIG with the DoD Chief Information Officer Memorandum to both resolve and close out the recommendation. The Memorandum recognized eMASS as a fully compliant Government-owned solution that provides a broad range of services and capabilities to streamline the RMF process. The Memorandum stated “the preponderance of Components who use eMASS along with the planned on-boarding of several more Components achieves the effect of an enterprise service without mandating a single solution.” In addition, the Memorandum recognized that operational requirements and mission needs drive Components to commercial solutions that may provide a more customized functional capability to manage their cybersecurity risk; however, those Components using commercial solutions must refer to the DoD CIO guidance in DoD Instruction 8510.01, “Risk Management Framework for DoD Information Technology,” March 12, 2014, regarding the use of business case analysis to inform investment decisions for commercial RMF tools and continue to make all cybersecurity authorization documentation for DoD systems available to eMASS.

As of March 31, 2020, there were 156 unresolved recommendations awaiting management comments or resolution. Specifically, for 124 of the 156 unresolved recommendations, DoD management has either not provided a response or the response did not fully address the recommendation. DoD Components could greatly reduce the number of unresolved recommendations by either clarifying or being more specific in their responses to the recommendations or proposing alternative actions that they suggest to address the associated findings. DoD management disagreed with the other 32 recommendations, and the DoD OIG is still working with the DoD Components to resolve these issues. Figure 13 presents a breakdown of the 156 unresolved recommendations awaiting management comments and resolution for each DoD Component. More than 25 percent of the unresolved recommendations were made to the Navy.

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Figure 13. Unresolved Recommendations by DoD Component



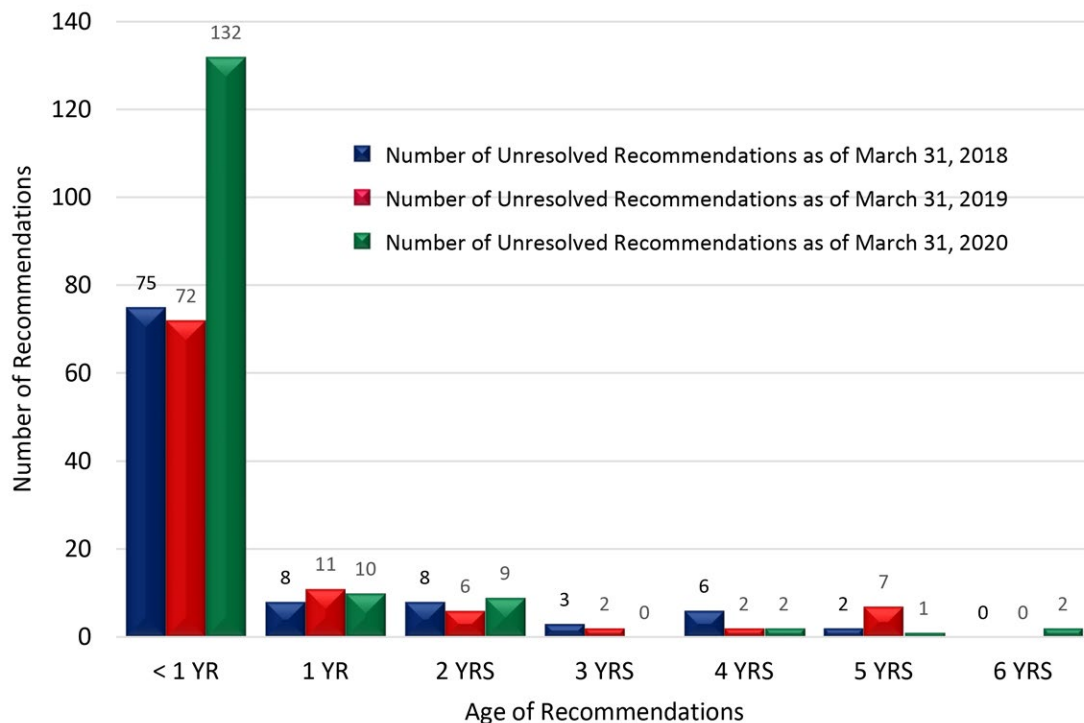
Source: The DoD OIG.

The Office of Management and Budget (OMB) Circular No. A-50 Revised, "Audit Follow-Up," September 29, 1982, requires agency management officials and auditors to establish systems to assure the prompt and proper resolution and implementation of audit recommendations, and it also requires that resolution be made within a maximum of 6 months after a final report is issued. As in previous years, the preponderance of unresolved recommendations were from newly issued final reports. Specifically, of

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the 156 unresolved recommendations, 132 were unresolved for less than 1 year, and 84 have been unresolved for less than 6 months. There were also 21 recommendations that have remained unresolved between 1 and 4 years, and 3 recommendations that remain unresolved more than 5 years after the recommendations were made. Figure 14 presents the age of the 156 unresolved recommendations.

Figure 14. Number and Age of Unresolved Recommendations as of March 31, 2020



Source: The DoD OIG.

While the overall number of unresolved recommendations has increased from the previous year, the number of unresolved recommendations over 1 year old decreased. DoD Components could greatly reduce the number of unresolved recommendations by either being more specific in their responses to the recommendations or proposing alternative actions to address the associated findings.

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Tables. Reports With Unresolved Recommendations

The tables below list 156 unresolved recommendations that remain open. Reasons for unresolved status include management responses that:

- did not fully address the intent of the recommendations (Type of Action: Management Comments), or
- did not agree to implement the recommendations; therefore, the recommendations are in the resolution process (Type of Action: Resolution).

The tables do not include the recommendation text for 26 unresolved classified recommendations. This information is provided in Appendixes B, C, and D.

Secretary of Defense

Report No. DODIG-2019-106, “Audit of the DoD’s Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items,” July 26, 2019 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a risk-based approach to prioritize commercial off-the-shelf items for further evaluation.	Management Comments	8 months 5 days
Rec. 1.b: The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a process to test high-risk commercial off-the-shelf items.	Management Comments	8 months 5 days
Rec. 1.c: The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a process to prohibit the purchase and use of high-risk commercial off-the-shelf items, when necessary, until mitigation strategies can limit the risk to an acceptable level.	Management Comments	8 months 5 days

Department of the Army

Report No. DODIG-2019-108, “Audit of the DoD’s Management of the Third Party Collection Program for Medical Claims,” September 16, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 4.b: The DoD OIG recommended that the Commander of Madigan Army Medical Center develop a plan and take action to process, and as appropriate, bill through the applicable cost recovery program, all patient encounters at Madigan Army Medical Center that are not assigned a credentialed provider or are missing medical coding or doctor’s notes, including the 16,243 patient encounters between October 1, 2018, and December 31, 2018.	Management Comments	6 months 15 days

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Department of the Army – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 5.c: The DoD OIG recommended that the Commander of Brooke Army Medical Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	Resolution	6 months 15 days
Rec. 10.a: The DoD OIG recommended that the Commander of the Kimbrough Ambulatory Care Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	Resolution	6 months 15 days

Report No. DODIG-2020-042, “Audit of the Service Acquisition Executives’ Management of Defense Acquisition Category 2 and 3 Programs,” December 20, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.10: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force hold Program Executive Officers accountable for reporting inaccurate or misleading program information and for enforcing existing guidance that requires program acquisition databases to be regularly updated.	Management Comments	3 months 11 days
Rec. A.12.c: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force report to their respective Military Department Secretary when this verification and validation effort has been completed.	Management Comments	3 months 11 days

Report No. DODIG-2020-068, “Audit of Security Controls Over the Department of Defense’s Global Command and Control System-Joint Information Technology System,” March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO) Rec. 3.i: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)		

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Department of the Army (cont'd)

Report No. DODIG-2020-071, "Audit of the Department of Defense's Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States," March 23, 2020

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology; the Assistant Secretary of the Navy for Research, Development, and Acquisition; and the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics, in coordination with the Commander of Military Surface Deployment and Distribution Command, develop and implement training for secure hold requirements at their respective military installations and direct the base commanders with secure hold areas to implement the training with appropriate staff.	Management Comments	8 days

Department of the Navy

Report No. DODIG-2013-082, "Hotline Allegation Regarding the Failure to Take Action on Material Management and Accounting System Audit Findings," May 29, 2013

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.2.d: The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, re-evaluate the determination that the costs of complying with Standard 2 outweigh the benefits, and document adequate rationale for any disagreements with the auditor in accordance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports."	Resolution	6 years 10 months 2 days
Rec. A.2.e: The DoD OIG recommended that the Commanding Officer and Supervisor of Shipbuilding, Conversion, and Repair, in consultation with the Defense Contract Audit Agency, reassess the appropriateness of the March 15, 2013, agreement with the contractor on the master production schedule accuracy calculation.	Resolution	6 years 10 months 2 days

CHAPTER 4

Department of the Navy (cont'd)

Report No. DODIG-2019-072, "Audit of Consolidated Afloat Networks and Enterprise Services Security Safeguards," April 8, 2019 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
	Rec. 1: Recommendation is Classified.	Management Comments	11 months 23 days
(FOUO)	Rec. 2: The DoD OIG recommended that the [REDACTED]	Management Comments	11 months 23 days
	Rec. 3.b: The DoD OIG recommended that the USS <i>Abraham Lincoln</i> , USS <i>Ronald Reagan</i> , USS <i>Ramage</i> , and USS <i>Russell</i> Commanding Officers, in coordination with the ships' Combat Systems Officers, revise and implement existing inventory procedures to require all ship personnel to request Consolidated Afloat Networks and Enterprise Services' administrator approval before relocating devices and perform monthly reviews to identify the location of all network devices.	Management Comments	11 months 23 days
	Rec. 3.c: Recommendation is Classified.	Management Comments	11 months 23 days
	Rec. 3.d: The DoD OIG recommended that the U.S. Ship <i>Abraham Lincoln</i> , U.S. Ship <i>Ronald Reagan</i> , U.S. Ship <i>Ramage</i> , and U.S. Ship <i>Russell</i> Commanding Officers, in coordination with the ships' Combat Systems Officers, review and reconcile whether all personnel assigned to each ship have completed Operations Security training and cyber awareness training, and require personnel who have not completed the training to immediately complete the annual security-related training.	Management Comments	11 months 23 days
(FOUO)	Rec. 4: The DoD OIG recommended that the [REDACTED]	Management Comments	11 months 23 days
	Rec. 5: The DoD OIG recommended that the Chief of Naval Operations reissue guidance to require all Navy personnel to complete Operations Security training annually to ensure that users are aware of and understand their responsibilities for safeguarding sensitive and classified information.	Management Comments	11 months 23 days
	Rec. 6: Recommendation is Classified.	Management Comments	11 months 23 days
(FOUO)			

CHAPTER 4

Department of the Navy – Report No. DODIG-2019-072 (cont'd)

(FOUO)	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO)	Rec. 7: The DoD OIG recommended that the [REDACTED]	Management Comments	11 months 23 days
	Rec. 8.b: Recommendation is Classified.	Management Comments	11 months 23 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

(FOUO)

Report No. DODIG-2019-105, “Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems,” July 23, 2019 (Full Report is FOUO)

	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
	Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication.	Management Comments	8 months 8 days
	Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to mitigating vulnerabilities in a timely manner.	Management Comments	8 months 8 days
	Rec. A.3.f: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to implementing physical security controls.	Management Comments	8 months 8 days

CHAPTER 4

Department of the Navy – Report No. DODIG-2019-105 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.3.g: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to generating system activity reports.	Management Comments	8 months 8 days
Rec. A.3.h: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to requiring and maintaining justification for accessing systems that contain controlled unclassified information.	Management Comments	8 months 8 days

Report No. DODIG-2019-108, “Audit of the DoD’s Management of the Third Party Collection Program for Medical Claims,” September 16, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2.g: The DoD OIG recommended that the Commander of Naval Hospital Bremerton provide sufficient legal support to pursue collections through the Third Party Collection Program.	Management Comments	6 months 15 days
Rec. 3.c: The DoD OIG recommended that the Commander of Naval Medical Center San Diego provide sufficient legal support to pursue collections through the Third Party Collection Program.	Management Comments	6 months 15 days

Report No. DODIG-2020-042, “Audit of the Service Acquisition Executives’ Management of Defense Acquisition Category 2 and 3 Programs,” December 20, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.7: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition implement controls to track appropriated funding amounts for Acquisition Category 2 and 3 programs.	Resolution	3 months 11 days

CHAPTER 4

Department of the Navy – Report No. DODIG-2020-042 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.10: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force hold Program Executive Officers accountable for reporting inaccurate or misleading program information and for enforcing existing guidance that requires program acquisition databases to be regularly updated.	Management Comments	3 months 11 days

Report No. DODIG-2020-064, “Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation,” February 21, 2020

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to update the Navy Security Forces Master-at-Arms School law enforcement training to include instruction on fingerprint and final disposition report collection and submission to the Federal Bureau of Investigation Criminal Justice Information Services Division.	Management Comments	1 month 10 days
Rec. 1.b: The DoD OIG recommended that the Secretary of the Navy take prompt action to require the Navy Security Forces to establish management oversight procedures to verify fingerprints and final disposition reports were submitted to the Federal Bureau of Investigation Criminal Justice Information Services Division.	Management Comments	1 month 10 days
Rec. 3.a: The DoD OIG recommended that the Secretary of Navy take prompt action to direct the Navy Security Forces to collect and submit Deoxyribonucleic Acid samples to the U.S. Army Criminal Investigation Laboratory for entry into the Federal Bureau of Investigation Combined Deoxyribonucleic Acid Index System as required by DoD Instruction 5505.14.	Management Comments	1 month 10 days
Rec. 3.b: The DoD OIG recommended that the Secretary of Navy take prompt action to establish policy, processes, training, and management oversight procedures for Navy Security Forces personnel to collect and submit Deoxyribonucleic Acid samples to the U.S. Army Criminal Investigation Laboratory for entry into the Federal Bureau of Investigation Combined Deoxyribonucleic Acid Index System as required by DoD Instruction 5505.14.	Management Comments	1 month 10 days
Rec. 8: The DoD OIG recommended that the Secretary of the Navy revise Secretary of the Navy Instruction 5800.14A to require the DD Form 2791 be provided to the Naval Criminal Investigative Service and the U.S. Marshals Service National Sex Offender Targeting Center as required by DoD Instruction 5525.20.	Management Comments	1 month 10 days

CHAPTER 4

Department of the Navy (cont'd)

Report No. DODIG-2020-066, "Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems," March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.3.a.1: Recommendation is Classified.	Management Comments	29 days
Rec. A.3.a.2: Recommendation is Classified.	Management Comments	29 days
Rec. A.3.a.3: Recommendation is Classified.	Management Comments	29 days
Rec. A.3.b: Recommendation is Classified.	Management Comments	29 days

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO) Rec. 4.a: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 4.b: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 4.c: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 4.d: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)		

CHAPTER 4

Department of the Navy – Report No. DODIG-2020-068 (cont'd)

(FOUO)	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO)	Rec. 4.e: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)	Rec. 4.f: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)	Rec. 4.g: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)	Rec. 4.h: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)			

Report No. DODIG-2020-071, “Audit of the Department of Defense’s Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States,” March 23, 2020

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology; the Assistant Secretary of the Navy for Research, Development, and Acquisition; and the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics, in coordination with the Commander of Military Surface Deployment and Distribution Command, develop and implement training for secure hold requirements at their respective military installations and direct the base commanders with secure hold areas to implement the training with appropriate staff.	Management Comments	8 days

CHAPTER 4

U.S. Marine Corps

Report No. DODIG-2019-075, "Evaluation of Military Services' Law Enforcement Responses to Domestic Violence Incidents," April 19, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that a comprehensive review of all criminal investigative databases and files is conducted to verify that all subjects of domestic violence incidents from 1998 to present are titled and indexed in the Defense Central Index of Investigation, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	Management Comments	11 months 12 days
Rec. B.1.e: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that the importance of complying with DoD and supplemental Military Service policies related to law enforcement's response to domestic violence incidents when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations is emphasized in writing to all law enforcement organizations.	Management Comments	11 months 12 days

Department of the Air Force

Report No. DODIG-2020-059, "Evaluation of Weather Support Capabilities for the MQ-9 Reaper," February 5, 2020 (Full Report is FOUO)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Air Force Chief of Staff review the actions of personnel in the Air Force Deputy Chief of Staff for Intelligence, Surveillance, Reconnaissance, and Cyber Effects Operations office for the development and funding of near real-time weather information and weather model forecasting capabilities and determine whether individuals should be held accountable for wasting resources on capabilities that were being developed without validated requirements and that were not fully developed for DoD use.	Resolution	1 month 26 days

CHAPTER 4

Department of the Air Force (cont'd)

Report No. DODIG-2020-066, "Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems," March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.4.a: Recommendation is Classified.	Management Comments	29 days
Rec. A.4.b: Recommendation is Classified.	Management Comments	29 days
Rec. B.1.a: The DoD OIG recommended that the Commander of the Air Force Life Cycle Management Center develop a security classification guide for the Nuclear Planning and Execution System program in accordance with the Acting Secretary of Defense and Chairman of the Joint Chiefs of Staff memorandum, "Nuclear Command, Control, Communications Umbrella Security Classification and Handling Guidance," June 6, 2019, and DoD Manual 5200.45, "Instructions for Developing Security Classification Guides," April 2, 2013, Incorporating Change 1, Effective April 6, 2018.	Management Comments	29 days
Rec. B.1.b: The DoD OIG recommended that the Commander of the Air Force Life Cycle Management Center submit a plan of action and milestones to the Director of the Nuclear Command, Control, and Communications Enterprise Center for complying with the Acting Secretary of Defense and Chairman of the Joint Chiefs of Staff memorandum, "Nuclear Command, Control, Communications Umbrella Security Classification and Handling Guidance," June 6, 2019, including timeframes for developing the Nuclear Planning and Execution System program security classification guide.	Management Comments	29 days

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO) Rec. 7.e: The DoD OIG recommended that the [REDACTED]	Resolution	13 days
(FOUO)		

CHAPTER 4

Department of the Air Force (cont'd)

Report No. DODIG-2020-071, "Audit of the Department of Defense's Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States," March 23, 2020

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology; the Assistant Secretary of the Navy for Research, Development, and Acquisition; and the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics, in coordination with the Commander of Military Surface Deployment and Distribution Command, develop and implement training for secure hold requirements at their respective military installations and direct the base commanders with secure hold areas to implement the training with appropriate staff.	Management Comments	8 days

Joint Chiefs of Staff

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2: Recommendation is Classified.	Resolution	2 years 1 day

Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO) Rec. 2: The DoD OIG recommended that the [REDACTED]	Management Comments	3 months 1 day
(FOUO) Rec. 3: The DoD OIG recommended that the [REDACTED]	Management Comments	3 months 1 day
(FOUO)		

CHAPTER 4

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2020-076, "Evaluation of Department of Defense Voting Assistance Programs for Calendar Year 2019," March 31, 2020

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Director of the Joint Staff develop and implement a written voting-assistance policy to support Service personnel assigned to the Joint Staff and their family members, including those in deployed, dispersed, and tenant organizations.	Management Comments	1 day

Under Secretary of Defense for Acquisition and Sustainment

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, upon completion of Recommendation A.1.a, work with the Defense Contract Audit Agency Security Officer to prioritize security vulnerabilities for remediation and establish timelines for completion.	Management Comments	2 years 9 months 17 days

Report No. DODIG-2020-063, "Audit of DoD Service-Disabled Veteran-Owned Small Business Contract Awards," February 18, 2020

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review, in coordination with the Military Departments and Defense agencies, of all 17 contractors that received DoD Service-Disabled Veteran-Owned Small Business set-aside or sole-source contracts but were denied Service-Disabled Veteran-Owned Small Business status by the Department of Veterans Affairs Center for Verification and Evaluation to determine if they meet the requirements for Service-Disabled Veteran-Owned Small Business status. Based on the review, the Director should take action, as appropriate, against any contractors found to have misrepresented their Service-Disabled Veteran-Owned Small Business status to the DoD to obtain contracts by coordinating with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	Resolution	1 month 13 days

CHAPTER 4

Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2020-063 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review, in coordination with the Military Departments and Defense agencies, of all 16 contractors in our sample that received DoD Service-Disabled Veteran-Owned Small Business contracts that we determined to be ineligible Service-Disabled Veteran-Owned Small Businesses to determine if they meet the eligibility requirements. Based on the review, the Director should take action, as appropriate, against any contractors found to have misrepresented their Service-Disabled Veteran-Owned Small Business status to the DoD to obtain set-aside and sole-source contracts by coordinating with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	Resolution	1 month 13 days
Rec. 1.c: The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, to require contractors to submit documentation to support the owner and highest ranking officer is a service-disabled veteran when submitting proposals for Service-Disabled Veteran-Owned Small Business set-aside and sole-source contracts. Additionally, the procedures should require contractors to submit documentation supporting that they meet the Service-Disabled Veteran-Owned Small Business requirements for majority ownership and management and control of the long-term decisions and daily business operations.	Resolution	1 month 13 days
Rec. 1.d: The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, for the Services' Small Business Offices to periodically review contractors that have obtained Service-Disabled Veteran-Owned Small Business contracts, where eligibility was not verified at the time of award, to determine if those contractors meet the Service-Disabled Veteran-Owned Small Business eligibility requirements, and coordinate with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	Resolution	1 month 13 days
Rec. 1.e: The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, requiring contracting personnel to track and monitor the amounts Service-Disabled Veteran-Owned Small Businesses pay to joint venture partners that are not Service-Disabled Veteran-Owned Small Businesses throughout contract performance to ensure that contractors do not exceed the required net profit limitations, as defined by the Code of Federal Regulations.	Resolution	1 month 13 days
Rec. 1.f: The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, requiring contracting personnel to track and monitor the amounts Service-Disabled Veteran-Owned Small Businesses spend on subcontractors that are not Service-Disabled Veteran-Owned Small Businesses throughout contract performance to ensure that contractors do not exceed the required limitations, as defined by the Code of Federal Regulations.	Resolution	1 month 13 days

CHAPTER 4

Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2020-063 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.g: The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review of all socio-economic contracting programs that require contractors to self-certify their status and, as appropriate, implement procedures, in coordination with Defense Pricing and Contracting, requiring contractors to submit documentation to support that they meet the applicable eligibility requirements, including ownership and control, before receiving set-aside and sole-source contracts.	Resolution	1 month 13 days
Rec. 1.h: The DoD OIG recommended that the DoD Office of Small Business Programs Director coordinate with Defense Pricing and Contracting, the General Services Administration, and the Small Business Administration to implement procedures to ensure that contractors update the System for Award Management after the Small Business Administration determines the contractors are ineligible for Service-Disabled Veteran-Owned Small Business contracts.	Resolution	1 month 13 days
Rec. 1.i: The DoD OIG recommended that the DoD Office of Small Business Programs Director coordinate with Defense Pricing and Contracting and the Small Business Administration to implement procedures to ensure protest results are communicated to contracting personnel DoD-wide when the Small Business Administration determines a contractor is not eligible for Service-Disabled Veteran-Owned Small Business set-aside or sole-source contracts.	Resolution	1 month 13 days
Rec. 1.j: The DoD OIG recommended that the DoD Office of Small Business Programs Director reinforce existing procedures, in coordination with Defense Pricing and Contracting, to ensure contracting officers are aware of the protest procedures and their responsibilities.	Resolution	1 month 13 days

Report No. DODIG-2020-071, “Audit of the Department of Defense’s Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States,” March 23, 2020

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a.1: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, give the Military Surface Deployment and Distribution Command the authority and ability to enforce the Military Services’ compliance with the Defense Transportation Regulation and task the Military Services to hold their officials accountable for not complying with the regulations, and for not transmitting bills of lading to the Defense Transportation Tracking System.	Resolution	8 days

CHAPTER 4

Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2020-071 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a.2: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, give the Military Surface Deployment and Distribution Command the authority and ability to enforce the Military Services' compliance with the Military Surface Deployment and Distribution Command accident investigation recommendations by tasking the Military Services to take specific action through a system of draft recommendations, command response, Military Surface Deployment and Distribution Command evaluation of those responses, and adjustment of the Military Surface Deployment and Distribution Command recommendations.	Resolution	8 days
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, develop and implement a methodology or controls to prevent the Military Services from allowing arms, ammunition, and explosives shipments to leave a military installation until officials confirm that the bill of lading is transmitted to the Defense Transportation Tracking System for tracking.	Management Comments	8 days

Under Secretary of Defense for Research and Engineering**Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication.	Management Comments	8 months 8 days
Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to mitigating vulnerabilities in a timely manner.	Management Comments	8 months 8 days

CHAPTER 4

Under Secretary of Defense for Research and Engineering – Report No. DODIG-2019-105 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.3.c: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to protecting and monitoring data on removable media.	Management Comments	8 months 8 days

Report No. DODIG-2020-066, “Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems,” March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.6: The DoD OIG recommended that the Commander of U.S. Strategic Command and the Under Secretary of Defense for Research and Engineering develop and implement a plan to conduct independent evaluations of supply chain risk management for nuclear command, control, and communications systems. The plan should outline responsibilities for conducting the evaluations, criteria and methodology that will be used, and timeframes for conducting the evaluations.	Management Comments	29 days
Rec. A.7.b: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, evaluate the use of threat assessments by program offices and determine whether the assessments are effective in achieving supply chain risk management objectives by informing risk management decisions.	Management Comments	29 days
Rec. A.7.d: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, assess the effectiveness of supply chain risk management practices, as implemented by program offices, in mitigating risks to subcomponents in critical systems. Based on the results of the assessment, provide clarification on requirements in policy or guidance, as needed.	Management Comments	29 days

CHAPTER 4

Under Secretary of Defense for Policy

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019
(Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 3.a: Recommendation is Classified.	Management Comments	1 year 1 month 20 days
Rec. 3.c: Recommendation is Classified.	Management Comments	1 year 1 month 20 days

Under Secretary of Defense (Comptroller)

Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer create individual Defense Working Capital Fund accounts at the Department of the Treasury for the Defense Information Systems Agency, Defense Logistics Agency, and Defense Finance and Accounting Service.	Management Comments	1 year 10 months 8 days
Rec. 1.f: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer work with the Department of the Treasury to either establish Fund Balance With Treasury accounts for select Other Defense Organizations when appropriate or establish four-digit limits for Treasury Index 97 Fund Balance With Treasury accounts in the Central Accounting and Reporting System.	Management Comments	1 year 10 months 8 days

Report No. DODIG-2019-066, "Summary Audit of Systemic Weaknesses in the Cost of War Reports," March 22, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director and each Service's Assistant Secretary for Financial Management and Comptroller, develop and implement a review process to verify that DoD Components update their management tools and accounting systems to properly identify and record war-related overseas contingency operation costs.	Resolution	1 year 9 days

CHAPTER 4

Under Secretary of Defense for Personnel and Readiness

Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence," October 15, 2015

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence.	Management Comments	4 years 5 months 16 days
Rec. 2.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures.	Management Comments	4 years 5 months 16 days

Under Secretary of Defense for Intelligence and Security

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2: Recommendation is Classified.	Resolution	2 years 1 day

Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO) Rec. B.2: The DoD OIG recommended that the Director for the Defense Counterintelligence and Security Agency assess and document the risk of [REDACTED] and, based on the assessment, develop and implement controls to protect the information.	Management Comments	8 months 8 days
(FOUO)		

CHAPTER 4

Under Secretary of Defense for Intelligence and Security (cont'd)

Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO) Rec. 1.a: The DoD OIG recommended that the [REDACTED]	Management Comments	3 months 1 day
(FOUO) Rec. 1.b: The DoD OIG recommended that the [REDACTED]	Management Comments	3 months 1 day
(FOUO)		

Report No. DODIG-2020-064, "Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation," February 21, 2020

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 10: The DoD OIG recommended that the Under Secretary of Defense for Intelligence determine whether the Defense Incident Based Reporting System should be used for reporting Brady Act information to the applicable Federal Bureau of Investigation databases to make it available to the National Instant Criminal Background Check System.	Management Comments	1 month 10 days

CHAPTER 4

U.S. Indo-Pacific Command

Report No. DODIG-2019-099, "Audit of the Distribution of Preferred Munitions in Support of the Republic of Korea," June 24, 2019 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Directors of the U.S. Indo-Pacific Command, Logistics and Plans Directorates (J4 and J5), in coordination with their Military Service Component Commands, update munitions delivery requirements for U.S. Transportation Command, including detailed munitions data for the time phased force and deployment data and minimum loading requirement at Military Ocean Terminal-Concord, to meet U.S. Indo-Pacific Command operation plan resupply requirements.	Resolution	9 months 7 days

U.S. Central Command

Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. D.2: The DoD OIG recommended that the Commander of U.S. Central Command create designated Combating Trafficking in Person Inspection Teams and personnel billets to provide oversight of DoD contracts in countries for which it has command responsibility and that have potential trafficking in persons problems.	Resolution	9 months 20 days

Report No. DODIG-2020-065, "Evaluation of Combined Joint Task Force-Operation Inherent Resolve's Military Information Support Operations," February 25, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Commander, U.S. Central Command, in coordination with the Under Secretary of Defense for Policy, the Department of State, and the U.S. Embassy-Baghdad, develop a plan for interagency coordination and integration of U.S. Government messaging in Iraq.	Management Comments	1 month 6 days

CHAPTER 4

U.S. Central Command (cont'd)

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO)	Rec. 2.f: The DoD OIG recommended that the [REDACTED].	Management Comments	13 days
(FOUO)	Rec. 2.g: The DoD OIG recommended that the [REDACTED].	Management Comments	13 days
(FOUO)	Rec. 2.h: The DoD OIG recommended that the [REDACTED].	Resolution	13 days
(FOUO)			

Report No. DODIG-2020-069, "Audit of the Army's Base Life Support Contract for Camp Taji, Iraq," March 18, 2020 (Full Report is FOUO)

	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
	Rec. 1.a: The DoD OIG recommended that the Commander of Combined Joint Task Force Operation Inherent Resolve direct his staff to review the performance work statement to determine whether additional DoD and Army requirements should be included. If, based on that review, the Combined Joint Task Force Operation Inherent Resolve staff determines that additional requirements should be added to the Performance Work Statement, the Base Operations Support-Integrator should use the results of the review to coordinate with the procuring contracting officer to include any additional requirements in the performance work statement.	Management Comments	13 days
	Rec. 1.b: The DoD OIG recommended that the Commander of Combined Joint Task Force Operation Inherent Resolve review the actions and capabilities of the logistics officials responsible for Camp Taji Base Life Support requirements development. Based on that review, the Commander should take appropriate action, if warranted, to hold the necessary officials accountable or to improve the generation of future contract requirements.	Management Comments	13 days
	Rec. 3.c: The DoD OIG recommended that the Officer in Charge of the Base Operations Support-Integrator for Camp Taji include steps in the quality assurance surveillance plan or standard operating procedures to ensure staff members with responsibilities related to the contractor population are coordinating to determine Combined Joint Task Force Operation Inherent Resolve is paying for the correct number of personnel prior to telling the procuring contracting officer the invoice is accurate.	Management Comments	13 days

CHAPTER 4

U.S. Transportation Command

Report No. DODIG-2020-047, "Audit of Surge Sealift Readiness Reporting," January 22, 2020
(Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Commander of Military Sealift Command establish policies to verify whether deficiencies identified in ship inspection reports match the corresponding contractor-issued casualty reports.	Management Comments	2 months 9 days
Rec. A.1.c: The DoD OIG recommended that the Commander of Military Sealift Command establish policies to reconcile casualty reports to the ship's reported status in Defense Readiness Reporting System-Strategic to ensure accurate ship readiness reporting.	Management Comments	2 months 9 days
Rec. B.2.a: The DoD OIG recommended that the Commander of Military Sealift Command develop an oversight plan to verify the readiness status of the Maritime Administration surge sealift ships and identify documentation and processes needed for this oversight.	Management Comments	2 months 9 days
Rec. B.2.b: The DoD OIG recommended that the Commander of Military Sealift Command coordinate with the Department of Transportation Maritime Administration to obtain the documentation and establish the processes necessary for the Military Sealift Command to perform the oversight.	Management Comments	2 months 9 days

U.S. Strategic Command

Report No. DODIG-2020-066, "Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems," March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.5: Recommendation is Classified.	Management Comments	29 days
Rec. A.6: The DoD OIG recommended that the Commander of U.S. Strategic Command and the Under Secretary of Defense for Research and Engineering develop and implement a plan to conduct independent evaluations of supply chain risk management for nuclear command, control, and communications systems. The plan should outline responsibilities for conducting the evaluations, criteria and methodology that will be used, and timeframes for conducting the evaluations.	Management Comments	29 days
Rec. B.2.a: The DoD OIG recommended that the Commander of U.S. Strategic Command establish a process that derivative classifiers can follow under the guidance of a Nuclear Command, Control, and Communication original classification authority to adjudicate security classification marking conflicts or concerns pertaining to protecting sensitive nuclear command, control, and communications information.	Management Comments	29 days

CHAPTER 4

U.S. Strategic Command – Report No. DODIG-2020-066 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. B.2.b: The DoD OIG recommended that the Commander of U.S. Strategic Command determine whether the Director of the Nuclear Command, Control, and Communication Enterprise Center should have nuclear command, control, and communication classification authority to support the established derivative classifier adjudication process, and delegate, as appropriate.	Management Comments	29 days
Rec. B.3: The DoD OIG recommended that the Director of the Nuclear Command, Control, and Communications Enterprise Center issue guidance to all nuclear command, control, and communications programs on how to derivatively classify and protect soon-to-be classified nuclear command, control, and communications information until security classification guides for nuclear, command, control, and communications are updated in accordance with the Acting Secretary of Defense and Chairman of the Joint Chiefs of Staff memorandum, “Nuclear Command, Control, Communications Umbrella Security Classification and Handling Guidance,” June 6, 2019, and DoD Manual 5200.45, “Instructions for Developing Security Classification Guides,” April 2, 2013, Incorporating Change 1, Effective April 6, 2018.	Management Comments	29 days

Report No. DODIG-2020-068, “Audit of Security Controls Over the Department of Defense’s Global Command and Control System-Joint Information Technology System,” March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO) Rec. 1.a: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 1.b: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 1.c: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 1.d: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)		

CHAPTER 4

U.S. Strategic Command – Report No. DODIG-2020-068 (cont'd)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO) Rec. 1.e: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 1.f: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 1.g: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 1.h: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO) Rec. 1.i: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)		

U.S. Africa Command

Report No. DODIG-2020-077, "Evaluation of Niger Air Base 201 Military Construction," March 31, 2020
(Full Report is FOUO)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Commander of U.S. Africa Command establish a coordination and decision-making process with key stakeholders for troop labor construction projects, including a forum to directly communicate with the military construction program manager, designer of record, construction provider, and base support integrator, as applicable.	Resolution	1 day

CHAPTER 4

DoD Office of the General Counsel

Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,” April 14, 2014

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the DoD Office of General Counsel prepare an update to or replacement for DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency.	Management Comments	5 years 11 months 17 days

Report No. DODIG-2019-088, “Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait,” June 11, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. C.4: The DoD OIG recommended that the General Counsel of the Department of Defense coordinate with the DoD organizations responsible for overseeing contracting in Kuwait, such as U.S. Central Command, U.S. Army Contracting Command-Rock Island, Deputy Assistant Secretary (Contracting), and Army and Air Force Exchange Service, to determine the appropriate DoD organization responsible for determining definitive guidance on Kuwaiti labor laws that apply to DoD contracts with performance in Kuwait, work to resolve disagreements among the organizations, and advise the Secretary of Defense and the Deputy Secretary of Defense on a comprehensive solution.	Management Comments	9 months 20 days

Assistant Secretary of Defense for Health Affairs

Report No. DODIG-2019-108, “Audit of the DoD’s Management of the Third Party Collection Program for Medical Claims,” September 16, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a.1: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not collecting other health insurance information at all clinics in accordance with Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to enforce existing other health insurance collection regulations, and as appropriate, take administrative action for noncompliance.	Management Comments	6 months 15 days

CHAPTER 4

Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a.3: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not obtaining pre-authorization for treatment, and coordinate with commanders of those medical facilities to develop and implement a process for obtaining pre-authorization when services rendered for a beneficiary require a pre-authorization from the insurance provider.	Management Comments	6 months 15 days
Rec. 1.a.4: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not conducting followup in compliance with the requirements in Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to immediately revise procedures to ensure claims are followed up on in accordance with Defense Health Agency Procedures Manual 6015.01 and DoD Financial Management Regulation Volume 16, Chapter 2, requirements.	Management Comments	6 months 15 days
Rec. 1.a.6: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not transferring eligible third party claims that are delinquent for more than 120 days to the Treasury Cross-Servicing Program, coordinate with commanders of those medical facilities to enforce Public Laws 104-134 and 113-101, which require medical facilities to transfer eligible delinquent claims to the Treasury Cross-Servicing Program, and take administrative action as appropriate for noncompliance.	Management Comments	6 months 15 days
Rec. 1.f: The DoD OIG recommended that the Director of the Defense Health Agency coordinate with medical facility commanders to implement procedures to ensure claims are accurate before submission to the insurance provider.	Management Comments	6 months 15 days
Rec. 1.g: The DoD OIG recommended that the Director of the Defense Health Agency coordinate with medical facility commanders to develop a course of action and enforce existing Defense Health Agency requirements that Uniform Business Office personnel review previous patient encounters for potentially billable events when new other health insurance is identified for a beneficiary.	Management Comments	6 months 15 days
Rec. 1.i: The DoD OIG recommended that the Director of the Defense Health Agency review and verify, at least annually, that billing personnel at all medical facilities in the Military Health System are meeting the Defense Health Agency Procedures Manual 6015.01 and the DoD Financial Management Regulation Volume 16, Chapter 2, requirements for following up on delinquent debt.	Management Comments	6 months 15 days
Rec. 9.b: The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	Management Comments	6 months 15 days
Rec. 9.d: The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital provide sufficient legal support to pursue collections through the Third Party Collection Program.	Management Comments	6 months 15 days

CHAPTER 4

Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 11.a: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency review the contract language for the Third Party Collection Program contracts, and align the contract terms with all applicable Federal and DoD regulations.	Management Comments	6 months 15 days
Rec. 11.b: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency implement oversight procedures to monitor contractor performance in accordance with the terms of the contract and all Federal and DoD regulations.	Management Comments	6 months 15 days
Rec. 11.c: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency hold any contracting personnel assigned oversight responsibility accountable for not appropriately performing oversight procedures necessary to ensure the contractor complied with Federal and DoD regulations and contract terms.	Management Comments	6 months 15 days
Rec. 12: The DoD OIG recommended that the Director of the Defense Health Agency, National Capital Region Medical Directorate, require the contracting officer to prepare contractor performance assessment reports for Third Party Collection Program contracts, in accordance with the Federal Acquisition Regulation and Defense Federal Acquisition Regulation Supplement.	Management Comments	6 months 15 days

Defense Security Cooperation Agency

Report No. DODIG-2019-085, “Audit of the Defense Security Cooperation Agency-Security Assistance Accounts,” May 8, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. A.1.f.7: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to recover and transfer into the Special Defense Acquisition Fund all lease payments dating back to FY 2012 that the Defense Finance and Accounting Service did not transfer into the Special Defense Acquisition Fund account.	Resolution	10 months 23 days

CHAPTER 4

Washington Headquarters Services

Report No. DODIG-2019-116, "Audit of Contingency Planning for DoD Information Systems," August 21, 2019
(Full Report is FOUO)

(FOUO)	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
(FOUO)	Rec. 6.b: The DoD OIG recommended that the Washington Headquarters Services Director, in coordination with the Department of Defense Chief Information Officer and the Defense Information Systems Agency Director, [REDACTED] based on the revisions made to DoD Instruction 8500.01, "Cybersecurity," March 14, 2014, or any additional guidance provided by the DoD Chief Information Officer.	Management Comments	7 months 10 days
(FOUO)			

Classified

Report No. DODIG-2018-037, "Evaluation of the Long Range Strike-Bomber Program Security Controls," December 1, 2017 (Full Report is Classified)

	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
	Rec. A.1.a: Recommendation is Classified.	Management Comments	2 years 3 months 30 days
	Rec. A.1.b: Recommendation is Classified.	Management Comments	2 years 3 months 30 days
	Rec. A.2.a: Recommendation is Classified.	Management Comments	2 years 3 months 30 days
	Rec. A.2.b: Recommendation is Classified.	Management Comments	2 years 3 months 30 days
	Rec. B.1.d: Recommendation is Classified.	Management Comments	2 years 3 months 30 days
	Rec. B.1.f: Recommendation is Classified.	Management Comments	2 years 3 months 30 days

CHAPTER 4

Classified (cont'd)

Report No. DODIG-2019-034, "Security Controls at DoD Facilities for Protecting Ballistic Missile Defense System Technical Information," December 10, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to using multifactor authentication.	Resolution	1 year 3 months 21 days
Rec. 1.b: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to mitigating vulnerabilities in a timely manner.	Resolution	1 year 3 months 21 days
Rec. 1.d: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to protecting and monitoring data on removable media.	Resolution	1 year 3 months 21 days
Rec. 1.e: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing intrusion detection controls.	Resolution	1 year 3 months 21 days
Rec. 1.g: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing physical security controls.	Resolution	1 year 3 months 21 days

Report No. DODIG-2020-029, "Audit of a Classified Program," November 13, 2019 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/20
Rec. 1.a: Recommendation is Classified.	Management Comments	4 months 18 days
Rec. 3.a: Recommendation is Classified.	Management Comments	4 months 18 days
Rec. 3.c: Recommendation is Classified.	Management Comments	4 months 18 days
Rec. 4.c: Recommendation is Classified.	Management Comments	4 months 18 days
Rec. 4.d: Recommendation is Classified.	Management Comments	4 months 18 days



CHAPTER 5

Recommendations Made in Financial Statement Audits

Each year, the DoD prepares its annual Agency Financial Report to describe and communicate the financial position and results of operations of the DoD. In FY 2019, the DoD OIG and five independent public accounting firms overseen by the DoD OIG performed audits of the DoD's and 23 DoD reporting entity's financial statements to determine if the financial statements were accurately presented.²⁶

The fundamental purpose of a financial statement audit is to provide independent assurance that DoD management has presented an accurate and fair view of the DoD's financial performance. For each audit, the auditor's report can express one of the following four potential results on the entity's financial statements.

- **Unmodified opinion** – sometimes referred to as a clean opinion, expressed when the auditor concluded that the entity presented the financial statements fairly.
- **Qualified opinion** – expressed when the auditor concluded that there are misstatements that are material but not significant to the overall presentation of the financial statements.
- **Adverse opinion** – expressed when the auditor concluded that the misstatements are both material and significant to the financial statements.
- **Disclaimer of opinion** – expressed when the auditor is unable to obtain sufficient, appropriate audit evidence on which to base an opinion.

²⁶ DoD management tracks and reports on the standalone audits that are performed on the DoD's and DoD reporting entities' financial statements, which include the Defense Finance and Accounting Service, the Defense Contract Audit Agency, the Defense Commissary Agency, the DoD OIG, and the Defense intelligence agencies, which are not overseen by the DoD OIG. DoD management does not track or report the standalone audits for the four sub-allotted financial statements overseen by the DoD OIG. Therefore, the reporting by DoD management and the DoD OIG may differ.

CHAPTER 5

Financial statement audits not only determine and provide an overall opinion on the accuracy of the financial statements, they also identify weaknesses and inefficiencies in financial management processes and controls. Auditors include these problems in their report, “Internal Control Over Financial Reporting,” which groups the internal control deficiencies identified during the audit, including material weaknesses. Auditors conclude there is a material weakness if they determine that there is a reasonable possibility that a material misstatement of the entity’s financial statement will not be prevented, or will not be detected and corrected in a timely manner.

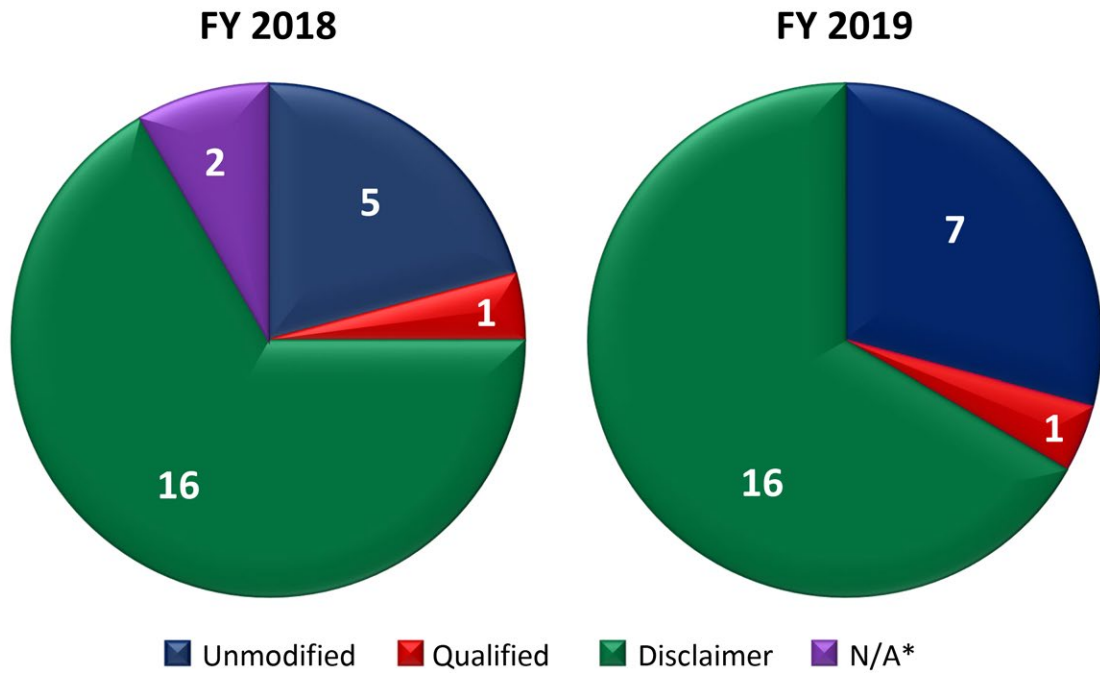
During the audit, auditors issue Notices of Finding and Recommendations (NFRs) that communicate to management the weaknesses and inefficiencies in financial processes, the impact these weaknesses and inefficiencies have on operations, the reason the weaknesses and inefficiencies exist, and recommendations for how to correct the weaknesses and inefficiencies. Auditors assess the impact of each NFR, and the cumulative effect of all NFRs, when they determine which type of opinion they will issue, as well as what information they will include in the report called “Internal Control Over Financial Reporting.” The following sections discuss the overall results of the audits, the number of material weaknesses reported, and the NFRs issued to the DoD and its reporting entities.

Overall Audit Results of the FY 2019 Audit

The DoD and many of its reporting entities are still at a very early stage in their audits. The DoD asserted audit readiness for its financial statements in FY 2018, and underwent its first full-scope financial statement audit in FY 2018 and its second in FY 2019. Auditors expressed many disclaimers of opinion in both FY 2018 and FY 2019 because reporting entities continued to have unresolved accounting issues and material weaknesses that prevented them from providing evidence to support the financial statement balances. See Figure 15 for a comparison of the FY 2018 opinion reports to the FY 2019 opinion reports for the 24 financial statement audits of the DoD and its reporting entities performed or overseen by the DoD OIG.

CHAPTER 5

Figure 15. Number and Type of FY 2018 and FY 2019 Audit Opinions



* Two entities that had not received an opinion in FY 2018 received an unmodified opinion in FY 2019.
Source: The DoD OIG.

As shown in Figure 15, the DoD and the majority of its reporting entities received disclaimers of opinion. DoD reporting entities that receive a disclaimer of opinion have a much higher number of material weaknesses than those entities that receive an opinion.

Reporting Material Weaknesses

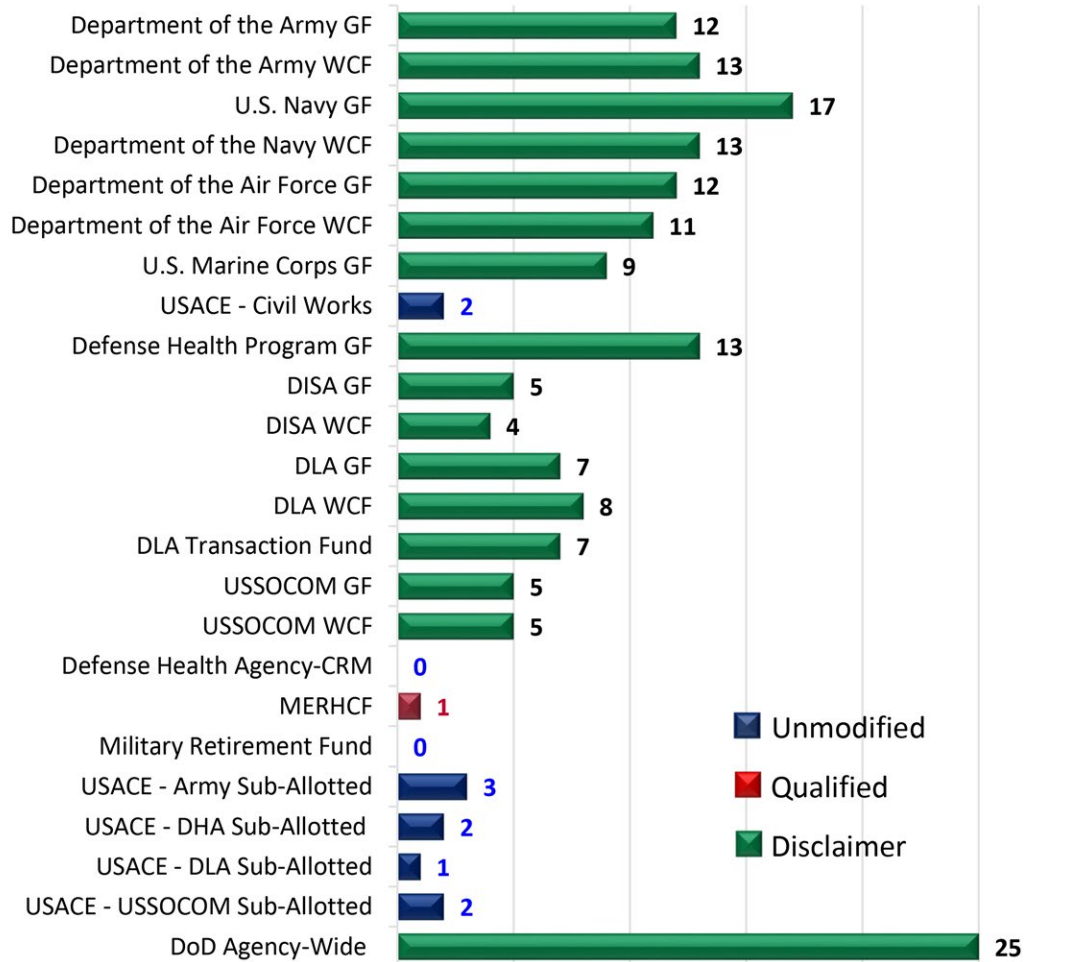
Due to the size, complexity, and relatively limited amount of detailed testing performed within many financial statement accounts, an increase in the number of material weaknesses from one year to the next does not necessarily indicate that the entity has not made progress in addressing previous audit findings. To the contrary, as reporting entities complete corrective actions, auditors can perform more detailed testing, which can result in adding to a current material weakness or establishing additional material weaknesses.

For example, in FY 2018, auditors reported an Air Force material weakness titled General Property, Plant, and Equipment. Air Force management concurred with the NFRs identifying the material weakness and established corrective action plans. After further testing in FY 2019, the auditors identified more accounting problems within the General Property, Plant, and Equipment account and reported two material weaknesses instead of one. The additional material weakness did not indicate that the Air Force had not made progress from the prior year. Instead, it demonstrated that although corrective actions were ongoing, the auditors' additional tests determined that there were previously unreported weaknesses within the account.

While an increase in material weaknesses does not necessarily indicate a lack of progress, a decrease in material weaknesses each year can in fact indicate progress. As shown in Figure 16, a lower number of material weaknesses is generally associated with more favorable audit results. For example, in FY 2019 the 8 reporting entities with audit opinions had no more than 3 material weaknesses while the 16 reporting entities and DoD Agency-Wide with disclaimers of opinion had between 4 and 17 material weaknesses.

CHAPTER 5

Figure 16. FY 2019 Material Weaknesses for DoD Reporting Entities and Agency-Wide

**LEGEND**

GF – General Fund

WCF – Working Capital Fund

USACE – U.S. Army Corps of Engineers

DISA – Defense Information Systems Agency

DLA – Defense Logistics Agency

USSOCOM – Special Operations Command

CRM – Contract Resource Management

MERHCF – Medicare-Eligible Retiree Health Care Fund

Source: The DoD OIG.

Notices of Findings and Recommendations

As previously discussed, NFRs are the mechanism auditors use to communicate problems they identified during the audit. Depending on the known strengths and weaknesses of a business process, the level of detail in each NFR can vary greatly. Specifically, in the first year of the audit, an auditor might issue an NFR stating that the entity could not provide the supporting documentation requested. For example, an auditor issued an NFR to the Air Force in FY 2018 stating that the auditor could not trace some inventory balances from the trial balance to the underlying feeder systems.²⁷ In response to the NFR, the Air Force developed a reconciliation for the inventory balances. Noting the change, the auditor closed the FY 2018 NFR, performed additional testing, and issued a more detailed FY 2019 NFR related to improvements needed for the new reconciliation that the Air Force had put in place. Therefore, until the auditors are able to perform detailed audit procedures on all material audit areas, a comparison of NFR numbers from year to year or from entity to entity is not a reliable measure in assessing an entity's progress in addressing audit findings.

NFR Followup Process

Similar to how DoD management agrees or disagrees with recommendations in a performance audit, the audited entity in a financial statement audit either concurs or nonconcurs with the NFR. However, the NFR comment process is slightly different from the performance audit recommendation comment process in that the entity does not comment on each NFR recommendation, but instead comments on the problem and NFR as a whole. Whether management concurs or nonconcurs with an NFR, the auditor continues to work with the auditee until the auditor considers the problem resolved. Additionally, the auditor assesses how each NFR impacts the financial statement audit, regardless of whether management concurs or nonconcurs with an NFR.

Furthermore, the process for assessing whether the entity has adequately addressed the NFR is different from tracking the status of resolved and unresolved recommendations. Specifically, Federal financial statement auditing standards require that auditors evaluate whether the audited entity has taken appropriate corrective action to address all of the

²⁷ Financial statements include information that originates in financial systems that are not the general ledger accounting system. For example, time and attendance systems transfer payroll costs to an entity's general ledger system. These lower-level systems are referred to as feeder systems.

CHAPTER 5

findings from previous engagements that could have a material impact on the financial statements. Therefore, the financial statement auditor focuses on whether the entity demonstrated that the condition identified in the NFR has been eliminated. The financial statement auditor tracks the status of the weakness described in the NFR and is not focused on whether management implemented the recommendations as originally stated in the NFR. The auditor focuses on the corrective action and its impact because this information will form the conclusions contained in the opinion report and the report called “Internal Control Over Financial Reporting.”

Typically, if the entity has stated that it has corrected the condition identified in the NFR, the auditor will review the entity’s corrective action plan and perform additional audit procedures to validate the entity’s assertion. For example, in FY 2019 the auditor issued an NFR that identified that the Missile Defense Agency (MDA) did not resolve unmatched disbursements in a timely manner. MDA management concurred and completed its corrective action plan in FY 2020. Although the MDA indicated that corrective actions had occurred, the auditor will perform FY 2020 audit procedures on the unmatched disbursements to validate that the condition in the NFR no longer exists. If the auditor determines that the problem no longer exists, the NFR is considered closed. This situation is similar to a recommendation from a performance audit being considered resolved in FY 2019 and then closed in FY 2020. However, if the entity stated that it had not yet implemented corrective actions, the auditor would reissue the NFR for the current year and assess the impact of the NFR on the audit opinion report.

Table 1 presents the number of NFRs issued in FYs 2018 and 2019, the number of NFRs closed in FY 2019, and the total number of open NFRs by DoD reporting entity. As shown in Table 1, auditors issued 1,575 new NFRs for deficiencies identified in FY 2019. New deficiencies were generally identified as a result of new and expanded testing that was conducted during the FY 2019 audits. In addition to the new NFRs, auditors reissued 1,897 NFRs in FY 2019 for deficiencies identified in FY 2018 that were not fully addressed. Finally, auditors closed 698 of the FY 2018 NFRs, which shows that the DoD and its reporting entities took corrective actions to fix deficiencies identified by the auditors in FY 2018.

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Table 1. Number of NFRs by DoD and Reporting Entities as of November 30, 2019¹

Reporting Entity	Total FY 2018 NFRs	NFRs Closed in FY 2019 ¹	Financial NFRs - New in FY 2019	Financial NFRs - reissued in FY 2019 ²	IT NFRs - New in FY 2019	IT NFRs - Reissued in FY 2019	Total FY 2019 NFRs
Department of the Army ³	408	149	107	172	77	87	443
Department of the Navy ³	529	121	350	148	262	260	1,020
Department of the Air Force	347	86	88	144	119	117	468
U.S. Marine Corps ³	156	17	4	79	26	60	169
U.S. Army Corps of Engineers	86	35	36	43	0	8	87
Defense Health Program	124	15	34	64	31	45	174
Defense Information Systems Agency ³	45	25	20	11	3	9	43
Defense Logistics Agency	409	35	57	295	45	79	476
U.S. Special Operations Command	101	33	21	31	23	37	112
U.S. Transportation Command ³	166	47	28	67	4	52	151
Defense Health Agency-Contract Resource Management	14	4	1	0	1	10	12
Medicare-Eligible Retiree Health Care Fund	16	4	1	2	2	10	15
Military Retirement Fund	10	2	3	2	4	6	15
Agency-Wide	184	125	71	19	157	40	287
Total	2,595	698	821	1,077	754	820	3,472

¹ Auditors closed NFRs for a variety of reasons, including the following: the entity took actions and the condition no longer existed, the condition no longer existed because the process or systems used were eliminated, or the Component accepted the risk associated with the condition.

² NFRs are considered reissued if the weakness or inefficiency noted in the NFR was identified during a prior year audit but had not yet been corrected by the DoD entity.

³ These entities' NFR counts have changed due to decisions by independent public accountants to consolidate or remove NFRs issued prior to FY 2019.

Source: The DoD OIG.

As previously discussed, audit results by reporting entity and by year cannot be compared easily, and sometimes not at all. However, most DoD reporting entities had similar material weaknesses from FY 2018 to FY 2019. Increases in the number of material weaknesses occurred for multiple reasons, but the two most common were

CHAPTER 5

(1) auditors expanded testing that resulted in new findings that led to material weaknesses, and (2) auditors presented material weaknesses at a more granular level. For example, in FY 2019 auditors expanded testing in the area of environmental and disposal liabilities for the Navy General Fund because the Navy was able to provide additional support and explanations regarding the liabilities. As a result, auditors identified a new material weakness for the Navy in FY 2019 related to environmental and disposal liabilities.

Concurrence With NFRs

Entity corrective action plans for the NFRs vary widely in their proposed timelines for correcting the identified deficiencies. Complex, multi-phased corrective action plans can extend over several fiscal years or more. As previously stated, when an entity concurs with an NFR, the entity creates a corrective action plan to address the findings. Table 2 shows the percentage of NFRs that entities concurred with.

Table 2. Percentage of FY 2019 NFRs That the DoD and Its Reporting Entities Concurred With

	Concur	Partially Concur	Nonconcur
Department of the Army	92	5	3
Department of the Navy	100	0	0
Department of the Air Force	99	0	1
U.S. Marine Corps	100	0	0
U.S. Army Corps of Engineers	100	0	0
Defense Health Program	99	0	0
Defense Information Systems Agency	74	2	23
Defense Logistics Agency	100	0	0
U.S. Special Operations Command	100	0	0
U.S. Transportation Command	89	2	9
Defense Health Agency – Contract Resource Management	100	0	0
Medicare-Eligible Retiree Health Care Fund	100	0	0
Military Retirement Fund	100	0	0
DoD Agency-Wide	92	2	5

Note: Rows do not always total 100 percent because of rounding.

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Table 2 shows that the DoD and its reporting entities overwhelmingly concurred with the findings in the FY 2019 NFRs. The average concurrence rates ranged between 75 and 100 percent. These high concurrence rates demonstrated that DoD management recognized when weaknesses existed. DoD management's concurrence with an NFR almost always resulted in the DoD developing a corrective action plan to address the auditor-identified weaknesses. The DoD's corrective actions included monitoring the implementation of the plan to ensure that the weaknesses were corrected.

Summary

The road to improved financial reporting and clean financial statement audit opinions is a long-term effort that includes correcting the weaknesses that auditors identify. Auditors use NFRs to alert DoD management of its financial management weaknesses and provide details of the problems identified. Auditors deem the significant problems as material weaknesses. To address the material weaknesses and NFRs identified by financial statement auditors, DoD management develops, implements, and monitors the implementation of corrective action plans. The implementation of corrective action plans that address material weaknesses and NFRs may take years to complete.

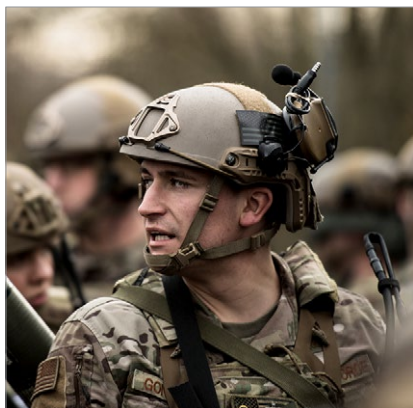
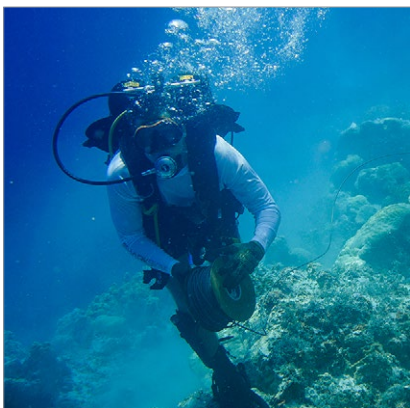
The number of material weaknesses that auditors identify is generally a good indicator of the type of opinion that the reporting entity will receive. Reporting entities with fewer material weaknesses received more favorable audit opinions than those that had more material weaknesses. When auditors identify a new material weakness, it is not necessarily an indicator that an entity is not improving its financial reporting processes or has regressed in its effort to improve financial statement reporting.

The DoD concurrence rate for auditor NFRs is very high. As more corrective action plans are implemented, the DoD should begin to remedy more and more NFRs. By eliminating the weaknesses reported in NFRs, reporting entities will begin to reduce the number of material weaknesses that exist. When auditors report fewer material weaknesses, the DoD is likely to reduce the high number of disclaimer reports provided by the auditors of DoD reporting entities.

CHAPTER 5

The audits of the DoD's and DoD reporting entities' financial statements are important. The audits can provide more clarity on how the DoD and its entities use resources; identify vulnerabilities of information technology systems; provide feedback regarding the effectiveness of business systems, processes, and controls; ensure funds are allocated where they are needed; and improve operational decision making.

For additional information about the results of the DoD financial statement audits, visit: <https://www.dodig.mil/Reports/Other-Publications/Article/2086982/understanding-the-results-of-the-audit-of-the-dod-fy-2019-financial-statements/>.



APPENDIX A

All Open Recommendations as of March 31, 2020

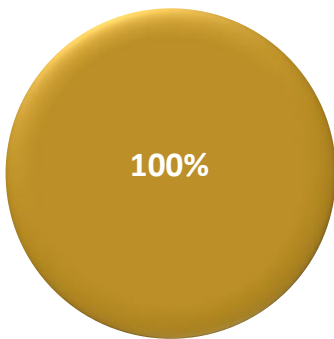
This appendix provides a comprehensive table of all 1,602 open recommendations as of March 31, 2020.²⁸ The recommendations are listed according to responsible DoD Component. This appendix also lists 211 classified open recommendations that are summarized in detail in Appendixes B, C, and D of this Compendium.²⁹


For each DoD Component, we present information to show the progress that has been made since the first Compendium was issued in 2017. Additionally, there is a table for each DoD Component showing the current number of unresolved and aged recommendations, as well as potential savings that could be achieved by implementing the recommendations that have associated potential monetary benefits.


²⁸ For tracking purposes, recommendations made to multiple components are split into individual recommendations for each component. For example, a recommendation made to the Army, Navy, and Air Force would equate to three recommendations for tracking purposes.

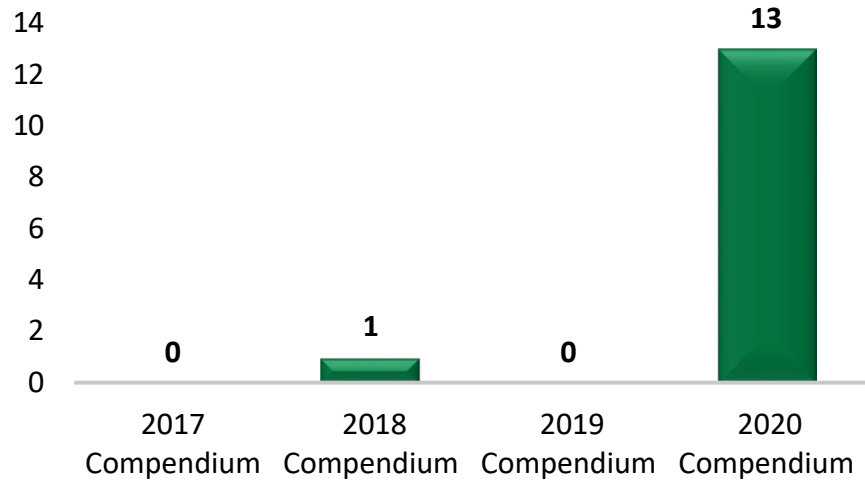
²⁹ All table notes appear at the end of the corresponding table.

APPENDIX A

*Statistics – Secretary of Defense***Recommendations from
2018 Compendium**

 Number remaining
open as of 3/31/2020

 Number closed
as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	3
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

Secretary of Defense

Report No. DODIG-2019-074, "Evaluation of Targeting Operations and Civilian Casualties in Operation Inherent Resolve," April 18, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: Recommendation is Classified.	11 months 13 days

Report No. DODIG-2019-106, "Audit of the DoD's Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items," July 26, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a risk-based approach to prioritize commercial off-the-shelf items for further evaluation.	8 months 5 days
Rec. 1.b: The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a process to test high-risk commercial off-the-shelf items.	8 months 5 days
Rec. 1.c: The DoD OIG recommended that the Secretary of Defense direct an organization or group to develop a process to prohibit the purchase and use of high-risk commercial off-the-shelf items, when necessary, until mitigation strategies can limit the risk to an acceptable level.	8 months 5 days

Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," March 13, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.5.a: The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to review and assess DoD Cyber Red Team reports for systemic vulnerabilities and coordinate the development and implementation of enterprise solutions to mitigate those vulnerabilities affecting DoD systems, networks, and operations.	18 days
Rec. A.5.b: The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to ensure DoD Components develop and implement a risk-based process to assess the impact of DoD Cyber Red Team-identified vulnerabilities and prioritize funding for corrective actions for high-risk vulnerabilities.	18 days
Rec. A.5.c: The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to ensure DoD Components develop and implement processes for providing reports with DoD Cyber Red Team findings and recommendations to all organizations and personnel within the DoD Component with responsibility for corrective actions.	18 days

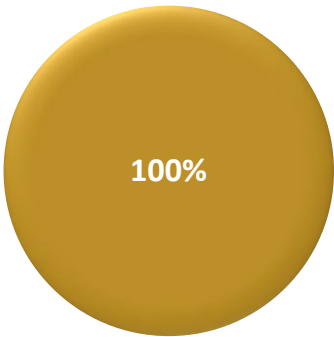
APPENDIX A

Secretary of Defense – Report No. DODIG-2020-067 (cont'd)

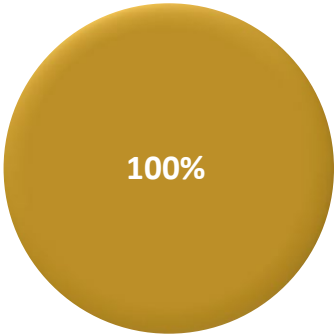
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.5.d: The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to ensure DoD Components document and monitor whether actions were taken to accept, mitigate, or remediate all DoD Cyber Red Team-identified vulnerabilities.	18 days
Rec. A.5.e: The DoD OIG recommended that the Secretary of Defense assign an organization with responsibility to require DoD Components to report actions taken to manage risks identified by DoD Cyber Red Teams within a DoD-defined period.	18 days
Rec. B.1.a: The DoD OIG recommended that the Secretary of Defense, in conjunction with the implementation of Recommendation A.5, assign an organization with responsibility to develop processes and procedures to oversee DoD Cyber Red Team activities, including synchronizing and prioritizing DoD Cyber Red Team missions, to ensure these activities align with DoD priorities.	18 days
Rec. B.1.b: The DoD OIG recommended that the Secretary of Defense, in conjunction with the implementation of Recommendation A.5, assign an organization with responsibility to perform a joint DoD-wide mission-impact analysis to determine the number of DoD Cyber Red Teams, minimum staffing levels of each team, and the composition of the staffing levels needed to meet current and future DoD Cyber Red Team mission requests.	18 days
Rec. B.1.c: The DoD OIG recommended that the Secretary of Defense, in conjunction with the implementation of Recommendation A.5, assign an organization with responsibility to assess and identify a baseline of core and specialized training standards, based on the three DoD Cyber Red Team roles that DoD Cyber Red Team staff must meet for the team to be certified and accredited.	18 days
Rec. B.1.d: The DoD OIG recommended that the Secretary of Defense, in conjunction with the implementation of Recommendation A.5, assign an organization with responsibility to identify and develop baseline tools needed by DoD Cyber Red Teams to perform missions.	18 days

Statistics – Deputy Secretary of Defense

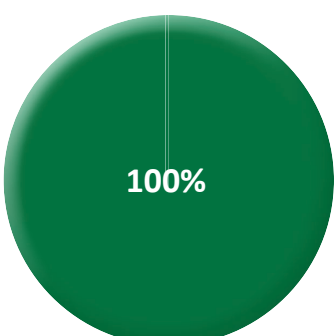
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium

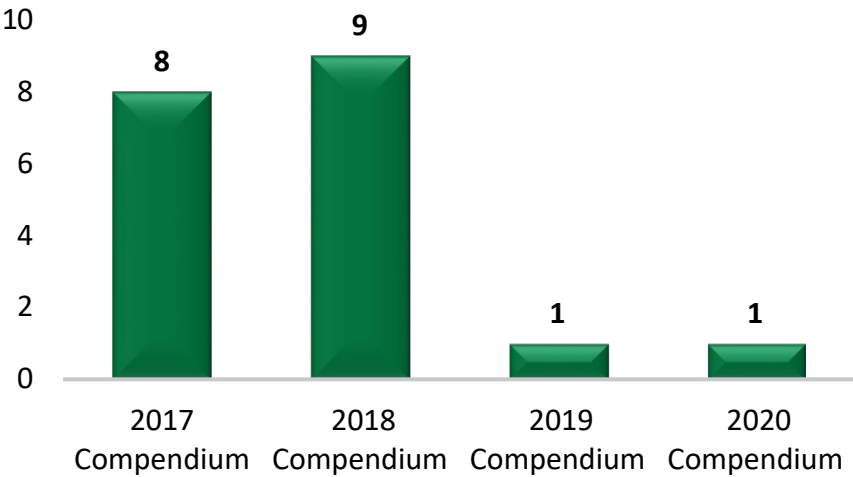


Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

Deputy Secretary of Defense

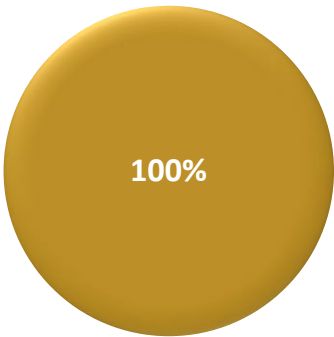
Report No. DODIG-2019-055, “Evaluation of Integrated Joint Special Technical Operations,” February 11, 2019
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: Recommendation is Classified.	1 year 1 month 20 days

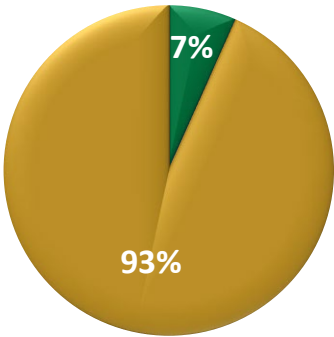


Statistics – DoD Chief Management Officer

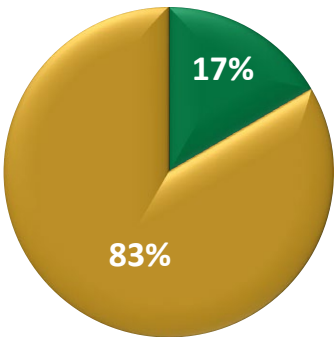
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



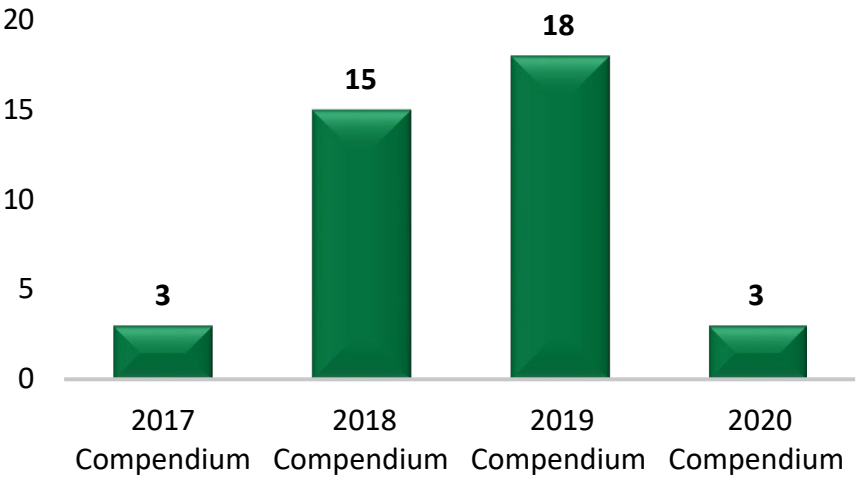
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	1
Potential Monetary Benefits	–

APPENDIX A

DoD Chief Management Officer**Report No. DODIG-2014-093, "Inspection of the Armed Forces Retirement Home," July 23, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 53.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, under the authority given to the Secretary of Defense in section 411(d)(3), title 24, United States Code, issue a directive-type memorandum for immediate action (followed by a revision of Department of Defense Instruction 1000.28, "Armed Forces Retirement Home," February 1, 2010) to codify the results from Recommendation 53.a.	5 years 8 months 8 days

Report No. DODIG-2018-077, "Financial Management and Contract Award and Administration for the Armed Forces Retirement Home," February 21, 2018

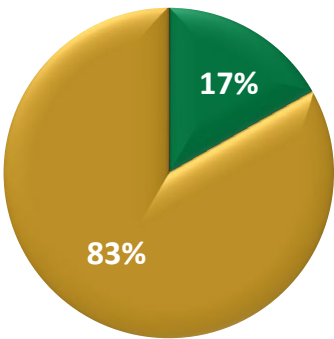
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.c: The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, quantify the impact each major capital project has on the Armed Forces Retirement Home Trust Fund balance and describe the effects on the resident population of the Armed Forces Retirement Home. In addition, the Deputy Chief Management Officer should establish a threshold in which it considers a capital project to be a major capital project and require that the Armed Forces Retirement Home detail how the major capital project risks will be isolated, minimized, monitored, and controlled to prevent problems associated with investment cost, schedule, and performance.	2 years 1 month 10 days

Report No. DODIG-2018-153, "Armed Forces Retirement Home Support Functions," September 24, 2018

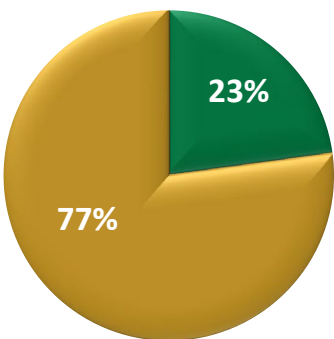
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. F: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer ensure that the Chief Information Officer implements recommendations from previous assessments about outstanding security-control deficiencies and review actions necessary to ensure compliance.	1 year 6 months 7 days

Statistics – Department of the Army

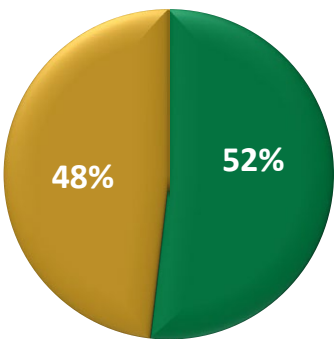
Recommendations from
2017 Compendium





Recommendations from
2018 Compendium



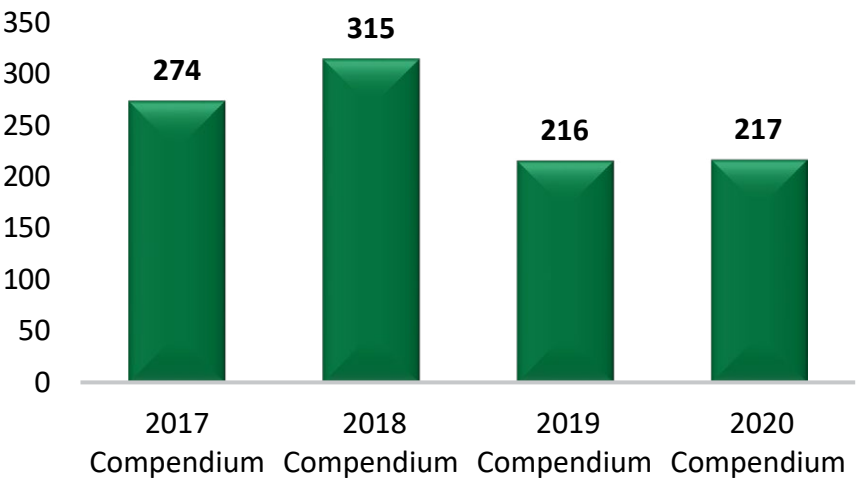
Recommendations from
2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	7
Number of Recommendations Open Longer than 5 Years	21
Potential Monetary Benefits	(FOUO)

APPENDIX A

Department of the Army

Report No. D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 3.a-f: The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Naval Criminal Investigative Service Director; the Air Force Director of Security Forces, Information Security; the Defense Information Systems Agency Director; and the Defense Logistics Agency Director update policies for the DoD personnel security clearance program to include the following areas:</p> <ul style="list-style-type: none"> a. program management responsibilities; b. agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities; c. security clearance systems for tracking security clearance information; d. Personnel Security Investigation submission processes; e. the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations including documentation required for each PSI; and f. training requirements for security personnel. 	13 years 11 months 12 days

Report No. DODIG-2013-097, "Improvements Needed in the Oversight of the Medical-Support Services and Award-Fee Process Under the Camp As Sayliyah, Qatar, Base Operation Support Services Contract," June 26, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.1: The DoD OIG recommended that the U.S. Army Medical Command Commander revise Army Regulation 40-68, "Clinical Quality Management," to align the regulation with supervision requirements set forth in Federal Acquisition Regulation 37.4.</p>	6 years 9 months 5 days

Report No. DODIG-2013-123, "Army Needs To Improve Mi-17 Overhaul Management and Contract Administration," August 30, 2013 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.2.a: The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments paid to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.</p>	6 years 7 months 1 day

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2013-130, "Army Needs to Improve Controls and Audit Trails for the General Fund Enterprise Business System Acquire-to-Retire Business Process," September 13, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop and implement the identified functionality into the General Fund Enterprise Business System, including the capability to generate an Army-wide real property universe.	6 years 6 months 18 days
Rec. A.6: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to perform a review of all real property data in the General Fund Enterprise Business System to ensure that the General Fund Enterprise Business System contains the correct data going forward and track the costs associated with this effort and other data cleansing efforts so they can be calculated as part of the cost of the General Fund Enterprise Business System implementation or as part of the Army's audit readiness efforts.	6 years 6 months 18 days
Rec. A.7.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to record in-house costs incurred in the construction of a real property asset to the corresponding project's construction-in-progress account.	6 years 6 months 18 days

Report No. DODIG-2014-066, "Logistics Modernization Program System Not Configured to Support Statement of Budgetary Resources," May 5, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the DoD Deputy Chief Financial Officer approve the baseline configuration of the Logistics Modernization Program system Budget-to-Report business process based on Army certification that the Army has implemented the appropriate DoD United States Government Standard General Ledger Transaction Library transactions for recording budgetary accounts for the Army Working Capital Fund.*	5 years 10 months 26 days

APPENDIX A

Department of the Army – Report No. DODIG-2014-066 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should investigate the root causes for each manual adjustment and other workarounds related to the Budget-to-Report business process and develop the reengineering plan for implementing the system functionality to record the data correctly. The reengineering plan should also incorporate, to the extent possible, the functionality to integrate within the Logistics Modernization Program system the:</p> <ol style="list-style-type: none"> 1. Debt Management Process, and 2. Cash Management Process. 	5 years 10 months 26 days
<p>Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should document and certify to the Deputy Chief Financial Officer the comprehensive review of Army Working Capital Fund business activities that baselines the DoD transaction codes and general ledger accounts needed for recording the Logistics Modernization Program Budget-to-Report business process.</p>	5 years 10 months 26 days
<p>Rec. A.3.e: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should direct activities to conduct an immediate investigation of abnormal balances in unobligated accounts to determine whether a potential funding violation occurred.</p>	5 years 10 months 26 days

* Responsibility for implementing Recommendation A.1 was transferred from the OUSD(C) to the Army.

APPENDIX A

Department of the Army (cont'd)**Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete, and use it to validate General Fund Enterprise Business System general ledger account postings.	5 years 8 months 29 days
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) direct the General Fund Enterprise Business System Program Management Office to reconfigure the General Fund Enterprise Business System to properly record Budget-to-Report transactions, including implementing system controls to address items identified in this report.	5 years 8 months 29 days
Rec. 1.d: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) use the General Fund Enterprise Business System to execute all Army General Fund appropriations.	5 years 8 months 29 days

Report No. DODIG-2014-096, "Improvements Needed in Contract Administration of Mi-17 Cockpit Modification Task Order," July 28, 2014 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. A: The DoD OIG recommended that the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate Director require the contracting officer to modify the contract to remove the additional fee and recoup from the contractor the [REDACTED] unallowable fixed-fee increase (which includes the [REDACTED] the Army Contracting Command-Redstone agreed to recoup) in accordance with Federal Acquisition Regulation subpart 16.306, "Cost-plus-fixed-fee contracts."	5 years 8 months 3 days
Rec. B.1: The DoD OIG recommended that the Director of the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate take action to terminate the sixth cockpit modification and, as appropriate, negotiate a settlement with the contractor.	5 years 8 months 3 days
(FOUO)	

Report No. DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.	5 years 7 months 18 days

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Department of the Army – Report No. DODIG-2014-101 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.g: The DoD OIG recommended that the Brooke Army Medical Center Commander provide U.S. Army Medical Command all the Medicaid-eligible claims denied by Texas Medicaid Health Partnership for missing the 95-day filing requirement to identify the value and impact of those claims to Brooke Army Medical Center.	5 years 7 months 18 days

Report No. DODIG-2014-118, “Improvements Needed in Contract Award of Mi-17 Cockpit Modification Task Order,” September 19, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Director of the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate take action to recoup payments for the sets of manuals that were not accepted or delivered.*	5 years 6 months 12 days

* The agreed-upon corrective actions have been completed by DoD management; however, the Department’s recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

Report No. DODIG-2015-016, “Department of Defense Suicide Event Report Data Quality Assessment,” November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	5 years 4 months 17 days
Rec. 3.d: The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> 1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2. adapt and implement the proposed standard operating procedure/guidelines for the Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report. 	5 years 4 months 17 days
Rec. 4.e: The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	5 years 4 months 17 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2015-128, "Army Needs to Improve Processes Over Government-Furnished Material Inventory Actions," May 21, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) create a subaccount within the Logistics Modernization Program system to track receipt, acceptance, and consumption of Government-furnished material within an "Inventory, Work-in-Process" account.	4 years 10 months 10 days
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) expedite efforts to transition to the consumption method of accounting for Government-furnished material, unless it can document a strong business case for using the purchase method to recognize operating materials and supplies expenses. In developing this business case, consider the capitalization effect of Government-furnished material items included for upgrades, modifications, or assembly of end items, including general equipment.	4 years 10 months 10 days
Rec. 3: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, and the Assistant Secretary of the Army (Financial Management and Comptroller), in collaboration with the Commander, Army Materiel Command, develop a business process and the Logistics Modernization Program posting logic to identify and track Army Working Capital Fund inventory provided to contractors as Government-furnished material within the Logistics Modernization Program system. Specifically, the Army should use the Logistics Modernization Program system to report Army Working Capital Fund inventory provided to contractors as Government-furnished material to meet requirements in the DoD Manual 4140.01, volume 9, and DoD 7000.14-R, DoD Financial Management Regulation, volume 4, chapter 4.	4 years 10 months 10 days

Report No. DODIG-2015-181, "Continental United States Military Housing Inspections-Southeast," September 24, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. I.1: The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment review and update its policy to ensure that Army publications properly and consistently address radon assessment and mitigation requirements.*	4 years 6 months 7 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-079, "Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight," April 28, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Regional Health Command-Europe Commander review, research, and pursue collection on the delinquent medical service accounts that remain open.	3 years 11 months 3 days
Rec. 2.b: The DoD OIG recommended that the U.S. Army Medical Command, take action to collect the 619 medical service accounts elevated by Regional Health Command Europe to the U.S. Army Medical Command in 2008 or coordinate with the Assistant Secretary of Defense (Health Affairs) to determine what action is needed to write off the debt.	3 years 11 months 3 days

Report No. DODIG-2016-080, "Army's Management of Gray Eagle Spare Parts Needs Improvement," April 29, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Unmanned Aircraft System Project Manager complete the actions necessary to include the Gray Eagle spare parts in an Army Accountable Property System of Record.	3 years 11 months 2 days
Rec. A.1.b.iv: The DoD OIG recommended that the Unmanned Aircraft System Project Manager require that the Product Manager-Medium Altitude Endurance use existing Defense Logistics Agency inventory, when possible, before purchasing the spare parts from General Atomics.	3 years 11 months 2 days

Report No. DODIG-2016-108, "Army Needs Greater Emphasis on Inventory Valuation," July 12, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. Army Materiel Command establish policies and procedures focused on computing inventory valuation at moving average cost, including monitoring moving average cost values for National Item Identification Numbers at plants and making supported corrections of moving average cost values.	3 years 8 months 19 days
Rec. 1.b: The DoD OIG recommended that the Commander of U.S. Army Materiel Command review and correct moving average cost valuation for all Inventory, Available and Purchased for Resale.	3 years 8 months 19 days
Rec. 1.c: The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement procedures to properly maintain historical cost when transferring inventory between plants and properly capture and record all appropriate purchase, transportation, and production costs to bring the inventory items to their current condition and location.	3 years 8 months 19 days

APPENDIX A

Department of the Army – Report No. DODIG-2016-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.e: The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement continuous training for Army logistics and inventory management personnel involved with inventory movements that affect inventory valuation at moving average cost in the Logistics Modernization Program system. The training should cover how to properly record inventory receipt, acceptance, transfer, and sale transactions within the system to ensure that the historical costs of the inventory are properly captured for inventory valuation.	3 years 8 months 19 days
Rec. 1.f: The DoD OIG recommended that the Commander of U.S. Army Materiel Command develop procedures that post reconciling transactions to the same general ledger accounts as the original transactions.	3 years 8 months 19 days
Rec. 1.h: The DoD OIG recommended that the Commander of U.S. Army Materiel Command direct the Logistics Modernization Program Product Office to implement standard tolerance levels and other validation controls for processing inventory transactions.	3 years 8 months 19 days
Rec. 1.i: The DoD OIG recommended that the Commander of U.S. Army Materiel Command establish Non-Army Managed Items unit of measure elements properly in the Logistics Modernization Program system. Review the Logistics Modernization Program system Material Master data to identify all items with incorrect unit of measure elements and develop a strategy to correct them.	3 years 8 months 19 days
Rec. 1.j: The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement controls, including management oversight, to review and approve all Material Master file data changes prior to implementing them within the Logistics Modernization Program system and issue guidance on how personnel should properly establish and maintain unit of measure elements in the Logistics Modernization Program system.	3 years 8 months 19 days

Report No. DODIG-2016-112, “Army Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance,” July 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Commander of the United States Army Corps of Engineers, Engineering Support Center-Huntsville; and the Executive Directors for Army Contracting Command-Aberdeen Proving Ground, Army Contracting Command-Redstone Arsenal, and Army Contracting Command-Warren, develop and implement organization-wide procedures that identify specific timeframes and steps for Contractor Performance Assessment Reporting System officials to perform to ensure they prepare performance assessment reports within the 120-day requirement identified in the Under Secretary of Defense for Acquisition, Technology, and Logistics memorandum and include the 60-day contractor comment period.	3 years 8 months 6 days

APPENDIX A

Department of the Army – Report No. DODIG-2016-112 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 6: The DoD OIG recommended that the Commander, United States Army Corps of Engineers, Engineering Support Center-Huntsville; and Executive Director, Army Contracting Command-Redstone Arsenal, develop and implement organization-wide procedures for registering contracts in the Contractor Performance Assessment Reporting System.	3 years 8 months 6 days

Report No. DODIG-2017-004, “Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts,” October 14, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements.*	3 years 5 months 17 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2017-045, “Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight,” January 27, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. Army Medical Command review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible.	3 years 2 months 4 days

Report No. DODIG-2017-057, “Army Officials Need to Improve the Management of Relocatable Buildings,” February 16, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Installations, Housing, and Partnerships, revise Army Regulation 420-1, “Army Facilities Management,” to align the Army’s definition of relocatable buildings to the definition in DoD Instruction 4165.56, “Relocatable Buildings,” thus eliminating the requirement for the analysis pertaining to the disassembly, repackaging, and nonrecoverable costs of relocatable buildings.	3 years 1 month 15 days
Rec. 1.b: The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Installations, Housing, and Partnerships develop additional policy for circumstances where requirements would dictate that relocatable buildings are appropriate instead of modular facilities or other minor construction.	3 years 1 month 15 days

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Department of the Army – Report No. DODIG-2017-057 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4.a: The DoD OIG recommended that the Chief, Directorate of Public Works at Joint Base Lewis-McChord perform the steps necessary to convert the six nonrelocatable buildings from relocatable to real property.	3 years 1 month 15 days

Report No. DODIG-2017-069, “Ineffective Fund Balance With Treasury Reconciliation Process for Army General Fund,” March 23, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Defense Finance and Accounting Service-Indianapolis Director reengineer their reconciliation process to meet the 10-workday deadline or coordinate with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to determine whether DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 2, needs to be revised to increase the number of days for the reconciliation process.	3 years 8 days
Rec. 2.a-d: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Defense Finance and Accounting Service-Indianapolis Director reengineer the Fund Balance With Treasury reconciliation process for the Army General Fund to: <ul style="list-style-type: none"> a. correct system deficiencies known to cause Fund Balance With Treasury differences; b. research and resolve all differences within 60 days, as required by DoD Financial Management Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 2; c. document the Defense Departmental Reporting System-Budgetary business rules that create the system-generated adjustments; and d. support all Fund Balance With Treasury adjustments to the Army General Fund with transaction-level detail, as required by DoD Financial Management Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 6a, chapter 2, and establish and properly document the cause of the difference requiring adjustment to comply with the Treasury Financial Manual. 	3 years 8 days
Rec. 3.a-c: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop and implement: <ul style="list-style-type: none"> a. system changes to ensure that Fund Balance With Treasury transaction data for the Army General Fund are transmitted, processed, maintained, and accessed in a standardized format for all non-legacy accounting and financial systems; b. a methodology for standardizing data from legacy accounting and financial systems; and c. the system functionality to demonstrate posting logic for all non-legacy accounting and financial systems containing Fund Balance With Treasury transactions to ensure that the Army posts transactions in a standard format. 	3 years 8 days

APPENDIX A

Department of the Army (cont'd)**Report No. DODIG-2017-095, "U.S. Army's Management of the Heavy Lift VII Commercial Transportation Contract Requirements in the Middle East," June 26, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander implement a systemic process for collecting Heavy Lift asset usage and establish a consistent schedule for analyzing usage information in order to use quantitative and qualitative factors when forecasting requirement quantities on future task orders.	2 years 9 months 5 days
Rec. 1.b: The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander review instances of poor mission planning and execution that resulted in ordering wasted assets in the Heavy Lift program, track the trends that led to inefficiency in the program, and implement corrective actions to prevent those inefficiencies from re-occurring.	2 years 9 months 5 days
Rec. 1.c: The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander update the requirement review process standard operating procedures to ensure requirements packages that are submitted to the review boards include all information that is necessary for the validation authority to make an informed decision.	2 years 9 months 5 days
Rec. 2: The DoD OIG recommended that the U.S. Army Central, Commander develop updated procedures to ensure requirement review boards are validating the entire requirement that the 1st Sustainment Command (Theater) is requesting.	2 years 9 months 5 days

Report No. DODIG-2017-114, "Documentation to Support Costs for Army Working Capital Fund Inventory Valuation," August 25, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.g: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a process to maintain credit values given for returns for credit and unserviceable credit transactions.	2 years 7 months 6 days

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 159 Criminal Investigation Division fingerprint cards and 264 Criminal Investigation Division final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database.	2 years 3 months 27 days

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Department of the Army – Report No. DODIG-2018-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.b: The DoD OIG recommended that the Secretary of the Army immediately and comprehensively review all Army criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.	2 years 3 months 27 days
Rec. A.1.e: The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	2 years 3 months 27 days
Rec. B.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 103 Installation Management Command fingerprint cards and 121 installation final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database.	2 years 3 months 27 days
Rec. B.1.b: The DoD OIG recommended that the Secretary of the Army immediately and comprehensively review all Installation Management Command criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.	2 years 3 months 27 days
Rec. B.1.c: The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that Installation Management Command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in Army Inspector General inspections, and is actually conducted.	2 years 3 months 27 days
Rec. B.1.e: The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that other required investigative and criminal history information, such as, criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	2 years 3 months 27 days
Rec. B.1.f: The DoD OIG recommended that the Secretary of the Army take prompt action to direct the U.S. Army Provost Marshal General to revise Army Regulation 190-45 to align with the fingerprint card and final disposition report submission requirements in DoD Instruction 5505.11.	2 years 3 months 27 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the United States Army Medical Command Commander evaluate the Madigan Army Medical Center's Patient Safety Indicator #90 performance after the new Patient Safety Indicator #90 measures and benchmarks are available to determine if the facility is outperforming, performing the same as, or underperforming compared to other healthcare facilities; and take appropriate action to correct all identified deficiencies.	2 years 3 months 17 days

Report No. DODIG-2018-042, "Evaluation of Army Recovered Chemical Warfare Materiel Response Actions," December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment issue policy to replace the Army Interim Guidance and direct the Commander of the U.S. Army Corps of Engineers to update Engineering Pamphlet 75-1-3 to comply with Army Regulation 25-30.	2 years 3 months 17 days

Report No. DODIG-2018-052, "The Army Demilitarization Program," December 19, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop procedures to annually determine a reasonable and supportable estimate for the cost to dispose of the demilitarization stockpile and report the associated liability in the Army General Fund Financial Statements and related notes.	2 years 3 months 12 days

Report No. DODIG-2018-076, "Chemical Demilitarization-Assembled Chemical Weapons Alternatives Program," February 22, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, convene a working group of DoD subject matter experts to help determine the best way to structure the additional incentive to motivate the contractors to reduce costs at the Pueblo Chemical Agent-Destruction Pilot Plant and the Blue Grass Chemical Agent-Destruction Pilot Plant and achieve an accelerated safe destruction of the remaining chemical weapons.	2 years 1 month 9 days

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Department of the Army – Report No. DODIG-2018-076 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, analyze the rework performed at the Pueblo Chemical Agent-Destruction Pilot Plant and the Blue Grass Chemical Agent-Destruction Pilot Plant to determine the cost of additional rework.	2 years 1 month 9 days
Rec. 1.d: The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, based on the cost of additional construction rework, either recoup funds paid by the Government or obtain other appropriate consideration.	2 years 1 month 9 days

Report No. DODIG-2018-099, “Army Internal Controls Over Foreign Currency Accounts and Payments,” March 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.4: The DoD OIG recommended that the Deputy Assistant Secretary of the Army (Financial Operations) update the Army accounting systems once the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer issues the DoD standard general ledger transactions and guidance for recording foreign currency exchange rate gains and losses, as required by DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 6a, chapter 7.	2 years 2 days
Rec. A.5.c: The DoD OIG recommended that the 266th Financial Management Support Center Director implement controls to ensure the Italy Finance Office maintains proper separation of duties between personnel responsible for payroll system maintenance and personnel in the Local National Payroll Office and Accounting Office.	2 years 2 days
Rec. A.5.e: The DoD OIG recommended that the 266th Financial Management Support Center Director develop and implement a plan to replace the current Italian Local National Payroll System with a system that meets U.S. Government Federal Financial Management Improvement Act of 1996, section 803(a), and Office of Management and Budget Circular No. A-123 requirements for Federal financial management systems.	2 years 2 days
Rec. B.4: The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea; Defense Finance and Accounting Service-Japan Director; and U.S. Army Corps of Engineers Finance Center Director develop local procedures to comply with revised guidance identified in Recommendation B.1, when issued by the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer.*	2 years 2 days

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Department of the Army – Report No. DODIG-2018-099 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.5.a: The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea, develop and implement an approval process for all currency exchanges to ensure all collections of Korean won into the unrestricted limited depository checking account have an immediate need and will not cause the balance to exceed a 7-day supply or obtain approval to exceed the 7-day supply of funds using a plan that provides a timeline and outlines how the funds will be fully expended.	2 years 2 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

Report No. DODIG-2018-113, “Army and Marine Corps Joint Light Tactical Vehicle,” May 2, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Joint Program Office Project Manager Joint Light Tactical Vehicles determine the additional costs required to integrate the selected [REDACTED] into the Joint Light Tactical Vehicles and determine whether those costs are affordable.*	1 year 10 months 29 days
Rec. 1.b: The DoD OIG recommended that the Joint Program Office Project Manager Joint Light Tactical Vehicles, prior to fielding, equip all Joint Light Tactical Vehicles with an [REDACTED].*	1 year 10 months 29 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2018-115, “DoD FY 2017 Compliance With the Improper Payments Elimination and Recovery Act Requirements,” May 9, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Director of Financial Services for the Army Financial Management Command develop, implement, and submit to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD a statistically valid travel sampling plan that identifies the complete universe of Army Windows Integrated Automated Travel System payments and how each Army overseas paying office will test for improper payments, in accordance with Office of Management and Budget guidance.	1 year 10 months 22 days
Rec. 2.b: The DoD OIG recommended that the Director of Financial Services for the Army Financial Management Command develop, implement, and submit to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD a statistically valid sampling plan that identifies the complete universe of Army commercial payments and how each Army overseas paying office will test for improper payments, in accordance with Office of Management and Budget guidance.	1 year 10 months 22 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2018-119, "DoD Oversight of Logistics Civil Augmentation Program in Afghanistan Invoice Review and Payment," May 11, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.b: The DoD OIG recommended that the Army Contracting Command-Rock Island Executive Director modify the Logistics Civil Augmentation Program IV contract to require contractors to submit transaction-level accounting data that accurately represents the costs billed on vouchers in the Invoicing, Receipt, Acceptance, and Property Transfer system.	1 year 10 months 20 days
Rec. A.3: The DoD OIG recommended that the Army Contracting Command-Afghanistan Principal Assistant Responsible for Contracting develop a standard operating procedure to monitor contractor billing and communicate the results to the Procuring Contracting Officer and the responsible Defense Contract Audit Agency office.	1 year 10 months 20 days

**Report No. DODIG-2018-122, "U.S. Strategic Command Facility Construction Project," May 31, 2018
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General issue guidance to implement lessons learned from the U.S. Strategic Command Facility Construction Project into other military construction projects that contain DoD-unique requirements.	1 year 10 months
Rec. 3.d: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General complete an after-action review following the construction of the U.S. Strategic Command replacement facility.	1 year 10 months

Report No. DODIG-2018-125, "The Fort Bliss Hospital Replacement Military Construction Project," June 6, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that the U.S. Army Corps of Engineers Commander review the actions of the individuals involved in the Fort Bliss Hospital Replacement project to determine whether any actions resulted in the cost and time increase related to design errors and omissions.	1 year 9 months 25 days
Rec. 3.b: The DoD OIG recommended that the U.S. Army Corps of Engineers Commander issue guidance to improve technical expertise and discipline for medical infrastructure projects and improve understanding of performance specifications and extensions of design and performance metrics for projecting a project at risk.	1 year 9 months 25 days

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Department of the Army – Report No. DODIG-2018-125 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.c: The DoD OIG recommended that the U.S. Army Corps of Engineers Commander complete an after action review following the construction of the Fort Bliss Hospital Replacement project.	1 year 9 months 25 days
Rec. 3.d: The DoD OIG recommended that the U.S. Army Corps of Engineers Commander issue guidance directing contracting personnel to issue annual past performance evaluations for contractors in the Contractor Performance Assessment Reporting System as required by Federal Acquisition Regulation Subpart 42.15.	1 year 9 months 25 days

Report No. DODIG-2018-130, “Procurement Quantities of the AH-64E Apache New Build and Remanufacture Helicopter Programs,” June 25, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, review and validate that the Deputy Chief of Staff of the Army, G-3/5/7, can justify the determined quantities of the AH-64E and that proper reviews and analyses are conducted before approving training and test quantities.	1 year 9 months 6 days
Rec. 1.c: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, prepare and retain supporting documentation for decisions to approve the Army Acquisition Objective in accordance with DoD Instruction 5015.2.	1 year 9 months 6 days
Rec. 1.d: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, coordinate with Deputy Chief of Staff of the Army, G-3/5/7, officials to assess affordability after Deputy Chiefs of Staff of the Army, G-3/5/7 and G-4, have determined that quantities of AH-64Es are based on mission need and to ensure that the planned procurement quantity is a sustainable investment decision that can be supported based on future budget expectations.	1 year 9 months 6 days
Rec. 2.b: The DoD OIG recommended that the Deputy Chief of Staff of the Army G-3/5/7, analyze the supportability, affordability, and feasibility of AH-64Es to determine the quantity necessary for training and test and submit changes to the Army Acquisition Objective to the G-8 in accordance with Execute Order 165-17.	1 year 9 months 6 days
Rec. 2.d: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-3/5/7, prepare and retain supporting documentation for decisions justifying the necessary quantities of AH-64Es in accordance with DoD Instruction 5015.2.	1 year 9 months 6 days
Rec. 3.b: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, prepare and retain supporting documentation for decisions justifying the necessary quantities of AH-64Es in accordance with DoD Instruction 5015.2.	1 year 9 months 6 days
Rec. 3.c: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, review and determine whether the Operational Readiness Float and Repair Cycle Float calculation in Army Regulation 750-1 should be updated.	1 year 9 months 6 days

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-132, "Management of Army Equipment in Kuwait and Qatar," June 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Chief of Land Based Army Prepositioned Stock Division for Army Sustainment Command review current oversight procedures and establish appropriate mechanisms for contracting officer representatives to follow for changes in maintenance schedules when a vehicle moves from a controlled humidity environment to a non-controlled humidity environment.	1 year 9 months 2 days
Rec. A.2: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4 (Logistics), in conjunction with the Commander of Army Materiel Command, review Army Technical Manual 38-470 for equipment in the Care of Supplies in Storage program and determine appropriate timeframes for changes in maintenance schedules when equipment is moved from a humidity controlled environment to a non-humidity controlled environment or vice versa.	1 year 9 months 2 days
Rec. B: The DoD OIG recommended that the Chief of Staff of the Army direct the Deputy Chief of Staff of the Army, G-4, in conjunction with the Commander, Army Materiel Command, to review and update Army Regulations 710-1, 725-50, 740-26, and 735-5 with procedures to ensure 100-percent accountability of Army Prepositioned Stock equipment.	1 year 9 months 2 days

Report No. DODIG-2018-152, "Management of Prepositioned Stock in U.S. European Command," September 17, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4 (Logistics), in conjunction with the Commander of U.S. Army Materiel Command, update Army Technical Manual 38-470 to include requirements that specify who is responsible for maintaining controlled humidity levels and performing inspections for the controlled humidity facilities.	1 year 6 months 14 days
Rec. 1.b: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4 (Logistics), in conjunction with the Commander of U.S. Army Materiel Command, update Army Technical Manual 38-470 to include requirements that clearly state how often preventive maintenance on APS weapons should be maintained and ensure consistency in other applicable criteria.	1 year 6 months 14 days

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2019-019, "Evaluation of Contracting Officer Actions on Contractor Pricing Proposals Deemed Inadequate by Defense Contract Audit Agency," November 14, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.2: The DoD OIG recommended that the Commanders at the eight DoD buying commands, including the Air Force Sustainment Center, the Air Force Life Cycle Management Center, the Naval Air Systems Command, the Naval Sea Systems Command, the Space and Naval Warfare Systems Command, and the Army Contracting Commands at Redstone, Rock Island, and Warren, provide refresher training to contracting personnel on the requirements for filing the negotiation memorandum in accordance with Defense Federal Acquisition Regulation Supplement, Procedures, Guidance, and Information 215.406-3(a)(11), "Documenting the Negotiation."	1 year 4 months 17 days

Report No. DODIG-2019-029, "DoD Task Orders Issued Under One Acquisition Solution for Integrated Services Contracts," November 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Executive Director for Army Contracting Command, Redstone Arsenal, require the contracting officer to determine if the employees met the labor categories specified in task order W31P4Q-15-F-0007 and, if not, take appropriate corrective action, including the recovery of improper payments.	1 year 4 months 4 days
Rec. 2.b: The DoD OIG recommended that the Executive Director for Army Contracting Command, Redstone Arsenal, require the contracting officer to report all improper payments to the Office of the Under Secretary of Defense (Comptroller), Accounting and Finance Policy Directorate and notify the DoD Office of Inspector General.	1 year 4 months 4 days

Report No. DODIG-2019-038, "Follow-up of Delinquent Medical Service Account Audits," December 19, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Commander of the U.S. Army Medical Command update applicable regulations to identify U.S. Army Medical Command as the debt termination authority if U.S. Army Medical Command officials obtain the authority from the Secretary of the Army.	1 year 3 months 12 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2019-056, "Accounting and Financial Reporting for the Military Housing Privatization Initiative," February 12, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment, in coordination with the Assistant Secretary of Defense for Sustainment and any other necessary DoD organizations, rebalance the subsidy cost for the Fort Wainwright/Greely project loan guarantee after the next reestimate process, to include deobligating the \$1.8 million that Army unnecessarily paid.	1 year 1 month 19 days
Rec. B.5.a: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to reconcile their privatized housing inventories with the private partners' housing inventories and update the records as needed to establish a baseline.	1 year 1 month 19 days
Rec. B.5.b: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to develop and implement procedures to accurately record the additions and removals of housing records to ensure consistency between the Military Department and private partner systems.	1 year 1 month 19 days
Rec. B.5.c: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to develop and implement controls to ensure that the enterprise Military Housing system and Military Department housing records reconcile once privatized housing records are in the enterprise Military Housing system.	1 year 1 month 19 days

Report No. DODIG-2019-061, "Audit of the DoD's Implementation of Recommendations on Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," March 7, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Commander of U.S. Army Installation Management Command and the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, conduct a review of all general public tenants leasing privatized housing on military installations as of January 1, 2019, to ensure that those tenants receive complete and adequate background checks and that access badge expiration dates do not exceed lease expiration dates in accordance with current Military Department guidance.	1 year 24 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: Recommendation is Classified.	1 year 13 days
(FOUO) Rec. A.1.d: The DoD OIG recommended that the Army Chief Information Officer, [REDACTED]	1 year 13 days
(FOUO) Rec. A.1.e: The DoD OIG recommended that the Army Chief Information Officer, [REDACTED]	1 year 13 days
(FOUO) Rec. B.4: The DoD OIG recommended that the Army Chief Information Officer, [REDACTED]	1 year 13 days
(FOUO) Rec. B.5.a: The DoD OIG recommended that the [REDACTED]	1 year 13 days
(FOUO) Rec. B.5.b: The DoD OIG recommended that the [REDACTED]	1 year 13 days
(FOUO)	

Report No. DODIG-2019-066, "Summary Audit of Systemic Weaknesses in the Cost of War Reports," March 22, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the Auditor General of the Army, Auditor General of the Navy, and Auditor General of the Air Force include followup audits that verify the accuracy of the Cost of War data in their FY 2020 audit plans.	1 year 9 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2019-069, "Audit of the Army's Oversight of National Afghan Trucking Services 3.0 Contracts," April 1, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Commander of the Army Contracting Command-Afghanistan review the May and June 2018 invoices to determine the amount overpaid as a result of using the incorrect performance work statement and request that the contractors return the funds paid in error.	11 months 30 days

Report No. DODIG-2019-073, "Audit of Payments to the DoD for Medical Services Provided to Department of Veterans Affairs Beneficiaries at Selected Army Medical Centers," April 8, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Commander of the U.S. Army Medical Command coordinate with the Health Executive Committee to develop a requirement for the clear identification of Veterans Affairs Pacific Island Health Care System rejected claims.	11 months 23 days
Rec. 4.c: The DoD OIG recommended that the Tripler Army Medical Center Commander review all FY 2017 unpaid claims for care to Department of Veterans Affairs beneficiaries and resubmit those claims that were incorrectly denied to the Veterans Affairs Pacific Island Health Care System for payment.	11 months 23 days
Rec. 4.d: The DoD OIG recommended that the Tripler Army Medical Center Commander review procedures for coding and billing for care to Department of Veterans Affairs beneficiaries and adjust procedures or allocate additional resources to enable billing within the 30-day timeliness standard.	11 months 23 days
Rec. 4.f: The DoD OIG recommended that the Tripler Army Medical Center Commander review all FY 2017 claims denied by the Veterans Affairs Pacific Island Health Care System because of the FY 2016 settlement and resubmit those claims denied due to the settlement.	11 months 23 days

Report No. DODIG-2019-075, "Evaluation of Military Services' Law Enforcement Responses to Domestic Violence Incidents," April 19, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that a comprehensive review of all criminal investigative databases and files is conducted to verify that all subjects of domestic violence incidents from 1998 to present are titled and indexed in the Defense Central Index of Investigation, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	11 months 12 days

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Department of the Army – Report No. DODIG-2019-075 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.c: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that subject fingerprint cards and final disposition reports are collected and submitted to the Federal Bureau of Investigations Criminal Justice Information Services Division database for all subjects that we determined were not submitted, as required by DoD Instruction 5505.11, "Fingerprint Card and Final Disposition Report Submission Requirements," July 21, 2014, as amended.	11 months 12 days
Rec. B.1.d: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that DNA is collected and submitted to the Defense Forensics Science Center for submission to the Combined DNA Index System for all qualifying subjects that we determined were not submitted, as required by DoD Instruction 5505.14, "Deoxyribonucleic Acid (DNA) Collection Requirements for Criminal Investigations, Law Enforcement, Corrections, and Commanders," December 22, 2015.	11 months 12 days

Report No. DODIG-2019-083, "Evaluation of Operations and Management of Arlington and Soldiers' and Airmen's Home National Military Cemeteries," May 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A: The DoD OIG recommended that the Executive Director, Army National Military Cemeteries, implement and field the Quality Assurance module in the Enterprise Interment Services System, the future sole system for all Army cemeteries, to adjudicate for accuracy all data merged from the Arlington National Cemetery Research Tool, the Army National Military Cemeteries Research Tool, and the current Interment Services System.	10 months 11 days
Rec. B.2: The DoD OIG recommended that the Executive Director of Army National Military Cemeteries direct a census review of Soldiers' and Airmen's Home National Cemeteries burial sites during transition from the Cemetery Research Tool to the Enterprise Interment Services System to ensure the accurate and complete transfer of information.	10 months 11 days
Rec. D: The DoD OIG recommended that the Executive Director, Army National Military Cemeteries, finalize and publish the updated drafts of Army Regulation 290-5, "Army Cemeteries," and Army Pamphlet 290-5, "Administration, Operation, and Maintenance of Army Cemeteries," to fully implement the provisions of the United States Code applicable to operations of the Arlington National Cemetery and the Soldiers' and Airmen's Home National Cemetery.	10 months 11 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2019-084, "Evaluation of the Operations and Management of Military Cemeteries," May 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that, once the DoD issues its instruction, the Secretaries of the Army, Navy, and Air Force update the cemetery regulations accordingly.	10 months 11 days

Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers include Federal Acquisition Regulation clause 52.222-50 in all contracts, and update the clause, as needed, to reflect the current version of the clause.	9 months 20 days
Rec. B.1.b: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers ensure that quality assurance surveillance plans are prepared for all contracts that require them, and include appropriate methods for monitoring the contractor's performance regarding trafficking in persons.	9 months 20 days
Rec. B.1.c: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers document in the contract file how monitoring for compliance with the Combating Trafficking in Persons clause will be accomplished, and by whom, if a contract does not require a quality assurance surveillance plan.	9 months 20 days
Rec. B.1.d: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers verify that contracting officer's representatives accomplish and document proper monitoring of contractor compliance with DoD combating trafficking in persons regulations.	9 months 20 days
Rec. B.1.e: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers enter contractor past performance information, including combating trafficking in persons violations, promptly into the Contractor Performance Assessment Reporting System.	9 months 20 days
Rec. B.2: The DoD OIG recommended that the Army Contracting Command-Rock Island Executive Director require contracting officers to update surveillance and reporting documents for contracting officer's representatives to record that monitoring of the contractor's performance regarding trafficking in persons is accomplished.	9 months 20 days

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Department of the Army – Report No. DODIG-2019-088 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.1: The DoD OIG recommended that the Army Contracting Command-Rock Island Executive Director establish a process or identify a resource for DoD contracting officials to obtain definitive guidance on Kuwaiti labor laws that apply to DoD contracts.	9 months 20 days
Rec. D.1: The DoD OIG recommended that the 408th Contract Support Brigade Commander request designated mission and permanent billets to support the brigade's Combating Trafficking in Persons Inspections Team.	9 months 20 days

Report No. DODIG-2019-093, "Evaluation of U.S. European Command's Nuclear Command and Control Between the President and Theater Nuclear Forces," June 10, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: Recommendation is Classified.	9 months 21 days

Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication.	8 months 8 days
Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to mitigating vulnerabilities in a timely manner.	8 months 8 days

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Department of the Army – Report No. DODIG-2019-105 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.c: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to protecting and monitoring data on removable media.	8 months 8 days
Rec. A.3.e: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using an automatic system lock after inactivity or unsuccessful logon attempts.	8 months 8 days
Rec. A.3.f: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to implementing physical security controls.	8 months 8 days
Rec. A.3.g: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to generating system activity reports.	8 months 8 days
Rec. A.3.h: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to requiring and maintaining justification for accessing systems that contain controlled unclassified information.	8 months 8 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2019-111, "Evaluation of USAFRICOM and SOCAFRICA's Processes for Determining and Fulfilling Intelligence Requirements for Counterterrorism," August 13, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: Recommendation is Classified.	7 months 18 days

Report No. DODIG-2019-114, "Audit of the Army Integrated Air and Missile Defense Program," August 19, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the project manager of the Army Integrated Air and Missile Defense Program modify the Milestone C review date to allow Army Test and Evaluation Command officials sufficient time to fully analyze and report on the limited user test data (in the operational test agency milestone assessment report).	7 months 12 days
Rec. 2: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, immediately conduct an affordability analysis to establish total life-cycle affordability constraints and determine whether the Army can afford the Integrated Air and Missile Defense Program through 2049, in accordance with DoD Instruction 5000.02.	7 months 12 days

Report No. DODIG-2019-116, "Audit of Contingency Planning for DoD Information Systems," August 21, 2019 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 2: The DoD OIG recommended that the Secretary of the Army, in coordination with the Army Chief Information Officer, [REDACTED] based on the revisions made to DoD Instruction 8500.01, "Cybersecurity," March 14, 2014, or any additional guidance provided by the DoD Chief Information Officer.	7 months 10 days
(FOUO)	

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2019-108, "Audit of the DoD's Management of the Third Party Collection Program for Medical Claims," September 16, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4.a: The DoD OIG recommended that the Commander of Madigan Army Medical Center direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	6 months 15 days
Rec. 4.b: The DoD OIG recommended that the Commander of Madigan Army Medical Center develop a plan and take action to process, and as appropriate, bill through the applicable cost recovery program, all patient encounters at Madigan Army Medical Center that are not assigned a credentialed provider or are missing medical coding or doctor's notes, including the 16,243 patient encounters between October 1, 2018, and December 31, 2018.	6 months 15 days
Rec. 4.c: The DoD OIG recommended that the Commander of Madigan Army Medical Center review and modify procedures for obtaining pre-authorization when beneficiaries receive services at the medical facility that require pre-authorization from the insurance provider.	6 months 15 days
Rec. 4.d: The DoD OIG recommended that the Commander of Madigan Army Medical Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days
Rec. 4.e: The DoD OIG recommended that the Commander of Madigan Army Medical Center develop and implement procedures to review and validate denials before writing off claims, and implement procedures to process denials by beneficiary.	6 months 15 days
Rec. 4.f: The DoD OIG recommended that the Commander of Madigan Army Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 4.g: The DoD OIG recommended that the Commander of Madigan Army Medical Center provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days
Rec. 5.a: The DoD OIG recommended that the Commander of Brooke Army Medical Center direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	6 months 15 days
Rec. 5.c: The DoD OIG recommended that the Commander of Brooke Army Medical Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days

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Department of the Army – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5.d: The DoD OIG recommended that the Commander of Brooke Army Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 5.e: The DoD OIG recommended that the Commander of Brooke Army Medical Center provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days
Rec. 10.a: The DoD OIG recommended that the Commander of the Kimbrough Ambulatory Care Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days
Rec. 10.b: The DoD OIG recommended that the Commander of the Kimbrough Ambulatory Care Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 10.c: The DoD OIG recommended that the Commander of the Kimbrough Ambulatory Care Center provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days
Rec. 11.a: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency review the contract language for the Third Party Collection Program contracts, and align the contract terms with all applicable Federal and DoD regulations.	6 months 15 days
Rec. 11.b: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency implement oversight procedures to monitor contractor performance in accordance with the terms of the contract and all Federal and DoD regulations.	6 months 15 days
Rec. 11.c: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency hold any contracting personnel assigned oversight responsibility accountable for not appropriately performing oversight procedures necessary to ensure the contractor complied with Federal and DoD regulations and contract terms.	6 months 15 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2019-128, "Audit of U.S. Army Corps of Engineers Oversight of Contracts for Repair and Restoration of the Electric Power Grid in Puerto Rico," September 30, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.a: The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all labor and material costs for contracts W912DY-18-F-0003 and W912DY-18-F-0032 and determine whether they are supportable and allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."	6 months 1 day
Rec. A.2.b: The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all contractor and subcontractor timekeeping records and corresponding travel documentation to determine whether employees were charging time on contracts W912DY-18-F0003 and W912DY-18-0032 before arriving in Puerto Rico. If employees were charging time before arriving in Puerto Rico, contracting officials should require the contractor to provide adequate supporting documentation showing that those costs are allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability." If the contractor cannot support the costs, the contracting officers should determine those costs as unallowable and take action to recoup those costs.	6 months 1 day
Rec. A.2.c: The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all contractor and subcontractor timekeeping records and additional supporting documentation to determine whether contractor and subcontractor employees were appropriately charging standby time and charging overtime when not performing power grid repair and restoration work. If employees were charging overtime while on standby, contracting officials should require the contractor to provide adequate supporting documentation showing that the overtime was reasonable and allowable, in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability." If the contractor cannot support the costs, the contracting officers should determine those costs as unallowable and take action to recoup those costs.	6 months 1 day
Rec. A.2.d: The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review qualifications for all labor categories contained in contracts W912DY-18-F-0003 and W912DY-18-F-0032 and determine whether they meet the requirements of the contract and U.S. Army Corps of Engineers Safety and Health Requirements Manual EM 385-1-1. If labor costs do not meet the contractual or manual requirements, the contracting officer should determine those associated costs as unallowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."	6 months 1 day

APPENDIX A

Department of the Army – Report No. DODIG-2019-128 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.e: The DoD OIG recommended that the Commander of U.S. Army Engineering and Support Center, Huntsville, direct the contracting officers to review all labor hours billed and paid for contracts W912DY-18-F-0003 and W912DY-18-F-0032 and determine whether they exceed the maximum allowable weekly hours contained in U.S. Army Corps of Engineers Safety and Health Requirements Manual EM 385-1-1. If labor costs exceed the manual requirements, the contracting officer should determine those associated costs as unallowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability."	6 months 1 day
Rec. B.2: The DoD OIG recommended that the Commanding General of the U.S. Army Corps of Engineers perform a review of the concerns addressed in this report regarding contract W912EP-18-C-0003, identify responsible personnel, and initiate as appropriate any administrative actions warranted by the review.	6 months 1 day
Rec. B.3: The DoD OIG recommended that the Commander of the U.S. Army Corps of Engineers, Jacksonville District, direct contracting officials to review all labor and material costs for contract W912EP-18-C-0003 and determine whether they are supportable and allowable in accordance with Federal Acquisition Regulation 31.201-2, "Determining Allowability," and provide the DoD Office of Inspector General with the results of the review. If contracting officials are unable to determine whether costs are allowable, they should work with Defense Contract Audit Agency officials to develop a total contract cost reduction to reduce total costs for contract W912EP-18-C-0003.	6 months 1 day

Report No. DODIG-2020-003, "Audit of DoD's Use of Additive Manufacturing for Sustainment Parts," October 17, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Military Service Secretaries and the Marine Corps Commandant require the additive manufacturing leads to implement a process that compiles a complete list of all parts produced using additive manufacturing and parts waiting for approval to share within each Military Service and update the list as needed.	5 months 14 days
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, Under Secretary of Defense for Acquisition and Sustainment, Military Service Secretaries, and the Marine Corps Commandant conduct a review to identify the appropriate funding and number of personnel to pursue benefits of additive manufacturing throughout the DoD.	5 months 14 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2020-007, "Audit of U.S. Army Corps of Engineers Compliance With the Digital Accountability and Transparency Act of 2014," November 7, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the U.S. Army Corps of Engineers Chief of Engineers and Commanding General revise and implement the U.S. Army Corps of Engineers data quality plan in accordance with Office of Management and Budget M-18-16, "Appendix A to Office of Management and Budget Circular No. A-123, Management of Reporting and Data Integrity Risk." The revised plan should include, at a minimum, assignment of roles and responsibilities for ensuring Digital Accountability and Transparency Act of 2014 data quality.	4 months 24 days
Rec. 1.b: The DoD OIG recommended that the U.S. Army Corps of Engineers Chief of Engineers and Commanding General revise and implement the U.S. Army Corps of Engineers data quality plan in accordance with Office of Management and Budget M-18-16, "Appendix A to Office of Management and Budget Circular No. A-123, Management of Reporting and Data Integrity Risk." The revised plan should include, at a minimum, a risk assessment process.	4 months 24 days
Rec. 1.c: The DoD OIG recommended that the U.S. Army Corps of Engineers Chief of Engineers and Commanding General revise and implement the U.S. Army Corps of Engineers data quality plan in accordance with Office of Management and Budget M-18-16, "Appendix A to Office of Management and Budget Circular No. A-123, Management of Reporting and Data Integrity Risk." The revised plan should include, at a minimum, a definition of the control environment and control activities specific to the Digital Accountability and Transparency Act of 2014 submission.	4 months 24 days
Rec. 1.d: The DoD OIG recommended that the U.S. Army Corps of Engineers Chief of Engineers and Commanding General revise and implement the U.S. Army Corps of Engineers data quality plan in accordance with Office of Management and Budget M-18-16, "Appendix A to Office of Management and Budget Circular No. A-123, Management of Reporting and Data Integrity Risk." The revised plan should include, at a minimum, a mitigation and monitoring plan for the data elements determined to be high risk.	4 months 24 days
Rec. 1.e: The DoD OIG recommended that the U.S. Army Corps of Engineers Chief of Engineers and Commanding General revise and implement the U.S. Army Corps of Engineers data quality plan in accordance with Office of Management and Budget M-18-16, "Appendix A to Office of Management and Budget Circular No. A-123, Management of Reporting and Data Integrity Risk." The revised plan should include, at a minimum, a testing plan for ensuring that financial and award data in Files A, B, C, D1, D2, E, and Fare accurate before making U.S. Army Corps of Engineers quarterly Digital Accountability and Transparency Act of 2014 submissions.	4 months 24 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2020-028, "Audit of Army Brigade Combat Team Readiness," November 18, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Army Deputy Chief of Staff for Programs monitor ongoing actions regarding low bed semitrailers until fully implemented, and we request annual updates of actions taken to address shortages of equipment, beginning in September 2020.	4 months 13 days
Rec. 1.b: The DoD OIG recommended that the Army Deputy Chief of Staff for Programs monitor ongoing actions regarding modular fuel systems until fully implemented, and we request annual updates of actions taken to address shortages of equipment, beginning in September 2020.	4 months 13 days
Rec. 2.a: The DoD OIG recommended that the Army Deputy Chief of Staff for Logistics monitor ongoing actions regarding mount telescopes until fully implemented, and we request annual updates of actions taken to address shortages of spare parts, beginning in September 2020.	4 months 13 days
Rec. 2.b: The DoD OIG recommended that the Army Deputy Chief of Staff for Logistics monitor ongoing actions regarding fire control switchboards until fully implemented, and we request annual updates of actions taken to address shortages of spare parts, beginning in September 2020.	4 months 13 days
Rec. 3.a: The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel monitor ongoing actions regarding cyber network defenders until fully implemented, and we request annual updates of actions taken to address shortages of personnel, beginning in September 2020.	4 months 13 days
Rec. 3.b: The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel monitor ongoing actions regarding electromagnetic spectrum managers until fully implemented, and we request annual updates of actions taken to address shortages of personnel, beginning in September 2020.	4 months 13 days

Report No. DODIG-2020-035, "Followup Audit of the Army's Implementation of the Acquire-to-Retire and Budget-to-Report Business Processes in the General Fund Enterprise Business System," November 26, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and the Assistant Chief of Staff for Installation Management determine whether the land data can be removed from the General Fund Enterprise Business System, or, as an alternative, if the system can be updated to match the Real Estate Management Information System's land data to ensure that the General Fund Enterprise Business System's land data are consistent with the Real Estate Management Information System's land data.	4 months 5 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2020-042, "Audit of the Service Acquisition Executives' Management of Defense Acquisition Category 2 and 3 Programs," December 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology determine whether the modification effort to the Common Sensor Payload program supports a valid need or cancel the program modification. If the Assistant Secretary decides to continue the program modification, program officials should establish an Acquisition Program Baseline, along with the required documents to start a new program, to manage the program to cost and schedule constraints.	3 months 11 days
Rec. A.4: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology immediately reclassify the Recombinant Botulinum Vaccine program as an Acquisition Category 1 program and report whether the program has been cancelled.	3 months 11 days
Rec. A.9.a: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain an accurate list of programs.	3 months 11 days
Rec. A.9.b: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain the correct active or inactive status.	3 months 11 days
Rec. A.9.c: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain accurate points of contact or responsible offices.	3 months 11 days
Rec. A.9.d: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases are updated in accordance with Department guidance.	3 months 11 days
Rec. A.10: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force hold Program Executive Officers accountable for reporting inaccurate or misleading program information and for enforcing existing guidance that requires program acquisition databases to be regularly updated.	3 months 11 days
Rec. A.11: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that they are being notified, as required by DoD Instruction 5000.02, when programs are within 10 percent or exceed the next Acquisition Category level.	3 months 11 days
Rec. A.12.a: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that all programs have approved Acquisition Program Baselines as required by DoD Instruction 5000.02.	3 months 11 days
Rec. A.12.b: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that program officials are reporting when acquisition costs or schedules exceed thresholds established in the Acquisition Program Baseline.	3 months 11 days

APPENDIX A

Department of the Army – Report No. DODIG-2020-042 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.12.c: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force report to their respective Military Department Secretary when this verification and validation effort has been completed.	3 months 11 days
Rec. B.1: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology develop the capability to see when programs are deleted in the Army Acquisition Program Master List database, including who deleted the programs and the justification.	3 months 11 days
Rec. B.2: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology update the Army Acquisition Program Master List user guide to reflect accurately which roles have the authority to delete programs from the Army Acquisition Program Master List.	3 months 11 days

Report No. DODIG-2020-045, “Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support,” December 30, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 3: The DoD OIG recommended that the [REDACTED]	3 months 1 day
(FOUO)	

Report No. DODIG-2020-050, “System Review Report on the Army Internal Review Program,” January 15, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Internal Review Chief of the U.S. Army Combat Capabilities Development Command, Army Research Laboratory, establish policies and procedures for monitoring of quality in the audit organization.	2 months 16 days
Rec. 3: The DoD OIG recommended that the Director of the U.S. Army Installation Management Command Fort Belvoir Internal Review Audit Compliance Office and the Audit Chief of the U.S. Army Reserve 81st Readiness Division Internal Review Office develop a training plan for the audit staff to obtain training on documentation and reporting requirements for sampling methodologies.	2 months 16 days

APPENDIX A

Department of the Army – Report No. DODIG-2020-050 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4.a: The DoD OIG recommended that the Deputy Assistant Secretary of the Army (Financial Operations) and the Internal Review and Audit Compliance Office Director of the U.S. Army Installation Management Command Fort Belvoir Internal Review Audit Compliance Office update attestation engagement policies and procedures to ensure that they include the most recent American Institute of Certified Public Accountants Clarified Attestation Standards.	2 months 16 days
Rec. 4.b: The DoD OIG recommended that the Deputy Assistant Secretary of the Army (Financial Operations) and the Internal Review and Audit Compliance Office Director of the U.S. Army Installation Management Command Fort Belvoir Internal Review Audit Compliance Office provide training to the audit staff to improve their understanding and knowledge of Government Auditing Standards and American Institute of Certified Public Accountants standards on conducting agreed-upon procedures engagements.	2 months 16 days
Rec. 5.a: The DoD OIG recommended that the Internal Review and Audit Compliance Office Director of the U.S Army Installation Management Command Fort Belvoir Internal Review and Audit Compliance Office update standard operating procedures to include the latest nonaudit service requirements in the Government Auditing Standards.	2 months 16 days
Rec. 5.b: The DoD OIG recommended that the Internal Review and Audit Compliance Office Director of the U.S Army Installation Management Command, Fort Belvoir Internal Review and Audit Compliance Office, provide training to audit staff regarding the Government Auditing Standards requirements for nonaudit services.	2 months 16 days
Rec. 6: The DoD OIG recommended that the Internal Review and Audit Compliance Office Director of the U.S. Army Installation Management Command, Fort Belvoir Internal Review and Audit Compliance Office, develop and implement policies and procedures for cross-referencing audit reports to ensure that all statements of fact are referenced to the supporting evidence in the project documentation.	2 months 16 days
Rec. 7: The DoD OIG recommended that the Director of the U.S. Army Installation Management Command, Headquarters Internal Review Office, issue a memorandum to the audit staff at the U.S. Army Installation Management Command, Fort Belvoir Internal Review and Audit Compliance Office, on the importance of completing independence statements at the beginning of an audit.	2 months 16 days
Rec. 8: The DoD OIG recommended that the Deputy Assistant Secretary of the Army (Financial Operations) and the Internal Review and Audit Compliance Director at the U.S. Army Installation Management Command, Fort Belvoir Internal Review and Audit Compliance Office, create a project file checklist to ensure that documentation required by Government Auditing Standard 5.04, 2018 Revision, and internal policies, such as cross-referenced reports and quality control checklists, is retained in the project files at the Headquarters Army Internal Review Office and U.S. Installation Management Command, Fort Belvoir Internal Review and Audit Compliance Office.	2 months 16 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2020-064, "Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation," February 21, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 7: The DoD OIG recommended that the Secretary of the Army revise Army Regulation 190-47 to require military correctional facility commanders to send DD Form 2791 to the U.S. Army Crime Records Center and the U.S. Marshals Service National Sex Offender Targeting Center as required by DoD Instruction 5525.20.	1 month 10 days

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 3.a: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 3.b: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 3.c: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 3.d: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 3.e: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 3.f: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 3.g: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO)	

APPENDIX A

Department of the Army – Report No. DODIG-2020-068 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 3.h: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 3.i: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 3.j: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO)	

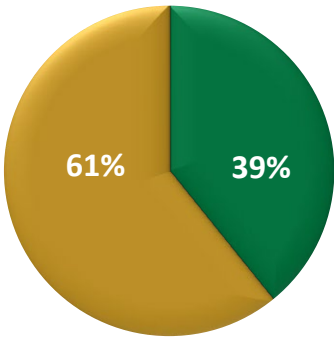
Report No. DODIG-2020-071, “Audit of the Department of Defense’s Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States,” March 23, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology; the Assistant Secretary of the Navy for Research, Development, and Acquisition; and the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics, in coordination with the Commander of Military Surface Deployment and Distribution Command, develop and implement training for secure hold requirements at their respective military installations and direct the base commanders with secure hold areas to implement the training with appropriate staff.	8 days

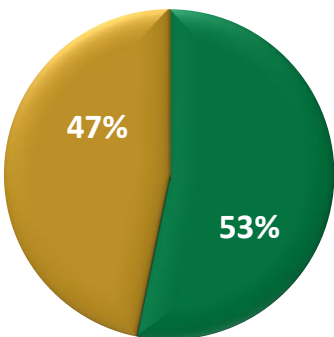
APPENDIX A

Statistics – Department of the Navy

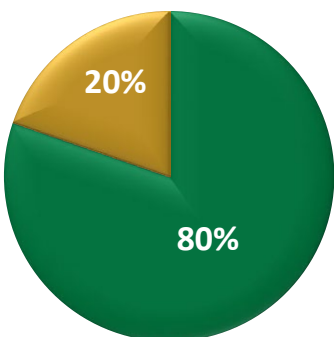
Recommendations from
2017 Compendium





Recommendations from
2018 Compendium

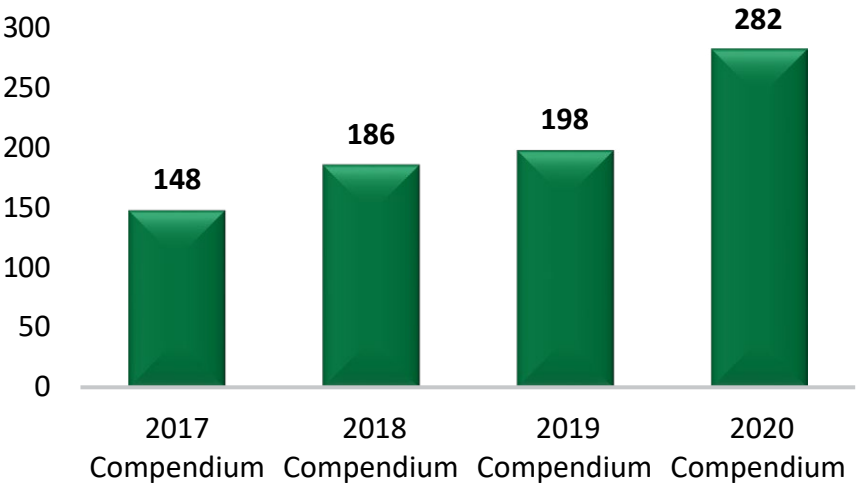


Recommendations from
2019 Compendium



 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	40
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Number of Recommendations Open Longer than 5 Years	17
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Potential Monetary Benefits	(FOUO)
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APPENDIX A

Department of the Navy

Report No. DODIG-2012-017, "U.S. Naval Academy Officials Did Not Adhere to Contracting and Gift Policies," November 7, 2011

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.d: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to implement the Naval Heritage and History Command inventory system, Department of Navy Heritage Asset Management System, and record all the in-kind gifts into the systems.	8 years 4 months 24 days
Rec. B.3.b: The DoD OIG recommended that the Naval Heritage and History Command Director require the United States Naval Academy Museum Director to use the Naval Heritage and History Command inventory system and the Department of Navy Heritage Asset Management System.	8 years 4 months 24 days

Report No. DODIG-2012-122, "The DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access," August 29, 2012 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs), in conjunction with the Assistant Secretary of the Army (Installations, Energy, and Environment); Commander of Headquarters Air Force Security Forces Center; Deputy Chief of Naval Operations for Fleet Readiness and Logistics; and Assistant Deputy Commandant of Plans, Policies and Operations (Security), U.S. Marine Corps, before approving physical access control systems for a location require installation security personnel to be involved during the site surveys.	7 years 7 months 2 days

Report No. DODIG-2013-082, "Hotline Allegation Regarding the Failure to Take Action on Material Management and Accounting System Audit Findings," May 29, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.d: The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, re-evaluate the determination that the costs of complying with Standard 2 outweigh the benefits, and document adequate rationale for any disagreements with the auditor in accordance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports."	6 years 12 days
Rec. A.2.e: The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, in consultation with Defense Contract Audit Agency, reassess the appropriateness of the March 15, 2013, agreement with the contractor on the master production schedule accuracy calculation.	6 years 12 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2014-001, "MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness," October 23, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Naval Air Forces Commander revise the Naval Air Forces Commander Instruction 4790.2A, "Naval Aviation Maintenance Program," November 10, 2009, to include detailed procedures for maintenance officers to use to verify the accuracy and completeness of aircraft inventory reports and work orders before submission.	6 years 5 months 8 days

Report No. DODIG-2014-083, "Insufficient Infrastructure Support to the Fixed Submarine Broadcast System," June 23, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Chief of Naval Operations establish criteria for Broadcast Transmit Stations [REDACTED]. This criteria should include a requirement establishing the minimum duration [REDACTED].*	5 years 9 months 8 days
Rec. C.1: Recommendation is Classified.*	5 years 9 months 8 days
Rec. D.2: The DoD OIG recommended that the Navy Inspector General coordinate with the Navy Technical Proficiency Inspection program managers to ensure establishment of a comprehensive inspection program based on requirements directed in the "Navy Technical Proficiency Inspection" program; Chairman, Joint Chiefs of Staff Instruction 6811.018, "Nuclear Command and Control Technical Performance Criteria," and Chairman, Joint Chiefs of Staff Instruction 6810.01B, "Critical Nuclear Command and Control (NC2) Equipment and Facilities."*	5 years 9 months 8 days

*The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the U.S. Army Criminal Investigative Command Commander; the Naval Criminal Investigative Service Director; and the Air Force Office of Special Investigations Commander ensure Defense Incident-Based Reporting System error corrections are completed within 30 days of the Defense Manpower Data Center providing notification, as required by DoD Manual 7730.47-M, Volume 1.	5 years 5 months 2 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2015-046, "Navy Commands Need to Improve Logical and Physical Controls Protecting SIPRNET Access Points," December 10, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the Deputy Under Secretary of the Navy, Policy, update Department of the Navy policy to implement at least the minimum requirements for performing a risk assessment, as required by DoD Manual 5200.01, volume 3.	5 years 3 months 21 days
Rec. A.5.b: The DoD OIG recommended that the Department of the Navy Deputy Chief Information Officer (Navy) implement the requirements for performing a risk assessment in accordance with updated Department of Navy policy and DoD Manual 5200.01, volume 3.	5 years 3 months 21 days

Report No. DODIG-2015-053, "Naval Supply Systems Command Needs to Improve Cost Effectiveness of Purchases for the Phalanx Close-In Weapon System," December 19, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Naval Supply Systems Command Weapons Systems Support Commander require the Naval Supply Systems Command Weapon Systems Support contracting officers to complete timely reviews for variations in quantity before determining forecasted demand.	5 years 3 months 12 days

Report No. DODIG-2015-072, "Improvements Needed for Navy's Triannual Review," January 22, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop standard queries for the budget submitting offices to ensure completeness of data extracted for triannual reviews.	5 years 2 months 9 days
Rec. 2.a: The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop and implement Navy triannual review standard procedures, based on U.S. Marine Corps best practices, to compile a universe of obligations for the budget submitting offices to use in performing the triannual review.	5 years 2 months 9 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2015-081, "Evaluation of Department of Defense Compliance with Criminal History Data Reporting Requirements," February 12, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Secretaries of the Navy and Air Force take prompt action to submit the missing 304 fingerprints and 334 final disposition reports to the Federal Bureau of Investigation for inclusion into the Integrated Automated Fingerprint Identification System.	5 years 1 month 19 days

Report No. DODIG-2015-090, "Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors," March 9, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Navy and Air Force ensure consistent documentation of aircraft ejection data to increase the data available for ejections with Helmet Mounted Devices and/or Night Vision Goggles thus improving the safety risk analysis. The data should include aircraft speed at time of ejection, whether aircrew was wearing Helmet Mounted Devices and/or Night Vision Goggles, and type of injury sustained.	5 years 22 days

Report No. DODIG-2015-102, "Additional Actions Needed to Effectively Reconcile Navy's Fund Balance With Treasury Account," April 3, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a reconciliation process that is based on detail-level transaction data from Department of the Navy's general ledger systems. As part of this process, the Department of the Navy needs to demonstrate how these detail-level transactions are used in the preparation of their financial statements.	4 years 11 months 28 days
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review the control weaknesses identified for the Defense Cash Accountability System and Program Budget Information System during Federal Information System Controls Audit Manual testing and implement a plan to reduce ineffective or untested controls.	4 years 11 months 28 days
Rec. 3: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review and approve current standard operating procedures to ensure the Fund Balance With Treasury reconciliation is completed according to Treasury and DoD policies and that reconciliations are tested and proven to be a sustainable and repeatable process.	4 years 11 months 28 days

APPENDIX A

Department of the Navy – Report No. DODIG-2015-102 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) design and implement controls within the end-to-end Fund Balance With Treasury business process for resolving amounts reported on the “Statement of Differences-Disbursements.”	4 years 11 months 28 days

Report No. DODIG-2015-114, “Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance,” May 1, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Naval Sea Systems Command Commander develop and implement procedures for contract registration, including procedures to validate that personnel properly register contracts.	4 years 10 months 30 days
Rec. 4.a: The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to prepare performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy.	4 years 10 months 30 days
Rec. 4.b: The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement quality control procedures for evaluating performance assessment report narratives and descriptions of the contract purpose.	4 years 10 months 30 days
Rec. 4.c: The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to take initial and periodic refresher quality and narrative writing training for the Contractor Performance Assessment Reporting System.	4 years 10 months 30 days
Rec. 5: The DoD OIG recommended that the Commanders of Naval Air Systems Command, Naval Sea Systems Command, Space and Naval Warfare Systems Center Atlantic, and Space and Naval Warfare Systems Center Pacific and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, train or re-emphasize to assessors the definitions of the ratings and what is required to justify each rating, as outlined in the Federal Acquisition Regulation.	4 years 10 months 30 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2015-122, "Naval Air Systems Command Needs to Improve Management of Waiver Requests," May 15, 2015 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.1: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition and the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology, update Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," and Secretary of the Navy Manual, M-5000.2, "Acquisition and Capabilities Guidebook," May 9, 2012, Section 4.6, "Certification of Readiness for Operational Testing," to:</p> <ul style="list-style-type: none"> a. emphasize that program managers must request waivers whenever they do not meet any of the 20 criteria the Secretary of the Navy Instruction 5000.2E requires programs to meet to certify readiness for initial operational test and evaluation; and, b. clarify that Operational Test Readiness Review briefings to stakeholder groups should include specific explanations of program accomplishments against each of the 20 certification criteria to clearly document either that the criteria was met or a waiver or deferral request was coordinated with the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology; the program sponsors; and the Commander of Operational Test and Evaluation Force. 	4 years 10 months 16 days

Report No. DODIG-2015-142, "Navy's Contract/Vendor Pay Process Was Not Auditable," July 1, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a process or system interface between Navy Enterprise Resource Planning and Wide Area Work Flow that provides timely processing of transactions and update the Department of the Navy's system business processes to ensure transactions are processed in compliance with the Statement of Federal Financial Accounting Standards 1.</p>	4 years 8 months 30 days

Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel," July 6, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 3.b: The DoD OIG recommended that the Commander of the Naval Supply Systems Command implement controls in the Defense Travel System to automatically route all travel orders for travel outside of the continental United States to transportation office personnel to check Patriot Express availability before booking commercial transportation.</p>	4 years 8 months 25 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 6: The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel, the Chief of Naval Personnel, and the Air Force Deputy Chief of Staff for Manpower, Personnel, and Services ensure that programs of instruction for commissioned and noncommissioned officers include the updated guidance regarding religious accommodations contained in Department of Defense Instruction 1300.17.	4 years 8 months 9 days

Report No. DODIG-2015-162, "Continental United States Military Housing Inspections-National Capital Region," August 13, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.1: The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report.*	4 years 7 months 18 days
Rec. E.1: The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all fire protection deficiencies identified in this report.	4 years 7 months 18 days
Rec. F.1: The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all environmental health and safety deficiencies identified in this report.*	4 years 7 months 18 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Secretary of the Navy revise Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," September 1, 2011, after the Vice Chairman of the Joint Chiefs of Staff revises the Joint Capabilities Integration and Development System Manual in response to Recommendation 1.	4 years 6 months 17 days

APPENDIX A

Department of the Navy – Report No. DODIG-2015-172 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Naval Sea Systems Command Commander revise Naval Sea System Command Instruction 3960.2D, “Test and Evaluation,” April 22, 1988, to implement the Navy policy in the planned revision of Secretary of the Navy Instruction 5000.2E, “Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System,” planned for designation as Secretary of the Navy Instruction 5000.2E.	4 years 6 months 17 days

Report No. DODIG-2015-181, “Continental United States Military Housing Inspections-Southeast,” September 24, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.1: The DoD OIG recommended that the Naval Station Mayport Commander conduct an effective root cause analysis and perform corrective actions for all fire protection deficiencies identified.	4 years 6 months 7 days

Report No. DODIG-2016-026, “Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions,” November 24, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and the U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	4 years 4 months 7 days

Report No. DODIG-2016-054, “Navy Controls for Invoice, Receipt, Acceptance, and Property Transfer System Need Improvement,” February 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a.2: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to develop and communicate comprehensive procedures to out-process Invoice, Receipt, Acceptance, and Property Transfer system users who leave the commands. Both users and supervisors should provide a formal notification to the Invoice, Receipt, Acceptance, and Property Transfer system group administrator indicating that a user is separating from the command and the corresponding system access should end.	4 years 1 month 6 days

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Department of the Navy – Report No. DODIG-2016-054 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review the Invoice, Receipt, Acceptance, and Property Transfer system to verify that the Defense Logistics Agency's automated control for inactive users is working properly and ensure separated employees user accounts were automatically disabled.	4 years 1 month 6 days
Rec. 1.d: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review other commands that use the Navy Enterprise Resource Planning system and direct the commands to disable the certifying officer role in the Invoice, Receipt, Acceptance, and Property Transfer system if their duties do not require it.	4 years 1 month 6 days

Report No. DODIG-2016-104, "Improvements Needed in Managing Department of the Navy Suspense Accounts," June 30, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) work with the Defense Finance and Accounting Service, Office of the Under Secretary of Defense (Comptroller), and U.S. Department of the Treasury to remove Department of the Navy recycling, agricultural leasing, forestry, and trademark program transactions from suspense accounts and identify a more appropriate, Generally Accepted Accounting Principles-compliant accounting treatment.	3 years 9 months 1 day

Report No. DODIG-2016-107, "Advanced Arresting Gear Program Exceeded Cost and Schedule Baselines," July 5, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition perform cost-benefit analyses to determine whether the Advanced Arresting Gear is an affordable solution for Navy aircraft carriers before deciding to go forward with the system on future aircraft carriers.	3 years 8 months 26 days
Rec. 2: The DoD OIG recommended that the Program Manager for the Aircraft Launch and Recovery Equipment update the Advanced Arresting Gear Test and Evaluation Master Plan to revise the planned test strategy, test schedule, developmental and operational funding and add measures to support the program's reliability growth plan before the Acquisition Category IC Acquisition Program Baseline is finalized.	3 years 8 months 26 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2016-116, "Navy Needs to Establish Effective Metrics to Achieve Desired Outcomes for SPY-1 Radar Sustainment," August 1, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.1.a: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should consult with Advanced Traceability and Control and the operational commands when reevaluating the SPY-1 radar's product support strategy and designing the performance metrics included in future performance-based logistics contracts.</p>	3 years 7 months 30 days
<p>Rec. A.1.b: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should establish formal support agreements with Advanced Traceability and Control and the operational commands used to supply SPY-1 radar parts to fleet customers.</p>	3 years 7 months 30 days
<p>Rec. A.1.c: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should review the readiness and sustainment performance history and costs of the AEGIS and SPY-1 radars, use that data to identify the difference between existing and desired SPY-1 radar performance outcomes, and develop metrics that incentivize the contractors to deliver the desired performance outcomes.</p>	3 years 7 months 30 days
<p>Rec. A.1.d: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should design performance metrics that accurately reflect the user's needs and effectively measure the product support provider's performance.</p>	3 years 7 months 30 days
<p>Rec. A.1.e: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should break down system-level requirements into lower-level metrics that appropriately link contractor performance to the accomplishment of warfighter readiness and performance needs.</p>	3 years 7 months 30 days

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Department of the Navy – Report No. DODIG-2016-116 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Naval Supply Systems Command Commander require the Naval Supply Systems Command Weapon Systems Support to perform additional reviews of the completed semiannual reports for contracts N00104-12-D-ZD21 and N00104-13-D-ZD00, to determine if there is a change to the amount of incentives the contractors received and take corrective actions if appropriate.*	3 years 7 months 30 days

* The agreed-upon corrective actions have been completed by DoD management; however, recovery of monetary benefits from those actions is still pending.

Report No. DODIG-2016-130, “The Navy Needs More Comprehensive Guidance for Evaluating and Supporting Cost-Effectiveness of Large-Scale Renewable Energy Projects,” August 25, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of the Navy for Energy, Installations, and Environment develop new, or modify existing, Navy guidance to include comprehensive steps to evaluate and document the cost effectiveness assessments for large-scale renewable energy projects.	3 years 7 months 6 days
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of the Navy for Energy, Installations, and Environment, once new or modified guidance is issued, determine whether approved renewable energy projects are cost-effective based on these policies and procedures and take appropriate action based on that determination.	3 years 7 months 6 days

Report No. DODIG-2017-014, “Acquisition of the Navy Surface-Mine Countermeasure Unmanned Undersea Vehicle (Knifefish) Needs Improvement,” November 8, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Director, Expeditionary Warfare Division (N95), coordinate with the Director, Surface Warfare (N96), to develop capability requirements in the Knifefish capability production document relating to communication interface and launch and recovery operations between the Knifefish system and the Littoral Combat Ship, unless Knifefish is no longer required.	3 years 4 months 23 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2017-043, "Management of Excess Material in the Navy's Real-Time Reutilization Asset Management Facilities Needs Improvement," January 23, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Chief of Naval Operations develop and implement retention and disposition guidance for excess consumable material in the Real-Time Reutilization Asset Management facilities that includes, at a minimum, standardized procedures for retaining material based on demand, validating material for continued need if the retention decision is not based on demand, and properly categorizing material.	3 years 2 months 8 days

Report No. DODIG-2017-063, "(FOUO) Surface Electronic Warfare Improvement Program [REDACTED], March 13, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Program Blocks 1B1 and 1B2 deficiencies.	3 years 18 days
Rec. B.1.a: The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Block 2 system deficiencies.	3 years 18 days

Report No. DODIG-2017-067, "Navy Inaccurately Reported Costs for Operation Freedom's Sentinel in the Cost of War Reports," March 16, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A: The DoD OIG recommended that the Deputy Assistant Secretary of Financial Operations, Accounting and Financial Reporting Division, and Deputy Assistant Secretary for Budget, in coordination with the Comptroller, Pacific Fleet Command, and the Comptroller, U.S. Fleet Forces Command, reengineer processes to identify the Navy's transactions for overseas contingency operations.	3 years 15 days
Rec. B.1: The DoD OIG recommended that the Deputy Assistant Secretary for Budget in coordination with Navy budget submitting offices and support activities, develop and implement standard operating procedures that cover end-to-end Cost of War reporting processes. These standard operating procedures should include, at a minimum, procedures for the receipt, review, and reporting of obligations and disbursements for Operation Freedom's Sentinel to ensure costs are accurately reflected in the Cost of War reports.	3 years 15 days

APPENDIX A

Department of the Navy – Report No. DODIG-2017-067 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Deputy Assistant Secretary for Budget develop and issue updated guidance that requires Navy activities to, at a minimum, use a consistent methodology for allocating incremental operations and depot-level maintenance costs, as required by Public Law 113-235, “The Consolidated and Further Continuing Appropriations Act, 2015,” and defined by DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 12, chapter 23.	3 years 15 days

Report No. DODIG-2017-087, “U.S.-Controlled and -Occupied Military Facilities Inspection–Camp Lemonnier, Djibouti,” June 2, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Commander of Navy Region Europe, Africa, Southwest Asia, conduct a root cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report. Ensure that all facility operations and maintenance comply with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and corrective action plan within 90 days of the issuance of this report.	2 years 9 months 29 days
Rec. B.1.a: The DoD OIG recommended that the Commander of Navy Region Europe, Africa, Southwest Asia, conduct a root cause analysis and implement a corrective action plan for all deficiencies identified in this report. Ensure that all facility operations and maintenance complies with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and corrective action plan within 90 days of the issuance of this report.	2 years 9 months 29 days

Report No. DODIG-2017-106, “Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident,” July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A: The DoD OIG recommended that the Director of Expeditionary Warfare, Office of the Chief of Naval Operations, update Instruction F3501.97H with the new manpower authorization for Explosive Ordnance Disposal Mobile Unit 6, Shore Based Detachment Kings Bay, Georgia; and Explosive Ordnance Disposal Mobile Unit 11, Shore Based Detachment Bangor, Washington.	2 years 8 months 3 days
Rec. B.1: The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission essential tasks lists for an explosive ordnance disposal response to a nuclear weapon incident.	2 years 8 months 3 days

APPENDIX A

Department of the Navy – Report No. DODIG-2017-106 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C: The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Director, Defense Threat Reduction Agency and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.	2 years 8 months 3 days

Report No. DODIG-2017-107, “Followup Audit: U.S. Naval Academy Museum Management of Heritage Assets,” August 7, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to prioritize the completion of a baseline inventory of all U.S. Naval Academy Museum assets and document the inventory results.	2 years 7 months 24 days
Rec. 1.b: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to reconcile the U.S. Naval Academy Museum Found-in-Collection and duplicate items with already accessioned inventory items when possible.	2 years 7 months 24 days
Rec. 1.d: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to prepare and complete a transfer agreement for any artifacts that were physically transferred to the Smithsonian Museum. If the artifacts are not permanently transferred, then these artifacts should be recorded as loaned items in the U.S. Naval Academy Museum inventory.	2 years 7 months 24 days

Report No. DODIG-2017-121, “U.S. Africa Command’s Management of Acquisition and Cross-Servicing Agreements,” September 21, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Navy (Research, Development, and Acquisition) designate a Chief of Naval Operations and Headquarters Marine Corps Office of Prime Responsibility to oversee the execution of the Acquisition and Cross-Servicing Agreement program for their respective Service Components.	2 years 6 months 10 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-025, "Defense Hotline Allegations on the Surface Electronic Warfare Improvement Program Block 3 Costs," November 9, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Program Executive Officer for the Program Executive Office Integrated Warfare System establish an approved Engineering and Manufacturing Development phase cost baseline estimate to consistently measure and control costs for Surface Electronic Warfare Improvement Program Block 3.	2 years 4 months 22 days
Rec. 1.c: The DoD OIG recommended that the Program Executive Officer for the Program Executive Office Integrated Warfare System verify that Northrop Grumman adequately meets the established Engineering and Manufacturing Development phase baseline estimate to minimize existing or future problems.	2 years 4 months 22 days

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 159 Naval Criminal Investigative Service fingerprint cards and 203 Naval Criminal Investigative Service final dispositions reports that are not in the Federal Bureau of Investigation Next Generation Identification database.	2 years 3 months 27 days
Rec. C.1.b: The DoD OIG recommended that the Secretary of the Navy immediately and comprehensively review all Naval Criminal Investigative Service criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.	2 years 3 months 27 days
Rec. C.1.c: The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that Naval Criminal Investigative Service command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in Naval Criminal Investigative Service Inspector General inspections, and is actually conducted.	2 years 3 months 27 days
Rec. C.1.d: The DoD OIG recommended that the Secretary of the Navy take prompt action to comprehensively review the Naval Criminal Investigative Service criminal history reporting programs to ensure all applicable agency policy, training, fingerprinting, and final disposition report submission processes are consistent with DoD Instruction 5505.11, and have been implemented.	2 years 3 months 27 days

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Department of the Navy – Report No. DODIG-2018-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.1.e: The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	2 years 3 months 27 days
Rec. D.1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database.	2 years 3 months 27 days
Rec. D.1.b: The DoD OIG recommended that the Secretary of the Navy to immediately and comprehensively review all Navy Security Forces criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.	2 years 3 months 27 days
Rec. D.1.c: The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that Navy Security Forces command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in future Inspector General inspections and is actually conducted.	2 years 3 months 27 days
Rec. D.1.d: The DoD OIG recommended that the Secretary of the Navy take prompt action to comprehensively review Navy Security Forces criminal history reporting programs to ensure that all applicable agency policy, training, fingerprinting, and final disposition report submission processes are consistent with DoD Instruction 5505.11 and have been implemented.	2 years 3 months 27 days
Rec. D.1.e: The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	2 years 3 months 27 days
Rec. D.1.f: The DoD OIG recommended that the Secretary of the Navy take prompt action to direct the Commander of Naval Installations Command to revise Navy Tactics, Techniques, and Procedures 3-07.2.3 to align with fingerprint card submission requirements set forth in DoD Investigation 5505.11.	2 years 3 months 27 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-050, "Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts," December 19, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command-Expeditionary Warfare Center contracting officer to validate contractor-proposed currency adjustments for the Commander Fleet Activities Yokosuka performance of September 1, 2008, through August 31, 2017 (performance years 1 through 9).	2 years 3 months 12 days
Rec. B.1.b.1: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command-Expeditionary Warfare Center contracting officer to recover the unsupported currency fluctuation payments calculated by the audit at \$250,000.	2 years 3 months 12 days

Report No. DODIG-2018-063, "Navy and Marine Corps Management of Relocatable Buildings," January 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base Coronado (formerly known as Chief, Directorate of Public Works, Naval Base Coronado) coordinate with the Naval Special Warfare Command and apply for approval of relocatable buildings that initially were never submitted for approval.	2 years 2 months 2 days
Rec. A.2.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base San Diego (formally known as Chief, Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center and apply for approval of relocatable buildings that initially were never submitted for approval.	2 years 2 months 2 days
Rec. A.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base San Diego (formally known as Chief, Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center and establish exit strategies for relocatable buildings that do not have one.	2 years 2 months 2 days
Rec. A.2.c: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base San Diego (formally known as Chief, Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center to ensure personnel are performing the required lease-versus-buy analysis before extending existing leases or obtaining additional relocatable buildings.	2 years 2 months 2 days

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Department of the Navy – Report No. DODIG-2018-063 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.a: The DoD OIG recommended that the Commander of Navy Installations Command issue guidance to emphasize that tenant organization personnel on Navy installations should coordinate the acquisition of relocatable buildings with the installation's Department of Public Works personnel.	2 years 2 months 2 days
Rec. A.3.b: The DoD OIG recommended that the Commander of Navy Installations Command develop procedures to ensure that Department of Public Works staff are disposing of their excess relocatable buildings through Defense Logistics Agency-Disposition Services as required by Department of Defense Manual 4160.21 and Office of the Chief of Naval Operations Instruction 11010.33C.	2 years 2 months 2 days
Rec. B.4: The DoD OIG recommended that the Chief of Naval Operations revise the Office of the Chief of Naval Operations Instruction 11010.33C to reflect updates made to Department of Defense Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings.	2 years 2 months 2 days

Report No. DODIG-2018-069, "Navy's Single-Award Indefinite-Delivery Indefinite-Quantity (IDIQ) Contracts," February 1, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy (Acquisition and Procurement) review the adequacy of existing Navy policies and procedures pertaining to the preparation, review, and reporting of determination and findings documents for single-award, indefinite-delivery indefinite-quantity contracts and ensure that the processes used meet Federal and DoD requirements.	2 years 1 month 30 days
Rec. 1.c: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy (Acquisition and Procurement), based on the results of the review, provide updated instructions to the workforce, through training or updated guidance, on any areas requiring clarification to ensure the application of Federal and DoD requirements. The updated instructions should clearly define what information must be in the determination and findings document to ensure that the standalone document fully supports a single-award determination, and the processes used to report a determination and findings document to Congress and Defense Procurement and Acquisition Policy.	2 years 1 month 30 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-074, "The U.S. Navy's Oversight and Administration of the Base Support Contracts in Bahrain," February 13, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia establish a summary of the contracting officer's representatives' oversight responsibilities.	2 years 1 month 18 days
Rec. A.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia, coordinate with the Public Works Department-Bahrain to provide each performance assessment representative with the applicable regulations/standards for the oversight area, such as Manual of Naval Preventive Medicine for the Isa Air Base galley performance assessment representative.	2 years 1 month 18 days
Rec. B.1: The DoD OIG recommended that the Commanders of Naval Facilities Engineering Command Atlantic and Europe, Africa, Southwest Asia update their delegation procedures to ensure that the procuring contracting officer explicitly assigns all contract administration functions immediately after award. The updated delegation procedures should assign responsibility for contract file maintenance, property accountability, and Combatting Trafficking in Persons compliance. The delegation procedures should also include procedures for reassignment upon transition of personnel.	2 years 1 month 18 days
Rec. B.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia train contracting officer's representatives on contract file contents.	2 years 1 month 18 days
Rec. B.3.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia coordinate with the Commander of the Public Works Department-Bahrain to create an updated contract attachment that reflects the correct amount of Government-furnished property provided to the Isa Air Base support contractor and ensure that the updated attachment is used to modify the Government-furnished property records in the U.S. Navy accountable property system of record and the contractor's property management system.	2 years 1 month 18 days
Rec. B.3.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia delegate property administration duties to the contracting office in Bahrain, including ensuring that the contracting officer's representative is performing annual reconciliations of the U.S. Navy records with the contractor's records.	2 years 1 month 18 days
Rec. B.4.b: The DoD OIG recommended that the Commanders of Naval Facilities Engineering Command Atlantic and Europe, Africa, Southwest Asia institute proactive procedures to ensure contractors' compliance with Combatting Trafficking in Persons requirements and, for future base operating support service contracts, update their performance assessment plans, functional assessment plans, and Performance Assessment User Guide to include procedures to monitor a contractor's compliance with Combatting Trafficking in Persons requirements.	2 years 1 month 18 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 9: The DoD OIG recommended that the Director for Shore Readiness, Office of Chief of Naval Operations, N46, reevaluate the requirement to field [REDACTED] based on updated threat assessments; potential impact should a [REDACTED] occur; and the current fiscal environment.	2 years 3 days

Report No. DODIG-2018-106, "Controls Over the Guam Base Operations Support Services Contract," April 16, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command, Headquarters, compile lessons learned from Naval Facilities Engineering Command Marianas' contract oversight procedures and apply those lessons learned to ongoing and future Base Operations and Support Services contracts in all Naval Facilities Engineering Command regions.	1 year 11 months 15 days

Report No. DODIG-2018-107, "Expeditionary Fast Transport Capabilities," April 25, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Program Executive Officer for Program Executive Office Ships, with assistance from the Strategic and Theater Sealift Program Office, review whether action was taken to correct deficiencies on the Expeditionary Fast Transport vessels. If action was taken, the Program Executive Office Ships should require the Strategic and Theater Sealift Program Office to request the Commander of Operational Test and Evaluation Force to confirm the correction of deficiencies. If action was not taken, the Program Executive Office Ships should require the Strategic Theater Sealift Program Office to implement a plan to correct the deficiencies prior to delivery of the Expeditionary Fast Transport vessels, as appropriate.	1 year 11 months 6 days
Rec. 2: The DoD OIG recommended that the Military Sealift Command Commander assist the Program Executive Office Ships with reviews to identify if the deficiencies on delivered Expeditionary Fast Transport vessels were corrected and, if not, implement a plan to correct the deficiencies on delivered Expeditionary Fast Transports, where appropriate.	1 year 11 months 6 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-109, "Protection of Patient Health Information at Navy and Air Force Military Treatment Facilities," May 2, 2018 (Full Report is FOUO)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
	Rec. 1.a: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center implement appropriate configuration changes to enforce the use of a Common Access Card to access all systems that process, store, and transmit patient health information or obtain a waiver that exempts the systems from using Common Access Cards.*	1 year 10 months 29 days
	Rec. 1.b: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center configure passwords for all systems that process, store, and transmit patient health information to meet DoD length and complexity requirements.*	1 year 10 months 29 days
	Rec. 1.c: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center develop a plan of action and milestones and take appropriate steps to mitigate known network vulnerabilities in a timely manner.*	1 year 10 months 29 days
	Rec. 1.d: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center require written justification for obtaining access to all systems that process, store, and transmit patient health information and implement procedures to grant access to the systems based on roles that align with user responsibilities.*	1 year 10 months 29 days
	Rec. 1.e: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center configure all systems that process, store, and transmit patient health information to lock automatically after 15 minutes of inactivity.*	1 year 10 months 29 days
	Rec. 1.f: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center appropriately configure and regularly review system audit reports and logs to identify user and system activity anomalies.*	1 year 10 months 29 days
(FOUO)		

APPENDIX A

Department of the Navy – Report No. DODIG-2018-109 (cont'd)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
	Rec. 1.g: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center develop and maintain standard operating procedures for granting access, assigning and elevating privileges, and deactivating user access.*	1 year 10 months 29 days
	Rec. 1.h: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center review and identify all systems used to process, store, and transmit patient health information, develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of systems.*	1 year 10 months 29 days
	Rec. 1.i: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , the 436th Medical Group, and Wright-Patterson Medical Center develop and maintain access request forms for all users of systems that process, store, and transmit patient health information, and verify, at least annually, the continued need for system access.*	1 year 10 months 29 days
	Rec. 2.a: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that military treatment facilities enforce the use of Common Access Cards to access systems that process, store, and transmit patient health information or obtain a waiver that exempts the systems from using Common Access Cards.	1 year 10 months 29 days
	Rec. 2.b: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that military treatment facilities configure passwords for systems that process, store, and transmit patient health information to meet DoD length and complexity requirements.	1 year 10 months 29 days
(FOUO)		

APPENDIX A

Department of the Navy – Report No. DODIG-2018-109 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.c: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of systems.	1 year 10 months 29 days
Rec. 2.d: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that privacy impact assessments are developed and updated for all systems that process, store, and transmit patient health information.	1 year 10 months 29 days
Rec. 3: The DoD OIG recommended that the Commanders of the 436th Medical Group, Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , and Wright-Patterson Medical Center review the performance of their Chief Information Officers and consider administrative action, as appropriate, for not following Federal and DoD guidance for protecting patient health information to include: not mitigating known vulnerabilities in a timely manner; not developing plans of action and milestones for unmitigated vulnerabilities; and not formally accepting risks for unmitigated vulnerabilities.	1 year 10 months 29 days
(FOUO) Rec. 4: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, U.S. Naval Ship <i>Mercy</i> , and Wright-Patterson Medical Center [REDACTED] and [REDACTED] for systems that process, store, and transmit patient health information.	1 year 10 months 29 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

(FOUO)

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-117, "Department of the Navy Qualified Recycling Programs," May 10, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller), Office of Financial Operations, develop and implement the following standard operating procedures to provide guidance to Department of the Navy Headquarters personnel on how to oversee the qualified recycling program. The procedures should include the following guidance:</p> <ol style="list-style-type: none"> 1. performing assessments of the qualified recycling programs, including review of the financial records and compliance with regulations; 2. ensuring duties are appropriately segregated; 3. depositing checks into the Department of the Treasury in a timely manner; 4. developing complete business plans that are reviewed and updated annually; 5. reconciling the revenue and expense transactions with the accounting system; and 6. ensuring that checks are made to the Department of the Treasury, the collection of cash is not permitted, and appropriate remedial measures are taken when vendors do not comply with these requirements. 	1 year 10 months 21 days
<p>Rec. 2: The DoD OIG recommended that the Commander of Navy Installations Command for Facility Services and the Commander of Marine Corps Installations Command improve the documentation of the sale of recycled materials. Specifically, Commander, Navy Installations Command Instruction 7300.1A and the U.S. Marine Corps Qualified Recycling Program Guidance, Version 2.x. should include a requirement for the qualified recycling program managers to document the list of bidders, response from the bidders, winning bids for recycling material, contract or sales agreement; weight tickets of the materials sold; and market price or other agreed-upon sale price.</p>	1 year 10 months 21 days

Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) correct the Navy's financial systems to report Treasury Index 97 transactions with the limits established by Defense Finance and Accounting Service-Indianapolis and approved by the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer.</p>	1 year 10 months 8 days

APPENDIX A

Department of the Navy (cont'd)**Report No. DODIG-2018-129, "Department of the Navy Civilian Pay Budget Process," June 20, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) establish and implement controls for the civilian pay budget process to ensure that budget officials document the calculations and assumptions used to support each Program Budget Information System adjustment made to civilian pay requirements.	1 year 9 months 11 days

Report No. DODIG-2018-140, "Acquisition of the Navy's Mine Countermeasures Mission Package," July 25, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Expeditionary Warfare Division (N95) Director delay future procurement of the Airborne Laser Mine Detection System, Airborne Mine Neutralization System, and Coastal Battlefield Reconnaissance Analysis until the Assistant Secretary of the Navy (Research, Development, and Acquisition) and Chief of Naval Operations require the Program Manager of the Mine Warfare Office to complete operational test and evaluations demonstrating the systems are effective and suitable to support full-rate production.	1 year 8 months 6 days

Report No. DODIG-2018-151, "Military Sealift Command's Maintenance of Prepositioning Ships," September 24, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Director of Military Sealift Command Engineering Directorate update the technical drawings and manuals for its prepositioning fleet.	1 year 6 months 7 days
Rec. 1.b: The DoD OIG recommended that the Director of Military Sealift Command Engineering Directorate revise Military Sealift Command policies so that all system users are provided initial and annual refresher training on the proper use of the Shipboard Automated Maintenance Management system, which includes the use of the different modules and of the feedback log.	1 year 6 months 7 days
Rec. 1.c: The DoD OIG recommended that the Director of Military Sealift Command Engineering Directorate update the Shipboard Automated Maintenance Management system so that its data fields will provide users with clear choices, capture preventative maintenance information more accurately, and allow for Military Sealift Command to extract aggregate metrics for assisting with maintenance planning and decision making.	1 year 6 months 7 days

APPENDIX A

Department of the Navy – Report No. DODIG-2018-151 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Military Sealift Command Contacting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, conduct a review and modify all contracts to require formal Shipboard Automated Maintenance Management system training for all users as well as clarify vague requirements and align contract language with Military Sealift Command procedures. The updated contracts should include, at a minimum, detailed requirements for the contractor's expected use of the Shipboard Automated Maintenance Management System, including data inputs and the feedback log process.	1 year 6 months 7 days
Rec. 2.b: The DoD OIG recommended that the Military Sealift Command Contacting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, ensure that contracting officers appoint a qualified contracting officer's representative or contracting officer's technical representative to conduct regular surveillance of contractors at sea and during shipyard availabilities. Military Sealift Command should also ensure the contracting officer's representative or contracting officer's technical representative executes a quality assurance surveillance plan.	1 year 6 months 7 days

Report No. DODIG-2019-019, "Evaluation of Contracting Officer Actions on Contractor Pricing Proposals Deemed Inadequate by Defense Contract Audit Agency," November 14, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.1: The DoD OIG recommended that the Commanders at the eight DoD buying commands, including the Air Force Sustainment Center, the Air Force Life Cycle Management Center, the Naval Air Systems Command, the Naval Sea Systems Command, the Space and Naval Warfare Systems Command, and the Army Contracting Commands at Redstone, Rock Island, and Warren, provide refresher training to contracting personnel on the requirements for distributing the negotiation memorandum in accordance with Federal Acquisition Regulation 15.406-3(b), "Documenting the Negotiation."*	1 year 4 months 17 days
Rec. C.2: The DoD OIG recommended that the Commanders at the eight DoD buying commands, including the Air Force Sustainment Center, the Air Force Life Cycle Management Center, the Naval Air Systems Command, the Naval Sea Systems Command, the Space and Naval Warfare Systems Command, and the Army Contracting Commands at Redstone, Rock Island, and Warren, provide refresher training to contracting personnel on the requirements for filing the negotiation memorandum in accordance with Defense Federal Acquisition Regulation Supplement, Procedures, Guidance, and Information 215.406-3(a)(11), "Documenting the Negotiation."*	1 year 4 months 17 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2019-038, "Follow-up of Delinquent Medical Service Account Audits," December 19, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.a: The DoD OIG recommended that the Surgeon General of U.S. Navy Bureau of Medicine and Surgery require Naval Medical Center Portsmouth Uniform Business Office personnel to review and process the 18,898 billable accounts, valued at \$2.4 million, and determine whether all billable accounts are included in the medical treatment facility's daily reviews.	1 year 3 months 12 days
Rec. A.3.b: The DoD OIG recommended that the Surgeon General of U.S. Navy Bureau of Medicine and Surgery establish standard operating procedures for processing accounts in the Armed Forces Billing and Collection Utilization Solution system when the accounts are sent to the Centralized Receivables Service and Cross Servicing Next Generation.	1 year 3 months 12 days

Report No. DODIG-2019-047, "Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles," January 18, 2019 (Full report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.a: The DoD OIG recommended that the Commander of Naval Air Systems Command require the T-45 program office to prepare a life-cycle sustainment plan that includes changes to the expected service life.	1 year 2 months 13 days
Rec. A.2.b: The DoD OIG recommended that the Commander of Naval Air Systems Command require the F/A-18 and T-45 program offices to implement a plan to incorporate future program changes, as necessary. The plan should include the effects of delayed replacement programs and extension of the service life on aircraft maintenance, spare parts, and aircraft inventory management during replacement aircraft acquisition planning.	1 year 2 months 13 days
Rec. B.1.a: The DoD OIG recommended that the Deputy Chief of Naval Operations for Warfare Systems implement a communication plan to keep dependent weapon system's divisions and program offices up to date on changes in quantity and delivery schedule.	1 year 2 months 13 days
Rec. B.1.b: The DoD OIG recommended that the Deputy Chief of Naval Operations for Warfare Systems reassess the procurement quantity if there are any changes to the quantity of a dependent weapon system.	1 year 2 months 13 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2019-058, "Summary and Follow-up Report on Audits of DoD Energy Savings Performance Contracts," February 14, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities and Engineering Command Expeditionary Warfare Center Contracting Officer to obtain the date that the contractor received its annual payment from the Government for the contractor-claimed currency adjustments and apply that date to its validation calculations for performance years 1 and 3 through 10 for the Commander Fleet Activities Yokosuka energy savings performance contract.	1 year 1 month 17 days

Report No. DODIG-2019-061, "Audit of the DoD's Implementation of Recommendations on Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," March 7, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Commander of Navy Installations Command update guidance requiring installations to document the background check approval process to include the process to be followed when renewing lease agreements.	1 year 24 days

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: Recommendation is Classified.	1 year 13 days
Rec. A.2.c: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to implement processes to ensure that SECRET Internet Protocol Router Network users complete all required security training before receiving access to the network and ensure that all SECRET Internet Protocol Router Network users complete all required refresher training to maintain network access and ensure compliance with the processes.	1 year 13 days
(FOUO) Rec. A.2.d: The DoD OIG recommended that the Navy Chief Information Officer [REDACTED]	1 year 13 days
(FOUO) Rec. A.2.e: The DoD OIG recommended that the Navy Chief Information Officer [REDACTED]	1 year 13 days
(FOUO)	

APPENDIX A

Department of the Navy – Report No. DODIG-2019-063 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. B.8: The DoD OIG recommended that the Navy Chief Information Officer [REDACTED]	1 year 13 days
(FOUO)	

Report No. DODIG-2019-065, “Evaluation of DoD Voting Assistance Programs for 2018,” March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the Navy senior service voting representative bring the Navy voting assistance program into compliance with 10 U.S.C. § 1566, “Voting assistance: compliance assessments; assistance” and DoD Instruction 1000.04 “Federal Voting Assistance Program (FVAP)” with regard to assigning unit voting assistance officers.	1 year 6 days

Report No. DODIG-2019-066, “Summary Audit of Systemic Weaknesses in the Cost of War Reports,” March 22, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Under Secretary of the Navy, in coordination with the Assistant Secretary of the Navy for Financial Management and Comptroller and the Deputy Commandant of the Marine Corps for Programs and Resources, develop and implement procedures to capture the required level of detail of war related overseas contingency operation costs in the respective accounting system.	1 year 9 days
Rec. 4: The DoD OIG recommended that the Auditor General of the Army, Auditor General of the Navy, and Auditor General of the Air Force include followup audits that verify the accuracy of the Cost of War data in their FY 2020 audit plans.	1 year 9 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2019-072, "Audit of Consolidated Afloat Networks and Enterprise Services Security Safeguards," April 8, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: Recommendation is Classified.	11 months 23 days
(FOUO) Rec. 2: The DoD OIG recommended that the [REDACTED]	11 months 23 days
Rec. 3.a: Recommendation is Classified.*	11 months 23 days
Rec. 3.b: The DoD OIG recommended that the USS <i>Abraham Lincoln</i> , USS <i>Ronald Reagan</i> , USS <i>Ramage</i> , and USS <i>Russell</i> Commanding Officers, in coordination with the ships' Combat Systems Officers, revise and implement existing inventory procedures to require all ship personnel to request Consolidated Afloat Networks and Enterprise Services' administrator approval before relocating devices and perform monthly reviews to identify the location of all network devices.*	11 months 23 days
Rec. 3.c: Recommendation is Classified.*	11 months 23 days
Rec. 3.d: The DoD OIG recommended that the U.S. Ship <i>Abraham Lincoln</i> , U.S. Ship <i>Ronald Reagan</i> , U.S. Ship <i>Ramage</i> , and U.S. Ship <i>Russell</i> Commanding Officers, in coordination with the ships' Combat Systems Officers, review and reconcile whether all personnel assigned to each ship have completed Operations Security training and cyber awareness training, and require personnel who have not completed the training to immediately complete the annual security-related training.*	11 months 23 days
Rec. 3.e: Recommendation is Classified.*	11 months 23 days
(FOUO) Rec. 4: The DoD OIG recommended that the [REDACTED]	11 months 23 days
Rec. 5: The DoD OIG recommended that the Chief of Naval Operations reissue guidance to require all Navy personnel to complete Operations Security training annually to ensure that users are aware of and understand their responsibilities for safeguarding sensitive and classified information.	11 months 23 days
Rec. 6: Recommendation is Classified.	11 months 23 days
(FOUO)	

APPENDIX A

Department of the Navy – Report No. DODIG-2019-072 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 7: The DoD OIG recommended that the [REDACTED]	11 months 23 days
Rec. 8.a: Recommendation is Classified.	11 months 23 days
Rec. 8.b: Recommendation is Classified.	11 months 23 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

(FOUO)

Report No. DODIG-2019-075, “Evaluation of Military Services’ Law Enforcement Responses to Domestic Violence Incidents,” April 19, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that a comprehensive review of all criminal investigative databases and files is conducted to verify that all subjects of domestic violence incidents from 1998 to present are titled and indexed in the Defense Central Index of Investigation, as required by DoD Instruction 5505.07, “Titrting and Indexing Subjects of Criminal Investigations in the Department of Defense,” January 27, 2012.*	11 months 12 days
Rec. B.1.c: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that subject fingerprint cards and final disposition reports are collected and submitted to the Federal Bureau of Investigations Criminal Justice Information Services Division database for all subjects that we determined were not submitted, as required by DoD Instruction 5505.11, “Fingerprint Card and Final Disposition Report Submission Requirements,” July 21, 2014, as amended.*	11 months 12 days
Rec. B.1.d: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that DNA is collected and submitted to the Defense Forensics Science Center for submission to the Combined DNA Index System for all qualifying subjects that we determined were not submitted, as required by DoD Instruction 5505.14, “Deoxyribonucleic Acid (DNA) Collection Requirements for Criminal Investigations, Law Enforcement, Corrections, and Commanders,” December 22, 2015.	11 months 12 days

APPENDIX A

Department of the Navy – Report No. DODIG-2019-075 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.e: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that the importance of complying with DoD and supplemental Military Service policies related to law enforcement's response to domestic violence incidents when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations is emphasized in writing to all law enforcement organizations.	11 months 12 days
Rec. B.1.f: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that law enforcement practices, equipment, and supervisory reviews are adequate to comply with DoD policies when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations.*	11 months 12 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

Report No. DODIG-2019-084, "Evaluation of the Operations and Management of Military Cemeteries," May 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that, once the DoD issues its instruction, the Secretaries of the Army, Navy, and Air Force update the cemetery regulations accordingly.	10 months 11 days

Report No. DODIG-2019-091, "Evaluation of the DoD's Management of Opioid Use Disorder for Military Health System Beneficiaries," June 10, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Secretary of the Navy modify Marine Corps orders and policies, and memorandums of understanding between the Marine Corps and the Bureau of Medicine and Surgery, to be consistent with Department of Defense Instruction 1010.04, Bureau of Medicine and Surgery Instruction 6010.30, and Department of Defense Instruction 6040.45, to reflect that substance Abuse Counseling Center counselors may not independently make substance use disorder diagnoses without clinical privileges, and all substance use disorder diagnoses must be documented in the DoD Health Record.	9 months 21 days
Rec. A.2: The DoD OIG recommended that the Secretary of the Navy modify the position descriptions for the Marine Corps Substance Abuse Counseling Centers' directors and counselors to ensure that, with respect to diagnosis and treatment of substance use disorder cases, their authorities and duties are consistent with Department of Defense Instruction 1010.04 and Bureau of Medicine and Surgery Instruction 6010.30.	9 months 21 days

APPENDIX A

Department of the Navy – Report No. DODIG-2019-091 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the Secretary of the Navy review all historical records of individuals served by the Marine Corps Substance Abuse Counseling Centers and document all appropriate medical information about substance use disorder diagnosis and treatment within the DoD Health Record, consistent with Department of Defense Instruction 6040.45.	9 months 21 days
Rec. A.4: The DoD OIG recommended that the Secretary of the Navy certify that all substance use disorder diagnoses are made by a privileged health care provider and that all diagnoses are documented in the DoD Health Record.	9 months 21 days

Report No. DODIG-2019-105, “Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems,” July 23, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication.	8 months 8 days
Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to mitigating vulnerabilities in a timely manner.	8 months 8 days
Rec. A.3.f: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to implementing physical security controls.	8 months 8 days

APPENDIX A

Department of the Navy – Report No. DODIG-2019-105 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.g: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to generating system activity reports.	8 months 8 days
Rec. A.3.h: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to requiring and maintaining justification for accessing systems that contain controlled unclassified information.	8 months 8 days

Report No. DODIG-2019-116, “Audit of Contingency Planning for DoD Information Systems,” August 21, 2019 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 3: The DoD OIG recommended that the Secretary of the Navy, in coordination with the Navy Chief Information Officer, [REDACTED] based on the revisions made to DoD Instruction 8500.01, “Cybersecurity,” March 14, 2014, or any additional guidance provided by the DoD Chief Information Officer.	7 months 10 days
(FOUO)	

Report No. DODIG-2019-108, “Audit of the DoD’s Management of the Third Party Collection Program for Medical Claims,” September 16, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Commander of Naval Hospital Bremerton direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	6 months 15 days
Rec. 2.b: The DoD OIG recommended that the Commander of Naval Hospital Bremerton resolve the 7,757 encounters with patient category code errors in the Armed Forces Billing and Collection Utilization Solution system and process the claims through the applicable cost recovery program.	6 months 15 days

APPENDIX A

Department of the Navy – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.c: The DoD OIG recommended that the Commander of Naval Hospital Bremerton develop a plan and take action to process, and as appropriate, bill through the applicable cost recovery program, all patient encounters at Naval Hospital Bremerton that are not assigned a credentialed provider or are missing medical coding or doctor's notes, including the 2,236 patient encounters in the Family Medicine clinic.	6 months 15 days
Rec. 2.d: The DoD OIG recommended that the Commander of Naval Hospital Bremerton review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days
Rec. 2.e: The DoD OIG recommended that the Commander of Naval Hospital Bremerton develop and implement procedures to review and validate denials before writing off claims, and implement procedures to process denials by beneficiary.	6 months 15 days
Rec. 2.f: The DoD OIG recommended that the Commander of Naval Hospital Bremerton review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 2.g: The DoD OIG recommended that the Commander of Naval Hospital Bremerton provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days
Rec. 3.a: The DoD OIG recommended that the Commander of Naval Medical Center San Diego review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days
Rec. 3.b: The DoD OIG recommended that the Commander of Naval Medical Center San Diego review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 3.c: The DoD OIG recommended that the Commander of Naval Medical Center San Diego provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2020-003, "Audit of DoD's Use of Additive Manufacturing for Sustainment Parts," October 17, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Military Service Secretaries and the Marine Corps Commandant require the additive manufacturing leads to implement a process that compiles a complete list of all parts produced using additive manufacturing and parts waiting for approval to share within each Military Service and update the list as needed.	5 months 14 days
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, Under Secretary of Defense for Acquisition and Sustainment, Military Service Secretaries, and the Marine Corps Commandant conduct a review to identify the appropriate funding and number of personnel to pursue benefits of additive manufacturing throughout the DoD.	5 months 14 days

Report No. DODIG-2020-006, "Evaluation of the V-22 Engine Air Particle Separator," November 7, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the V-22 Joint Program Office Commander conduct a review of alternatives for the Engine Air Particle Separator and V-22 engine so that the Engine Air Particle Separator adequately protects the V-22 engine in all desert environments.	4 months 24 days
Rec. A.1.b: The DoD OIG recommended that the V-22 Joint Program Office Commander develop a plan to include a sampling of additional soils, whose compositions and concentrations are representative of those found in actual V-22 operational environments, in the testing for the Engine Air Particle Separator and engine.	4 months 24 days

Report No. DODIG-2020-030, "Audit of Navy and Defense Logistics Agency Spare Parts for F/A-18 E/F Super Hornets," November 19, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the PMA 265 Program Manager determine the parts or supplies that are obsolete or are limited in quantity and develop and implement a plan to minimize the impact of obsolete materials, including ensuring the parts or supplies are covered by the obsolescence program.	4 months 12 days
Rec. 1.b: The DoD OIG recommended that the PMA 265 Program Manager develop alternative contracting sources to eliminate delivery delays.	4 months 12 days

APPENDIX A

Department of the Navy – Report No. DODIG-2020-030 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the PMA 265 Program Manager develop and implement plans, in coordination with the organizations responsible for managing repair materials and support equipment for the Navy, to ensure the availability of those materials and support equipment needed to complete repairs.	4 months 12 days
Rec. 1.d: The DoD OIG recommended that the PMA 265 Program Manager develop and implement a strategy to obtain technical data, to obtain access to technical data, or to mitigate the barriers when the contractor owns the data rights in order to increase the Navy's repair capability.	4 months 12 days
Rec. 2: The DoD OIG recommended that the Naval Air Forces Commander review the Navy's cannibalization practice to determine whether aircraft maintainers are using cannibalization to avoid obtaining approval from higher level officials as required in the Navy cannibalization guidance, and determine whether the Navy should make appropriate changes to the guidance.	4 months 12 days

Report No. DODIG-2020-036, "Evaluation of Contracting Officer Actions on Defense Contract Audit Agency Reports that Disclaim an Opinion," November 26, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C: The DoD OIG recommended that the Commander of the Naval Supply Systems Command provide contracting officers with training on the DoD Instruction 7640.02 requirements to document, on a monthly basis, the cause for delays in resolving and dispositioning audit reports and the actions taken to achieve resolution or disposition, and report accurate data in the Contract Audit Followup system.	4 months 5 days

Report No. DODIG-2020-040, "Audit of Cost Increases and Schedule Delays for Military Construction Projects at Joint Region Marianas," December 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Commander of the Naval Facilities Engineering Command issue a memorandum emphasizing to personnel the importance of identifying all costs related to Munitions and Explosives of Concern clearance when completing the DD Form 1391, "FY____ Military Construction Project Data," for all future Military Construction projects.	3 months 20 days
Rec. A.4.b: The DoD OIG recommended that the Commander of the Naval Facilities Engineering Command Marianas coordinate with Joint Region Marianas Environmental and Munitions of Explosive Concern personnel and the Guam Historic Preservation Office to develop processes and best practices for archaeological preservation at military construction sites when munitions of explosive concern clearance is also required.	3 months 20 days

APPENDIX A

Department of the Navy – Report No. DODIG-2020-040 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.5: The DoD OIG recommended that the Chief of Naval Operations revise and reissue Office of the Chief of Naval Operations Instruction 11010.20H, “Navy Facilities Projects,” to ensure that all Navy military construction projects, including housing projects, follow the same planning and programming process.	3 months 20 days
Rec. B.1: The DoD OIG recommended that the Commander of the Naval Facilities Engineering Command issue a memorandum directing contracting personnel to issue annual past performance evaluations for contractors in Contractor Performance Assessment Reporting System within 120 days following the end of the period of performance.	3 months 20 days

Report No. DODIG-2020-042, “Audit of the Service Acquisition Executives’ Management of Defense Acquisition Category 2 and 3 Programs,” December 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.5.a: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition cancel the Maritime Integrated Air and Missile Defense Planning System program.	3 months 11 days
Rec. A.5.b: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition determine how \$49.8 million, including the \$34.3 million listed in the Navy’s database and the \$15.5 million requested in the FYs 2012 through 2019 President’s Budgets, was appropriated and whether the appropriated funding was properly spent.	3 months 11 days
Rec. A.5.c: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition determine whether any remaining funds can be put to better use.	3 months 11 days
Rec. A.7: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition implement controls to track appropriated funding amounts for Acquisition Category 2 and 3 programs.	3 months 11 days
Rec. A.9.a: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain an accurate list of programs.	3 months 11 days
Rec. A.9.b: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain the correct active or inactive status.	3 months 11 days
Rec. A.9.c: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain accurate points of contact or responsible offices.	3 months 11 days
Rec. A.9.d: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases are updated in accordance with Department guidance.	3 months 11 days

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.10: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force hold Program Executive Officers accountable for reporting inaccurate or misleading program information and for enforcing existing guidance that requires program acquisition databases to be regularly updated.	3 months 11 days
Rec. A.11: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that they are being notified, as required by DoD Instruction 5000.02, when programs are within 10 percent or exceed the next Acquisition Category level.	3 months 11 days
Rec. A.12.a: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that all programs have approved Acquisition Program Baselines as required by DoD Instruction 5000.02.	3 months 11 days
Rec. A.12.b: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that program officials are reporting when acquisition costs or schedules exceed thresholds established in the Acquisition Program Baseline.	3 months 11 days
Rec. A.12.c: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force report to their respective Military Department Secretary when this verification and validation effort has been completed.	3 months 11 days

(FOUO)		Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO)	Rec. 3: The DoD OIG recommended that the [REDACTED]	[REDACTED]	3 months 1 day
(FOUO)	Rec. 4: The DoD OIG recommended that the [REDACTED]	[REDACTED]	3 months 1 day
(FOUO)	Rec. 5: The DoD OIG recommended that the [REDACTED]	[REDACTED]	3 months 1 day

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2020-056, "Audit of Readiness of Arleigh Burke-Class Destroyers," January 31, 2020
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. Fleet Forces Command, in collaboration with the Commander of Naval Surface Force Atlantic, determine whether Arleigh Burke-class destroyers currently deployed or in the sustainment phase of the Optimized Fleet Response Plan have outstanding training deficiencies.	2 months
Rec. 1.b: The DoD OIG recommended that the Commander of U.S. Fleet Forces Command, in collaboration with the Commander of Naval Surface Force Atlantic, direct Arleigh Burke-class destroyers identified under Recommendation 1.a to complete any outstanding training requirements immediately or as soon as the mission allows.	2 months
Rec. 2.a: The DoD OIG recommended that the Commander of U.S. Pacific Fleet, in collaboration with the Commander of Naval Surface Force, U.S. Pacific Fleet, determine whether Arleigh Burke-class destroyers currently deployed or in the sustainment phase of the Optimized Fleet Response Plan have outstanding training deficiencies.	2 months
Rec. 2.b: The DoD OIG recommended that the Commander of U.S. Pacific Fleet, in collaboration with the Commander of Naval Surface Force, U.S. Pacific Fleet, direct Arleigh Burke-class destroyers identified under Recommendation 2.a to complete any outstanding training requirements immediately or as soon as the mission allows.	2 months

Report No. DODIG-2020-060, "Audit of Contract Costs for Hurricane Recovery Efforts at Navy Installations," February 12, 2020 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Atlantic reiterate procedures for Naval Facilities Engineering Command Atlantic's regional commands, including Naval Facilities Engineering Command Southeast, to request assistance when needed, and develop and implement procedures to augment staff at the regional commands if multiple natural disasters occur within the same area of responsibility in a short period.	1 month 19 days
Rec. A.1.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Atlantic develop and implement a peer review process to verify that contracting officers from the Naval Facilities Engineering Command regional commands, including Naval Facilities Engineering Command Southeast and Mid-Atlantic, are complying with Federal Acquisition Regulations and Naval Facilities Engineering Command procedures.	1 month 19 days
(FOUO)	

APPENDIX A

Department of the Navy – Report No. DODIG-2020-060 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.c: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Atlantic coordinate with all relevant stakeholders, including officials from Naval Facilities Engineering Command Southeast, Mid-Atlantic, and the other regional commands, to re-evaluate the Global Contingency Construction-Multiple Award Contract procedures for emergency construction and engineering services, and, if needed, develop clear and concise implementation guidance for use during a disaster.	1 month 19 days
Rec. A.1.d: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Atlantic review the task orders that Naval Facilities Engineering Command Southeast issued for Hurricanes Matthew, Harvey, Irma in North Florida, and Michael to determine whether Naval Facilities Engineering Command Southeast contracting officials awarded and administered the contracts in accordance with the Federal Acquisition Regulation and Naval Facilities Engineering Command standard operating procedures for the Global Contingency Construction contract.	1 month 19 days
Rec. A.1.e: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Atlantic based on the results of Recommendation A.1.d, request a Defense Contract Audit Agency audit to review the allowability of all costs and profit paid to the prime contractors, and request a refund for any excess payments made to the prime contractors.	1 month 19 days
(FOUO) Rec. A.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southeast request a refund from URS for any excess payment identified by the Defense Contract Audit Agency audit, which could include the [REDACTED] million of profit incorrectly paid to the prime contractor.	1 month 19 days
Rec. B.1: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Atlantic establish or reiterate procedures to ensure that contracting officers for Naval Facilities Engineering Command Atlantic regional commands can get assistance when performing cost and price analysis during contingency situations, which could include creating annual agreements with the Navy Price Fighters.	1 month 19 days
(FOUO) Rec. B.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Mid-Atlantic require the contracting officer to request a refund or a price adjustment for the excess payment identified for Recommendation B.2.a, which could include the [REDACTED] million we identified [REDACTED] [REDACTED]	1 month 19 days
Rec. B.2.c: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Mid-Atlantic review the actions of the contracting officer detailed in this report, and take administrative actions, if necessary.	1 month 19 days
(FOUO)	

APPENDIX A

Department of the Navy – Report No. DODIG-2020-060 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. B.2.e: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Mid-Atlantic require the contracting officer to request a refund or a price adjustment for the excess payment identified for Recommendation B.2.d, [REDACTED].	1 month 19 days
(FOUO)	

Report No. DODIG-2020-064, “Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation,” February 21, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to update the Navy Security Forces Master-at-Arms School law enforcement training to include instruction on fingerprint and final disposition report collection and submission to the Federal Bureau of Investigation Criminal Justice Information Services Division.	1 month 10 days
Rec. 1.b: The DoD OIG recommended that the Secretary of the Navy take prompt action to require the Navy Security Forces to establish management oversight procedures to verify fingerprints and final disposition reports were submitted to the Federal Bureau of Investigation Criminal Justice Information Services Division.	1 month 10 days
Rec. 3.a: The DoD OIG recommended that the Secretary of Navy take prompt action to direct the Navy Security Forces to collect and submit Deoxyribonucleic Acid samples to the U.S. Army Criminal Investigation Laboratory for entry into the Federal Bureau of Investigation Combined Deoxyribonucleic Acid Index System as required by DoD Instruction 5505.14.	1 month 10 days
Rec. 3.b: The DoD OIG recommended that the Secretary of Navy take prompt action to establish policy, processes, training, and management oversight procedures for Navy Security Forces personnel to collect and submit Deoxyribonucleic Acid samples to the U.S. Army Criminal Investigation Laboratory for entry into the Federal Bureau of Investigation Combined Deoxyribonucleic Acid Index System as required by DoD Instruction 5505.14.	1 month 10 days
Rec. 8: The DoD OIG recommended that the Secretary of the Navy revise Secretary of the Navy Instruction 5800.14A to require the DD Form 2791 be provided to the Naval Criminal Investigative Service and the U.S. Marshals Service National Sex Offender Targeting Center as required by DoD Instruction 5525.20.	1 month 10 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2020-066, "Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems," March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: Recommendation is Classified.	29 days
Rec. A.1.c: Recommendation is Classified.	29 days
Rec. A.3.a.1: Recommendation is Classified.	29 days
Rec. A.3.a.2: Recommendation is Classified.	29 days
Rec. A.3.a.3: Recommendation is Classified.	29 days
Rec. A.3.b: Recommendation is Classified.	29 days

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 4.a: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 4.b: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 4.c: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 4.d: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 4.e: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 4.f: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO)	

APPENDIX A

Department of the Navy – Report No. DODIG-2020-068 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 4.g: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 4.h: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO)	

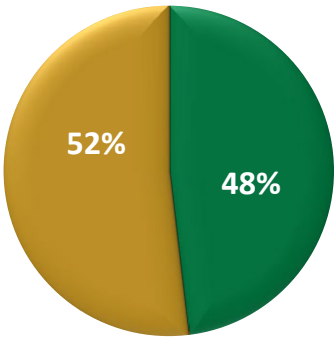
Report No. DODIG-2020-071, “Audit of the Department of Defense’s Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States,” March 23, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology; the Assistant Secretary of the Navy for Research, Development, and Acquisition; and the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics, in coordination with the Commander of Military Surface Deployment and Distribution Command, develop and implement training for secure hold requirements at their respective military installations and direct the base commanders with secure hold areas to implement the training with appropriate staff.	8 days

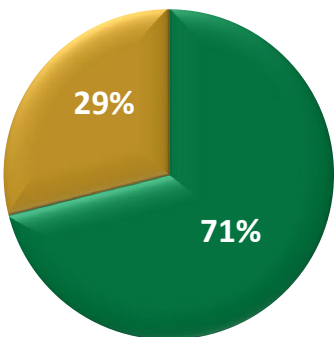


Statistics – U.S. Marine Corps

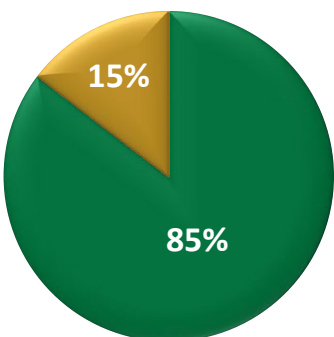
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



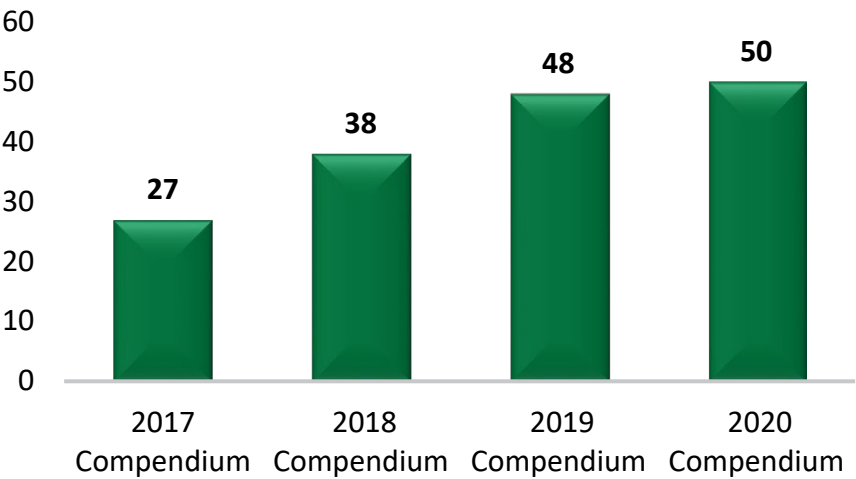
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	2
Number of Recommendations Open Longer than 5 Years	8
Potential Monetary Benefits	\$110 million

APPENDIX A

U.S. Marine Corps

Report No. D-2011-060, "Marine Corps Inventory of Small Arms Was Generally Accurate but Improvements Are Needed for Related Guidance and Training," April 22, 2011

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Deputy Commandant, Plans, Policies, and Operations, update the small arms accountability guidance in Marine Corps Order 5530.14A consistent with Marine Corps Bulletin 4440 and the updates to Marine Corps Order 8300.1C.	8 years 11 months 9 days

Report No. DODIG-2014-100, "Assessment of DoD Wounded Warrior Matters: Selection and Training of Warrior Transition Unit and Wounded Warrior Battalion Leaders and Cadre," August 28, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs revalidate whether the manning precedence level category of Wounded Warrior Battalion-East and Wounded Warrior Battalion-West should be changed in the Commandant of the Marine Corps Precedence Levels for Manning and Staffing.	5 years 7 months 3 days
Rec. 2.d: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs develop policy and procedures to extend the standard length of Wounded Warrior Battalion Reserve Individual Mobilization Augmentee assignments to 2 years to ensure greater stability in force structure, staff continuity, and to sustain the mission.	5 years 7 months 3 days
Rec. 3.a: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard formalized screening, selection, and assignment process for Enlisted Active Component Marines filling Wounded Warrior Battalion positions similar to the process currently used for Reserve Individual Mobilization augmentee Marines.	5 years 7 months 3 days
Rec. 3.b: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard review process whereby regiment and battalion leaders can interview potential Enlisted Active Component U.S. Marine Corps Wounded Warrior Battalion candidates to ensure they are the "best fit" and most qualified to better serve the Marines in the Wounded Warrior Battalions.	5 years 7 months 3 days

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	5 years 4 months 17 days

APPENDIX A

U.S. Marine Corps – Report No. DODIG-2015-016 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.d: The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> 1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2. adapt and implement the proposed standard operating procedure/guidelines for Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report. 	5 years 4 months 17 days
Rec. 4.e: The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	5 years 4 months 17 days

Report No. DODIG-2015-117, “U.S. Cyber Command and Military Services Need to Reassess Processes for Fielding Cyber Mission Force Teams,” April 30, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that Commanders of U.S. Army Cyber Command, U.S. Fleet Cyber Command, Air Forces Cyber, and Marine Corps Forces Cyberspace Command review internal processes used to declare Cyber Mission Force teams ready for initial operational capability and implement procedures to ensure Cyber Mission Force teams meet all initial operational capability requirements before issuing initial operational capability declarations.	4 years 11 months 1 day

Report No. DODIG-2015-143, “Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel,” July 6, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5: The DoD OIG recommended that the Marine Corps Logistics Plans, Policy, and Strategic Mobility Director, in coordination with the Commander of U.S. Transportation Command perform a review to determine the primary reasons why passengers do not show up for, or cancel, booked Patriot Express flights and implement any necessary changes to the program, such as developing cancellation guidelines, to minimize the burden of no-show passengers.	4 years 8 months 25 days

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2015-159, "Followup Audit: More Improvements Needed for the Development of Wounded Warrior Battalion-East Marines' Recovery Plans," August 7, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.d: The DoD OIG recommended that the Director of the Marine Corps Regional Contracting Office-National Capital Region initiate a performance review of the Wounded Warrior Regiment contracting officer(s) for the Recovery Care Coordinator contract to determine whether administrative actions are warranted.	4 years 7 months 24 days

Report No. DODIG-2016-019, "Small Business Contracting at Marine Corps Systems Command Needs Improvement," November 10, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.5: The DoD OIG recommended that the Assistant Commander for Contracts, Marine Corps Systems Command, establish guidance for contracting officers for reviewing, approving, and administering subcontracting plans, and to verify contractors submit the required subcontracting reports to the Electronic Subcontracting Reporting System.	4 years 4 months 21 days

Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that addresses strategies to build, grow, and sustain the Cyber Mission Force.	4 years 4 months 7 days
Rec. 2: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and the U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	4 years 4 months 7 days

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2017-125, "Naval Facilities Engineering Command Southwest and Marine Corps Base Camp Pendleton Officials' Use of Utility Energy Service Contracts," September 28, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Marine Corps Installation West-Marine Corps Base Camp Pendleton Commanding Officer direct the Installation Energy Manager, Marine Corps Base Camp Pendleton Energy Office to develop and implement a process to track realized energy savings for Utility Energy Services Contracts.	2 years 6 months 3 days

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. G.1.a: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 37 fingerprint cards and 46 final disposition reports of the Marine Corps that are not on file in the Federal Bureau of Investigation Next Generation Identification database.	2 years 3 months 27 days
Rec. G.1.b: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps immediately and comprehensively review all Marine Corps criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau Investigation requirements.	2 years 3 months 27 days
Rec. G.1.c: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to ensure that Marine Corps command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure such compliance is included as a special interest item in Inspector General inspections and is conducted.	2 years 3 months 27 days
Rec. G.1.d: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to comprehensively review Marine Corps criminal history reporting programs to ensure that all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented.	2 years 3 months 27 days
Rec. G.1.e: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	2 years 3 months 27 days

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2018-063, "Navy and Marine Corps Management of Relocatable Buildings,"
January 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.4.a: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, apply for approval of relocatable buildings that initially were never submitted for approval.	2 years 2 months 2 days
Rec. A.4.b: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, establish exit strategies for relocatable buildings that do not have one.	2 years 2 months 2 days
Rec. A.4.c: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, request extensions for relocatable buildings that are past the established expiration date and still needed or terminate the use of the relocatable buildings that are no longer needed.	2 years 2 months 2 days
Rec. A.5.a: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Lejeune, apply for approval of relocatable buildings that initially were never submitted for approval.	2 years 2 months 2 days
Rec. A.5.b: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Lejeune, develop procedures to ensure that personnel are performing the required lease-versus-buy analysis before extending existing leases or obtaining additional relocatable buildings.	2 years 2 months 2 days
Rec. B.3.a: The DoD OIG recommended that the Commandant of the Marine Corps revise Marine Corps Order 11000.12, Appendix G, and the Marine Corps Headquarters GF-6 Real Estate and Real Property Accountability Handbook to reflect updates made to Department of Defense Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings.	2 years 2 months 2 days
Rec. B.3.b: The DoD OIG recommended that the Commandant of the Marine Corps develop procedures to ensure that Department of Public Works personnel properly apply the interim facility requirement when classifying relocatable buildings as required by Department of Defense Instruction 4165.56.	2 years 2 months 2 days
Rec. B.3.c: The DoD OIG recommended that the Commandant of the Marine Corps revise guidance to separate non-relocatable buildings from properly classified relocatable buildings within the Internet Navy Facility Assets Data Store system for tracking all facilities if fire and emergency services are needed.	2 years 2 months 2 days

APPENDIX A

U.S. Marine Corps (cont'd)**Report No. DODIG-2018-117, "Department of the Navy Qualified Recycling Programs," May 10, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Commander of Navy Installations Command for Facility Services and the Commander of Marine Corps Installations Command improve the documentation of the sale of recycled materials. Specifically, Commander, Navy Installations Command Instruction 7300.1A and the U.S. Marine Corps Qualified Recycling Program Guidance, Version 2.x. should include a requirement for the qualified recycling program managers to document the list of bidders, response from the bidders, winning bids for recycling material, contract or sales agreement; weight tickets of the materials sold; and market price or other agreed-upon sale price.	1 year 10 months 21 days

Report No. DODIG-2018-129, "Department of the Navy Civilian Pay Budget Process," June 20, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Deputy Commandant of the Marine Corps, Programs and Resources, determine budgeted civilian pay funding levels using full-time equivalents calculated based on projected hours to be worked, as required by Office of Management and Budget Circular No. A-11.	1 year 9 months 11 days

Report No. DODIG-2018-141, "United States Marine Corps Aviation Squadron Aircraft Readiness Reporting," August 8, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Deputy Commandant for Aviation, Headquarters, Marine Corps, require all reporting units and organizations use the Optimized Organizational Maintenance Activity system as the sole source for reporting aircraft readiness.	1 year 7 months 23 days
Rec. 2.a: The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation revise the Marine Corps Order 3000.13A to include a clear definition of present state, and clarify how the number of mission-capable aircraft should be reported in the mission essential task assessment and how a mission essential task should be properly reported as resourced.	1 year 7 months 23 days
Rec. 2.b: The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation implement training on reporting readiness in accordance with the revised Marine Corps Order 3000.13A (Recommendation 2, above) for reporting units and organizations.	1 year 7 months 23 days

APPENDIX A

U.S. Marine Corps – Report No. DODIG-2018-141 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.c: The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation develop and implement procedures, in accordance with the revised Marine Corps Order 3000.13A, to ensure that intermediate commands verify the completeness and accuracy of their subordinate units' readiness reports.	1 year 7 months 23 days

Report No. DODIG-2018-152, "Management of Prepositioned Stock in U.S. European Command," September 17, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec 3.b: The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, update U.S. Marine Corps Technical Manual 4790-14/1G, "Logistics Support for Marine Corps Prepositioning Program-Norway (MCPN)," June 28, 2013, and the local bilateral agreement to include a requirement for the Norwegian Defense Logistics Organization to monitor and control the humidity levels within the caves where equipment is stored.	1 year 6 months 14 days
Rec 3.c: The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop maintenance requirements for weapons stored in Level A packaging.	1 year 6 months 14 days
Rec 3.d: The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop standard operating procedures for recording and documenting completed weapons and vehicle maintenance within Global Combat Support System-Marine Corps.	1 year 6 months 14 days
Rec 3.e: The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop an automated process for monitoring completed maintenance cycles and include a requirement for the Norwegian Defense Logistics Organization to utilize the new process in the local bilateral agreement.	1 year 6 months 14 days

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2019-004, "DoD Oversight of Bilateral Agreements With the Republic of the Philippines," November 2, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec 3.a: The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, designate an Acquisition and Cross-Servicing Agreement Finance Program Manager and ensure that the individual completes the Joint Knowledge Online-Training that will provide access and the basic instruction for the Acquisition and Cross-Servicing Agreement Finance Program Manager to build, track, and manage transactions in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	1 year 4 months 29 days
Rec 3.b: The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 15 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	1 year 4 months 29 days

Report No. DODIG-2019-047, "Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles," January 18, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.1: The DoD OIG recommended that the Marine Corps Installations and Logistics Deputy Commandant require Installations and Logistics officials to initiate and complete depot maintenance float allowance annual reviews and approve all depot maintenance float allowance authorization changes according to Marine Corps Order 5311.1E.	1 year 2 months 13 days

Report No. DODIG-2019-075, "Evaluation of Military Services' Law Enforcement Responses to Domestic Violence Incidents," April 19, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that all subjects that we determined were not properly titled and indexed in the Defense Central Index of Investigations are titled and indexed, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	11 months 12 days
Rec. B.1.b: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that a comprehensive review of all criminal investigative databases and files is conducted to verify that all subjects of domestic violence incidents from 1998 to present are titled and indexed in the Defense Central Index of Investigation, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	11 months 12 days

APPENDIX A

U.S. Marine Corps – Report No. DODIG-2019-075 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.c: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that subject fingerprint cards and final disposition reports are collected and submitted to the Federal Bureau of Investigations Criminal Justice Information Services Division database for all subjects that we determined were not submitted, as required by DoD Instruction 5505.11, "Fingerprint Card and Final Disposition Report Submission Requirements," July 21, 2014, as amended.	11 months 12 days
Rec. B.1.d: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that DNA is collected and submitted to the Defense Forensics Science Center for submission to the Combined DNA Index System for all qualifying subjects that we determined were not submitted, as required by DoD Instruction 5505.14, "Deoxyribonucleic Acid (DNA) Collection Requirements for Criminal Investigations, Law Enforcement, Corrections, and Commanders," December 22, 2015.	11 months 12 days
Rec. B.1.e: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that the importance of complying with DoD and supplemental Military Service policies related to law enforcement's response to domestic violence incidents when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations is emphasized in writing to all law enforcement organizations.	11 months 12 days
Rec. B.1.f: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that law enforcement practices, equipment, and supervisory reviews are adequate to comply with DoD policies when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations.	11 months 12 days

Report No. DODIG-2020-003, "Audit of DoD's Use of Additive Manufacturing for Sustainment Parts," October 17, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Military Service Secretaries and the Marine Corps Commandant require the additive manufacturing leads to implement a process that compiles a complete list of all parts produced using additive manufacturing and parts waiting for approval to share within each Military Service and update the list as needed.	5 months 14 days
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, Under Secretary of Defense for Acquisition and Sustainment, Military Service Secretaries, and the Marine Corps Commandant conduct a review to identify the appropriate funding and number of personnel to pursue benefits of additive manufacturing throughout the DoD.	5 months 14 days

APPENDIX A

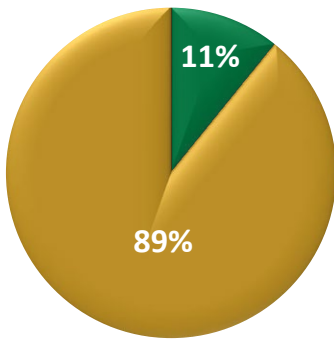
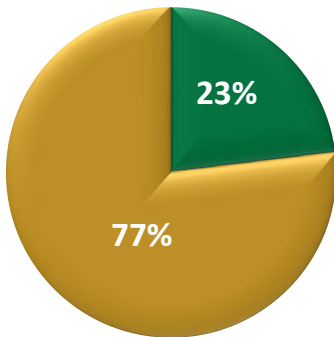
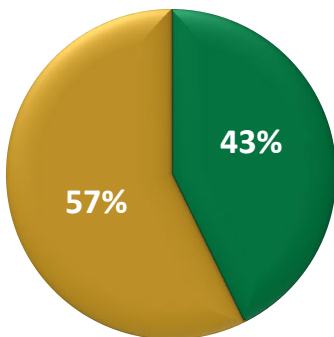
U.S. Marine Corps (cont'd)


Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," March 13, 2020 (Full Report is Classified)


Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.3: The DoD OIG recommended that the Program Manager Advanced Amphibious Assault for the Amphibious Combat Vehicle prioritize the risk of each unmitigated vulnerability identified in adversarial assessments, take immediate actions to mitigate high-risk vulnerabilities, and if unable to immediately mitigate any of the vulnerabilities, include them on a command-approved plan of action and milestones.</p>	<p>18 days</p>

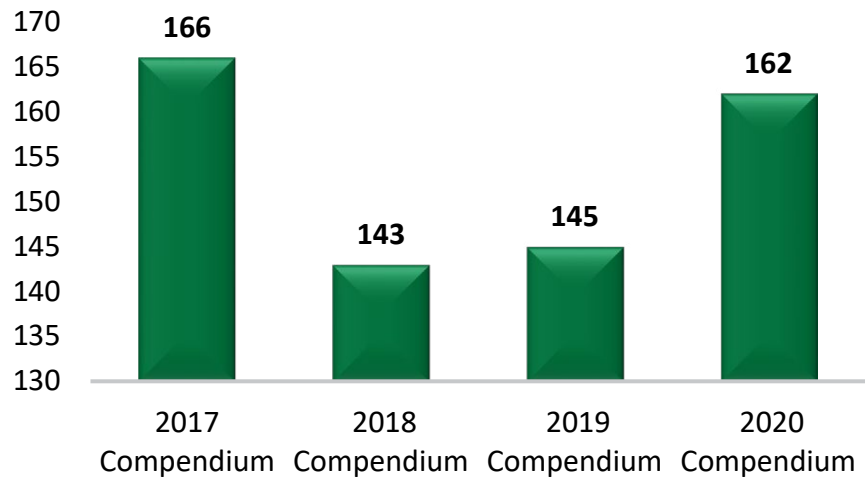


APPENDIX A

*Statistics – Department of the Air Force***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	7
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Number of Recommendations Open Longer than 5 Years	4
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Potential Monetary Benefits	\$105 million
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APPENDIX A

Department of the Air Force

Report No. DODIG-2013-035, “Better Reporting and Certification Processes Can Improve Red Teams’ Effectiveness,” December 21, 2012 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. B.7: The DoD OIG recommended that the Commander, 377th Air Base Wing, implement, track, and validate that a Plan of Actions and Milestones has been created to correct the outstanding vulnerabilities for [REDACTED], safeguarding Personally Identifiable Information, identifying false credentials used to gain installation access, and controlling actions in restricted areas and verify that all security weaknesses are reported.	7 years 3 months 10 days
(FOUO)	

Report No. DODIG-2014-038, “Air Force Life Cycle Management Center Could Not Identify Actual Cost of F119 Engine Spare Parts Purchased from Pratt and Whitney,” February 10, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Air Force Life Cycle Management Center Commander develop a process to identify and document actual spare part costs for 2010 and each subsequent year on contract FA8611-08-C-2896 for use in determining fair and reasonable prices.	6 years 1 month 21 days

Report No. DODIG-2015-052, “Air Force Life Cycle Management Center’s Management of F119 Engine Spare Parts Needs Improvement,” December 19, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.5: The DoD OIG recommended that the Air Force Life Cycle Management Center Director clarify the Defense Contract Management Agency’s responsibility to formally accept F119 engine spare parts on behalf of the Life Cycle Management Center.	5 years 3 months 12 days

Report No. DODIG-2015-090, “Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors,” March 9, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: The DoD OIG recommended that the Navy and Air Force review and update the Joint Service Specification Guide to reflect changes in policy and technology that have occurred in the last 16 years.	5 years 22 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2015-168, "Air Force Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," September 3, 2015 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
	Rec. A.5.a: The DoD OIG recommended that the Air Force Chief, Information Dominance Chief Information Officer review the deficiencies identified, require a thorough review of the Air Force Secret Internet Protocol Router Network security safeguards performed at each command, and apply corrective actions as necessary.	4 years 6 months 28 days
	Rec. A.5.b: The DoD OIG recommended that the Air Force Chief, Information Dominance Chief Information Officer develop a plan to create a list of mission critical systems, update the list periodically, and provide this information to the appropriate communications squadron and network personnel at each base.	4 years 6 months 28 days
	Rec. A.10.a: Recommendation is Classified.	4 years 6 months 28 days
	Rec. A.10.b: Recommendation is Classified.	4 years 6 months 28 days
(FOUO)	Rec. A.10.d: The DoD OIG recommended that the Commander, [REDACTED] develop and implement [REDACTED] according to the Chairman of the Joint Chiefs of Staff Instruction 6510.01F, "Information Assurance (IA) and Support to Computer Network Defense (CND)," February 9, 2011, and Air Force Manual 33-282, "Computer Security," March 27, 2012, and if [REDACTED] cannot be developed, then coordinate with base communications squadrons and any other necessary parties to develop a [REDACTED].	4 years 6 months 28 days
(FOUO)		

Report No. DODIG-2016-072, "DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," April 1, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering, and Force Protection, Headquarters U.S. Air Force and the Provost Marshal General for the U.S. Army issue or update guidance specifying the queries required to access the National Crime Information Center and the Interstate Identification Index files and conduct background checks in accordance with service regulations.	3 years 11 months 30 days
Rec. 1.b: The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force and the Provost Marshal General for the U.S. Army issue or update guidance that specifically addresses general public housing tenants' access badge expiration dates.	3 years 11 months 30 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2016-087, "Air Force Civil Engineer Center Management of Energy Savings Performance Contracts Needs Improvement," May 4, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Air Force Civil Engineer Center Director validate Joint Base Charleston energy savings performance contract savings achieved for performance years 2 through 8 as statutorily mandated and recommend the contracting officer take appropriate contractual action, such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the contract.	3 years 10 months 27 days

Report No. DODIG-2016-114, "Actions Needed to Improve Reporting of Marine Corps, Navy, and Air Force Operating Materials and Supplies," July 26, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.a: The DoD OIG recommended that the Deputy Chief of Staff Air Force Headquarters, A4, develop a plan to perform complete, quarterly reconciliations of Army-held Operating Materials and Supplies-Ammunition using alternative procedures that resolve all differences between the summary-level data provided by the Army and used by Combat Ammunition System and Logistics Modernization Program until Combat Ammunition System is capable of receiving transaction-level data from the Army.	3 years 8 months 5 days
Rec. A.2.b: The DoD OIG recommended that the Deputy Chief of Staff Air Force Headquarters, A4, develop a plan to perform complete, quarterly reconciliations of Army-held Operating Materials and Supplies-Ammunition using the Combat Ammunition System once it is capable of receiving transaction-level data from the Army.	3 years 8 months 5 days
Rec. B.3: The DoD OIG recommended that the Assistant Secretary of the Air Force (Financial Management and Comptroller) completely reconcile amounts reported by field locations in the summary data submission to General Accounting and Finance System-Re-Engineered on a quarterly basis and resolve differences.	3 years 8 months 5 days

Report No. DODIG-2016-133, "Evaluation of Integrated Tactical Warning/Attack Assessment Ground-Based Radars," September 8, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Commander of Air Force Space Command ensure that all scheduled Integrated Threat Warning/Attack Assessment Electromagnetic Pulse projects, to include final verification testing, are completed.	3 years 6 months 23 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2017-004, "Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements.*	3 years 5 months 17 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2017-070, "Evaluation of the National Airborne Operations Center Mission Sustainment and Modernization," March 23, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: Recommendation is Classified.	3 years 8 days

Report No. DODIG-2017-093, "Control Systems Supporting Tier I Task Critical Assets Lacked Basic Cybersecurity Controls," June 15, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Headquarters Air Force Director of Civil Engineers develop and implement cybersecurity training for all civil engineer personnel responsible for control system cybersecurity management.	2 years 9 months 16 days

Report No. DODIG-2017-094, "Audit of Air Force Munitions Requirements and Storage Facilities in the Republic of Korea," June 26, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.b: The DoD OIG recommended that the 7th Air Force Commander implement the Assistant Secretary of the Air Force for installations, Environment and Energy's 18-month plan, when approved.	2 years 9 months 5 days
Rec. C.1.a: The DoD OIG recommended that the 7th Air Force Commander correct the maintenance deficiencies identified in Appendix B.	2 years 9 months 5 days
Rec. C.1.e: The DoD OIG recommended that the 7th Air Force Commander ensure the Republic of Korea Air Force complies with the terms established in the Munitions Activities Gained by Negotiations between the United States Air Force and Republic of Korea Air Force Memorandum of Understanding by addressing the maintenance deficiencies identified at those facilities.	2 years 9 months 5 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2017-104, "Followup on DoD OIG Report No. DODIG-2015-013, "Military Housing Inspections - Republic of Korea," October 28, 2014," July 20, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Secretaries of the Army and Air Force involve U.S. Forces Korea and other entities, such as the Army Installation Management Command and the Air Force Installation and Mission Support Center, to perform oversight and provide guidance on addressing and closing Finding A of this report.	2 years 8 months 11 days

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C: The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Director, Defense Threat Reduction Agency and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.	2 years 8 months 3 days

Report No. DODIG-2018-029, "Follow-up Audit: Basic Expeditionary Airfield Resources Support and Repair Spare Kits," November 16, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Headquarters Air Force/A4L Director update Air Force Instruction 25-101 to include a process to reconcile Basic Expeditionary Airfield Resources support and repair spare kit on-hand inventories with Basic Expeditionary Airfield Resources requirements.	2 years 4 months 15 days
Rec. 2.a: The DoD OIG recommended that the U.S. Pacific Air Forces Commander comply with the War Reserve Materiel Global Management Office's annual cycle for validating requirements by June 1, 2018.	2 years 4 months 15 days
Rec. 2.b: The DoD OIG recommended that the U.S. Pacific Air Forces Commander ensure that base commanders promptly update their inventories upon receipt of the annually validated requirements.	2 years 4 months 15 days

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. E.1.b: The DoD OIG recommended that the Secretary of the Air Force immediately and comprehensively review all Air Force Office of Special Investigations (AFOSI) criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements.	2 years 3 months 27 days
Rec. F.1.b: The DoD OIG recommended that the Secretary of the Air Force immediately and comprehensively review all Air Force Security Forces criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements.	2 years 3 months 27 days
Rec. F.1.e: The DoD OIG recommended that the Secretary of the Air Force take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	2 years 3 months 27 days

Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Air Force Medical Operations Agency Commander evaluate the 88th Medical Group's Patient Safety Indicator #90 performance after the new Patient Safety Indicator #90 measures and benchmarks are available to determine if the facility is outperforming, performing the same as, or underperforming compared to other healthcare facilities; and take appropriate action to correct all identified deficiencies.	2 years 3 months 17 days

Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5.a: The DoD OIG recommended that the Installation Commander, [REDACTED], use Air Force Manual 10-2502, "Air Force Incident Management Systems (AFIMS) Standards and Procedures," when finalized by U.S. Air Forces, Headquarters to categorize [REDACTED], personnel and provide the milestones for completion.	2 years 3 days

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Department of the Air Force – Report No. DODIG-2018-092 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5.h: The DoD OIG recommended that the Installation Commander, [REDACTED], establish a [REDACTED], emergency operating center and ensure the emergency operating center personnel develop and maintain a common operating picture for the installation.	2 years 3 days

Report No. DODIG-2018-109, “Protection of Patient Health Information at Navy and Air Force Military Treatment Facilities,” May 2, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Commanders of the 436th Medical Group, Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship <i>Mercy</i> , and Wright-Patterson Medical Center review the performance of their Chief Information Officers and consider administrative action, as appropriate, for not following Federal and DoD guidance for protecting patient health information to include: not mitigating known vulnerabilities in a timely manner; not developing plans of action and milestones for unmitigated vulnerabilities; and not formally accepting risks for unmitigated vulnerabilities.	1 year 10 months 29 days

Report No. DODIG-2018-121, “Air Force’s F-15 Eagle Passive/Active Warning and Survivability System,” May 21, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended the Deputy Chief of Staff of the Air Force for Strategic Plans and Requirements issue revised [REDACTED] that includes F-15C Eagle Passive/Active Warning and Survivability System procurement funds if Congress does not approve the F-15C aircraft retirement.	1 year 10 months 10 days

Report No. DODIG-2018-122, “U.S. Strategic Command Facility Construction Project,” May 31, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the U.S. Air Force Civil Engineer Center Commander conduct program life-cycle evaluations to determine the success of the Cost Estimating Improvement Plan.	1 year 10 months

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-137, "Command Cyber Readiness Inspections at Air Force Squadrons," July 11, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Chief of Information Dominance and Chief Information Officer for the Office of the Secretary of the Air Force require Air Force Space Command/Air Forces Cyber to develop a process to ensure that vulnerabilities identified during routine vulnerability management and Command Cyber Readiness Inspections, including non-Information Assurance Vulnerability Alert and Bulletin vulnerabilities, are mitigated within U.S. Cyber Command required compliance timeframes and in accordance with DoD Instruction 8510.01.	1 year 8 months 20 days

Report No. DODIG-2018-143, "Air Force Space Command Supply Chain Risk Management of Strategic Capabilities," August 14, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Commander of Air Force Space Command develop a plan of action with milestones for the Space Based Infrared System to comply with DoD supply chain risk management policy. The plan should establish controls and oversight and require Air Force Space Command personnel to determine the risk posture and potential mitigations for all application specific integrated circuits not procured from a trusted supplier using trusted processes accredited by the Defense Microelectronics Activity.	1 year 7 months 17 days
(FOUO) Rec. 1.d: The DoD OIG recommended that the Commander of Air Force Space Command develop a plan of action with milestones for the Space Based Infrared System to comply with DoD supply chain risk management policy. The plan should establish controls and oversight and require Air Force Space Command personnel to ensure the use of rigorous test and evaluation capabilities, including developmental, acceptance, and operational testing [REDACTED] and require establishment of verification and validation procedures for critical logic-bearing hardware, software, and firmware either independently or through delegation to the Defense Contract Management Agency.	1 year 7 months 17 days
Rec. 2: The DoD OIG recommended that the Commander of Air Force Space Command conduct a detailed review of the supply chain risk management for the Air Force Satellite Control Network, Family of Advanced Beyond Line-of-Sight Terminals, and Global Positioning System programs, and all other programs deemed critical to the Air Force Space Command, to ensure compliance with DoD supply chain risk management policy. If deficiencies are identified, Air Force Space Command officials must develop a plan of action with milestones to correct the deficiencies.	1 year 7 months 17 days
(FOUO)	

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2018-144, "Evaluation of Intelligence Support to Protect U.S. Nuclear Weapons in Europe," August 10, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.a: Recommendation is Classified.	1 year 7 months 21 days
Rec. A.3.b: Recommendation is Classified.	1 year 7 months 21 days
Rec. A.3.c: Recommendation is Classified.	1 year 7 months 21 days

Report No. DODIG-2018-145, "Air Force C-5 Squadrons' Capability to Meet U.S. Transportation Command Mission Requirements," August 13, 2018 (Final Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Air Mobility Command Commander request the Air Force Manpower Analysis Agency to create a C-5 logistics composite model to identify aircraft maintenance authorization ratios that better align with current C-5 maintenance needs for use in determining future authorization levels.	1 year 7 months 18 days

Report No. DODIG-2018-160, "Evaluation of the Space-Based Segment of the U.S. Nuclear Detonation Detection System," September 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Deputy Secretary of Defense, in coordination with the appropriate interagency stakeholders, establish a U.S. Nuclear Detonation Detection System governance structure to coordinate requirements and capabilities within the DoD and throughout the interagency. Once the new governance structure is in place, establish guidance to lead, manage, and operate the U.S. Nuclear Detonation Detection System.	1 year 6 months 3 days

Report No. DODIG-2019-004, "DoD Oversight of Bilateral Agreements With the Republic of the Philippines," November 2, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.d: The DoD OIG recommended that the Pacific Air Forces Commander input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 57 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	1 year 4 months 29 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2019-016, "DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015," November 8, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 4.b: The DoD OIG recommended that the DoD Cyber Crime Center Director [REDACTED]	1 year 4 months 23 days
(FOUO)	

Report No. DODIG-2019-040, "U.S. Air Forces in Europe Plans for the Procurement and Pre-Positioning of Deployable Air Base Kits," December 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Director of Headquarters Air Force A4 Logistics, Installations, and Mission Support Division, in coordination with the Director of U.S. Air Forces in Europe A4 Logistics Division and the Director of Air Force Materiel Command Logistics Division, ensure that a program manager is designated at least at the Director level for the Deployable Air Base Kits program so that a single organization maintains responsibility for coordinating with the multiple organizations supporting the program, requesting progress reports on individual storage facility construction and equipment category procurement, and tracking overall program execution.	1 year 3 months 4 days
Rec. 1.b: The DoD OIG recommended that the Director of Headquarters Air Force A4 Logistics, Installations, and Mission Support Division, in coordination with the Director of U.S. Air Forces in Europe A4 Logistics Division and the Director of Air Force Materiel Command Logistics Division, direct the program manager to review and update the Deployable Air Base Kits program plan at least semi-annually, which includes the construction of storage facilities, procurement of all seven equipment categories, and pre positioning to ensure that all 24 kits are on track to be procured and pre-positioned by the U.S. European Command end date.	1 year 3 months 4 days

Report No. DODIG-2019-056, "Accounting and Financial Reporting for the Military Housing Privatization Initiative," February 12, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.5.a: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to reconcile their privatized housing inventories with the private partners' housing inventories and update the records as needed to establish a baseline.	1 year 1 month 19 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.5.b: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to develop and implement procedures to accurately record the additions and removals of housing records to ensure consistency between the Military Department and private partner systems.	1 year 1 month 19 days
Rec. B.5.c: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander, Naval Facilities Engineering Command; and Director, Air Force Civil Engineer Center to develop and implement controls to ensure that the enterprise Military Housing system and Military Department housing records reconcile once privatized housing records are in the enterprise Military Housing system.	1 year 1 month 19 days

Report No. DODIG-2019-058, “Summary and Follow-up Report on Audits of DoD Energy Savings Performance Contracts,” February 14, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics direct Air Force energy savings performance contracting officers, and coordinate with the Air Force Civil Engineer Center Commander, to identify all active legacy Air Force energy savings performance contracts with contractor-claimed energy savings not previously validated by the Government validation.	1 year 1 month 17 days
Rec. 2.b: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics direct Air Force energy savings performance contracting officers, and coordinate with the Air Force Civil Engineer Center Commander, to validate all past contractor-claimed energy savings included in contractor post installation and measurement and verification reports not previously validated.	1 year 1 month 17 days
Rec. 2.c: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics direct Air Force energy savings performance contracting officers, and coordinate with the Air Force Civil Engineer Center Commander, to, based on the results of the validations, as mandated by law, take appropriate contractual action (if necessary), such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the applicable contracts.	1 year 1 month 17 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
	Rec. A.3.b: The DoD OIG recommended that the Air Force Chief Information Officer direct Air Force Command officials to implement procedures to ensure that SECRET Internet Protocol Router Network access request forms are properly completed, reviewed, and approved before Air Force Command officials grant users access to the SECRET Internet Protocol Router Network. In addition, ensure compliance with the processes and maintain the SECRET Internet Protocol Router Network access request forms.	1 year 13 days
	Rec. A.3.c: The DoD OIG recommended that the Air Force Chief Information Officer direct Air Force Command officials to implement processes to ensure that SECRET Internet Protocol Router Network users complete all required security training before receiving access to the network and ensure all SECRET Internet Protocol Router Network users complete all required refresher training to maintain network access and ensure compliance with the processes.	1 year 13 days
(FOUO)	Rec. A.3.d: The DoD OIG recommended that the Air Force Chief Information Officer [REDACTED]	1 year 13 days
(FOUO)	Rec. A.3.e: The DoD OIG recommended that the Air Force Chief Information Officer [REDACTED]	1 year 13 days
(FOUO)	Rec. A.3.f: The DoD OIG recommended that the Air Force Chief Information Officer [REDACTED]	1 year 13 days
(FOUO)		

Report No. DODIG-2019-066, "Summary Audit of Systemic Weaknesses in the Cost of War Reports," March 22, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the Auditor General of the Army, Auditor General of the Navy, and Auditor General of the Air Force include followup audits that verify the accuracy of the Cost of War data in their FY 2020 audit plans.	1 year 9 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2019-078, "Evaluation of the Air Force's Implementation of DoD OIG Recommendations Concerning Modifications of the Integrated Tactical Warning and Attack Assessment (ITW/AA) Mobile Ground System," April 17, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: Recommendation is Classified.	11 months 14 days
Rec. 2: The DoD OIG recommended that the Secretary of the Air Force develop a plan to fund and field the Space Based Infrared System Survivable and Endurable Evolution program and the Universal Ground Nuclear Detonation Terminal in time to prevent a gap in survivable and endurable missile warning and nuclear detonation detection.	11 months 14 days

Report No. DODIG-2019-080, "Audit of the B61-12 Tail Kit Assembly Program," April 19, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: Recommendation is Classified.	11 months 12 days

Report No. DODIG-2019-075, "Evaluation of Military Services' Law Enforcement Responses to Domestic Violence Incidents," April 19, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that all subjects that we determined were not properly titled and indexed in the Defense Central Index of Investigations are titled and indexed, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	11 months 12 days
Rec. B.1.b: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that a comprehensive review of all criminal investigative databases and files is conducted to verify that all subjects of domestic violence incidents from 1998 to present are titled and indexed in the Defense Central Index of Investigation, as required by DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012.	11 months 12 days
Rec. B.1.c: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that subject fingerprint cards and final disposition reports are collected and submitted to the Federal Bureau of Investigation Criminal Justice Information Services Division database for all subjects that we determined were not submitted, as required by DoD Instruction 5505.11, "Fingerprint Card and Final Disposition Report Submission Requirements," July 21, 2014, as amended.	11 months 12 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2019-075 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.d: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that DNA is collected and submitted to the Defense Forensics Science Center for submission to the Combined DNA Index System for all qualifying subjects that we determined were not submitted, as required by DoD Instruction 5505.14, “Deoxyribonucleic Acid (DNA) Collection Requirements for Criminal Investigations, Law Enforcement, Corrections, and Commanders,” December 22, 2015.	11 months 12 days
Rec. B.1.e: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that the importance of complying with DoD and supplemental Military Service policies related to law enforcement’s response to domestic violence incidents when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations is emphasized in writing to all law enforcement organizations.	11 months 12 days
Rec. B.1.f: The DoD OIG recommended that the Secretaries of the Army, Navy, and Air Force take prompt action to ensure that law enforcement practices, equipment, and supervisory reviews are adequate to comply with DoD policies when collecting evidence, conducting interviews, notifying Family Advocacy Program staff members, and titling and indexing subjects in the Defense Central Index of Investigations.	11 months 12 days

Report No. DODIG-2019-084, “Evaluation of the Operations and Management of Military Cemeteries,” May 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that, once the DoD issues its instruction, the Secretaries of the Army, Navy, and Air Force update the cemetery regulations accordingly.	10 months 11 days

Report No. DODIG-2019-093, “Evaluation of U.S. European Command’s Nuclear Command and Control Between the President and Theater Nuclear Forces,” June 10, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: Recommendation is Classified.	9 months 21 days
Rec. 2.c: Recommendation is Classified.	9 months 21 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Commander of U.S. Air Forces Central Command develop and implement a combating trafficking in persons program, as required by Central Command Regulation 570-4.	9 months 20 days
Rec. B.1.a: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers include Federal Acquisition Regulation clause 52.222-50 in all contracts, and update the clause, as needed, to reflect the current version of the clause.	9 months 20 days
Rec. B.1.b: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers ensure that quality assurance surveillance plans are prepared for all contracts that require them, and include appropriate methods for monitoring the contractor's performance regarding trafficking in persons.	9 months 20 days
Rec. B.1.c: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers document in the contract file how monitoring for compliance with the Combating Trafficking in Persons clause will be accomplished, and by whom, if a contract does not require a quality assurance surveillance plan.	9 months 20 days
Rec. B.1.d: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers verify that contracting officer's representatives accomplish and document proper monitoring of contractor compliance with DoD combating trafficking in persons regulations.	9 months 20 days
Rec. B.1.e: The DoD OIG recommended that the U.S. Air Forces Central Command Chief of Contracting and the Army Contracting Command-Rock Island Executive Director ensure that contracting officers enter contractor past performance information, including combating trafficking in persons violations, promptly into the Contractor Performance Assessment Reporting System.	9 months 20 days
Rec. C.2: The DoD OIG recommended that the Deputy Assistant Secretary (Contracting) establish a process or identify a resource for DoD contracting officials to obtain definitive guidance on Kuwaiti labor laws that apply to DoD contracts.	9 months 20 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2019-103, "Audit of Air Force Accountability of Government Property and Oversight of Contractual Maintenance Requirements in the Contract Augmentation Program IV in Southwest Asia," July 18, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.b: The DoD OIG recommended that the Chief of the Air Force Installation Contracting Agency's 772nd Enterprise Sourcing Squadron Contracting Division require the procuring contracting officers to coordinate with the accountable property officers and Air Force Contract Augmentation Program IV contractors for task orders F0024, 0003, F0051, and F0043 to jointly verify the Government-furnished property inventory provided in each task order; use the results of the jointly verified inventory to modify the contracts to reflect an updated and accurate list of Government-furnished property, which includes the unit acquisition cost and a unique identifying number for each Government-furnished property item, and provide that modification to the accountable property officer in order for the accountable property officer to establish accountable records; and validate that the Government-furnished property lists, including unit acquisition cost and a unique identifying number, are complete before awarding subsequent contracts.	8 months 13 days

Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication.	8 months 8 days
Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to mitigating vulnerabilities in a timely manner.	8 months 8 days

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Department of the Air Force – Report No. DODIG-2019-105 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.c: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to protecting and monitoring data on removable media.	8 months 8 days

Report No. DODIG-2019-108, “Audit of the DoD’s Management of the Third Party Collection Program for Medical Claims,” September 16, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 6.a: The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	6 months 15 days
Rec. 6.b: The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days
Rec. 6.c: The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 6.d: The DoD OIG recommended that the Commander of the 59th Medical Wing at Lackland Air Force Base provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days
Rec. 7.a: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	6 months 15 days
Rec. 7.b: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base review and modify procedures for obtaining pre-authorization when beneficiaries receive services at the medical facility that require pre-authorization from the insurance provider.	6 months 15 days
Rec. 7.c: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days

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Department of the Air Force – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 7.d: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base review the 15 claims with potential invalid denials or awaiting resolution to determine whether they are still awaiting resolution or were written off for valid reasons, and if not, re bill the claims to the insurance provider.	6 months 15 days
Rec. 7.e: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base develop and implement procedures to review and validate denials before writing off claims, and implement procedures to process denials by beneficiary.	6 months 15 days
Rec. 7.f: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base identify the impact a \$505,787 refund to an insurance provider will have on the 75th Medical Group's operations and maintenance budget, and take appropriate action to mitigate any impact on the medical facility's mission.	6 months 15 days
Rec. 7.g: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 7.h: The DoD OIG recommended that the Commander of the 75th Medical Group at Hill Air Force Base provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days
Rec. 11.a: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency review the contract language for the Third Party Collection Program contracts, and align the contract terms with all applicable Federal and DoD regulations.	6 months 15 days
Rec. 11.b: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency implement oversight procedures to monitor contractor performance in accordance with the terms of the contract and all Federal and DoD regulations.	6 months 15 days
Rec. 11.c: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency hold any contracting personnel assigned oversight responsibility accountable for not appropriately performing oversight procedures necessary to ensure the contractor complied with Federal and DoD regulations and contract terms.	6 months 15 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2019-113, "Audit of the Air Force Nonappropriated Fund Government Purchase Card Program," August 16, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office establish a requirement for an annual statistical review of all cardholders' delegations of purchase authority to determine whether the installation program coordinators are properly retaining the delegations of purchase authority as well as establishing monthly purchase limits to meet mission requirements.	7 months 15 days
Rec. 1.b: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office review and validate that the cardholder delegations of purchase authority are being retained, in accordance with Air Force Instruction 34-275.	7 months 15 days
Rec. 1.d: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office establish procedures in Air Force Instruction 34-275 for retaining documentation when cardholders separate from an organization.	7 months 15 days
Rec. 1.e.1: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office establish a requirement in Air Force Instruction 34-275 so cardholders retain documentation to show, at a minimum, a generation of the purchase requirement, need for the purchase, activity it supports, items requested, dollar amount, and the name and signature of the requestor, approving official, and cardholder.	7 months 15 days
Rec. 1.e.2: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office establish a requirement in Air Force Instruction 34-275 so cardholders retain documentation to show, at a minimum, items or services were received in accordance with the vendor agreement, including the name of the individual that received the item or service and the individual's signature and contact information.	7 months 15 days
Rec. 1.e.3: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office establish a requirement in Air Force Instruction 34-275 so cardholders retain documentation to show, at a minimum, necessary components of a valid proof of purchase, such as the date of purchase, the total price, and a description of the items or services purchased.	7 months 15 days
Rec. 1.e.4: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office establish a requirement in Air Force Instruction 34-275 so cardholders retain documentation to show, at a minimum, necessary components of the transaction notes, such as the items or services purchased, reason for the purchase, and for whom they were purchased, as well as require detailed statements within the notes.	7 months 15 days
Rec. 1.f: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office direct all installation program coordinators, approving officials, and cardholders to determine whether the purchases are tax-exempt and recoup any erroneously paid sales tax.	7 months 15 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2019-113 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.g: The DoD OIG recommended that the Director of the Air Force Nonappropriated Fund Purchasing Office identify items or services that should be purchased together to obtain lower prices and standardize requirements, as well as incorporate a requirement in the Air Force Instruction 34-275 regarding purchase aggregation.	7 months 15 days

Report No. DODIG-2019-116, “Audit of Contingency Planning for DoD Information Systems,” August 21, 2019 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 4: The DoD OIG recommended that the Secretary of the Air Force, in coordination with the Air Force Chief Information Dominance, Chief Information Officer, verify that system owners [REDACTED] based on the revisions made to DoD Instruction 8500.01, “Cybersecurity,” March 14, 2014, or any additional guidance provided by the DoD Chief Information Officer.	7 months 10 days
(FOUO)	

Report No. DODIG-2019-125, “Evaluation of the DoD’s Handling of Incidents of Sexual Assault Against (or Involving) Cadets at the United States Air Force Academy,” September 30, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.3.a: The DoD OIG recommended that the Director of the United States Air Force Sexual Assault Prevention and Response Office require the Air Force Defense Sexual Assault Incident Database Program Administrator to document and confirm with United States Air Force Academy Sexual Assault Prevention and Response personnel the reason that she archived each of the 24 reports of sexual assault that we identified in this evaluation.	6 months 1 day
Rec. D.3.b: The DoD OIG recommended that the Director of the United States Air Force Sexual Assault Prevention and Response Office require the Air Force Defense Sexual Assault Incident Database Program Administrator to maintain documentation in the Defense Sexual Assault Incident Database from installation Sexual Assault Prevention and Response personnel to support the reason that reports of sexual assault are archived in the future.	6 months 1 day
Rec. D.3.c: The DoD OIG recommended that the Director of the United States Air Force Sexual Assault Prevention and Response Office require an Air Force Sexual Assault Prevention and Response Office supervisor to approve in writing the archival of a report of sexual assault in the Defense Sexual Assault Incident Database.	6 months 1 day

APPENDIX A

Department of the Air Force – Report No. DODIG-2019-125 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.3.d: The DoD OIG recommended that the Director of the United States Air Force Sexual Assault Prevention and Response Office develop policy for the Air Force Defense Sexual Assault Incident Database Program Administrator to use when determining whether it is appropriate to archive reports of sexual assault in the Defense Sexual Assault Incident Database.	6 months 1 day

Report No. DODIG-2020-042, “Audit of the Service Acquisition Executives’ Management of Defense Acquisition Category 2 and 3 Programs,” December 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.8.a: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics determine the number of low cost modifications, their associated costs, and the accountable program offices.	3 months 11 days
Rec. A.8.b: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics determine whether each low cost modification effort was completed in less than 1 year, at \$2 million or less, as required by Air Force guidance.	3 months 11 days
Rec. A.8.c: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics validate that low cost modification costs are reflected in their respective acquisition program cost estimates.	3 months 11 days
Rec. A.9.a: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain an accurate list of programs.	3 months 11 days
Rec. A.9.b: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain the correct active or inactive status.	3 months 11 days
Rec. A.9.c: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases contain accurate points of contact or responsible offices.	3 months 11 days
Rec. A.9.d: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that their databases are updated in accordance with Department guidance.	3 months 11 days
Rec. A.10: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force hold Program Executive Officers accountable for reporting inaccurate or misleading program information and for enforcing existing guidance that requires program acquisition databases to be regularly updated.	3 months 11 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2020-042 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.11: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that they are being notified, as required by DoD Instruction 5000.02, when programs are within 10 percent or exceed the next Acquisition Category level.	3 months 11 days
Rec. A.12.a: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that all programs have approved Acquisition Program Baselines as required by DoD Instruction 5000.02.	3 months 11 days
Rec. A.12.b: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force verify and validate that program officials are reporting when acquisition costs or schedules exceed thresholds established in the Acquisition Program Baseline.	3 months 11 days
Rec. A.12.c: The DoD OIG recommended that the Service Acquisition Executives for the Army, Navy, and Air Force report to their respective Military Department Secretary when this verification and validation effort has been completed.	3 months 11 days

Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 3: The DoD OIG recommended that the [REDACTED]	3 months 1 day
(FOUO) Rec. 4: The DoD OIG recommended that the [REDACTED]	3 months 1 day
(FOUO)	

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2020-059, "Evaluation of Weather Support Capabilities for the MQ-9 Reaper," February 5, 2020 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Department of the Air Force Auditor General conduct a review of Air Force Components' use of Overseas Contingency Operations and Maintenance funding to develop innovation projects to ensure these funds are not used to develop capabilities that are not needed or that may be stopped due to shortages in Overseas Contingency Operations funding without being fully developed.	1 month 26 days
Rec. 3: The DoD OIG recommended that the Air Force Chief of Staff review the actions of personnel in the Air Force Deputy Chief of Staff for Intelligence, Surveillance, Reconnaissance, and Cyber Effects Operations office for the development and funding of near real-time weather information and weather model forecasting capabilities and determine whether individuals should be held accountable for wasting resources on capabilities that were being developed without validated requirements and that were not fully developed for DoD use.	1 month 26 days

Report No. DODIG-2020-066, "Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems," March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.a: Recommendation is Classified.	29 days
Rec. A.2.b: Recommendation is Classified.	29 days
Rec. A.4.a: Recommendation is Classified.	29 days
Rec. A.4.b: Recommendation is Classified.	29 days
Rec. B.1.a: The DoD OIG recommended that the Commander of the Air Force Life Cycle Management Center develop a security classification guide for the Nuclear Planning and Execution System program in accordance with the Acting Secretary of Defense and Chairman of the Joint Chiefs of Staff memorandum, "Nuclear Command, Control, Communications Umbrella Security Classification and Handling Guidance," June 6, 2019, and DoD Manual 5200.45, "Instructions for Developing Security Classification Guides," April 2, 2013, Incorporating Change 1, Effective April 6, 2018.	29 days
Rec. B.1.b: The DoD OIG recommended that the Commander of the Air Force Life Cycle Management Center submit a plan of action and milestones to the Director of the Nuclear Command, Control, and Communications Enterprise Center for complying with the Acting Secretary of Defense and Chairman of the Joint Chiefs of Staff memorandum, "Nuclear Command, Control, Communications Umbrella Security Classification and Handling Guidance," June 6, 2019, including timeframes for developing the Nuclear Planning and Execution System program security classification guide.	29 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 6.a: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO) Rec. 6.b: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO) Rec. 6.c: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO) Rec. 6.d: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO) Rec. 6.e: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO) Rec. 6.f: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO) Rec. 6.g: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO) Rec. 6.h: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO) Rec. 6.i: The DoD OIG recommended that the [REDACTED] [REDACTED].	13 days
(FOUO)	

APPENDIX A

Department of the Air Force – Report No. DODIG-2020-068 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 7.a: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.b: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.c: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.d: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.e: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.f: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.g: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.h: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.i: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 7.j: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO)	

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2020-071, "Audit of the Department of Defense's Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States," March 23, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology; the Assistant Secretary of the Navy for Research, Development, and Acquisition; and the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics, in coordination with the Commander of Military Surface Deployment and Distribution Command, develop and implement training for secure hold requirements at their respective military installations and direct the base commanders with secure hold areas to implement the training with appropriate staff.	8 days

Report No. DODIG-2020-077, "Evaluation of Niger Air Base 201 Military Construction," March 31, 2020 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Air Force Director of Civil Engineers update Air Force Instruction 32-1021 to identify oversight responsibilities when troop labor construction projects are planned and programmed at the major command level.	1 day
Rec. 3.a: The DoD OIG recommended that the Commander of U.S. Air Forces in Europe-Air Forces Africa submit congressional notification on scope changes for the runway on the DD Form 1391 for Air Base 201.	1 day
Rec. 3.b: The DoD OIG recommended that the Commander of U.S. Air Forces in Europe-Air Forces Africa develop procedures to review, validate, and certify DD Forms 1391 at appropriate levels.	1 day
Rec. 3.c: The DoD OIG recommended that the Commander of U.S. Air Forces in Europe-Air Forces Africa conduct a review of the U.S. Air Forces in Europe-Air Forces Africa records management to improve internal controls and maintenance of critical records on troop labor construction planning, programming, and implementation.	1 day
Rec. 3.d: The DoD OIG recommended that the Commander of U.S. Air Forces in Europe-Air Forces Africa conduct a review of the use of airfield solar lights, in coordination with the Air Force Installation Management Support Center, Engineering Support Division and the Air Force Civil Engineer Center, Operations Directorate, to determine whether airfield solar lights meet the intent of ETL 11-27 and are adequate for operational safety at Air Base 201.	1 day
(FOUO) Rec. 3.f: The DoD OIG recommended that the Commander of U.S. Air Forces in Europe-Air Forces Africa submit a waiver request to U.S. Africa Command Commander [REDACTED], as required.	1 day
(FOUO)	

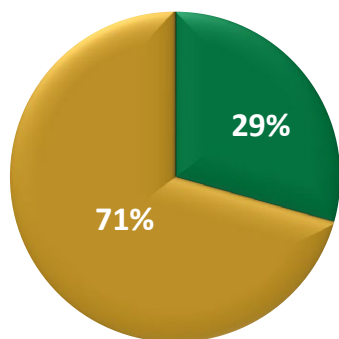
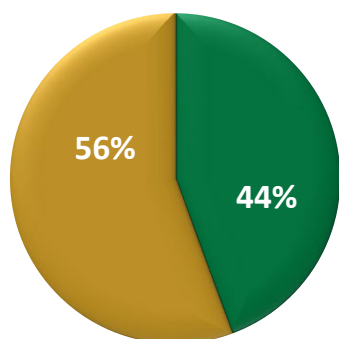
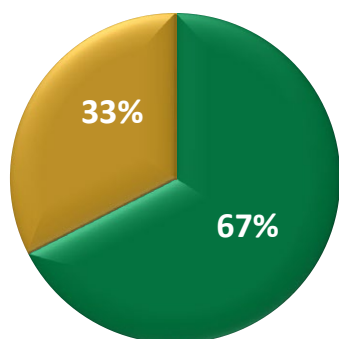

APPENDIX A


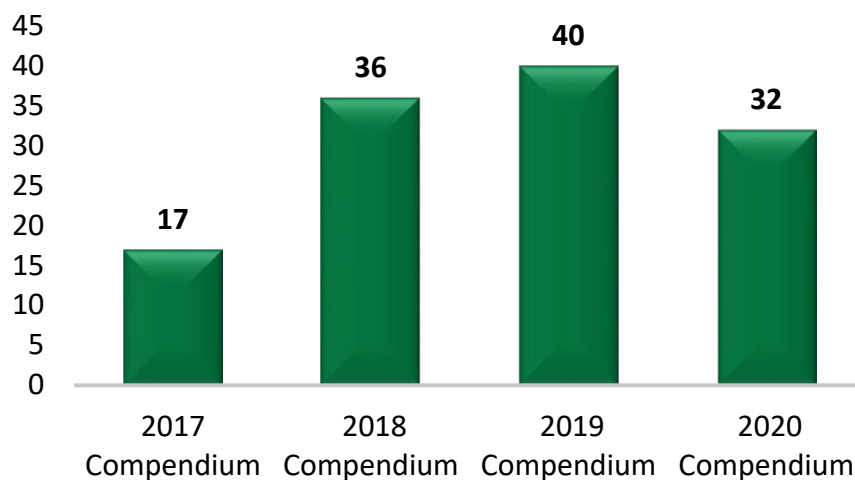
Department of the Air Force – Report No. DODIG-2020-077 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the Assistant Secretary of the Air Force (Financial Management and Comptroller) initiate a preliminary review to determine whether the use of Procurement funds for the acquisition of the guard towers resulted in a potential Antideficiency Act violation and, if so, conduct a formal investigation and provide the results of the review to the DoD Office of Inspector General.	1 day
(FOUO)	



APPENDIX A

*Statistics – Joint Chiefs of Staff***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**
 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020
Open Recommendations

Number of Unresolved Recommendations	4
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

Joint Chiefs of Staff

**Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff update Chairman of the Joint Chiefs of Staff Instruction 3110.04, "Nuclear Supplement to the Joint Strategic Capabilities Plan" planning guidance to identify geographic combatant command planning responsibilities and requirements for developing nuclear response options. Guidance should include type and level of planning information required for directed contingency plans.	4 years 9 months 13 days

Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Joint Chiefs of Staff Vice Chairman revise the Joint Capabilities Integration and Development System Manual to require sponsors of Acquisition Category I programs, or programs of interest to the Joint Chiefs of Staff, to notify the Joint Chiefs of Staff when deferrals to operationally testing system performance will delay demonstrating primary system requirements beyond the scheduled date for initial operational capability, as defined in the requirements document.	4 years 6 months 17 days

Report No. DODIG-2016-120, "Joint Improvised-Threat Defeat Agency Needs to Improve Assessment and Documentation of Counter-Improvised Explosive Device Initiatives," August 9, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Joint Staff Director establish controls to make sure the sponsors of counter-Improvised Explosive Device solutions that the Joint Improvised-Threat Defeat Agency delivers in response to validated Joint Urgent Operational Needs or Joint Emergent Operational Needs meet the requirements in the "Manual for the Operation of the Joint Capabilities Integration and Development System," February 12, 2015, for completing an assessment of the solutions' ability to deliver required capabilities within 6 months of initial delivery to operational users in theater.	3 years 7 months 22 days
Rec. 1.b: The DoD OIG recommended that the Joint Staff Director establish controls to make sure the sponsors of counter-Improvised Explosive Device solutions that the Joint Improvised-Threat Defeat Agency delivers in response to validated Joint Urgent Operational Needs or Joint Emergent Operational Needs meet the requirements in the "Manual for the Operation of the Joint Capabilities Integration and Development System," February 12, 2015, for posting completed assessments to the Knowledge Management/Decisions Support repository maintained in the Office of the Joint Chiefs of Staff.	3 years 7 months 22 days

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2016-125, "Evaluation of the DoD Nuclear Enterprise Governance," September 19, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.3: The DoD OIG recommended that the Joint Staff Director update and reissue Doctrine for Joint Nuclear Operations.	3 years 6 months 12 days

Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products," January 31, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 19: The DoD OIG recommended that the Joint Staff update Joint Publication 2-0 to bring it into compliance with the 2015 version of Intelligence Community Directive 203. The Expressions of Uncertainties in Appendix A and Figure A-1 should match the Intelligence Community Directive 203's expressions of likelihood or probability (Para D.6.e.(2)(a)).	3 years 2 months

Report No. DODIG-2017-075, "The Army Needs to More Effectively Prepare for Production of the Common Infrared Countermeasure System," April 26, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff revise the capability development document for the Common Infrared Countermeasure system to clarify that the requirements developer and the acquisition milestone decision authority must have concurrence from the Joint Requirements Oversight Council, as validation authority, before lowering threshold (minimum) values of any primary system requirement.	2 years 11 months 5 days

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2.b: The DoD OIG recommended that the Joint Staff Director of Operations incorporate Custodial Explosive Ordnance Disposal Unit roles and responsibilities in Concept of Operations Plan 0300-14.	2 years 8 months 3 days
Rec. B.6: The DoD OIG recommended that the Joint Staff Director identify explosive ordnance disposal response to a nuclear weapon incident as a Special Interest Item for Nuclear Weapon Technical Inspections.	2 years 8 months 3 days

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2017-117, "Joint Requirements Oversight Council Procurement Quantity Validation Process for Major Defense Acquisition Programs," September 6, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b.2: The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code require subordinate boards to obtain input and reviews from advisors and stakeholders to assess and review procurement quantity.	2 years 6 months 25 days
Rec. 1.b.3: The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code establish expectations for stakeholders and advisors, particularly the Under Secretary of Defense for Acquisition, Technology, and Logistics and the Director, Cost Assessment and Program Evaluation, to assist the Joint Requirements Oversight Council in evaluating procurement quantity throughout the validation process.	2 years 6 months 25 days
Rec. 1.b.4: The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code document and maintain the methodology for evaluating procurement quantity for each validation decision.	2 years 6 months 25 days
Rec. 2.b.1: The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, ensure the new investment review process clearly defines the roles for assessing, reviewing, and analyzing procurement quantity.	2 years 6 months 25 days
Rec. 2.b.2: The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, develop and implement oversight procedures and accountable methods to ensure that procurement quantity is evaluated.	2 years 6 months 25 days
Rec. 2.b.3: The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, establish expectations and accountability for the Director of Cost Assessment and Program Evaluation in ensuring appropriate tradeoffs are made among life cycle cost, schedule, performance, and procurement quantity.	2 years 6 months 25 days

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: Recommendation is Classified.	2 years 1 day

Report No. DODIG-2018-159, "Evaluation of the Integrated Tactical Warning and Attack Assessment System," September 26, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: Recommendation is Classified.	1 year 6 months 5 days

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.b.1: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.b.2: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.b.3: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.b.4: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.c: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.d: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.e: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.f: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.g: Recommendation is Classified.	1 year 1 month 20 days

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2020-045, "Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support," December 30, 2019 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO)	Rec. 2: The DoD OIG recommended that the [REDACTED]	3 months 1 day
(FOUO)	Rec. 3: The DoD OIG recommended that the [REDACTED]	3 months 1 day
(FOUO)		

Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," March 13, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.6: The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff revise Chairman of the Joint Chiefs of Staff Instruction 6510.05 and Chairman of the Joint Chiefs of Staff Manual 6510.02, in conjunction with the implementation of Recommendation A.5, to include requirements for addressing DoD Cyber Red Team-identified vulnerabilities and reporting actions taken to mitigate those vulnerabilities.	18 days

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO)	Rec. 8: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO)		

APPENDIX A

Joint Chiefs of Staff (cont'd)

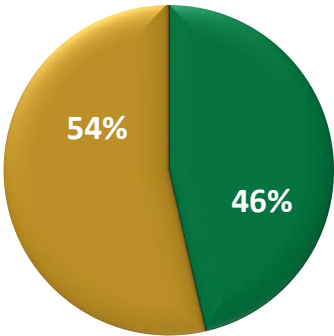
Report No. DODIG-2020-076, "Evaluation of Department of Defense Voting Assistance Programs for Calendar Year 2019," March 31, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1: The DoD OIG recommended that the Director of the Joint Staff develop and implement a written voting-assistance policy to support Service personnel assigned to the Joint Staff and their family members, including those in deployed, dispersed, and tenant organizations.</p>	<p>1 day</p>

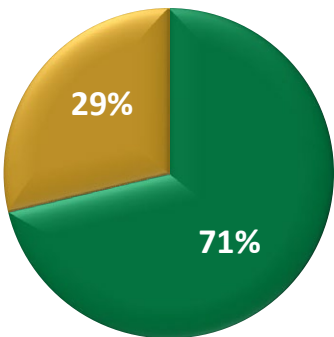


Statistics – Under Secretary of Defense for Acquisition and Sustainment

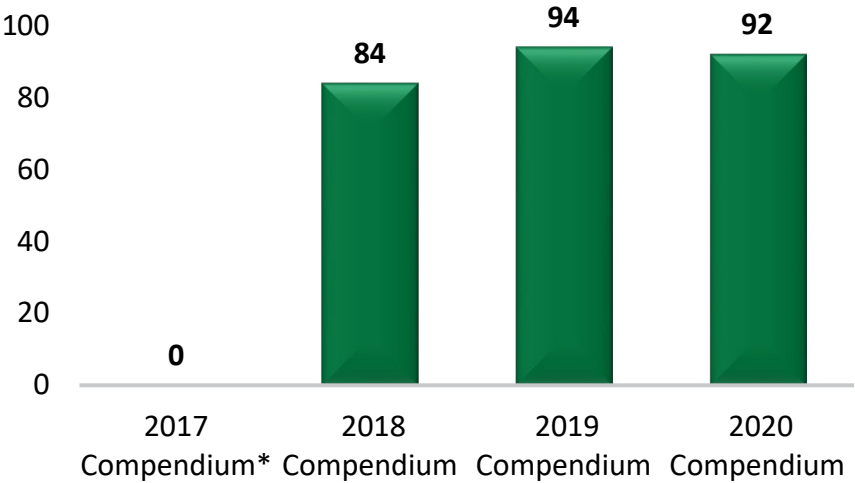
Recommendations from 2018 Compendium



Recommendations from 2019 Compendium





Open Recommendations



Number of Unresolved Recommendations	14
Number of Recommendations Open Longer than 5 Years	5
Potential Monetary Benefits	\$877 million

* In February 2018, the Under Secretary of Defense for Acquisition, Technology, and Logistics was reorganized into the Under Secretary of Defense for Research and Engineering and the Under Secretary of Defense for Acquisition and Sustainment. Therefore, statistics from the 2017 Compendium are not provided for the Under Secretary of Defense for Acquisition and Sustainment.

 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment

Report No. DODIG-2012-082, "DoD Can Improve Its Accounting for Residual Value From the Sale of U.S. Facilities in Europe," May 4, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. B: The DoD OIG recommended that the Deputy Under Secretary of Defense for Installations and Environment and the Commander for U.S. European Command revise DoD Instruction 4165.69 and U.S. European Command Instruction 4101.02, respectively, to require that future residual value settlement negotiations analyze and document how the residual value settlement amount was determined, to include at a minimum:</p> <ol style="list-style-type: none"> 1. results of an independent appraisal of the facility's value or the reasons why it was deemed not worth performing one; 2. analysis of any agreed-upon calculation methodology used to determine the values for the land and capital improvements; 3. evaluation of any environmental remediation being claimed for reasonableness, if there is an offsetting effect on the residual value received; and 4. description of specific documents that should be maintained supporting residual value settlements and how long these documents should be maintained. 	7 years 10 months 27 days

Report No. DODIG-2013-050, "Recovering Organizational Clothing and Individual Equipment from Civilians and Contractor Employees Remains a Challenge," February 22, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.a-c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics implement corrective actions to address all recommendations, with the exception of Recommendation 1.b, in DoD Office of Inspector General Report No. D-2010-069, "Central Issue Facilities at Fort Benning and Related Activities," June 21, 2010. Specifically:</p> <ol style="list-style-type: none"> a. identify civilians and contractor employees who returned from deployment but did not return organizational clothing and individual equipment; b. obtain unreturned organizational clothing and individual equipment or reimbursement from civilians and contractor employees; and c. require DoD Components to include proper language in new contracts and modify existing contracts to hold contracting companies liable for unreturned organizational clothing and individual equipment. 	7 years 1 month 9 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2014-049, "DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed," March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director address inconsistencies between the Defense Federal Acquisition Regulation Supplement 252.227-7018 and the Small Business Administration Small Business Innovation Research Policy Directive regarding intellectual property protections, specifically on when the Small Business Innovation Research data protection period begins, when it can be extended, and whether the protection period can be revived after expiration.	6 years 4 days

Report No. DODIG-2015-031, "The Services Need To Improve Accuracy When Initially Assigning Demilitarization Codes," November 7, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 6: The DoD OIG recommended that the Assistant Secretary of Defense for Logistics and Materiel Readiness require the Services to establish controls for personnel to assign accurate demilitarization codes and hold personnel accountable for not reviewing and assigning accurate demilitarization codes.	5 years 4 months 24 days

Report No. DODIG-2015-062, "DoD Needs Dam Safety Inspection Policy To Enable the Services To Detect Conditions That Could Lead to Dam Failure," December 31, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish DoD dam safety inspection policy that is in accordance with the Federal Guidelines for Dam Safety, which define inspection frequency, scope, and inspector qualifications and outline the need to develop and maintain inspection support documentation.	5 years 3 months

Report No. DODIG-2015-181, "Continental United States Military Housing Inspections-Southeast," September 24, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. J: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations and Environment (ASD[EI&E]) address the inconsistencies between the applicability of Unified Facilities Criteria 3-600-01 and the position taken by ASD(EI&E) regarding fire protection requirements for privatized military housing and initiate appropriate changes to the Unified Facilities Criteria or other applicable policy and guidance.*	4 years 6 months 7 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence,"
October 15, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director for the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, direct the Defense Acquisition Regulations Council to revise the Defense Federal Acquisition Regulation Supplement to address interim and final contractor requirements for the prevention of workplace violence.	4 years 5 months 16 days

Report No. DODIG-2016-094, "Audit of the DoD Healthcare Management System Modernization Program,"
May 31, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Program Executive Officer for Defense Healthcare Management Systems perform a schedule analysis to determine whether the December 2016 initial operational capability deadline is achievable.	3 years 10 months

Report No. DODIG-2017-002, "Consolidation Needed for Procurements of DoD H-60 Helicopter Spare Parts,"
October 12, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics perform a cost-benefit analysis to determine whether the procurement responsibility for all H-60 spare parts, including those procured under performance based logistics and contractor logistics support contracts, should be transferred to the Defense Logistics Agency, as originally required by Base Realignment and Closure Act 2005 Recommendation 176.	3 years 5 months 19 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review and update the DoD's policy, specifically DoD Manual 4140.26, volume 2, enclosure 2, section 2.d, based on decisions made in response to Recommendation 1.a regarding the procurement of depot-level reparable and consumable spare parts to include those procured under performance-based logistics and contractor logistics support contracts.	3 years 5 months 19 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics notify Congress if the DoD determines it will not transfer the procurement responsibility to the Defense Logistics Agency.	3 years 5 months 19 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2017-004, "Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish a joint-Service working group that meets periodically to identify improvements in facility inspection and maintenance programs. The working group should, as a minimum, use the results from the independent inspections recommended in Recommendation A.1 and the results of the root cause analyses recommended in the previous DoD Office of Inspector General inspection reports to create and implement a plan for improvements in inspection and maintenance programs across the DoD.*	3 years 5 months 17 days
Rec. B.1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts. Identify minimum requirements to include in the performance work statement and minimum standards to measure those requirements in the quality assurance surveillance plans. Identify applicable Federal Acquisition Regulation clauses and DoD regulations that should be included in the contract.	3 years 5 months 17 days
Rec. B.1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts. Identify minimum training that must be completed by personnel before overseeing Base Operations and Support Services contracts.	3 years 5 months 17 days
Rec. B.1.c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts. Develop minimum requirements for a comprehensive risk assessment for each potential service performed under Base Operations and Support Services contracts that includes risk levels, timeframes for addressing each risk, and a mechanism to recover funds for services not completed.	3 years 5 months 17 days
Rec. C: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, establish a permanent policy for the sustainment of facilities, including standardized facility inspections. This policy should incorporate the requirements in the September 10, 2013, "Standardizing Facility Condition Assessments," and in the April 29, 2014, "Facility Sustainment and Recapitalization Policy," memorandums.*	3 years 5 months 17 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2017-090, "The Army Needs to Improve Controls Over Chemical Surety Materials," June 7, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Chemical and Biological Defense revise DoD Instruction 5210.65 to define acceptable inventory practices and to provide guidance on appropriate segregation of duties.	2 years 9 months 24 days

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, conduct a risk assessment on the all missing Defense Contract Audit Agency security incident information.	2 years 9 months 17 days
Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, upon completion of Recommendation A.1.a, work with the Defense Contract Audit Agency Security Officer to prioritize security vulnerabilities for remediation and establish timelines for completion.	2 years 9 months 17 days

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.3: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise DoD Manual 3150.08, "Nuclear Weapon Accident Response Procedures," August 22, 2013, to align Custodial Explosive Ordnance Disposal guidance with President Directives.	2 years 8 months 3 days
Rec. C: The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Director, Defense Threat Reduction Agency and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.	2 years 8 months 3 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2017-121, "U.S. Africa Command's Management of Acquisition and Cross-Servicing Agreements," September 21, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003.	2 years 6 months 10 days
Rec. 1.a.1: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clearly define Office of the Secretary of Defense, Military Department, Defense Agency, Joint Staff, and Combatant Command oversight responsibilities.	2 years 6 months 10 days
Rec. 1.a.2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should require the use of a DoD system of record for maintaining all Acquisition and Cross-Servicing Agreement transactions and supporting documentation.	2 years 6 months 10 days
Rec. 1.a.3: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clearly define roles and responsibilities of Acquisition and Cross-Servicing Agreement order and billing officials (financial management staff), including appointment requirements.	2 years 6 months 10 days
Rec. 1.a.4: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clarify requirements for an implementing arrangement and what is acceptable for establishing parameters under Acquisition and Cross-Servicing Agreement authorities.	2 years 6 months 10 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop a training program or training program requirements for the implementation of the Acquisition and Cross-Servicing Agreement program and execution of Acquisition and Cross-Servicing Agreement authorities.	2 years 6 months 10 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2018-050, "Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts," December 19, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment coordinate with the Defense Procurement and Acquisition Policy Director to:</p> <ol style="list-style-type: none"> 1. require oversight of the energy savings performance contracts by the development of quality assurance surveillance plans tailored to the specific energy conservation measures in the energy savings performance contracts; and 2. monitor energy savings performance contract programs to ensure consistent award and administration throughout the DoD. 	2 years 3 months 12 days

Report No. DODIG-2018-063, "Navy and Marine Corps Management of Relocatable Buildings," January 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. B.1: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment update Department of Defense Instruction 4165.56 to include details and illustrated examples on how to properly classify relocatable buildings based on the definition and interim facility requirement.</p>	2 years 2 months 2 days

Report No. DODIG-2018-069, "Navy Single-Award Indefinite-Delivery Indefinite-Quantity (IDIQ) Contracts," February 1, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 2.a: The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy conduct a review of the use of the determination and findings document to determine if there is a continued need for the Military Services to submit determination and findings documents; revise the reporting requirements accordingly; and communicate the reporting requirements to the Military Services.</p>	2 years 1 month 30 days
<p>Rec. 2.b: The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy implement procedures to verify that the Military Services are submitting all approved determination and findings documents.</p>	2 years 1 month 30 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2018-070, "Summary Report of DoD Compliance With the Berry Amendment and the Buy American Act," February 6, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize guidance to DoD contracting personnel on the requirement to incorporate and enforce the Berry Amendment provisions and clauses in applicable solicitations and contracts.	2 years 1 month 25 days
Rec. A.1.b: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize guidance to DoD contracting personnel on Defense Federal Acquisition Regulation Supplement requirements regarding exceptions to the Berry Amendment.	2 years 1 month 25 days
Rec. A.1.c: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize guidance to DoD contracting personnel on the requirements of the Berry Amendment, such as inclusion of clause, posting award notices, and exception notices into the electronic contract writing systems used by the Air Force and the Defense Logistics Agency.	2 years 1 month 25 days
Rec. B.1.a: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize policy guidance to DoD contracting personnel on the requirement to incorporate and enforce the Buy American Act provisions and clauses in applicable solicitations and contracts.	2 years 1 month 25 days
Rec. B.1.b: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize policy guidance to DoD contracting personnel on the requirements of the Buy American Act, such as inclusion of clauses into the electronic contract writing systems used by the Air Force and the Defense Logistics Agency.	2 years 1 month 25 days
Rec. B.1.c: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize policy guidance to DoD contracting personnel on Defense Federal Acquisition Regulation Supplement requirements regarding exceptions to the Buy American Act.	2 years 1 month 25 days

Report No. DODIG-2018-089, "Contracting Strategy for F-22 Modernization," March 21, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics review the DoD Instruction 5000.02 and relevant acquisition guidance and revise, as necessary, to allow for the implementation of agile software development methods on programs that include both hardware and software.	2 years 10 days
Rec. 1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics compile lessons learned from DoD programs implementing agile software development methods to share with other DoD programs.	2 years 10 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 7.a: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health update DoD Instruction 6055.17 to require DoD Components to complete risk assessments at all locations worldwide to determine whether locations require an emergency management program and report the results of the assessments to the responsible combatant command. The update should also include instructions for determining which DoD Components are responsible for completing the risk assessment and determining whether a location requires an emergency management program.	2 years 3 days
Rec. 7.c: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health develop an assessment process to ensure that DoD Components are effectively and consistently applying and integrating the DoD Emergency Management Program.	2 years 3 days

Report No. DODIG-2018-101, "DoD Reporting of Charge Card Misuse to OMB," April 3, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director develop quality assurance procedures to evaluate whether the purchase card information they receive from the Military Services and Defense agencies is accurate and complete.	1 year 11 months 28 days
Rec. A.1.c: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director conduct monthly statistically valid samples of reviewed transactions to determine whether accurate conclusions were made on the validity of the transactions and its compliance with applicable criteria.	1 year 11 months 28 days
Rec. A.1.f: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director conduct a review to determine which data mining tests should be included in the selected data mining system to improve the identification of misuse.	1 year 11 months 28 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

**Report No. DODIG-2018-122, "U.S. Strategic Command Facility Construction Project," May 31, 2018
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.a: The DoD OIG recommended that the Office of the Assistant Secretary of Defense Energy, Installations, and Environment develop guidance requiring DoD organizations involved with a military construction project to draft a charter early in the project life cycle, focusing on communications and accountability by including at least the following in the project management plan:</p> <ol style="list-style-type: none"> 1. establishment of a Program Management Office for each project, where applicable; 2. establishment of performance goals; 3. identification of roles and responsibilities for key segments of construction including, but not limited to, budgetary submissions, planning, and execution; and 4. establishment of a formal approval process for change orders. 	1 year 10 months
<p>Rec. 1.b: The DoD OIG recommended that the Office of the Assistant Secretary of Defense Energy, Installations, and Environment develop guidance establishing metrics that include financial risk management parameters and triggers including, but not limited to, threshold changes to scope, cost, or timeline; emerging issues; dispute resolution; and statutory reporting requirements when higher headquarters engagement is required.</p>	1 year 10 months

Report No. DODIG-2018-125, "The Fort Bliss Hospital Replacement Military Construction Project," June 6, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.a.i: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment develop guidance to establish, in writing, the title 10, section 2851, United States Code reporting process.</p>	1 year 9 months 25 days
<p>Rec. 1.a.ii: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment develop guidance to define the roles and responsibilities for personnel involved in the section 2851 reporting process, such as the Office of the Assistant Secretary of Defense for Energy, Installations, and Environment, the resource sponsor, and the design and construction agent.</p>	1 year 9 months 25 days
<p>Rec. 1.b.i: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment issue guidance to identify the roles, responsibilities, and deciding officials for key segments of a facility construction project, including but not limited to, the project development, budgetary submissions, design reviews, planning, construction management, and assessment of contractor performance.</p>	1 year 9 months 25 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2018-125 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b.ii: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment issue guidance to establish metrics that include financial risk management parameters and triggers, including, but not limited to, threshold changes to scope, cost, or timeline; emerging issues; dispute resolution; and statutory reporting requirements when higher headquarters engagement is required.	1 year 9 months 25 days

Report No. DODIG-2018-144, “Evaluation of Intelligence Support to Protect U.S. Nuclear Weapons in Europe,” August 10, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.1: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense (Nuclear Matters) revise DoD Directive 5210.41 to establish requirements for the Defense Intelligence Agency to produce a Secret version of the “Nuclear Security Threat Capabilities Assessment,” and produce a Secret version of the “Nuclear Security Threat Capabilities Assessment” that is releasable to North Atlantic Treaty Organization partners.	1 year 7 months 21 days

Report No. DODIG-2019-029, “DoD Task Orders Issued Under One Acquisition Solution for Integrated Services Contracts,” November 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Pricing and Contracting Director develop policy for the DoD task orders issued under the One Acquisition Solution for Integrated Services contracts, and other applicable indefinite-delivery, indefinite-quantity service contracts, that requires contracting officers to develop verification procedures within the quality assurance surveillance plan to determine whether employees meet the labor categories specified in the task orders.	1 year 4 months 4 days
Rec. 1.b: The DoD OIG recommended that the Defense Pricing and Contracting Director develop policy for the DoD task orders issued under the One Acquisition Solution for Integrated Services contracts, and other applicable indefinite-delivery, indefinite-quantity service contracts, that requires contracting officers to specify in the request for proposals that education and years of work experience should be relevant to the labor category but that contractors may deviate from relevant education and years of work experience as long as the contractor clearly identifies the deviation in the proposal.	1 year 4 months 4 days

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Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2019-029 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Defense Pricing and Contracting Director develop policy for the DoD task orders issued under the One Acquisition Solution for Integrated Services contracts, and other applicable indefinite-delivery, indefinite-quantity service contracts, that requires contracting officers to identify any proposed deviations from the relevant education and year of work experience qualifications in the contract files, considering any potential performance and price impacts on the agency's requirements.	1 year 4 months 4 days
Rec. 1.d: The DoD OIG recommended that the Defense Pricing and Contracting Director develop policy for the DoD task orders issued under the One Acquisition Solution for Integrated Services contracts, and other applicable indefinite-delivery, indefinite-quantity service contracts, that requires contracting officers to document the reasons for accepting any proposed changes to the contract requirements.	1 year 4 months 4 days

Report No. DODIG-2019-056, "Accounting and Financial Reporting for the Military Housing Privatization Initiative," February 12, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue policy requiring the maximum loan amount on promissory notes to match the corresponding loan agreements and promissory notes to contain complete histories of all amendments to the notes.	1 year 1 month 19 days
Rec. B.1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment coordinate with the DoD Deputy Comptroller for Program/Budget and Military Department personnel to issue policies requiring the identification of deobligation opportunities, such as when the maximum loan amount is reduced or no longer available, and develop procedures for working with DoD Deputy Comptroller for Program/Budget personnel to deobligate funds when the opportunities arise.	1 year 1 month 19 days
Rec. B.1.c.1: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to calculate changes in subsidy cost for all Government Direct Loans and Government Loan Guarantees before agreeing to any loan term changes.	1 year 1 month 19 days
Rec. B.1.c.2: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to submit the calculations to the Assistant Secretary of Defense for Sustainment for review and to the Office of Management and Budget for approval before agreeing to any loan term changes.	1 year 1 month 19 days
Rec. B.1.c.3: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to ensure that the approved amount of funding is in the DoD Family Housing Improvement Fund before agreeing to any loan term changes.	1 year 1 month 19 days

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Under Secretary of Defense for Acquisition & Sustainment – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.d: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment develop and implement controls to ensure that the most recent Office of Management and Budget-approved loan amounts for Government Direct Loans and Government Loan Guarantees reconcile to the annual reestimate calculations.	1 year 1 month 19 days
Rec. B.1.e: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment coordinate with the enterprise Military Housing Program Management Office to ensure the development and implementation of detailed procedures for Military Department personnel to input privatized housing records into the enterprise Military Housing system, which would allow all Military Departments to comply with the “Enterprise Military Housing Information Management System” memorandum, dated April 16, 2014.	1 year 1 month 19 days

Report No. DODIG-2019-060, “Review of Parts Purchased From TransDigm Group, Inc.,” February 25, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4.a: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director examine the United States Code, Federal Acquisition Regulation, Defense Federal Acquisition Regulation Supplement, and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information, to determine changes needed in the acquisition process of parts produced or provided from a sole-source to ensure that contracting officers obtain uncensored cost data when requested and that the DoD receives full and fair value in return for its expenditures.	1 year 1 month 6 days
Rec. 4.d: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director incorporate the requirements from the revised memorandum into the Defense Federal Acquisition Regulation Supplement and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information.	1 year 1 month 6 days
Rec. 4.e: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director establish a team of functional experts to analyze data reported as a result of the revised and updated memorandum. The team of functional experts would: <ol style="list-style-type: none"> 1. assess parts and contractors deemed to be at high risk for unreasonable pricing and identify trends; and 2. perform price analysis and cost analysis of high risk parts to identify lower cost alternatives or fair and reasonable pricing for future procurements. 	1 year 1 month 6 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2019-062, "Audit of Management of Government-Owned Property Supporting the F-35 Program," March 13, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment review the accounting and management actions of the F-35 Program Office for F-35 Program Government property.	1 year 18 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment take appropriate action, if warranted, to hold the necessary officials accountable.	1 year 18 days

Report No. DODIG-2019-079, "Audit of the Identification and Training of DoD's Operational Contract Support Workforce," April 16, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment, in coordination with the Joint Staff, combatant commands, and Services, develop and implement policy to establish tiered minimum training (tactical, operational, and strategic) requirements and qualifications for Operational Contract Support positions at each echelon; and identify which positions require an Operational Contract Support-trained professional.	11 months 15 days

Report No. DODIG-2019-081, "Audit of Training Ranges Supporting Aviation Units in the U.S. Indo-Pacific Command," April 17, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that in accordance with Public Law 115-232, Section 2862, paragraphs a through c, the Under Secretaries of Defense for Personnel and Readiness and for Acquisition and Sustainment, in coordination with the Services, review the individual Services' range plans, including the response provided to address the requirement of the National Defense Authorization Act, and determine whether Service solutions to training limitations can be accomplished across the DoD. The review should include live, virtual, constructive, and regionalization.	11 months 14 days
Rec. 1.b: The DoD OIG recommended that in accordance with Public Law 115-232, Section 2862, paragraphs a through c, the Under Secretaries of Defense for Personnel and Readiness and for Acquisition and Sustainment, in coordination with the Services, develop and implement a plan to field and sustain DoD-wide solutions to address training gaps, including addressing: <ol style="list-style-type: none"> 1. the airspace and impact area needs of advanced aircraft and weapons, such as the F-35; and 2. the need to join neighboring airspace on a continuing basis. 	11 months 14 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

**Report No. DODIG-2019-089, "Audit of the DoD's Implementation of the Joint Regional Security Stacks,"
June 4, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment, in coordination with the DoD Chief Information Officer, establish or revise guidance that requires DoD Components to follow the same requirements when developing a technology refresh that will exceed an established cost threshold, as required for new acquisitions under DoD Instruction 5000.02.	9 months 27 days

Report No. DODIG-2019-103, "Audit of Air Force Accountability of Government Property and Oversight of Contractual Maintenance Requirements in the Contract Augmentation Program IV in Southwest Asia," July 18, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Principal Director of the Defense Pricing and Contracting Division in the Office of the Under Secretary of Defense for Acquisition and Sustainment make existing Government-furnished training resources mandatory for all contracting personnel and coordinate with the Services to implement Government-furnished property training courses for contingency contracting personnel. The training should outline Service-specific implementation of Federal and Department of Defense accountability requirements.	8 months 13 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.2.a-e: The DoD OIG recommended that the Principal Director for Defense Pricing and Contracting, in coordination with the appropriate DoD Component responsible for developing policy:</p> <ul style="list-style-type: none"> a. revise its current policy to require DoD Component contracting offices, as part of the Request for Proposal and source selection processes, and requiring activities, during the performance of the contract, to assess whether contractors comply with the National Institute of Standards and Technology requirements for protecting controlled unclassified information before contract award and throughout the contracts' period of performance. b. develop and implement policy requiring DoD Component contracting offices and requiring activities to maintain an accurate accounting of contractors that access, maintain, or develop controlled unclassified information as part of their contractual obligations. c. revise its current policy to include language that will require DoD Component contracting offices and requiring activities to validate contractor compliance with National Institute of Standards and Technology Special Publication 800-171 requirements. d. require DoD Component contracting offices, in coordination with DoD requiring activities, to develop and implement a risk-based process to verify that contractors comply with the Defense Federal Acquisition Regulation Supplement clause 252.204-7012 for protecting controlled unclassified information. e. require DoD Component contracting offices, in coordination with DoD requiring activities, to take corrective actions against contractors that fail to meet the National Institute of Standards and Technology and contract requirements for protecting controlled unclassified information. 	<p>8 months 8 days</p>

Report No. DODIG-2019-106, "Audit of the DoD's Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items," July 26, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment update existing DoD acquisition policies or develop and implement new policy to require organizations to review and evaluate cybersecurity risks, including supply chain and counterintelligence risks, for high-risk commercial off-the-shelf items prior to purchase, regardless of purchase method.</p>	<p>8 months 5 days</p>

APPENDIX A

Under Secretary of Defense for Acquisition & Sustainment – Report No. DODIG-2019-106 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment update Government purchase card program policy and training to include training on common cybersecurity risks, including supply chain and counterintelligence risks, for commercial off-the-shelf information technology items and the impact of the risks to the mission.	8 months 5 days

Report No. DODIG-2020-042, “Audit of the Service Acquisition Executives’ Management of Defense Acquisition Category 2 and 3 Programs,” December 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment establish a common framework for all Service acquisition databases that describes the core program information the database must contain, including but not limited to, program identification, cost, schedule, performance, and risk for all Acquisition Category 1, 2, and 3 acquisition programs.	3 months 11 days
Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment populate the common data framework, establishing both criteria and guidelines for declaring program start, designating the initial acquisition category, and defining the minimum program data needed at program start.	3 months 11 days
Rec. A.2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment immediately develop and implement guidance to require Acquisition Category 2 and 3 programs to follow the same guidance as Acquisition Category 1 programs for developing Acquisition Program Baselines to ensure that programs are managed against cost and schedule thresholds and objectives.	3 months 11 days

Report No. DODIG-2020-063, “Audit of DoD Service-Disabled Veteran-Owned Small Business Contract Awards,” February 18, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review, in coordination with the Military Departments and Defense agencies, of all 17 contractors that received DoD Service-Disabled Veteran-Owned Small Business set-aside or sole-source contracts but were denied Service-Disabled Veteran-Owned Small Business status by the Department of Veterans Affairs Center for Verification and Evaluation to determine if they meet the requirements for Service-Disabled Veteran-Owned Small Business status. Based on the review, the Director should take action, as appropriate, against any contractors found to have misrepresented their Service-Disabled Veteran-Owned Small Business status to the DoD to obtain contracts by coordinating with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.	1 month 13 days

APPENDIX A

Under Secretary of Defense for Acquisition & Sustainment – Report No. DODIG-2020-063 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.b: The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review, in coordination with the Military Departments and Defense agencies, of all 16 contractors in our sample that received DoD Service-Disabled Veteran-Owned Small Business contracts that we determined to be ineligible Service-Disabled Veteran-Owned Small Businesses to determine if they meet the eligibility requirements. Based on the review, the Director should take action, as appropriate, against any contractors found to have misrepresented their Service-Disabled Veteran-Owned Small Business status to the DoD to obtain set-aside and sole-source contracts by coordinating with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.</p>	1 month 13 days
<p>Rec. 1.c: The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, to require contractors to submit documentation to support the owner and highest ranking officer is a service-disabled veteran when submitting proposals for Service-Disabled Veteran-Owned Small Business set-aside and sole-source contracts. Additionally, the procedures should require contractors to submit documentation supporting that they meet the Service-Disabled Veteran-Owned Small Business requirements for majority ownership and management and control of the long-term decisions and daily business operations.</p>	1 month 13 days
<p>Rec. 1.d: The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, for the Services' Small Business Offices to periodically review contractors that have obtained Service-Disabled Veteran-Owned Small Business contracts, where eligibility was not verified at the time of award, to determine if those contractors meet the Service-Disabled Veteran-Owned Small Business eligibility requirements, and coordinate with the applicable contracting officer to protest, through the Small Business Administration, any contractors that appear to be ineligible.</p>	1 month 13 days
<p>Rec. 1.e: The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, requiring contracting personnel to track and monitor the amounts Service-Disabled Veteran-Owned Small Businesses pay to joint venture partners that are not Service-Disabled Veteran-Owned Small Businesses throughout contract performance to ensure that contractors do not exceed the required net profit limitations, as defined by the Code of Federal Regulations.</p>	1 month 13 days
<p>Rec. 1.f: The DoD OIG recommended that the DoD Office of Small Business Programs Director implement procedures, in coordination with Defense Pricing and Contracting, requiring contracting personnel to track and monitor the amounts Service-Disabled Veteran-Owned Small Businesses spend on subcontractors that are not Service-Disabled Veteran-Owned Small Businesses throughout contract performance to ensure that contractors do not exceed the required limitations, as defined by the Code of Federal Regulations.</p>	1 month 13 days

APPENDIX A

Under Secretary of Defense for Acquisition & Sustainment – Report No. DODIG-2020-063 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.g: The DoD OIG recommended that the DoD Office of Small Business Programs Director conduct a review of all socio-economic contracting programs that require contractors to self-certify their status and, as appropriate, implement procedures, in coordination with Defense Pricing and Contracting, requiring contractors to submit documentation to support that they meet the applicable eligibility requirements, including ownership and control, before receiving set-aside and sole-source contracts.	1 month 13 days
Rec. 1.h: The DoD OIG recommended that the DoD Office of Small Business Programs Director coordinate with Defense Pricing and Contracting, the General Services Administration, and the Small Business Administration to implement procedures to ensure that contractors update the System for Award Management after the Small Business Administration determines the contractors are ineligible for Service-Disabled Veteran-Owned Small Business contracts.	1 month 13 days
Rec. 1.i: The DoD OIG recommended that the DoD Office of Small Business Programs Director coordinate with Defense Pricing and Contracting and the Small Business Administration to implement procedures to ensure protest results are communicated to contracting personnel DoD-wide when the Small Business Administration determines a contractor is not eligible for Service-Disabled Veteran-Owned Small Business set-aside or sole-source contracts.	1 month 13 days
Rec. 1.j: The DoD OIG recommended that the DoD Office of Small Business Programs Director reinforce existing procedures, in coordination with Defense Pricing and Contracting, to ensure contracting officers are aware of the protest procedures and their responsibilities.	1 month 13 days

Report No. DODIG-2020-071, “Audit of the Department of Defense’s Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States,” March 23, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a.1: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, give the Military Surface Deployment and Distribution Command the authority and ability to enforce the Military Services’ compliance with the Defense Transportation Regulation and task the Military Services to hold their officials accountable for not complying with the regulations, and for not transmitting bills of lading to the Defense Transportation Tracking System.	8 days
Rec. 1.a.2: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, give the Military Surface Deployment and Distribution Command the authority and ability to enforce the Military Services’ compliance with the Military Surface Deployment and Distribution Command accident investigation recommendations by tasking the Military Services to take specific action through a system of draft recommendations, command response, Military Surface Deployment and Distribution Command evaluation of those responses, and adjustment of the Military Surface Deployment and Distribution Command recommendations.	8 days

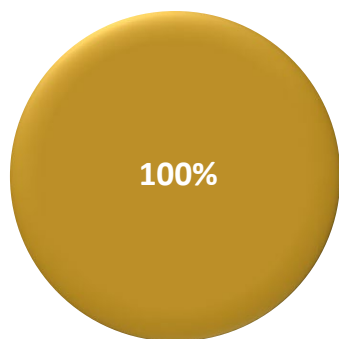
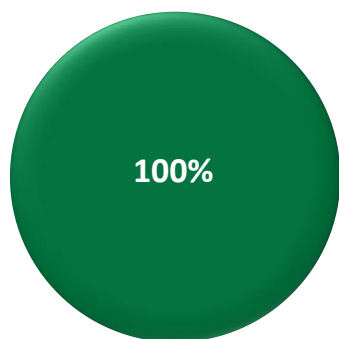
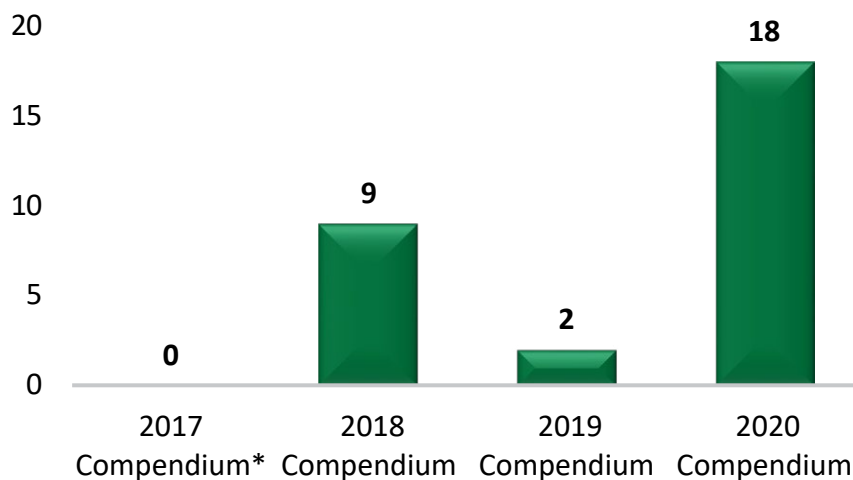
APPENDIX A

Under Secretary of Defense for Acquisition & Sustainment – Report No. DODIG-2020-071 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, develop and implement a methodology or controls to prevent the Military Services from allowing arms, ammunition, and explosives shipments to leave a military installation until officials confirm that the bill of lading is transmitted to the Defense Transportation Tracking System for tracking.	8 days
Rec. 1.c: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment, in coordination with U.S. Transportation Command and the Military Services, evaluate creating a centralized tracking system to track rail shipments of arms, ammunition, and explosives and implement that tracking system, if appropriate.	8 days





APPENDIX A

*Statistics – Under Secretary of Defense for Research and Engineering***Recommendations from
2018 Compendium****Recommendations from
2019 Compendium****Open Recommendations**

Number of Unresolved Recommendations	6
Number of Recommendations Open Longer than 5 Years	2
Potential Monetary Benefits	\$36 million

* In February 2018, the Under Secretary of Defense for Acquisition, Technology, and Logistics was reorganized into the Under Secretary of Defense for Research and Engineering and the Under Secretary of Defense for Acquisition and Sustainment. Therefore, statistics from the 2017 Compendium are not provided for the Under Secretary of Defense for Research and Engineering.

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

APPENDIX A

Under Secretary of Defense for Research and Engineering

Report No. DODIG-2014-049, “DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed,” March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.a: The DoD OIG recommended that the Administrator, DoD Office of Small Business Programs, Small Business Innovation Research Office, issue single, overarching guidance and related training for all DoD organizations to follow that will provide for the uniform application of intellectual property protections across DoD. Guidance and training should include:</p> <ol style="list-style-type: none"> 1. standard intellectual property protections within the Small Business Innovation Research Program, including the use and application of the data assertions table; and 2. when the protection period begins and when it can be extended. 	6 years 4 days
<p>Rec. 1.b: The DoD OIG recommended that the Administrator for the DoD Office of Small Business Programs, Small Business Innovation Research Office, issue clarifying guidance to address the requirement for organizations to provide the Small Business Administration a complete and timely notification detailing why a proposed Small Business Innovation Research Phase III contract could not be awarded to the developer. The clarifying guidance should provide a single DoD interpretation of the requirement and address reporting requirements outlined in the Small Business Administration Small Business Innovation Research Policy Directive.</p>	6 years 4 days

Report No. DODIG-2019-105, “Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems,” July 23, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication.</p>	8 months 8 days
<p>Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to mitigating vulnerabilities in a timely manner.</p>	8 months 8 days

APPENDIX A

Under Secretary of Defense for Research and Engineering – Report No. DODIG-2019-105 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.c: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to protecting and monitoring data on removable media.	8 months 8 days

Report No. DODIG-2020-003, “Audit of DoD’s Use of Additive Manufacturing for Sustainment Parts,” October 17, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering standardize the data to be reported by the Military Services for parts produced using additive manufacturing and additive manufacturing equipment.	5 months 14 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering standardize the data to be reported by the Military Services and Defense Logistics Agency for the amount spent on additive manufacturing.	5 months 14 days
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment, develop policy that standardizes the cataloging of additively manufactured parts and update the policy as necessary after the Integrated Material Management Committee’s decision.	5 months 14 days
Rec. 2.b: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment, develop and require the Military Services and the Defense Logistics Agency to use a single method to share data on additively manufactured parts.	5 months 14 days
Rec. 2.c: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment, inform the Military Services and the Defense Logistics Agency program officers, logisticians, contracting officers, and senior DoD management about additive manufacturing and about updates to the DoD’s additive manufacturing capabilities.	5 months 14 days

APPENDIX A

Under Secretary of Defense for Research and Engineering – Report No. DODIG-2020-003 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.d: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment, require the Military Services and the Defense Logistics Agency to update their additive manufacturing guidance to require contracting, acquisition, logistics, and senior management officials to obtain additive manufacturing training.	5 months 14 days
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, Under Secretary of Defense for Acquisition and Sustainment, Military Service Secretaries, and the Marine Corps Commandant conduct a review to identify the appropriate funding and number of personnel to pursue benefits of additive manufacturing throughout the DoD.	5 months 14 days

Report No. DODIG-2020-066, “Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems,” March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.6: The DoD OIG recommended that the Commander of U.S. Strategic Command and the Under Secretary of Defense for Research and Engineering develop and implement a plan to conduct independent evaluations of supply chain risk management for nuclear command, control, and communications systems. The plan should outline responsibilities for conducting the evaluations, criteria and methodology that will be used, and timeframes for conducting the evaluations.	29 days
Rec. A.7.a: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, update DoD Instruction 5200.44 to incorporate current initiatives, roles, and responsibilities.	29 days
Rec. A.7.b: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, evaluate the use of threat assessments by program offices and determine whether the assessments are effective in achieving supply chain risk management objectives by informing risk management decisions.	29 days
Rec. A.7.c: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, establish performance measures to assess the effectiveness of the Joint Federated Assurance Center in assisting program offices with supply chain risk management practices.	29 days

APPENDIX A

Under Secretary of Defense for Research and Engineering – Report No. DODIG-2020-066 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.7.d: The DoD OIG recommended that the Under Secretary of Defense for Research and Engineering, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, assess the effectiveness of supply chain risk management practices, as implemented by program offices, in mitigating risks to subcomponents in critical systems. Based on the results of the assessment, provide clarification on requirements in policy or guidance, as needed.	29 days

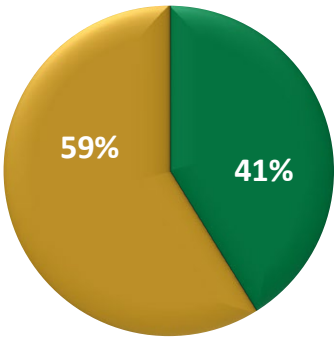
Report No. DODIG-2020-072, “Audit of DoD Hotline Allegations Concerning the Defense Microelectronics Activity,” March 24, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Director of Defense Research and Engineering for Research and Technology, Office of the Under Secretary of Defense for Research and Engineering, complete an assessment of the use of the existing foundry and determine whether the existing foundry is still needed.	7 days

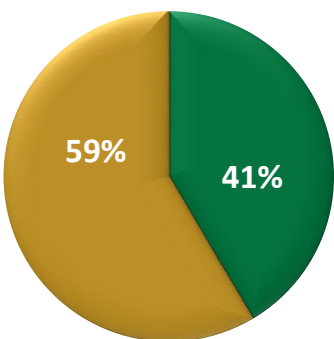


Statistics – Under Secretary of Defense for Policy

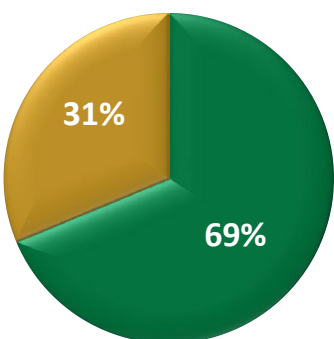
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



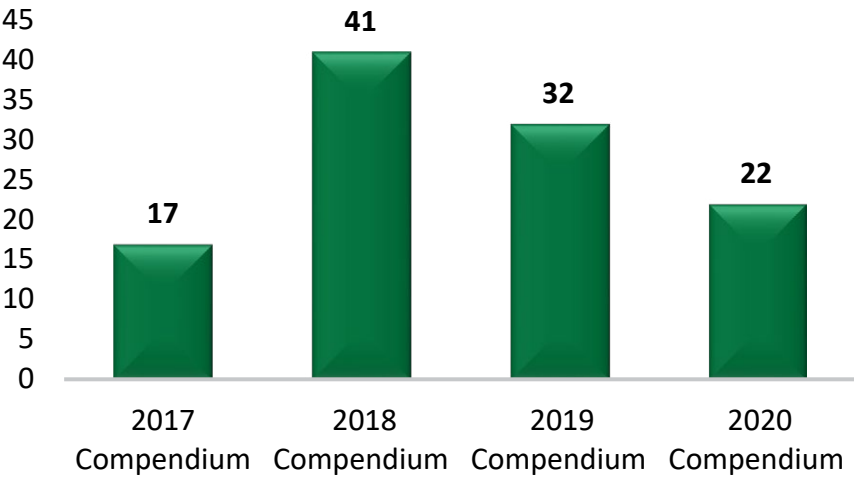
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	2
Number of Recommendations Open Longer than 5 Years	5
Potential Monetary Benefits	—

APPENDIX A

Under Secretary of Defense for Policy

Report No. DODIG-2015-070, "Evaluation of Alternative Compensatory Control Measures Program,"
January 28, 2015 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. A: The DoD OIG recommended that the Office of the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO) Rec. B: The DoD OIG recommended that the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO) Rec. C: The DoD OIG recommended that the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO) Rec. D: The DoD OIG recommended that the Office of the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO) Rec. E: The DoD OIG recommended that the Office of the [REDACTED] [REDACTED]	5 years 2 months 3 days
(FOUO)	

Report No. DODIG-2016-081, "Evaluation of U.S. Intelligence and Information Sharing with Coalition Partners in Support of Operation Inherent Resolve," April 25, 2016 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. A.1: The DoD OIG recommended that the [REDACTED] [REDACTED]	3 years 11 months 6 days
(FOUO)	

APPENDIX A

Under Secretary of Defense for Policy (cont'd)

Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Directive 5230.20, "Visits and Assignments of Foreign Nationals," June 22, 2005, to include the establishment of criteria for granting exceptions to policy and clarification of guidance on the use of extended visit requests.	3 years 9 months 16 days

Report No. DODIG-2017-099, "Evaluation of Department of Defense Efforts to Build Counterterrorism and Stability Operations Capacity of Foreign Military Forces with Section 1206/2282 Funding," July 21, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a: The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other United States Government statutes, directives, and guidance for Department of Defense programs by designating a lead manager and management office with the responsibility to coordinate, synchronize, and integrate relevant activities, with sufficient operating authority over Department of Defense implementing components, to ensure effective management control in program execution.	2 years 8 months 10 days
Rec. B.1.b: The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other United States Government statutes, directives, and guidance for Department of Defense programs by ensuring that the designated program management office has sufficient professional staff with the necessary expertise and appropriate resources to effect timely procurement and delivery of appropriate equipment components, training, and other services necessary for enabling partner nations to reach the intended full operational capability.	2 years 8 months 10 days
Rec. B.1.c: The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other United States Government statutes, directives, and guidance for Department of Defense programs by issuing updated instructions to support effective program implementation, execution, and management oversight.	2 years 8 months 10 days

APPENDIX A

Under Secretary of Defense for Policy – Report No. DODIG-2017-099 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.1: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict establish a rigorous, transparent methodology for prioritizing and selecting projects that meets the intent of 10 U.S.C. § 2282, using clearly defined criteria, such as a comparison of respective costs, benefits, and risks, to effectively justify selections to stakeholders, especially Congress. Consider adapting elements of the methodology of the United States Special Operations Command for selecting and documenting project decisions.*	2 years 8 months 10 days

* Responsibility for implementing Recommendation D.1 was transferred from ASD(SO/LIC) to USD(P).

Report No. DODIG-2018-018, “Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces,” November 16, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Secretary of Defense designate an Office of Primary Responsibility to develop and implement detailed procedures on gross violation of human rights reporting within the Department.	2 years 4 months 15 days
Rec. B.1: The DoD OIG recommended that the Secretary of Defense define “credible information” as it applies to gross violation of human rights determinations and the DoD Leahy Law.	2 years 4 months 15 days
Rec. B.2: The DoD OIG recommended that the Secretary of Defense establish the specific process by which DoD Leahy Law credible information determinations are made.	2 years 4 months 15 days
Rec. B.3: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.	2 years 4 months 15 days
Rec. C: The DoD OIG recommended that the Secretary of Defense develop procedures for application of the DoD Leahy Law, as stated in our recommendations from Findings A and B, including requiring time frames for reaching credible information decisions.	2 years 4 months 15 days
Rec. D.1: The DoD OIG recommended that the Secretary of Defense issue guidance outlining the requirements for creating and maintaining an official system to track gross violation of human rights information, which could include allegations of child sexual abuse by Afghan National Defense and Security Force personnel in Afghanistan.	2 years 4 months 15 days

APPENDIX A

Under Secretary of Defense for Policy – Report No. DODIG-2018-018 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.2: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia review the United States Central Command and United States Special Operations Command historical records to determine whether allegations of child sexual abuse by Afghan National Defense Security Forces personnel are gross violations of human rights that require further review by United States Forces-Afghanistan or the Gross Violation of Human Rights Forum. Subsequently, if those allegations have credible information, determine what actions should be taken to comply with the DoD Leahy Law.	2 years 4 months 15 days

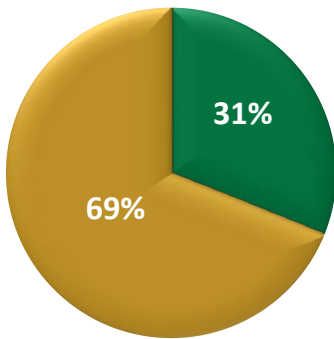
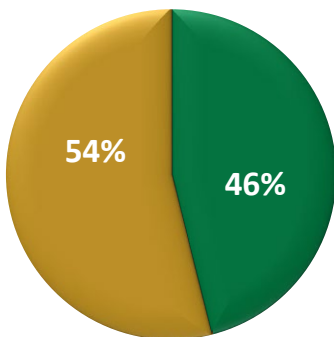
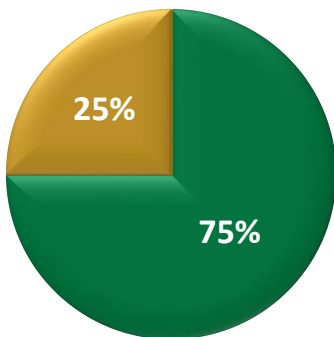
Report No. DODIG-2018-090, “Summary Report on U.S. Direct Funding Provided to Afghanistan,” March 21, 2018


Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Policy evaluate whether using Bilateral Financial Commitment Letters is the most effective method to manage and oversee the administering and expending of U.S. direct funding to the Ministry of Defense and the Ministry of Interior and, if not, identify a more effective method. The most effective method should identify more realistic and achievable terms and conditions for the Ministry of Defense and the Ministry of Interior to accomplish and show incremental improvement and develop a formal documented process for assessing penalties against the Ministry of Defense and the Ministry of Interior for continued commitment letter violations.	2 years 10 days


Report No. DODIG-2019-055, “Evaluation of Integrated Joint Special Technical Operations,” February 11, 2019 (Full Report is Classified)

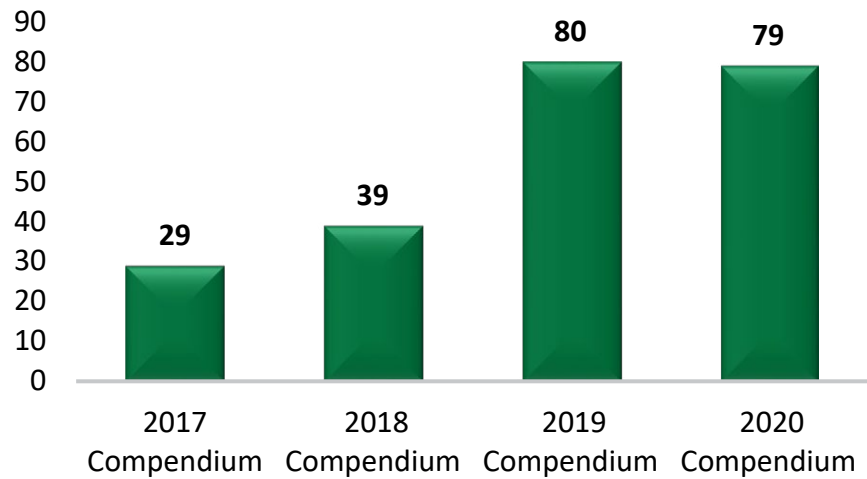
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: Recommendation is Classified.	1 year 1 month 20 days
Rec. 3.b: Recommendation is Classified.	1 year 1 month 20 days
Rec. 3.c: Recommendation is Classified.	1 year 1 month 20 days

APPENDIX A

*Statistics – Under Secretary of Defense (Comptroller)***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	3
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Number of Recommendations Open Longer than 5 Years	7
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Potential Monetary Benefits	–
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APPENDIX A

Under Secretary of Defense (Comptroller)**Report No. D-2009-062, "Internal Controls Over DoD Cash and Other Monetary Assets," March 25, 2009**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, charge a proper appropriation, or coordinate with the Office of Management and Budget and the U.S. Treasury to: <ol style="list-style-type: none"> 1. obtain a waiver to hold a certain amount of cash without charging an appropriation, or 2. establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury. 	11 years 6 days
Rec. A.1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise DoD Financial Management Regulation 7000.14, volume 5, to reflect the implementation of Recommendation A.1.a.(1)-(2).	11 years 6 days

Report No. DODIG-2012-107, "Defense Finance and Accounting Service Needs to Improve the Process for Reconciling the Other Defense Organizations' Fund Balance with Treasury," July 9, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a systems infrastructure that will allow personnel to readily retrieve the detailed transactions supporting all open appropriations that the Accounts Maintenance and Control branch is responsible for accounting for and reconciling on the Cash Management Report.*	7 years 8 months 22 days

* Responsibility for implementing Recommendation 1.a was transferred from DFAS to OUSD(C).

Report No. DODIG-2013-070, "Defense Agencies Initiative Did Not Contain Some Required Data Needed to Produce Reliable Financial Statements," April 19, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the guidance contained in the DoD Financial Management Regulation, volume 6B, chapter 5, paragraph 050301.B. to require costs of program reported in the Statement of Net Cost to be accounted for by program costs and not by appropriation, enabling the use of the Program Indicator Code attribute.	6 years 11 months 12 days

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2015-056, "Opportunities to Improve the Elimination of Intragovernmental Transactions in DoD Financial Statements," December 22, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, develop cost estimates and obtain funding for implementing the Invoice Processing Platform across the DoD.	5 years 3 months 9 days
Rec. 1.e: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, revise DoD Financial Management Regulation, volume 6B, chapter 13, "Adjustments, Eliminations, and Other Special Intragovernmental Reconciliation Procedures," to mandate the use of the Invoice Processing Platform for Buy/Sell transactions.	5 years 3 months 9 days
Rec. 1.f: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, ensure implementation guidance includes procedures for reconciling and eliminating intragovernmental transactions other than Buy/Sell intragovernmental transactions, including intragovernmental Benefit, Fiduciary, and Transfer transactions.	5 years 3 months 9 days

Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Deputy Chief Management Officer develop a coordinated and standardized strategy with the Defense Finance and Accounting Service and the Other Defense Organizations to exchange and manage problem disbursement data. The strategy should focus on the end-to-end integrated business process that includes the identification of key internal and compensating controls at the Defense Finance and Accounting Service and the Other Defense Organizations.	4 years 3 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Deputy Chief Management Officer oversee the implementation of the strategy developed to exchange and manage problem disbursements to ensure that the process is standardized and systemized for the Other Defense Organizations.	4 years 3 days

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2016-066, "Improvements Could Be Made in Reconciling Other Defense Organizations' Civilian Pay to the General Ledger," March 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.b: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a formal plan to reconcile civilian pay records or review reconciliations for 14 of 15 of the remaining Other Defense Organizations it services. At a minimum, the plan should:</p> <ol style="list-style-type: none"> 1. provide a time line for starting these civilian pay reconciliations, and 2. define the roles and responsibilities of Defense Finance and Accounting Service-Indianapolis and the Other Defense Organizations.* 	4 years 6 days
<p>Rec. 1.d: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director revise existing Standard Operating Procedures to clearly describe the civilian pay reconciliation process. At a minimum, the Standard Operating Procedure should:</p> <ol style="list-style-type: none"> 1. identify all the components involved in the reconciliation process; 2. define the roles and responsibilities of components involved in the Other Defense Organizations civilian pay reconciliations; 3. provide the general ledger accounts (budgetary and proprietary) that are used in the reconciliation processes; and 4. establish procedures to check the accuracy of the system-generated payroll accrual entry in the Defense Agency Initiatives general ledger system.* 	4 years 6 days
<p>Rec. 1.e: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director centralize the Other Defense Organizations civilian payroll reconciliations processes.*</p>	4 years 6 days

* Responsibility for implementing Recommendations 1.b, 1.d, and 1.e was transferred from DFAS to the OUSD(C).

Report No. DODIG-2016-086, "DoD Met Most Requirements of the Improper Payments Elimination and Recovery Act in FY 2015, but Improper Payment Estimates Were Unreliable," May 3, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, determine the source of all disbursed obligations not reviewed for improper payments and whether those disbursements are subject to improper payment reporting requirements.</p>	3 years 10 months 28 days

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2016-103, "Improvements Needed in Managing Army Suspense Accounts," June 27, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director, in coordination with Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and other Defense Finance and Accounting Service personnel, request, as necessary, from Office of Management and Budget and the Department of the Treasury, approval to establish special and deposit fund accounts.*	3 years 9 months 4 days
Rec. B.3: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director, in coordination with Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and other Defense Finance and Accounting Service personnel revise the DoD Financial Management Regulation to reflect the changes in how the special fund and deposit fund accounts are to be used.*	3 years 9 months 4 days

* Responsibility for implementing Recommendations B.2 and B.3 was transferred from DFAS to OUSD(C).

Report No. DODIG-2016-126, "Improvements Needed In Managing the Other Defense Organizations' Suspense Accounts," August 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.b: The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus obtain the complete universe of detailed transactions supporting the suspense account balances, perform regular and recurring reconciliations of the data, and remediate any deficiencies that impact the accuracy of the balances.*	3 years 7 months 6 days
Rec. B.1.a: The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus, in coordination with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the DoD Financial Management Regulation so that it is consistent with the Treasury Financial Manual and Office of Management and Budget guidance and it instructs agencies on how to properly account for revenue-generating, Thrift Savings Plan, and tax transactions.*	3 years 7 months 6 days
Rec. B.1.b: The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus, in coordination with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, establish, in coordination with the Office of Management and Budget and the U.S. Treasury, special fund accounts for recording and reporting the revenue-generating transactions and a deposit fund account for properly recording Thrift Savings Plan transactions.*	3 years 7 months 6 days

* Responsibility for implementing Recommendations A.1.b, B.1.a and B.1.b was transferred from DFAS to OUSD(C).

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2017-019, "Improvements Needed in Managing Air Force Suspense Accounts," November 10, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Columbus Director, in coordination with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the DoD Financial Management Regulation to be consistent with the Treasury Financial Manual and Office of Management and Budget guidance to account for the revenue-generating programs, Uniformed Services Thrift Savings Plan contributions, and payroll tax withholdings.*	3 years 4 months 21 days
Rec. B.1.b: The DoD OIG recommended that the Defense Finance and Accounting Service-Columbus Director record revenue-generating programs in a special fund account and record payroll tax withholdings and Uniformed Services Thrift Savings Plan contributions as deposit fund accounts to properly report the balances on the correct line of the Air Force General Fund Financial Statements.*	3 years 4 months 21 days

* Responsibility for implementing Recommendations B.1.a and B.1.b was transferred from DFAS to OUSD(C).

Report No. DODIG-2017-078, "The DoD Did Not Comply With the Improper Payment Elimination and Recovery Act in FY 2016," May 8, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a.1: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer coordinate with DoD Improper Payment Elimination and Recovery Act reporting Components to verify that all payments are assessed for the risk of improper payments or are reporting estimated improper payments.	2 years 10 months 23 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer review existing corrective action plans and coordinate with the appropriate reporting Components to establish planned or actual completion dates and determine if actions can be modified or updated to further reduce improper payments.	2 years 10 months 23 days

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2018-020, "DoD Compliance With the Digital Accountability and Transparency Act of 2014," November 8, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Senior Accountable Official work with the Office of the Under Secretary of Defense (Comptroller), the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy), and the Office of the Assistant Secretary of Defense (Research and Engineering) personnel to develop Digital Accountability and Transparency Act processes, procedures, and internal controls to ensure compliance with Office of Management and Budget and Department of the Treasury Government-wide data elements.	2 years 4 months 23 days

Report No. DODIG-2018-021, "U.S. Army Corps of Engineers Compliance With the Digital Accountability and Transparency Act of 2014," November 8, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Senior Accountable Official responsible for the U.S. Army Corps of Engineers' Digital Accountability and Transparency Act compliance work with the DoD and the Department of the Treasury to develop processes and procedures to identify and separate U.S. Army Corps of Engineers award data from the DoD data to ensure compliance with Digital Accountability and Transparency Act requirements, or combine the DoD and U.S. Army Corps of Engineers submissions into one Digital Accountability and Transparency Act submission including both DoD and U.S. Army Corps of Engineers data.	2 years 4 months 23 days
Rec. A.1.c: The DoD OIG recommended that the Senior Accountable Official responsible for the U.S. Army Corps of Engineers' Digital Accountability and Transparency Act compliance develop Digital Accountability and Transparency Act processes and procedures for ensuring the U.S. Army Corps of Engineers financial data are collected, validated, reconciled, and reported in accordance with Office of Management and Budget Memorandum No. M-17-04.	2 years 4 months 23 days

Report No. DODIG-2018-041, "The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations' General Funds," December 15, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer manage the development of a universe of Other Defense Organizations' General Fund transactions.	2 years 3 months 16 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2018-041 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.c: The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards monitor the status of the open recommendation and, when appropriate, expedite the implementation of the last phase of the Department 97 Reconciliation and Reporting Tool and develop milestones for its implementation.*	2 years 3 months 16 days

* Responsibility for implementing Recommendation 3.c was transferred from DFAS to OUSD(C).

Report No. DODIG-2018-115, “DoD FY 2017 Compliance With the Improper Payments Elimination and Recovery Act Requirements,” May 9, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, coordinate with reporting Components to implement procedures to ensure that all improper payments testing is completed on time and that estimates are based on 12 months of data, as required by Office of Management and Budget Circular No. A-123.	1 year 10 months 22 days
Rec. 1.f: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD take the remediation actions required by section 3(c) of Public Law 111-204, “Improper Payments Elimination and Recovery Act of 2010,” for the DoD Travel Pay, Military Health Benefits, Defense Finance and Accounting Service Commercial Pay, Military Pay, Military Retirement, Civilian Pay, Commercial Bill Pay Office Naples, U.S. Army Corps of Engineers Commercial Pay, and U.S. Army Corps of Engineers Travel Pay programs.	1 year 10 months 22 days

Report No. DODIG-2018-120, “The Treasury Index 97 Cash Management Report,” May 23, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer create individual Defense Working Capital Fund accounts at the Department of the Treasury for the Defense Information Systems Agency, Defense Logistics Agency, and Defense Finance and Accounting Service.	1 year 10 months 8 days
Rec. 1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer oversee the corrections to the Cash Management Report or develop a single, consolidated Treasury Index 97 Fund Balance With Treasury reconciliation tool that allows stakeholders to perform detailed reconciliations for the Treasury Index 97 Fund Balance With Treasury accounts at the voucher level.	1 year 10 months 8 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2018-120 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer develop and issue policy establishing that Defense Finance and Accounting Service Manual 7097.01, “Financial Management Departmental Reporting Manual for the Office of the Secretary of Defense (Treasury Index 97) Appropriations,” is the authoritative list of approved limits and requiring the use of these limits when executing Treasury Index 97 transactions.	1 year 10 months 8 days
Rec. 1.d: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer develop a plan to reduce the number and dollar amount of unidentified limits used for Treasury Index 97 appropriations.	1 year 10 months 8 days
Rec. 1.e: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer establish metrics that report the number of transactions in unidentified limits and the total absolute dollar amount of these transactions by accounting and disbursing system monthly.	1 year 10 months 8 days
Rec. 1.f: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer work with the Department of the Treasury to either establish Fund Balance With Treasury accounts for select Other Defense Organizations when appropriate or establish four-digit limits for Treasury Index 97 Fund Balance With Treasury accounts in the Central Accounting and Reporting System.	1 year 10 months 8 days
Rec. 1.g: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer develop a comprehensive Treasury Index 97 Fund Balance With Treasury account reconciliation process that incorporates the entire Fund Balance With Treasury universe of transactions (funding, collections, disbursements, and transfers of funds) in accordance with the DoD Financial Management Regulation.	1 year 10 months 8 days
Rec. 1.h: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer require DoD disbursing stations to report transaction-level data to the Department of the Treasury on a daily basis.	1 year 10 months 8 days

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2019-039, "Reporting of Improper Payments for the Defense Finance and Accounting Service Commercial Pay Program," December 21, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, evaluate whether the General Services Administration post-payment audits of the DoD transportation bills meet the requirements of a post-payment audit performed for Improper Payments Elimination and Recovery Act purposes and develop and implement the appropriate procedures to include transportation improper payments in the DoD estimate for the Agency Financial Report.</p>	1 year 3 months 10 days
<p>Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, conduct a risk assessment of government purchase card payments and, as necessary, develop and implement procedures to ensure that DoD government purchase card payments are reviewed for improper payments and that the results are reported to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, for inclusion in the DoD's annual Agency Financial Report.</p>	1 year 3 months 10 days
<p>Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Enterprise Solutions and Standards Director, conduct an annual review of the Defense Finance and Accounting Service Commercial Pay program through the Senior Accountable Officials Steering Committee and Action Officers Working Group to identify all types of payments made across DoD Components; verify that existing risk assessments and sampling plans cover all defined commercial payment types; and update risk assessments and sampling plans for program segments that experienced a significant change in legislation or a significant increase in funding level.</p>	1 year 3 months 10 days
<p>Rec. 1.d: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, update the DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapter 14, to define the types of payments in the Defense Finance and Accounting Service Commercial Pay program and identify which Components are responsible for testing and reporting improper payments estimates for each type of commercial payment within the Defense Finance and Accounting Service Commercial Pay program.</p>	1 year 3 months 10 days
<p>Rec. 2: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Defense Finance and Accounting Service, Enterprise Solutions and Standards Director, in coordination with the Army Financial Management Services Director, evaluate the Department of the Army's Improper Payments Elimination and Recovery Act compliance procedures and sampling plan to determine whether it would be more cost effective to incorporate improper payments testing at overseas locations into the Defense Finance and Accounting Service Commercial Pay sampling plan and submit to the Office of Management and Budget either the modified Defense Finance and Accounting Service Commercial Pay sampling plan that incorporates the Army overseas payments or both the original FY 2019 Defense Finance and Accounting Service Commercial Pay sampling plan and the new Army sampling plan.</p>	1 year 3 months 10 days

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2019-041, "DoD Civilian Pay Budgeting Process," January 3, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer update the DoD Financial Management Regulation, volume 2A, chapters 1 and 3, to include:</p> <ol style="list-style-type: none"> 1. recurring instructions from the Budget Estimate Submission guidance and President's Budget guidance that are not unique to a particular year; 2. a guide from the Defense Finance and Accounting Service's payroll system's gross reconciliation codes to the OP-8 and OP-32 budget exhibit line items and personnel categories; 3. further clarification for calculating full-time equivalents and straight-time hours worked; and 4. a requirement to include variable costs in the Services' and Defense agencies' budget requests. 	1 year 2 months 28 days
<p>Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer develop a civilian pay budget analyst career path and require Department of Defense civilian pay budget development training as part of the Department of Defense Financial Management certification program.</p>	1 year 2 months 28 days
<p>Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer require its analysts to document their procedures, lessons learned, and standard lists of reports and analyses to mitigate the risks of having corporate knowledge limited to a single person or losing the corporate knowledge if key analysts vacate positions, in accordance with GAO 14 704G, "Standards for Internal Control in the Federal Government," September 2014.</p>	1 year 2 months 28 days
<p>Rec. 1.d: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer require the Services and Defense agencies to document their budget development procedures, calculations, and lessons learned to mitigate the risks of having the corporate knowledge limited to a single person or losing the corporate knowledge if key officials vacate positions, in accordance with GAO 14 704G, "Standards for Internal Control in the Federal Government," September 2014.</p>	1 year 2 months 28 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-041 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 1.e: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer develop and require a budget submission checklist to provide additional assurance that the Services and Defense agencies accurately and completely develop their budgets in accordance with the Office of Management and Budget Circular No. A-11 and the DoD Financial Management Regulation. The checklist should include directions and reminders for the Services and Defense agencies, including, but not limited to:</p> <ol style="list-style-type: none"> 1. comparison of the printed budget exhibits to the data submitted to the Office of the Under Secretary of Defense (Comptroller); 2. verification that the data submitted in the Program Resource Collection Process system and the Comptroller Information System match and that the data in the OP-8, OP-5, and OP-32 budget exhibits match; 3. verification that the Service consulted the annual update of OMB Circular No. A-11; 4. comparison of the actual civilian pay costs reported in the OP-8 budget exhibit by object class code to payroll data obtained from Defense Finance and Accounting Service; 5. verification that the budgeted variable costs reported in the OP-8 budget exhibit were consistent with the actual variable costs reported in the execution data submitted to Congress; 6. verification that the Office of Management and Budget pay raises were correctly applied to the first full pay period of the calendar year, instead of the fiscal year; and 7. verification of compliance with all special instructions and non-recurring requirements that are explained in the annual Budget Estimate Submission and President's Budget guidance. 	1 year 2 months 28 days

Report No. DODIG-2019-056, "Accounting and Financial Reporting for the Military Housing Privatization Initiative," February 12, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.1.a.1: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue interim policy until the Department of the Treasury updates the U.S. Standard General Ledger and coordinate with the Treasury to update the U.S. Standard General Ledger with guidance on how to record equity investments in Military Housing Privatization Initiative projects, including the cash and real property contributed.</p>	1 year 1 month 19 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a.2: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue interim policy until the Department of the Treasury updates the U.S. Standard General Ledger and coordinate with the Treasury to update the U.S. Standard General Ledger with guidance on how to record the sale of equity investments in Military Housing Privatization Initiative projects.	1 year 1 month 19 days
Rec. A.1.a.3: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue interim policy until the Department of the Treasury updates the U.S. Standard General Ledger and coordinate with the Treasury to update the U.S. Standard General Ledger with guidance on how to record equity investment profits and losses allocated to the Military Departments for Military Housing Privatization Initiative projects.	1 year 1 month 19 days
Rec. A.1.b: The DoD OIG recommended that the DoD Deputy Chief Financial Officer update the DoD Chart of Accounts and the DoD Transaction Library to comply with new Department of the Treasury U.S. Standard General Ledger Chart of Accounts and Transaction Guidance on accounting for equity investments, once established.	1 year 1 month 19 days
Rec. A.1.c.1: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy and implement oversight controls that ensure the Military Departments identify and provide Defense Finance and Accounting Service-Indianapolis personnel with the documentation needed to support, record, and correctly report DoD Agency-Wide Financial Statement amounts related to Government Direct Loans and Government Loan Guarantees, including private loan disbursement confirmations for loans guaranteed.	1 year 1 month 19 days
Rec. A.1.c.2: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy and implement oversight controls that ensure the Military Departments identify and provide Defense Finance and Accounting Service-Indianapolis personnel with the documentation needed to support, record, and report in the DoD Agency-Wide Financial Statements the equity investment profits and losses allocated to the Military Departments.	1 year 1 month 19 days
Rec. A.1.c.3: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy and implement oversight controls that ensure the Military Departments identify and provide Defense Finance and Accounting Service-Indianapolis personnel with the documentation needed to report in the DoD Agency-Wide Financial Statements all Government Direct Loan and Government Loan Guarantee information required by the Office of Management and Budget Circular No. A 136, Statement of Federal Financial Accounting Standards No. 18, and the DoD Financial Management Regulation, volume 6B, chapter 10.	1 year 1 month 19 days
Rec. A.1.d: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue updated accounting policy with specific guidance on how real property ownership transferred to projects as equity investments should be recorded in DoD financial systems and reported in the DoD Agency-Wide Financial Statements, along with the responsibilities of each DoD organization involved.	1 year 1 month 19 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director review the accounting transactions for all equity investments and revise the transactions as needed to comply with the updated DoD Chart of Accounts and the DoD Transaction Library.	1 year 1 month 19 days
Rec. A.2.d: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director coordinate with the Great Plains System Manager to implement the transfer of trial balances directly from Great Plains to Defense Departmental Reporting System-Budgetary.	1 year 1 month 19 days
Rec. A.2.f.1: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director coordinate with the Military Department program and financial management personnel to develop and implement procedures to record and report real property ownership transferred to equity investment projects as increases to Other Investments.	1 year 1 month 19 days
Rec. A.2.f.2: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director coordinate with the Military Department program and financial management personnel to develop and implement procedures to record and report equity investment profits and losses allocated to the Military Departments as changes to Other Investments and disclose a description of the accounting method used to account for equity investments.	1 year 1 month 19 days
Rec. A.2.f.3: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director coordinate with the Military Department program and financial management personnel to develop and implement procedures to identify and report all required Government Direct Loan and Government Loan Guarantee information.	1 year 1 month 19 days
Rec. A.3.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, ensure that the real property ownership transferred to projects as equity investments are reported in the DoD Agency-Wide Financial Statements prior to issuance.	1 year 1 month 19 days
Rec. A.3.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, ensure that equity investment profits and losses allocated to the Military Departments, along with the accounting method used, are reported in the DoD Agency-Wide Financial Statements prior to issuance.	1 year 1 month 19 days
Rec. A.3.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, ensure that required Government Direct Loan and Government Loan Guarantee information is adequately disclosed in Note 8 to the DoD Agency-Wide Financial Statements prior to issuance, including discussions about the basic allowance for housing reduction and other adverse events and changes in conditions that increased project risk and led to potential and actual restructures because of projects' inability to make debt payments or fund the repair and replacement of privatized housing.	1 year 1 month 19 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.3: The DoD OIG recommended that the DoD Deputy Chief Financial Officer and DoD Deputy Comptroller for Program/Budget coordinate with the Department of the Treasury and the Office of Management and Budget to update the U.S. Standard General Ledger and DoD accounting policy to provide guidance on whether the funding for equity investments should be initially considered expended and whether any portion of equity investment sales proceeds are available without a new appropriation.	1 year 1 month 19 days
Rec. B.4: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy to implement the agreed-upon procedures requiring Defense Finance and Accounting Service-Indianapolis personnel to use the gross method for executing the annual subsidy cost reestimates and use specific accounting transactions to ensure that DoD Deputy Comptroller for Program/Budget personnel's budgetary reporting needs are met, and requiring the DoD Deputy Comptroller for Program/Budget to provide only the net funding amount needed for subsidy reestimates.	1 year 1 month 19 days

Report No. DODIG-2019-066, "Summary Audit of Systemic Weaknesses in the Cost of War Reports," March 22, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, develop and implement a review process to verify that the DoD Components develop, review, update, and implement their Cost of War standard operating procedures for accurate and consistent reporting of war-related overseas contingency operation costs.	1 year 9 days
Rec. 3: The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director and each Service's Assistant Secretary for Financial Management and Comptroller, develop and implement a review process to verify that DoD Components update their management tools and accounting systems to properly identify and record war-related overseas contingency operation costs.	1 year 9 days
Rec. 5: The DoD OIG recommended that the Deputy Secretary of Defense, in coordination with the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, the Defense Finance and Accounting Service Director, and each Service's Assistant Secretary for Financial Management and Comptroller, enforce the requirement for DoD Components involved in the Cost of War reporting process to submit their Cost of War data within the established timelines and issue the Cost of War report within 45 days of the end of the reporting period, or coordinate with Congress to request an adjustment to the legal requirements for the reporting timetable.	1 year 9 days

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2019-087, "Audit of the DoD's FY 2018 Compliance With the Improper Payments Elimination and Recovery Act Requirements," May 15, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, include annually in the Agency Financial Report the justification and summary of the analysis Component personnel performed to determine that a payment recapture audit program is not cost effective for a program that does not use one.	10 months 16 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, coordinate with the Components to analyze whether it would be cost effective to implement payment recapture audit programs for each of the DoD programs.	10 months 16 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, ensure that, for each program reporting significant improper payments, Components report the results of corrective actions and include the results in the Agency Financial Report.	10 months 16 days
Rec. 1.d: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, ensure that Components establish aggressive yet realistic improper payment reduction targets for each program.	10 months 16 days
Rec. 1.e: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, submit to the Office of Management and Budget and Congress, within 30 days of this report's issuance: reauthorization proposals or proposed statutory changes necessary to bring the Military Health Benefits, Military Pay, Civilian Pay, Military Retirement, and Commercial Pay programs into compliance; and a report detailing actions the DoD has taken to complete the requirements for 1, 2, 3, and 4 years of noncompliance by the DoD Travel Pay program, and describing the corrective actions taken and planned to prevent and reduce improper payments.	10 months 16 days
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director, develop a process that uses the amount paid for the Commercial Pay and DoD Travel Pay programs.	10 months 16 days
Rec. 2.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director, develop and implement sufficient control measures in the population review process to ensure that the DoD includes all necessary payments for Military Pay, Civilian Pay, Military Retirement, and DoD Travel Pay populations and reports accurate improper payment estimates in the Agency Financial Report.	10 months 16 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-087 (cont'd)

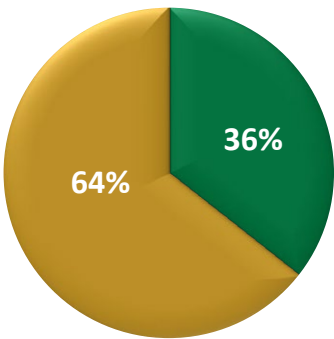
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director and the Military Services, conduct a risk assessment of military academy cadet payments and, as necessary, develop and implement procedures to obtain the population, sample transactions, and review military academy cadet payments for improper payments and report the results to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, for the annual Agency Financial Report.	10 months 16 days
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Health Agency Director, develop and implement procedures to incorporate Military Health Benefits program payments for Administrative and Other payments in a statistically valid sampling and estimation plan that complies with current Office of Management and Budget guidance for testing all payments and producing valid improper payment estimates.	10 months 16 days
Rec. 5: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director and other DoD Components, establish an improper payment review process for the Civilian Pay program that examines supporting documentation and verifies that civilian employees are eligible for the payments that they received.	10 months 16 days

Report No. DODIG-2020-077, “Evaluation of Niger Air Base 201 Military Construction,” March 31, 2020 (Full Report is FOUO)

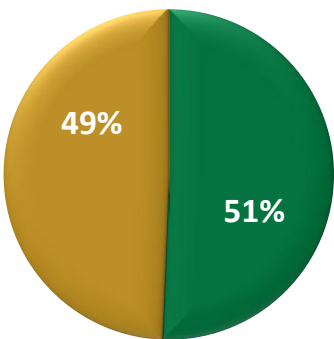
(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 5: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, conduct a review of the Air Force programming actions [REDACTED] to determine whether the programming actions for Air Base 201 are in accordance with applicable appropriation laws and regulation.	1 day
(FOUO)	

Statistics – Under Secretary of Defense for Personnel and Readiness

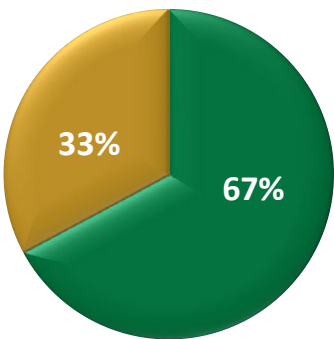
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



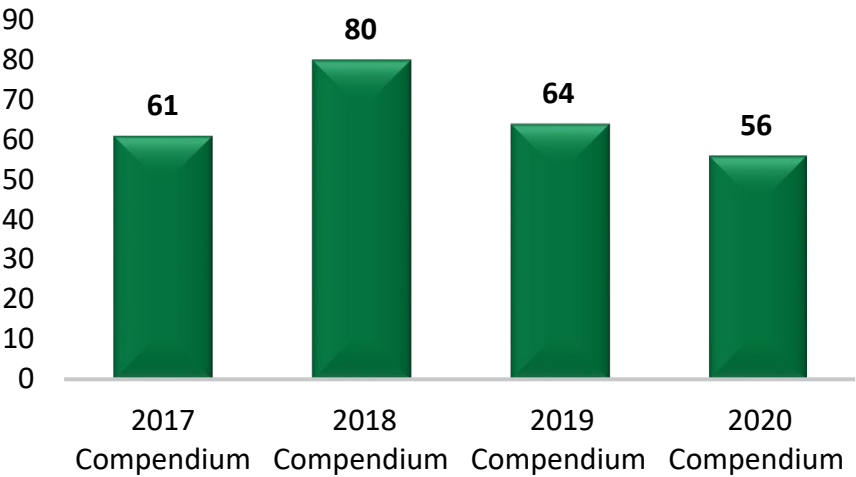
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	2
Number of Recommendations Open Longer than 5 Years	15
Potential Monetary Benefits	–

APPENDIX A

Under Secretary of Defense for Personnel and Readiness

Report No. DODIG-2012-122, "DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access," August 29, 2012 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, coordinate and convene a meeting with the Under Secretary of Defense for Acquisition, Technology, and Logistics; the Under Secretary of Defense for Policy; the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD; the Under Secretary of Defense for Intelligence; the DoD Chief Information Officer, and the Director of Cost Assessment and Program Evaluations within 90 days to reassess and require each office responsible for the full implementation of HSPD-12 to provide oversight and accountability including the reprogramming of funding when appropriate or necessary.	7 years 7 months 2 days
Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, establish a requirement for the Services and DoD agencies to report semiannually to the Under Secretary of Defense for Personnel and Readiness on the status of their Homeland Security Presidential Directive-12 implementation efforts including compliance with the Office of Management and Budget Homeland Security Presidential Directive-12 implementation requirements.	7 years 7 months 2 days

Report No. DODIG-2013-072, "Data Loss Prevention Strategy Needed for the Case Adjudication Tracking System," April 24, 2013 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: The DoD OIG recommended that the Director of Information Management for the Department of Army Military Intelligence and the Program Executive Officer for the Defense Logistics Agency immediately move the back-up servers to an approved location outside of the geographic region that complies with Federal and DoD information assurance requirements. If moving the back-up servers is not immediately feasible, request an interim waiver from the Designated Approving Authority and develop a time-phased plan to move the back-up servers outside of the geographic region.	6 years 11 months 7 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2014-121, "Military Housing Inspections - Japan," September 30, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.2.a-b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: <ul style="list-style-type: none"> a. control and remediation of mold; and b. radon evaluation and mitigation.^{1, 2} 	5 years 6 months 1 day

¹ Responsibility for implementing Recommendation D.2.a-b was transferred from USD(A&S) to USD(P&R).² The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2015-001, "Assessment of the Department of Defense Prisoner of War/Missing in Action Accounting Community," October 17, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish DoD-wide policy regarding the disinterment of unknowns from past conflicts.	5 years 5 months 14 days

Report No. DODIG-2015-002, "Assessment of DoD-Provided Healthcare for Members of the United States Armed Forces Reserve Components," October 8, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish policy that assigns responsibilities to Commanders and medical authorities to manage medical histories and line of duty documentation for deployed or temporary duty Reserve Component service members in a standardized manner across all Services so that both are complete and available to their units in a timely manner.	5 years 5 months 23 days
Rec. 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish standardized DoD form(s) and procedures that provide access for all Reserve Component service members to line of duty care at all military treatment facilities.	5 years 5 months 23 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Human Resources Activity Director provide functional guidance to the Defense Manpower Data Center and the Defense Incident-Based Reporting System data submitters by reestablishing the cross-functional DIBRS Council to provide a forum for the exchange of information, best practices, and the continuing operation of the DIBRS, as required by DoD Manual 7730.47-M, Volume 1.	5 years 5 months 2 days

Report No. DODIG-2015-013, "Military Housing Inspections - Republic of Korea," October 28, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.2.a-b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: <ul style="list-style-type: none"> a. control and remediation of mold; and b. radon evaluation and mitigation.^{1, 2} 	5 years 5 months 3 days

¹ Responsibility for implementing Recommendation D.2.a-b was transferred from USD(A&S) to USD(P&R).

² The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive Department of Defense Suicide Event Report submissions. For each suicide death, this board should: <ul style="list-style-type: none"> 1. be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review; 2. include participation by unit leadership, medical/mental health, and Military Criminal Investigative Organizations; and 3. articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations. 	5 years 4 months 17 days
Rec. 3.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in accordance with Recommendation 2.a, publish guidance requiring a suicide event board to enable a multidisciplinary approach for obtaining the data required to make a comprehensive Department of Defense Suicide Event Report submission.	5 years 4 months 17 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2015-016 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Service and DoD trends.	5 years 4 months 17 days

Report No. DODIG-2015-078, “Evaluation of the Defense Criminal Investigative Organizations’ Compliance with the Lautenberg Amendment Requirements and Implementing Guidance,” February 6, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to incorporate language requiring commanders and supervisors to advise all employees (military and civilian) found to have a qualifying conviction to dispose of their privately owned firearms and ammunitions in accordance with the law.	5 years 1 month 25 days
Rec. C.1: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to require all employees (military and civilian) serving in a covered position to complete the DD Form 2760 annually, at a minimum.	5 years 1 month 25 days
Rec. D: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 by removing the requirement for a separate memorandum of understanding executed between civilian law enforcement and the Military Criminal Investigative Organizations.	5 years 1 month 25 days

Report No. DODIG-2015-148, “Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains,” July 22, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Deputy Assistant Secretary for Military Personnel Policy coordinate with the Military Services to verify the feasibility of completing religious accommodation cases requiring Secretarial-level approval.	4 years 8 months 9 days
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness amend Department of Defense Instruction 1300.17 to state that requests by service members for accommodation of religious practices that are approved by the delegated authorities of the Secretaries of the Military Departments remain in effect unless suspended or revoked by said authority at the request of a commander following the reassignment, transfer, or significant change in circumstances of the service member.	4 years 8 months 9 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2015-148 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Office of General Counsel, develop and publish additional guidance regarding the criteria and process for adjudicating religious accommodation requests that include the use of controlled substances.	4 years 8 months 9 days
Rec. 7: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Office of Diversity Management and Equal Opportunity, the Service Military Equal Opportunity and Inspectors General Offices, and the Defense Privacy and Civil Liberties Division, develop a more efficient and effective means of gathering data in support of the mandated report to Congress detailing DoD civil liberties oversight efforts, including the data regarding the status of rights of conscience protections for service members.	4 years 8 months 9 days

Report No. DODIG-2016-002, “DoD Needs a Comprehensive Approach to Address Workplace Violence,” October 15, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence.	4 years 5 months 16 days
Rec. 2.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures.	4 years 5 months 16 days

Report No. DODIG-2017-038, “Assessment of Warriors in Transition Program Oversight,” December 31, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish guidance for writing Recovery Coordination Program oversight reports that include the requirement to specifically assign a person or organization to take action on each recommendation.	3 years 3 months
Rec. B.2: The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish policy that ensures followup of all Recovery Coordination Program oversight report recommendations until corrective actions are complete.	3 years 3 months

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2017-123, "The Troops-to-Teachers Program," September 28, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of Defense for Readiness develop and implement policies to clearly define the Troops-to-Teachers program requirements for participant eligibility.	2 years 6 months 3 days
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Readiness develop and implement policies to implement, manage, and oversee the Troops-to-Teachers grant program to ensure the planned way forward complies with regulations.	2 years 6 months 3 days
Rec. 2.a: The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to develop procedures for reviewing participant applications that align with newly developed Troops-to-Teachers policy.	2 years 6 months 3 days
Rec. 2.b: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to review the current standard operating procedures in coordination with management officials and the Office of General Counsel to ensure they fully comply with 10 U.S.C. § 1154 (2015) and finalize the procedures when new policy is developed.	2 years 6 months 3 days
Rec. 2.c: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to provide training for all Government and contract employees working with the Troops-to-Teachers program after new policy and procedures are created.	2 years 6 months 3 days

Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.2: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish and implement specific Department of Defense policy on fatigue risk management for Military Health System staff.	2 years 3 months 17 days

Report No. DODIG-2018-079, "Followup Audit: Transfer of Service Treatment Records to the Department of Veterans Affairs," February 22, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, develop a plan and timeline to ensure the Military Departments implement the DD Form 3024 if it has not been implemented by December 31, 2017.	2 years 1 month 9 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2018-079 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, determine, once the DD Form is implemented, whether the Periodic Health Assessment and Individual Medical Readiness programs are adequate to satisfy the service members' Service Treatment Record annual review requirement.	2 years 1 month 9 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, conduct periodic checks of Service Treatment Records transferred to the Department of Veterans Affairs to ensure compliance with the timeliness and completeness requirements in DoD Instruction 6040.45. The periodic checks should include Service Treatment Records of separated personnel from every Military Department.	2 years 1 month 9 days

Report No. DODIG-2018-095, "Defense Human Resources Activity Reimbursable Agreements," March 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Defense Human Resources Activity Director develop and implement procedures requiring Defense Human Resources Activity personnel to document the performance of the required annual and triennial reviews after reimbursable agreement approval, as required by DoD Instruction 4000.19, "Support Agreements," April 25, 2013.*	2 years 4 days
Rec. B.1.a: The DoD OIG recommended that the Defense Human Resources Activity Director complete a preliminary review of the potential Antideficiency Act violations within 14 weeks of initial discovery as required by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, and provide the results of the preliminary investigation to the DoD OIG.*	2 years 4 days
Rec. B.1.b: The DoD OIG recommended that the Defense Human Resources Activity Director implement procedures to review all reimbursable programs to identify and correct funding and reimbursement processes that are not cost-effective.*	2 years 4 days
Rec. B.1.c: The DoD OIG recommended that the Defense Human Resources Activity Director implement procedures to maintain a centralized database containing reimbursable agreements and related funding documents for reimbursable agreements that went into effect before FY 2017.*	2 years 4 days
Rec. B.1.d: The DoD OIG recommended that the Defense Human Resources Activity Director implement an audit infrastructure that allows for the provision of records, documentation, and other information within 5 business days, in accordance with "Financial Improvement and Audit Readiness" Guidance, April 2016.*	2 years 4 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2018-095 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2.a: The DoD OIG recommended that the Defense Human Resources Activity Director implement its corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiatives to ensure expense transactions are recorded in the reporting period that the services are provided, as required by DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 17.*	2 years 4 days
Rec. B.2.b: The DoD OIG recommended that the Defense Human Resources Activity Director implement its corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiatives to ensure payment transactions are recorded concurrent with or after recording the corresponding expense and accounts payable transactions to prevent abnormal accounts payable.*	2 years 4 days
Rec. B.2.c: The DoD OIG recommended that the Defense Human Resources Activity Director implement its corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiatives to ensure corresponding revenue and expense transactions are recorded in the same reporting period, including procedures to reconcile revenue and expense transactions, as required by DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapters 16 and 17.*	2 years 4 days
Rec. B.3: The DoD OIG recommended that the Defense Human Resources Activity Director, in coordination with the Director of Defense Finance and Accounting Service-Columbus, develop and implement a plan to identify and correct all misstated account balances converted from the Defense Business Management System, including the \$4.7 million misstatement due to expense transactions exceeding revenue transactions and the \$9.3 million misstatement due to incorrectly accounting for advanced billing in prior fiscal years.*	2 years 4 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2018-096, “Followup Audit: The Defense Enrollment Eligibility Reporting System Security Posture,” March 30, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 1.a: The DoD OIG recommended that the Defense Manpower Data Center Director update the Defense Enrollment Eligibility Reporting System server [REDACTED] in accordance with National Institute of Standards and Technology Special Publication 800-53 requirements.	2 years 1 day
Rec. 1.b: The DoD OIG recommended that the Defense Manpower Data Center Director establish a centralized procedure for out-processing terminated personnel.	2 years 1 day
(FOUO)	

APPENDIX A

Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2018-096 (cont'd)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
	Rec. 1.c: The DoD OIG recommended that the Defense Manpower Data Center Director identify and appoint trusted agents responsible for revoking access for out-processing terminated personnel.	2 years 1 day
(FOUO)	Rec. 1.d: The DoD OIG recommended that the Defense Manpower Data Center Director [REDACTED]	2 years 1 day
(FOUO)		

Report No. DODIG-2019-079, “Audit of the Identification and Training of DoD’s Operational Contract Support Workforce,” April 16, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness collaborate with Under Secretary of Defense for Acquisition and Sustainment, Joint Staff, applicable Defense agencies, combatant commands, and Military Services to conduct an Operational Contract Support Functional Competency Model assessment for DoD military personnel.	11 months 15 days

Report No. DODIG-2019-081, “Audit of Training Ranges Supporting Aviation Units in the U.S. Indo-Pacific Command,” April 17, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that in accordance with Public Law 115-232, Section 2862, paragraphs a through c, the Under Secretaries of Defense for Personnel and Readiness and for Acquisition and Sustainment, in coordination with the Services, review the individual Services’ range plans, including the response provided to address the requirement of the National Defense Authorization Act, and determine whether Service solutions to training limitations can be accomplished across the DoD. The review should include live, virtual, constructive, and regionalization training.	11 months 14 days
Rec. 1.b: The DoD OIG recommended that in accordance with Public Law 115-232, Section 2862, paragraphs a through c, the Under Secretaries of Defense for Personnel and Readiness and for Acquisition and Sustainment, in coordination with the Services, develop and implement a plan to field and sustain DoD-wide solutions to address training gaps, including addressing: <ol style="list-style-type: none"> the airspace and impact area needs of advanced aircraft and weapons, such as the F-35; and the need to join neighboring airspace on a continuing basis. 	11 months 14 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2019-081 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Army and the Air Force, develop and implement plans to synchronize Army and Air Force range management and range use in Alaska for: <ul style="list-style-type: none"> a. joint training events, b. individual through collective level training for the Army and the Air Force, and c. future F-35 training needs across the DoD to ensure readiness and the ability to accomplish operation plans. 	11 months 14 days

Report No. DODIG-2019-084, “Evaluation of the Operations and Management of Military Cemeteries,” May 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop standardized training for Cemetery Responsible Officials, including procedures on how to record burials and how to order headstones from the Department of Veterans Affairs.	10 months 11 days
Rec. A.2: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and publish business rules to standardize the method for adjudicating data discrepancies and inaccuracies.	10 months 11 days
Rec. A.3: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness conduct a census of Military Cemeteries by applying the business rules referred to in the previous recommendation and direct a conversion to full use of digital records.	10 months 11 days
Rec. B.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Under Secretary of Defense for Acquisition and Sustainment, publish a comprehensive instruction that provides guidance on operation of the Military Cemeteries, including management, accountability, and inspections.	10 months 11 days

Report No. DODIG-2019-125, “Evaluation of the DoD’s Handling of Incidents of Sexual Assault Against (or Involving) Cadets at the United States Air Force Academy,” September 30, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Director of the Department of Defense Sexual Assault Prevention and Response Office develop and institute a process that documents consults or contacts with victims of sexual assault and any resulting referrals to victim support services if those contacts do not result in an official report of sexual assault.	6 months 1 day

APPENDIX A

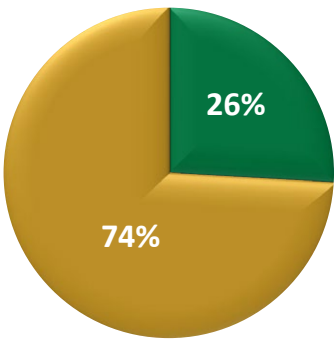
Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2019-125 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and institute a process to ensure that the accurate number of reports of sexual assaults made to the United States Air Force Family Advocacy Program are included in all future annual reports on Sexual Harassment and Violence at the Military Service Academies.	6 months 1 day
Rec. D.2: The DoD OIG recommended that the Director of the Department of Defense Sexual Assault Prevention and Response Office update the Defense Sexual Assault Incident Database to include a field for the Military Service Defense Sexual Assault Incident Database Program Administrators to record the reason that reports of sexual assault are archived in the Defense Sexual Assault Incident Database.	6 months 1 day

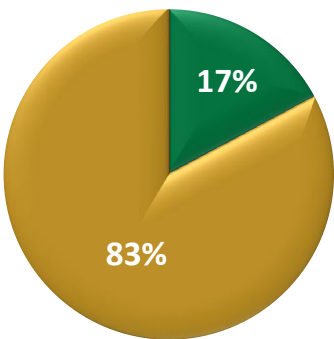


Statistics – Under Secretary of Defense for Intelligence and Security

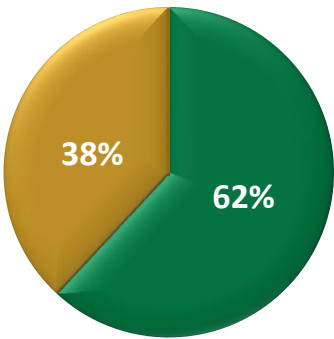
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



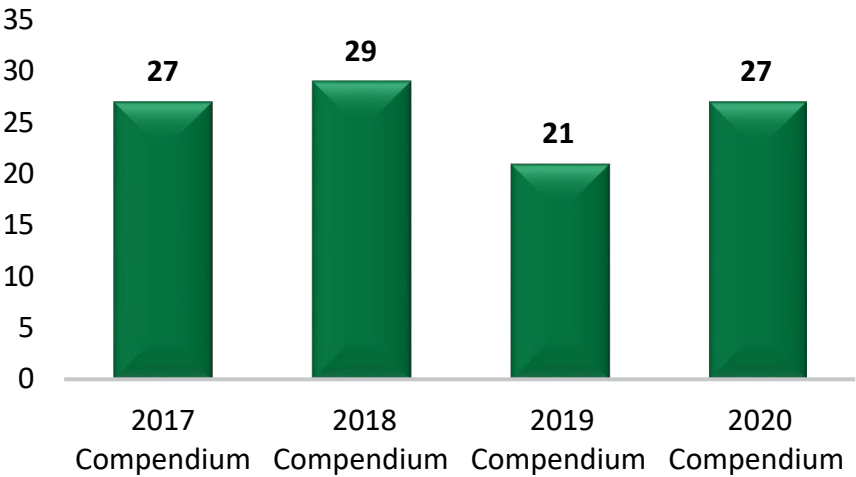
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	5
Number of Recommendations Open Longer than 5 Years	7
Potential Monetary Benefits	–

APPENDIX A

Under Secretary of Defense for Intelligence and Security

Report No. DODIG-2013-112, "Assessment of DoD Long-Term Intelligence Analysis Capabilities,"
August 5, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence (OUSDI), partnering with the Defense Intelligence Agency (DIA), the Combatant Commands, and the Services, develop an All-Source Analysis certification program that leads to training, developing, and retaining a more experienced and robust workforce. We recommend that such a program includes common core analytical skills and performance standards, and an enterprise-wide all-source analysis occupational-specialty career track and development program.	6 years 7 months 26 days

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop and issue an overarching policy governing operation of the System of Record for Personnel Security Clearances.	5 years 11 months 17 days
Rec. B.1.a: The DoD OIG recommended that the Under Secretary of Defense for Intelligence prepare an overarching policy governing the operation of the Defense Central Index of Investigations, including identification of the categories of investigations to be titled and indexed, and the retention criteria for investigations so titled and indexed.	5 years 11 months 17 days
Rec. B.1.b: The DoD OIG recommended that the Under Secretary of Defense for Intelligence direct the Defense intelligence agencies to review the procedures that their Offices of Security use to ensure that the Joint Personnel Adjudicative System and SCATTERED CASTLES system are being properly populated.	5 years 11 months 17 days
Rec. B.1.d: The DoD OIG recommended that the Under Secretary of Defense for Intelligence conduct one of the following actions to ensure subjects of past investigations are titled and indexed in the Defense Central Index of Investigations (DCII): <ol style="list-style-type: none"> 1. initiate action with the Office of Personnel and Management to require that OPM investigators conducting background investigations on current and former civilian employees, military assignees, and contract employees of the Defense intelligence agencies conduct name checks with the inspectors general of those agencies; or 2. direct that the directors of the agencies ensure that the subjects of past agency inspector general criminal investigations are titled and indexed in DCII. 	5 years 11 months 17 days

APPENDIX A

Under Secretary of Defense for Intelligence and Security (cont'd)

Report No. DODIG-2015-004, "Assessment of DoD Long-Term Intelligence Analysis Capabilities Phase II,"
October 10, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop policy mandating joint intelligence standards, including Joint Intelligence Preparation of the Operational Environment, be incorporated into Defense Intelligence training. Joint Intelligence standards should be established by the Joint Staff, incorporated into overall Defense Intelligence Environment standards in conjunction with the Director of the Defense Intelligence Agency as the Functional Manager for Intelligence Analysis, and training executed as required by the functional organization responsible.	5 years 5 months 21 days

Report No. DODIG-2015-064, "Assessment of Intelligence Support to In-Transit Force Protection,"
January 2, 2015 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: The DoD OIG recommended that the Under Secretary of Defense for Intelligence coordinate with the appropriate Department of State office to update the 2003 Memorandum of Understanding to reflect DoD policy and requirements with the Force Protection Detachment program and the Embassy's Country Team environment.	5 years 2 months 29 days

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: Recommendation is Classified.	2 years 1 day

Report No. DODIG-2018-162, "Evaluation of Airborne Intelligence, Surveillance, and Reconnaissance Processing, Exploitation, and Dissemination Process in Support of Operation Inherent Resolve"
September 27, 2018 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 2.b: The DoD OIG recommended that the [REDACTED]	1 year 6 months 4 days
(FOUO)	

APPENDIX A

Under Secretary of Defense for Intelligence and Security (cont'd)

Report No. DODIG-2019-031, "Evaluation of the Defense Threat Reduction Agency's Counterintelligence Program," November 21, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence assign an Intelligence Component Head to the Defense Threat Reduction Agency Security and Counterintelligence Department.	1 year 4 months 10 days
Rec. 1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence create a definition for "counterintelligence inquiries" by revising Draft DoD Instruction O-5240.21, "Counterintelligence Inquiries," October 15, 2013, and issue interim guidance on when a counterintelligence inquiry becomes a counterintelligence investigation.	1 year 4 months 10 days
Rec. 1.c: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence assign a Military Department Counterintelligence Organization to the Defense Threat Reduction Agency to support the Defense Threat Reduction Agency counterintelligence inquiries by revising DoD Instruction 5240.10, "Counterintelligence in the Combatant Commands and Other DoD Components," August 8, 2016.	1 year 4 months 10 days

Report No. DODIG-2019-032, "Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes," December 4, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence examine current DoD intelligence training and education policies and mandate, as necessary, training standards based on a common essential body of knowledge, including Intelligence Community Directive 203, "Analytic Standards," January 2, 2015, for all entry-level/developmental intelligence professionals.	1 year 3 months 27 days

Report No. DODIG-2019-071, "Evaluation of DoD Component Responsibilities for Counterintelligence Support for the Protection of Defense Critical Infrastructure," April 5, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Director for Defense Intelligence (Intelligence and Security), Office of the Under Secretary of Defense for Intelligence, revise all applicable DoD policies to ensure the protection of essential DoD services and infrastructure.	11 months 26 days

APPENDIX A

Under Secretary of Defense for Intelligence and Security (cont'd)

Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. B.2: The DoD OIG recommended that the Director for the Defense Counterintelligence and Security Agency assess and document the risk of [REDACTED] and, based on the assessment, develop and implement controls to protect the information.	8 months 8 days
(FOUO)	

Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Intelligence establish milestones for the Insider Threat Enterprise Program Management Office to implement a DoD Insider Threat Training Program.	8 months 1 day
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Intelligence establish milestones for the Insider Threat Enterprise Program Management Office to develop DoD-wide performance measures, as required by Under Secretary of Defense for Intelligence memorandum "Establishment of an Insider Threat Enterprise Program Management Office," March 9, 2017.	8 months 1 day
Rec. 1.d: The DoD OIG recommended that the Under Secretary of Defense for Intelligence establish milestones for the Insider Threat Enterprise Program Management Office to develop an oversight plan for evaluating DoD Component Heads' insider threat programs to ensure compliance with DoD insider threat policies.	8 months 1 day

Report No. DODIG-2020-025, "Evaluation of the Algorithmic Warfare Cross-Functional Team (Project Maven)," November 8, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Under Secretary of Defense for Intelligence include information assurance requirements in the Project Maven queue of unfinished work (product backlog) to address information assurance challenges.	4 months 23 days
Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop a test and evaluation plan for artificial intelligence algorithms and coordinate with and provide input to the Director of the Joint Artificial Intelligence Center on the development of DoD standards for the test and evaluation of artificial intelligence.	4 months 23 days

APPENDIX A

Under Secretary of Defense for Intelligence and Security – Report No. DODIG-2020-025 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.c: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop processes and procedures for future data-labeling requirements, and provide lessons learned to the Director of the Joint Artificial Intelligence Center.	4 months 23 days
Rec. A.3: The DoD OIG recommended that the Under Secretary of Defense for Intelligence lead and coordinate with the Services and the Director of the Joint Artificial Intelligence Center to conduct a review of Military Intelligence Program funded legacy systems that are being considered for integration with artificial intelligence algorithms in order to determine where improvements to the legacy systems may be required in order to more effectively support artificial intelligence integration.	4 months 23 days

Report No. DODIG-2020-045, “Evaluation of the Military Service Capacity to Fill Combatant Command Requests for Counterintelligence Support,” December 30, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 1.a: The DoD OIG recommended that the [REDACTED]	3 months 1 day
(FOUO) Rec. 1.b: The DoD OIG recommended that the [REDACTED]	3 months 1 day
(FOUO)	

APPENDIX A

Under Secretary of Defense for Intelligence and Security (cont'd)

Report No. DODIG-2020-064, "Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation," February 21, 2020

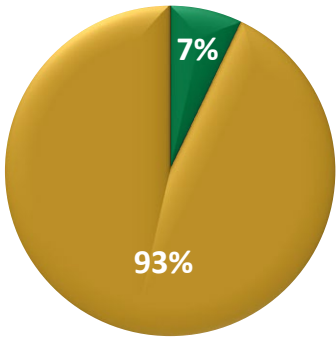
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 9.a: The DoD OIG recommended that the Under Secretary of Defense for Intelligence revise DoD Instruction 7730.47 and DoD Manual 7730.47-M, Volume 1, to require the submission of Brady Act information to the applicable Federal Bureau of Investigation databases to make it available to the National Instant Criminal Background Check System.	1 month 10 days
Rec. 9.b: The DoD OIG recommended that the Under Secretary of Defense for Intelligence revise DoD Instruction 7730.47 and DoD Manual 7730.47-M, Volume 1, to establish roles and responsibilities for the submission of Brady Act information to the applicable Federal Bureau of Investigation databases to make it available to the National Instant Criminal Background Check System.	1 month 10 days
Rec. 10: The DoD OIG recommended that the Under Secretary of Defense for Intelligence determine whether the Defense Incident Based Reporting System should be used for reporting Brady Act information to the applicable Federal Bureau of Investigation databases to make it available to the National Instant Criminal Background Check System.	1 month 10 days



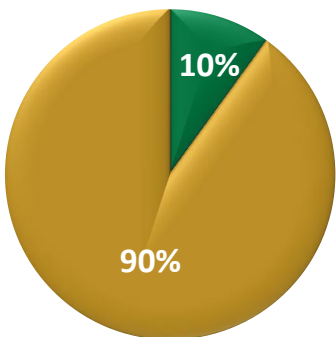
APPENDIX A

Statistics – National Guard Bureau

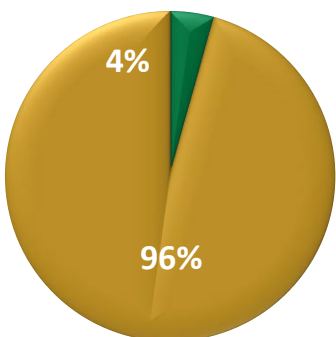
**Recommendations from
2017 Compendium**





**Recommendations from
2018 Compendium**



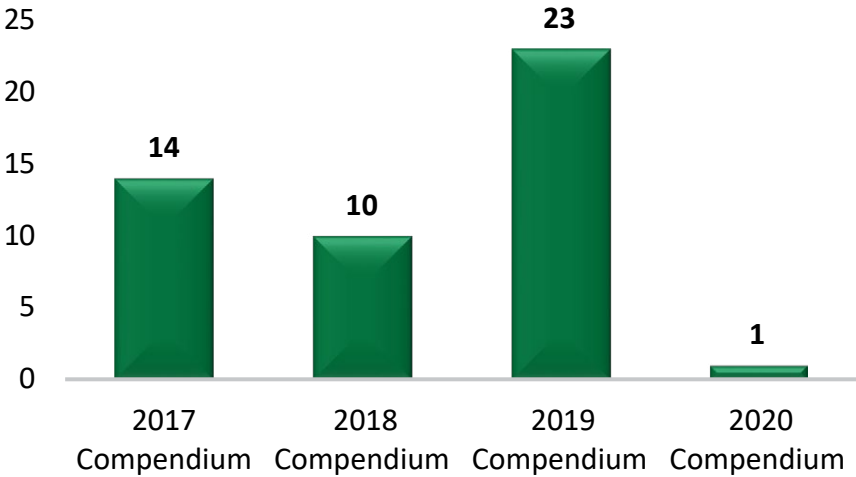
**Recommendations from
2019 Compendium**



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	1
Potential Monetary Benefits	–

APPENDIX A

National Guard Bureau

Report No. DODIG-2013-102, “Improved Oversight of Communications Capabilities Preparedness Needed for Domestic Emergencies,” July 1, 2013

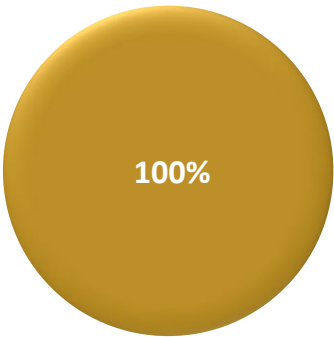
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: The DoD OIG recommended that the National Guard Bureau Chief establish oversight procedures, including performance metrics, to verify that National Guard units report the readiness status of personnel and equipment for the Joint Incident Site Communications Capability system in a timely manner.	6 years 8 months 30 days



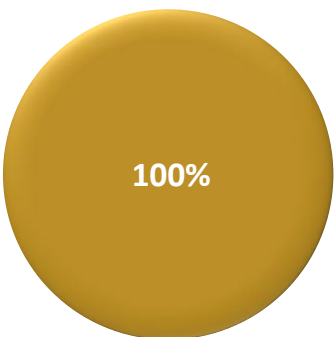
APPENDIX A

Statistics – U.S. Indo-Pacific Command

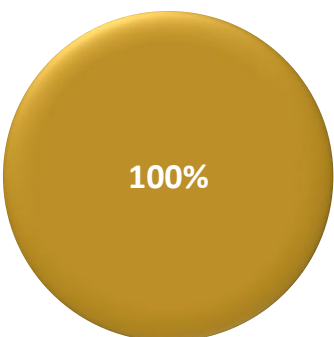
Recommendations from
2017 Compendium



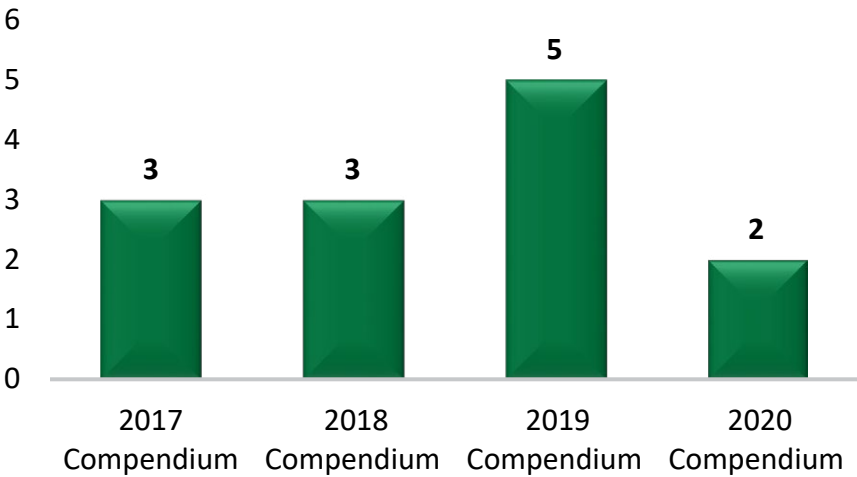
Recommendations from
2018 Compendium




Recommendations from
2019 Compendium




Open Recommendations



Number of Unresolved Recommendations	1
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

APPENDIX A

U.S. Indo-Pacific Command

Report No. DODIG-2019-099, "Audit of the Distribution of Preferred Munitions in Support of the Republic of Korea," June 24, 2019 (Full Report is Classified)

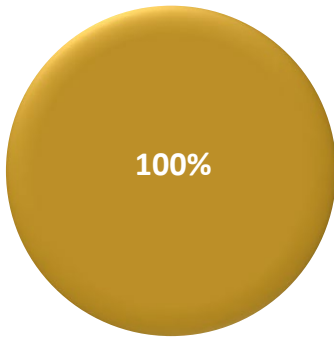
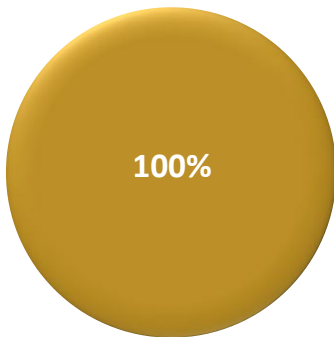
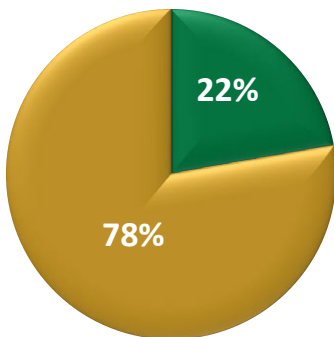
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Directors of the U.S. Indo-Pacific Command, Logistics and Plans Directorates (J4 and J5), in coordination with their Military Service Component Commands, update munitions delivery requirements for U.S. Transportation Command, including detailed munitions data for the time-phased force and deployment data and minimum loading requirement at Military Ocean Terminal-Concord, to meet U.S. Indo-Pacific Command operation plan resupply requirements.	9 months 7 days


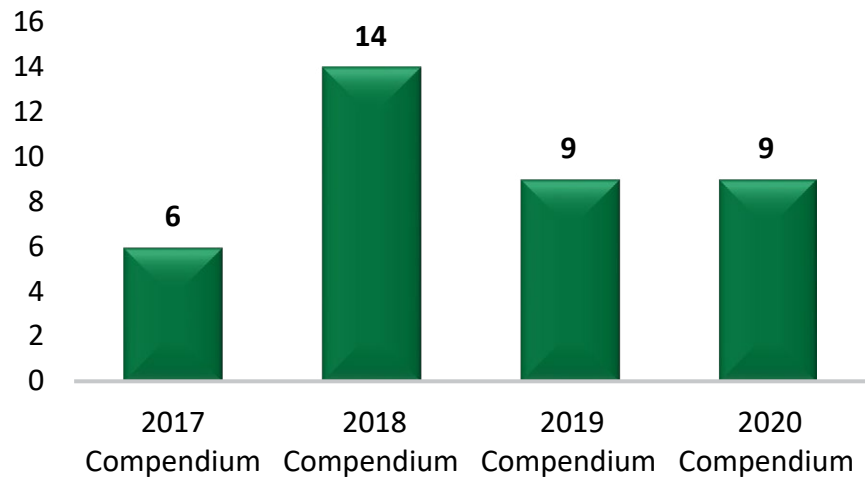
Report No. DODIG-2020-054, "Audit of Munitions Distribution to the Joint Forces Throughout the Republic of Korea," January 28, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: Recommendation is Classified.	2 months 3 days



APPENDIX A

*Statistics – U.S. European Command***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**
 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020
Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

U.S. European Command

Report No. DODIG-2018-142, “U.S. Africa Command and U.S. European Command Integration of Operational Contract Support,” August 9, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a: The DoD OIG recommended that the Commander of U.S. European Command update the annex W’s for 3T concept plans and operation plans to meet operational contract support requirements.	1 year 7 months 22 days
Rec. B.1.d: The DoD OIG recommended that the Commander of U.S. European Command establish operational contract support specific guidance to conduct efficient and effective operational contract support, including operational contract support training required, common operational picture requirements, and working group attendance.	1 year 7 months 22 days

Report No. DODIG-2019-093, “Evaluation of U.S. European Command’s Nuclear Command and Control Between the President and Theater Nuclear Forces,” June 10, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: Recommendation is Classified.	9 months 21 days
Rec. 1.b: Recommendation is Classified.	9 months 21 days
Rec. 1.c: The DoD OIG recommended that the Commander of U.S. European Command conduct a root cause analysis on system outages for U.S. European Command nuclear command, control, and communications systems.	9 months 21 days

Report No. DODIG-2019-107, “Evaluation of Combatant Commands’ Insider Threat Programs,” July 30, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that all Combatant Commanders establish a full-time insider threat program manager position to ensure that the program meets national and DoD requirements.	8 months 1 day
Rec. 3.c: The DoD OIG recommended that all Combatant Commanders establish a plan to fully train the insider threat workforce to conduct critical tasks and insider threat HUB operations.	8 months 1 day

APPENDIX A

U.S. European Command (cont'd)

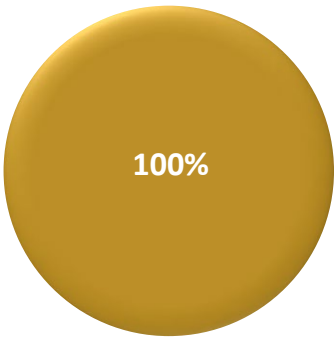
**Report No. DODIG-2020-055, "Evaluation of U.S. European Command's Warning Intelligence Capabilities,"
January 28, 2020 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. European Command appoint a U.S. European Command Directorate lead for red team capabilities in support of the U.S. European Command's warning mission.	2 months 3 days
Rec. 1.c: The DoD OIG recommended that the Commander of U.S. European Command develop procedures to forward red team products to the Director of the Defense Intelligence Agency, for database integration, as appropriate, in accordance with the Department of Defense Directive 3115.16.	2 months 3 days

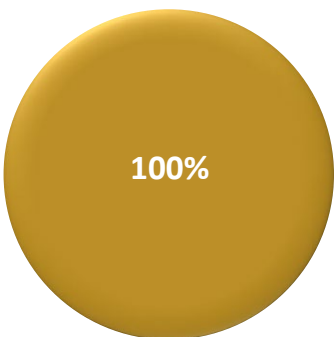


Statistics – U.S. Southern Command

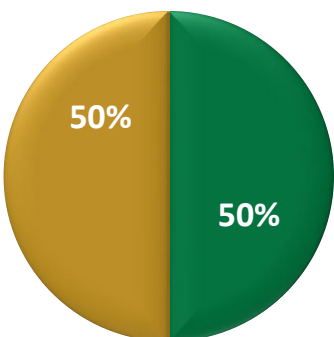
Recommendations from
2017 Compendium





Recommendations from
2018 Compendium

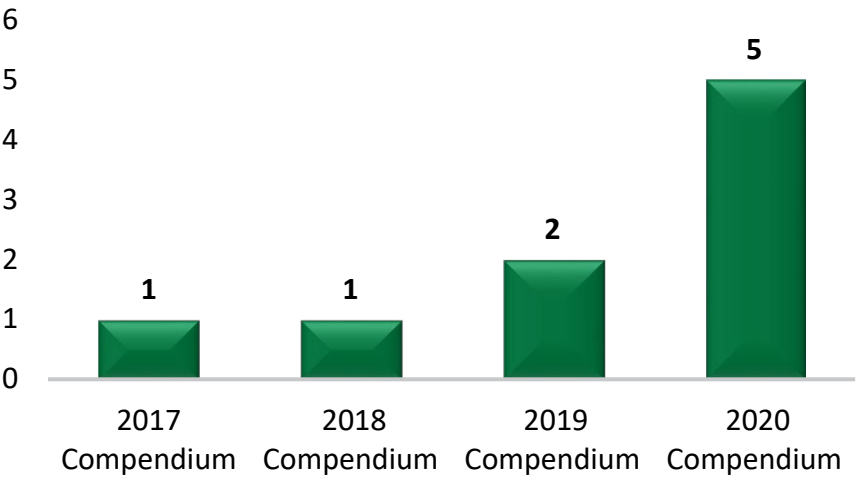


Recommendations from
2019 Compendium



 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

U.S. Southern Command**Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	1 year 6 days

Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)

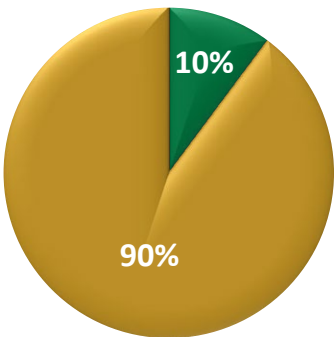
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that all Combatant Commanders establish a full-time insider threat program manager position to ensure that the program meets national and DoD requirements.	8 months 1 day
Rec. 3.c: The DoD OIG recommended that all Combatant Commanders establish a plan to fully train the insider threat workforce to conduct critical tasks and insider threat HUB operations.	8 months 1 day
Rec. 3.d: The DoD OIG recommended that all Combatant Commanders establish and implement reporting procedures for the DoD Insider Threat Management and Analysis Center on all relevant insider threat information that meets the 13 DoD Insider Threat Management and Analysis Center thresholds.	8 months 1 day

Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," March 13, 2020 (Full Report is Classified)

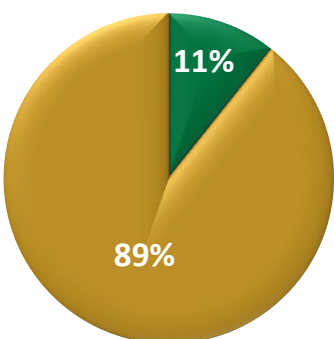
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Commander for U.S. Southern Command prioritize the risk of each unmitigated vulnerability identified in DoD Cyber Red Team Reports and adversarial assessments, take immediate actions to mitigate high-risk vulnerabilities, and if unable to immediately mitigate any of the vulnerabilities, include them on a command-approved plan of action and milestones.	18 days

Statistics – U.S. Central Command

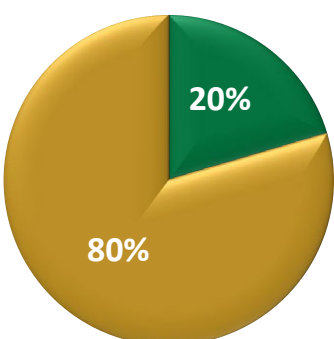
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



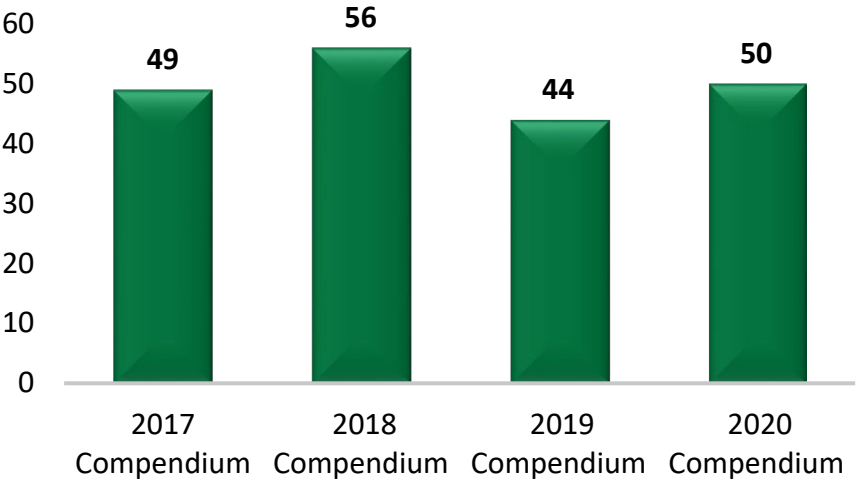
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	8
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

U.S. Central Command

Report No. DODIG-2015-107, "Challenges Exist for Asset Accountability and Maintenance and Sustainment of Vehicles Within the Afghan National Security Forces," April 17, 2015 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan instruct the Security Assistance Office to reconcile information in Operational Verification of Reliable Logistics Oversight Database against information in Security Cooperation Information Portal to ensure vehicle information is accurate and complete.	4 years 11 months 14 days
Rec. A.2: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan assess the accuracy of property transfer records after the Security Assistance Office completes its reconciliation and take the necessary steps to maintain the completeness and accuracy of these records.	4 years 11 months 14 days
Rec. A.6: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan obtain a complete inventory of vehicles received by the Afghan National Security Forces and reconcile this list to help identify any missing records in the Security Cooperation Portal.	4 years 11 months 14 days

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: Recommendation is Classified.	4 years 9 months 13 days

Report No. DODIG-2017-033, "Assessment of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Kurdish Security Forces in Iraq," December 14, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.3: The DoD OIG recommended that the U.S. Central Command Commander conduct periodic reviews to monitor readiness and take necessary actions to maintain acceptable readiness for the Kurdish Security Forces brigade sets.	3 years 3 months 17 days

APPENDIX A

U.S. Central Command (cont'd)

Report No. DODIG-2017-105, "Evaluation of U.S. and Coalition Efforts to Enable the Afghan Ministry of Defense to Develop Its Oversight and Internal Control Capability," August 4, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.3: The DoD OIG recommended that the Director of Essential Function 2, in coordination with the Chief of Staff of Resolute Support and the Deputy Advisor to the Ministry of Defense, update the Ministerial Internal Control Program advisory training to ensure that U.S. and Coalition advisors for the Ministry of Defense, Afghan National Army Corps, and subordinate commands can train, advise, and assist in the development and implementation of the Ministerial Internal Control Program.	2 years 7 months 27 days

Report No. DODIG-2018-058, "Progress of U.S. and Coalition Efforts to Train, Advise, and Assist the Afghan Air Force," January 4, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C: The DoD OIG recommended that the Train, Advise, Assist Command-Air coordinate with the Commander of Combined Security Transition Command-Afghanistan to identify requirements and modify aircraft Contractor Logistic Support contracts as appropriate to increase emphasis on building the Afghan aircraft maintenance capability, increase the Afghan responsibility for daily aircraft maintenance, and identify the transition criteria for Afghan-led maintenance within the Afghan Air Force.	2 years 2 months 27 days

Report No. DODIG-2018-157, "Followup on DoD OIG Report No. DODIG-2013-099, "Compliance with Electrical and Fire Protection Standards of U.S. Controlled and Occupied Facilities in Afghanistan," July 18, 2013 at Kandahar Airfield," September 28, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2.a: The DoD OIG recommended that the U.S. Forces-Afghanistan Commander ensure inspection, testing, and maintenance of engineered fire protection systems in density facilities, in accordance with Unified Facilities Criteria 3-601-02.	1 year 6 months 3 days

Report No. DODIG-2019-042, "Evaluation of Social Media Exploitation Procedures Supporting Operation Inherent Resolve," December 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: Recommendation is Classified.	1 year 3 months 3 days

APPENDIX A

U.S. Central Command (cont'd)

Report No. DODIG-2019-074, "Evaluation of Targeting Operations and Civilian Casualties in Operation Inherent Resolve," April 18, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: Recommendation is Classified.	11 months 13 days
Rec. 6: Recommendation is Classified.	11 months 13 days

Report No. DODIG-2019-077, "Evaluation of the Oversight of Intelligence Interrogation Approaches and Techniques," April 15, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Commander of U.S. Central Command review and update Central Command Regulation 381-21 to reflect U.S. Central Command's current operating procedures for maintaining and overseeing U.S. Central Command's Intelligence interrogation-related records.	11 months 16 days
Rec. 2.b: The DoD OIG recommended that the Commander of U.S. Central Command review and update Central Command Regulation 381-21 to require Headquarters, U.S. Central Command personnel to have access to all of the data repositories that maintain U.S. Central Command's intelligence interrogation-related records.	11 months 16 days

Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Commander of U.S. Central Command enforce all aspects of Central Command Regulation 570-4 throughout the command's area of responsibility.	9 months 20 days
Rec. A.1.b: The DoD OIG recommended that the Commander of U.S. Central Command clearly assign roles and responsibilities to its subordinate commands regarding combating trafficking in persons, including formally designating an appropriate command headquarters in Kuwait to be responsible for Combating Trafficking in Persons compliance.	9 months 20 days
Rec. A.1.c: The DoD OIG recommended that the Commander of U.S. Central Command collect and report data on all Trafficking in Persons incidents, investigations, prosecutions, and training programs in the U.S. Central Command area of responsibility to the DoD's Combating Trafficking in Persons Program Management Office.	9 months 20 days
Rec. D.2: The DoD OIG recommended that the Commander of U.S. Central Command create designated Combating Trafficking in Person Inspection Teams and personnel billets to provide oversight of DoD contracts in countries for which it has command responsibility and that have potential trafficking in persons problems.	9 months 20 days

APPENDIX A

U.S. Central Command (cont'd)

Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that all Combatant Commanders establish a full-time insider threat program manager position to ensure that the program meets national and DoD requirements.	8 months 1 day
Rec. 3.b: The DoD OIG recommended that all Combatant Commanders designate the required subject matter experts for HUB operations to integrate the monitoring, analysis, and reporting of, and the response to, insider threats.	8 months 1 day
Rec. 3.d: The DoD OIG recommended that all Combatant Commanders establish and implement reporting procedures for the DoD Insider Threat Management and Analysis Center on all relevant insider threat information that meets the 13 DoD Insider Threat Management and Analysis Center thresholds.	8 months 1 day

Report No. DODIG-2019-110, "Evaluation of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Afghan Tactical Air Coordinators and Air Liaison Officers," August 8, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Train, Advise, Assist Command-Air Commander and the North Atlantic Treaty Organization Special Operations Component Command-Afghanistan Commander direct air-to-ground integration advisors to use the operational data collected in response to Recommendation 2 to inform and adjust train, advise, assist, and equip efforts for Afghan tactical air coordinators, air Liaison officers, and Afghan air targeting officers.	7 months 23 days
Rec. 4: The DoD OIG recommended that the North Atlantic Treaty Organization Special Operations Component Command-Afghanistan Commander develop a plan with specific objectives and milestones for Afghan Special Security Forces' air-to-ground integration capability that includes all Afghan Special Security Forces elements with Afghan tactical air coordinators and Afghan air targeting officers.	7 months 23 days

Report No. DODIG-2019-115, "Audit of the Planning for and Implementation of the Afghan Personnel and Pay System," August 15, 2019 (Full Report is NATO/Risk Sensitive)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan require the Afghan Personnel and Pay System Project Management Office to develop and implement procedures to audit the accuracy of biometric identification numbers and personnel data for Afghan Personnel and Pay System records to ensure personnel records have an authentic biometric identification number and are biometrically linked.	7 months 16 days

APPENDIX A

U.S. Central Command – Report No. DODIG-2019-115 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b.1: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan require the Afghan Personnel and Pay System Project Management Office to develop and implement corrective action plans that include root cause analysis of, corrective actions, and timelines for implementing the remaining Afghan Personnel and Pay System capabilities.	7 months 16 days
Rec. 1.b.2: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan require the Afghan Personnel and Pay System Project Management Office to develop and implement corrective action plans that include root cause analysis of, corrective actions, and timelines for streamlining the data validation efforts.	7 months 16 days
Rec. 1.b.3: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan require the Afghan Personnel and Pay System Project Management Office to develop and implement corrective action plans that include root cause analysis of, corrective actions, and timelines for increasing oversight and controls of the process for creating personnel records.	7 months 16 days
Rec. 1.b.5: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan require the Afghan Personnel and Pay System Project Management Office to develop and implement corrective action plans that include root cause analysis of, corrective actions, and timelines for executing the required interfaces with Afghan biometric and financial systems.	7 months 16 days
Rec. 2: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan, in coordination with the Government of the Islamic Republic of Afghanistan, develop and implement a plan to transition the Afghan Personnel and Pay System to Afghan control. The plan should include benchmarks and timelines.	7 months 16 days

Report No. DODIG-2020-026, “Audit of the DoD Requirements for the National Maintenance Strategy-Ground Vehicle Support Contract,” December 13, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan develop training and mentoring requirements that track and measure Afghan National Defense and Security Forces’ capabilities throughout the training program.	3 months 18 days
Rec. 1.b: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan document and report the Afghan National Defense and Security Forces’ progression towards the three levels of maintenance and separately record the vehicle maintenance and repairs completed by the Afghan National Defense and Security Forces and the contractor.	3 months 18 days

APPENDIX A

U.S. Central Command – Report No. DODIG-2020-026 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan semiannually review the training and mentoring metrics for the contract requirements and document the results and, if necessary, modify the National Maintenance Strategy-Ground Vehicle Support contract training and mentoring requirements based on the semi-annual review results of the Afghan National Defense and Security Forces' progression towards the levels of maintenance.	3 months 18 days
Rec. 1.e: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan coordinate with the Afghanistan Ministry of Defense and Ministry of Interior to develop agreements (bilateral commitment letters or others) to reinforce Afghan National Defense and Security Forces trainee attendance for the National Maintenance Strategy-Ground Vehicle Support training program.	3 months 18 days
Rec. 1.f: The DoD OIG recommended that the Commander of Combined Security Transition Command-Afghanistan develop and implement policies and procedures for planning and executing future service acquisitions to ensure that, before awarding contracts, stated resources are available for the contractors to be able to meet contract requirements.	3 months 18 days

Report No. DODIG-2020-065, "Evaluation of Combined Joint Task Force-Operation Inherent Resolve's Military Information Support Operations," February 25, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Commander, U.S. Central Command, in coordination with the Under Secretary of Defense for Policy, the Department of State, and the U.S. Embassy-Baghdad, develop a plan for interagency coordination and integration of U.S. Government messaging in Iraq.	1 month 6 days
Rec. 2: The DoD OIG recommended that the Commander, U.S. Central Command, in coordination with the U.S. Embassy-Baghdad, define U.S. forces' roles and responsibilities and identify Department of State counterparts to support the transition of U.S. Government messaging requirements and responsibilities from the DoD to the U.S. Embassy-Baghdad in Iraq.	1 month 6 days
Rec. 3: The DoD OIG recommended that the Commander, U.S. Central Command, after coordination with the U.S. Embassy-Baghdad, modify the existing transition plans and orders to assign U.S. forces' roles and responsibilities in the transition of messaging from the DoD to the U.S. Embassy-Baghdad in Iraq.	1 month 6 days

APPENDIX A

U.S. Central Command (cont'd)

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 2.b: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 2.c: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 2.d: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 2.e: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 2.f: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 2.g: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 2.h: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 2.i: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 2.j: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO)	

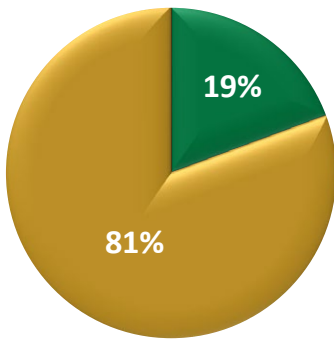
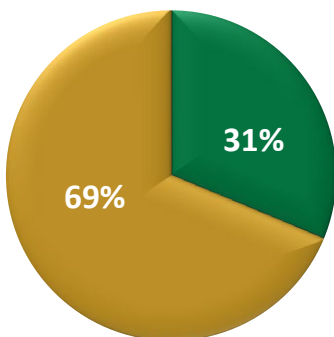
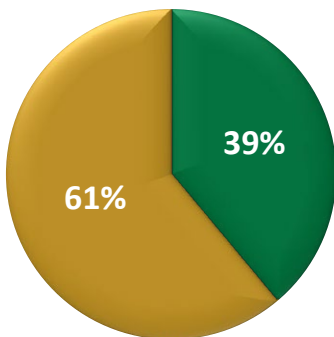
APPENDIX A


U.S. Central Command (cont'd)


**Report No. DODIG-2020-069, "Audit of the Army's Base Life Support Contract for Camp Taji, Iraq,"
March 18, 2020 (Full Report is FOUO)**

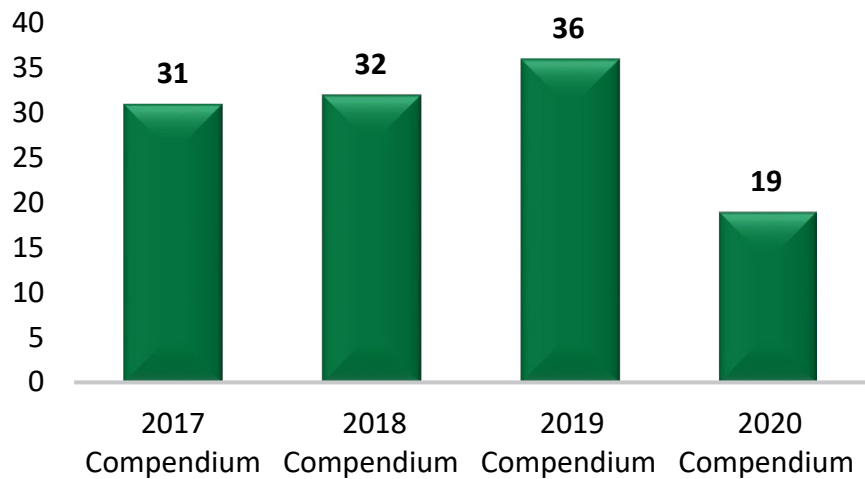
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commander of Combined Joint Task Force Operation Inherent Resolve direct his staff to review the performance work statement to determine whether additional DoD and Army requirements should be included. If, based on that review, the Combined Joint Task Force Operation Inherent Resolve staff determines that additional requirements should be added to the Performance Work Statement, the Base Operations Support-Integrator should use the results of the review to coordinate with the procuring contracting officer to include any additional requirements in the performance work statement.	13 days
Rec. 1.b: The DoD OIG recommended that the Commander of Combined Joint Task Force Operation Inherent Resolve review the actions and capabilities of the logistics officials responsible for Camp Taji Base Life Support requirements development. Based on that review, the Commander should take appropriate action, if warranted, to hold the necessary officials accountable or to improve the generation of future contract requirements.	13 days
Rec. 3.a: The DoD OIG recommended that the Officer in Charge of the Base Operations Support-Integrator for Camp Taji use the Combined Joint Task Force-Operation Inherent Resolve personnel status reports for the daily occupancy tracker to ensure Combined Joint Task Force-Operation Inherent Resolve is paying for the correct number of personnel.	13 days
Rec. 3.b: The DoD OIG recommended that the Officer in Charge of the Base Operations Support-Integrator for Camp Taji implement and use common access card readers to track personnel who enter or depart Camp Taji.	13 days
Rec. 3.c: The DoD OIG recommended that the Officer in Charge of the Base Operations Support-Integrator for Camp Taji include steps in the quality assurance surveillance plan or standard operating procedures to ensure staff members with responsibilities related to the contractor population are coordinating to determine Combined Joint Task Force Operation Inherent Resolve is paying for the correct number of personnel prior to telling the procuring contracting officer the invoice is accurate.	13 days

APPENDIX A

*Statistics – U.S. Special Operations Command***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

U.S. Special Operations Command

Report No. DODIG-2016-099, “U.S. Special Operations Command Controls Over the Requirements Development Process for Military Construction Projects Need Improvement,” June 17, 2016
(Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.b: The DoD OIG recommended that the Commander of the U.S. Special Operations Command update U.S. Special Operations Command Directive 415-1 to include procedures for validating military construction projects to require Components to confirm the accuracy of the project justification on DD Form 1391, Military Construction Project Data.	3 years 9 months 14 days
Rec. A.1.c: The DoD OIG recommended that the Commander of the U.S. Special Operations Command update U.S. Special Operations Command Directive 415-1 to require that Components maintain documentation to fully support scope calculations and cost estimates for military construction requirements.	3 years 9 months 14 days

Report No. DODIG-2017-030, “USSOCOM Needs to Improve Management of Sensitive Equipment,” December 12, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director update guidance to include specific procedures for establishing sensitive equipment accountability.	3 years 3 months 19 days
Rec. 1.b: The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director require U.S. Special Operations Command, Directorate of Logistics, to conduct a 100-percent inventory of sensitive equipment to establish a sensitive equipment baseline and reconcile inventory discrepancies.	3 years 3 months 19 days
Rec. 1.c.1: The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director instruct U.S. Special Operations Command, Directorate of Logistics, to determine the utility of continuing the temporary loan process and, if continued, ensure that the process is comprehensively defined in U.S. Special Operations Command guidance to include whether the U.S. Special Operations Command warehouse or the units are responsible for maintaining equipment accountability.	3 years 3 months 19 days
Rec. 1.c.2: The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director instruct U.S. Special Operations Command, Directorate of Logistics, to mandate Special Operations Logistics Management System equipment-level reporting requirements to include identifying standardized data elements and establishing an equipment reporting frequency for U.S. Special Operations Command warehouses and Service Component commands.	3 years 3 months 19 days

APPENDIX A

U.S. Special Operations Command (cont'd)

Report No. DODIG-2018-100, "U.S. Special Operations Command's Management of Excess Equipment," March 29, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. Special Operations Command update U.S. Special Operations Command guidance to include detailed procedures for reporting and updating Special Operations-Peculiar equipment authorizations and allocations in the U.S. Special Operations Command Table of Equipment Distribution and Allowance. The procedures should provide clear and concise policy outlining the source of the authorization data and how this data should be presented, and should establish periodic reviews of the information to ensure that the authorizations match the capability documents.	2 years 2 days
Rec. 1.b: The DoD OIG recommended that the Commander of U.S. Special Operations Command direct a review of existing Special Operations-Peculiar equipment authorizations and allocations and update U.S. Special Operations Command Table of Equipment Distribution and Allowance and all systems and documents that contain authorizations and allocations accordingly.	2 years 2 days
Rec. 1.c: The DoD OIG recommended that the Commander of U.S. Special Operations Command update U.S. Special Operations Command guidance to include detailed procedures for conducting periodic reconciliations of Special Operations-Peculiar equipment authorizations and allocations to inventory.	2 years 2 days
Rec. 1.d: The DoD OIG recommended that the Commander of U.S. Special Operations Command direct a reconciliation of Special Operations-Peculiar equipment authorizations and allocations to inventory based on the updated guidance and, if excess equipment is identified, redistribute or dispose of the excess equipment.	2 years 2 days

Report No. DODIG-2018-123, "U.S. Special Operations Command Reporting of General Equipment on Its Financial Statements," June 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 6.b: The DoD OIG recommended that the Director of Accounting for U.S. Special Operations Command assist Component Special Operations Command personnel in identifying all the critical data elements that U.S. Special Operations Command needs from the Component Special Operations Commands' property systems to accurately report and support U.S. Special Operations Command General Equipment and Accumulated Depreciation account balances.	1 year 9 months 27 days
Rec. 6.c: The DoD OIG recommended that the Director of Accounting for U.S. Special Operations Command request Component Special Operations Command personnel provide read-only access to their property systems to confirm that the U.S. Special Operations Command has all the critical data elements it needs to accurately report and support the U.S. Special Operations Command General Equipment and Accumulated Depreciation account balances.	1 year 9 months 27 days

APPENDIX A

U.S. Special Operations Command – Report No. DODIG-2018-123 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 6.d: The DoD OIG recommended that the Director of Accounting for U.S. Special Operations Command review and verify that the General Equipment being reported on U.S. Special Operations Command Balance Sheet reconciles to the component Special Operations Commands' property systems data and listing of assets.	1 year 9 months 27 days
Rec. 6.e: The DoD OIG recommended that the Director of Accounting for U.S. Special Operations Command request the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer make a determination on whether the U.S. Special Operations Command or the Marine Corps should report the Marine Corps Forces Special Operation Command General Equipment as well as other Military Services General Equipment.	1 year 9 months 27 days

Report No. DODIG-2019-074, "Evaluation of Targeting Operations and Civilian Casualties in Operation Inherent Resolve," April 18, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5: Recommendation is Classified.	11 months 13 days

Report No. DODIG-2019-077, "Evaluation of the Oversight of Intelligence Interrogation Approaches and Techniques," April 15, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: Recommendation is Classified.	11 months 16 days

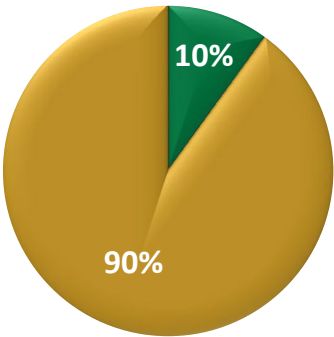
Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that all Combatant Commanders establish a full-time insider threat program manager position to ensure that the program meets national and DoD requirements.	8 months 1 day
Rec. 3.c: The DoD OIG recommended that all Combatant Commanders establish a plan to fully train the insider threat workforce to conduct critical tasks and insider threat HUB operations.	8 months 1 day
Rec. 3.d: The DoD OIG recommended that all Combatant Commanders establish and implement reporting procedures for the DoD Insider Threat Management and Analysis Center on all relevant insider threat information that meets the 13 DoD Insider Threat Management and Analysis Center thresholds.	8 months 1 day

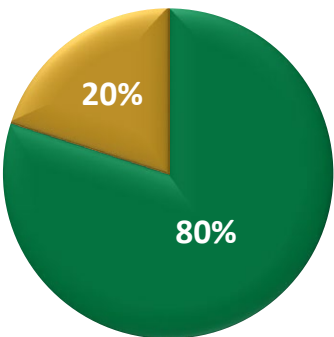
APPENDIX A

Statistics – U.S. Transportation Command

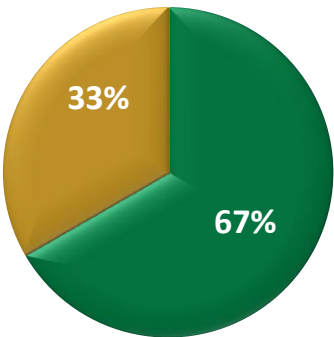
Recommendations from
2017 Compendium





Recommendations from
2018 Compendium

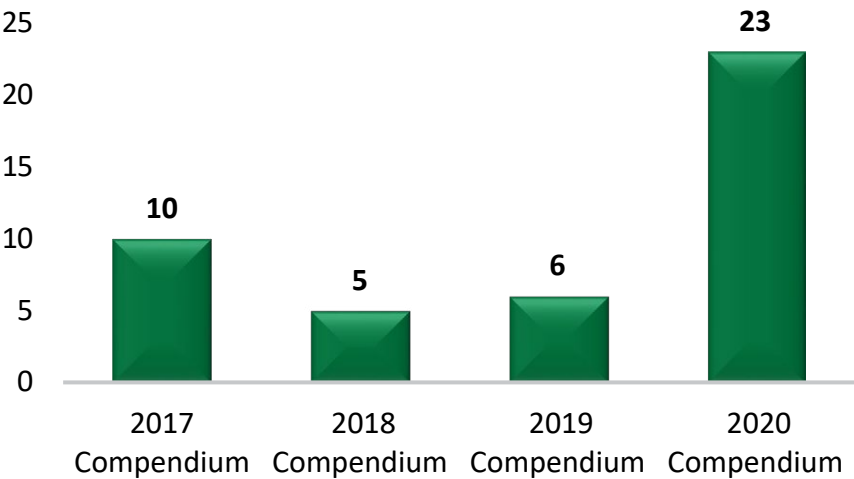


Recommendations from
2019 Compendium



 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	4
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	\$635 thousand

APPENDIX A

U.S. Transportation Command

Report No. DODIG-2016-061, “U.S. Army Military Surface Deployment and Distribution Command Needs to Improve Its Oversight of Labor Detention Charges at Military Ocean Terminal Sunny Point,” March 16, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Contracting Officer for the U.S. Transportation Command take action to recoup charges for time charged as safety briefings erroneously charged as labor detention time.	4 years 15 days

Report No. DODIG-2017-108, “United States Transportation Command Triannual Reviews,” August 9, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 3, chapter 8, “Standards for Recording and Reviewing Commitments and Obligations.” The processes and procedures at a minimum should identify staff positions responsible for executing proper triannual reviews.	2 years 7 months 22 days
Rec. 1.b: The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 3, chapter 8, “Standards for Recording and Reviewing Commitments and Obligations.” The processes and procedures at a minimum should include detailed review requirements to ensure that each commitment, obligation, accounts payable, unfilled customer order, and accounts receivable are properly recorded in the general ledger.	2 years 7 months 22 days
Rec. 1.c: The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 3, chapter 8, “Standards for Recording and Reviewing Commitments and Obligations.” The processes and procedures at a minimum should include detailed instructions to ensure reports are prepared for submission in the DoD standard format and contain the valid, accurate, and complete status of each fund balance.	2 years 7 months 22 days

APPENDIX A

U.S. Transportation Command (cont'd)

Report No. DODIG-2019-099, "Audit of the Distribution of Preferred Munitions in Support of the Republic of Korea," June 24, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Commanding General of the Military Surface Deployment and Distribution Command and the Commander of the 834th Transportation Battalion complete ongoing management actions to resolve container-handling equipment limitations affecting munitions loading at Military Ocean Terminal-Concord.	9 months 7 days

Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication.	8 months 8 days
Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to mitigating vulnerabilities in a timely manner.	8 months 8 days
Rec. A.3.c: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to protecting and monitoring data on removable media.	8 months 8 days

APPENDIX A

U.S. Transportation Command (cont'd)

Report No. DODIG-2020-046, "Audit of the DoD Personal Property Program Related to Household Goods Shipments," January 6, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Commander of U.S. Transportation Command develop and implement a methodology that accounts for Letters of Warning in the performance score of the Transportation Service Providers for the best value determinations.	2 months 25 days
Rec. A.2.a: The DoD OIG recommended that the Commander of U.S. Transportation Command update the Defense Transportation Regulations to issue Letters of Warning or Letters of Suspension to the Transportation Service Provider within 14 days of missing the Required Delivery Date or the agreed-upon delivery date from a Storage-In-Transit location when the Transportation Service Provider was either responsible for or did not provide an explanation for missing the Required Delivery Date.	2 months 25 days
Rec. A.2.b: The DoD OIG recommended that the Commander of U.S. Transportation Command update the Defense Transportation Regulations to contact the DoD members if they do not complete Customer Satisfaction Surveys within 1 month after receiving the shipments, to increase the survey completion percentage and develop a more accurate Best Value Score.	2 months 25 days
Rec. A.2.c: The DoD OIG recommended that the Commander of U.S. Transportation Command update the Defense Transportation Regulations to help DoD members and families file inconvenience claims with Transportation Service Providers within 14 days of when Transportation Service Providers did not meet the agreed-upon delivery dates.	2 months 25 days
Rec. B.1: The DoD OIG recommended that the Commander of U.S. Transportation Command update the Defense Transportation Regulations to transfer the damaged or lost household goods claims to the Military Claims Offices if the DoD member decides to pursue reimbursement from the moving company.	2 months 25 days
Rec. C.1.a: The DoD OIG recommended that the Commander of U.S. Transportation Command update the Defense Transportation Regulation to obtain and validate the delivery information, including actual delivery dates and agreed-upon delivery dates, from the Storage-In-Transit location in Defense Personal Property System within 14 days of the completed move.	2 months 25 days
Rec. C.1.b: The DoD OIG recommended that the Commander of U.S. Transportation Command update the Defense Transportation Regulation to review all household goods claims greater than 60 days old in the Defense Personal Property System and contact the DoD members to determine the status. If the DoD member received payment or does not want to pursue the household goods claim, the Defense Personal Property System information should be updated. If the DoD member would like to pursue reimbursement from the Transportation Service Provider, the DoD member should be counseled to transfer the household goods claim to the Military Claims Office for processing. This practice should continue for all claims greater than 60 days.	2 months 25 days

APPENDIX A

U.S. Transportation Command (cont'd)

Report No. DODIG-2020-047, "Audit of Surge Sealift Readiness Reporting," January 22, 2020
(Full Report is Classified)

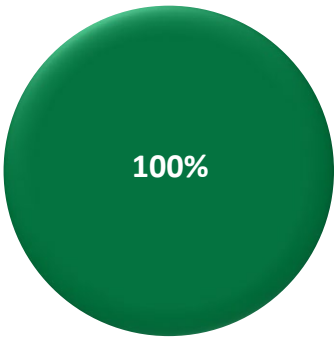
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Commander of Military Sealift Command establish policies to verify whether deficiencies identified in ship inspection reports match the corresponding contractor-issued casualty reports.	2 months 9 days
Rec. A.1.b: The DoD OIG recommended that the Commander of Military Sealift Command establish policies to hold contractors accountable when casualty reports do not match ship inspections reports or are not submitted as required.	2 months 9 days
Rec. A.1.c: The DoD OIG recommended that the Commander of Military Sealift Command establish policies to reconcile casualty reports to the ship's reported status in Defense Readiness Reporting System-Strategic to ensure accurate ship readiness reporting.	2 months 9 days
Rec. B.1: The DoD OIG recommended that the Commander of Military Sealift Command develop a business process agreement with the Maritime Administration to establish standard criteria for readiness assessments for both Military Sealift Command and Maritime Administration ships.	2 months 9 days
Rec. B.2.a: The DoD OIG recommended that the Commander of Military Sealift Command develop an oversight plan to verify the readiness status of the Maritime Administration surge sealift ships and identify documentation and processes needed for this oversight.	2 months 9 days
Rec. B.2.b: The DoD OIG recommended that the Commander of Military Sealift Command coordinate with the Department of Transportation Maritime Administration to obtain the documentation and establish the processes necessary for the Military Sealift Command to perform the oversight.	2 months 9 days

Report No. DODIG-2020-071, "Audit of the Department of Defense's Ground Transportation and Secure Hold of Arms, Ammunition, and Explosives in the United States," March 23, 2020

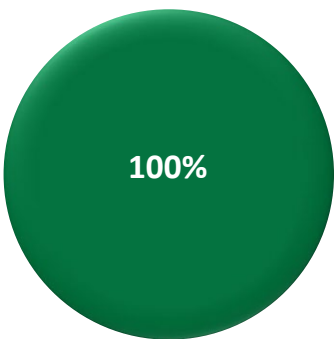
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that the Commander of U.S. Transportation Command, in coordination with the Military Services, update the Defense Transportation Regulation to require installations receiving arms, ammunition, and explosives to send a receipt confirmation of the report of shipment to reduce the risk of a secure hold denial.	8 days
Rec. 3.b: The DoD OIG recommended that the Commander of U.S. Transportation Command, in coordination with the Military Services, update the Defense Transportation Regulation to require installations receiving arms, ammunition, and explosives to notify those who work at the installation's delivery access points that there is an incoming shipment of arms, ammunition, and explosives to reduce the risk of a secure hold denial.	8 days

Statistics – U.S. Northern Command

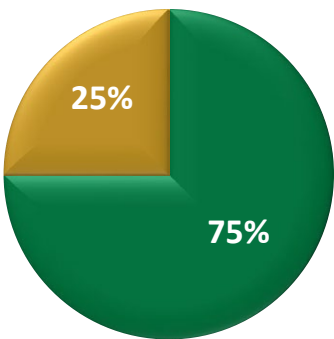
Recommendations from
2017 Compendium





Recommendations from
2018 Compendium

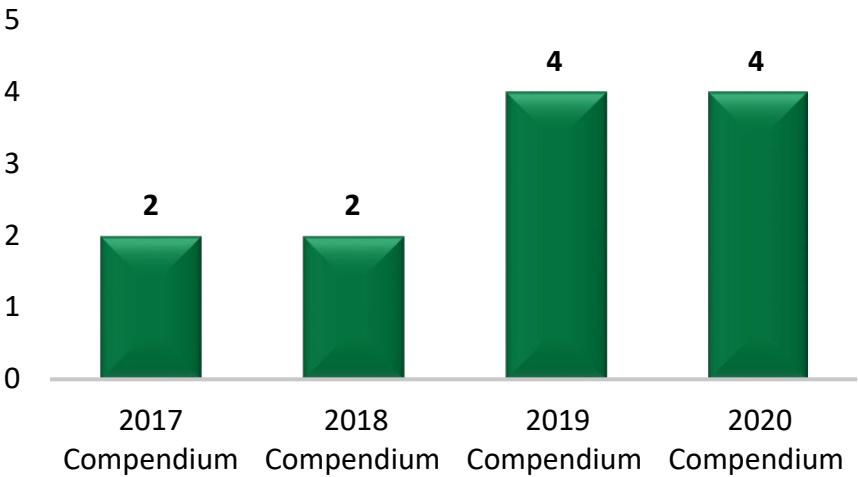


Recommendations from
2019 Compendium



 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	2
Potential Monetary Benefits	–

APPENDIX A

U.S. Northern Command

Report No. DODIG-2014-019, "Assessment of Continental United States-Based Nuclear Response Task Force Programs," December 3, 2013 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
	Rec. B.1: The DoD OIG recommended that the U.S. Northern Command, the Air Force Global Strike Command, and the Joint Staff determine the appropriate method of supporting the logistical and operational requirements to deploy the Air Force Global Strike Command Response Task Force. Stakeholders should consider drafting an "Execute Order" addressing the necessary requirements, capabilities, resources, and procedures.	6 years 3 months 28 days
(FOUO)	Rec. C.1: The DoD OIG recommended that the U.S. Northern Command, in conjunction with the U.S. Pacific Command Commander, update the appropriate concept of operations plan and operations orders [REDACTED]	6 years 3 months 28 days
(FOUO)		

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

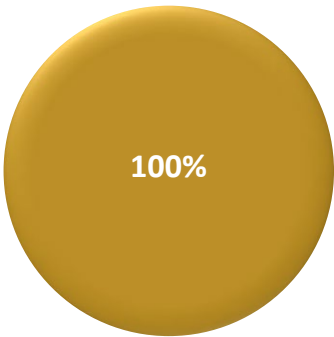
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	1 year 6 days

Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)

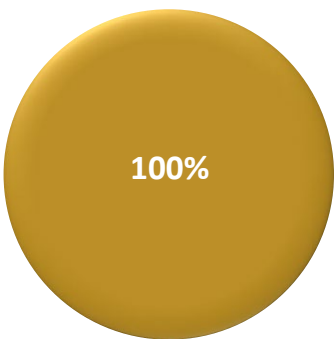
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that all Combatant Commanders establish a full-time insider threat program manager position to ensure that the program meets national and DoD requirements.	8 months 1 day

Statistics – U.S. Strategic Command

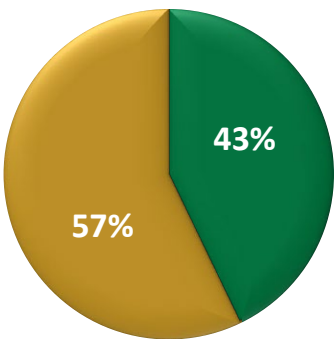
Recommendations from
2017 Compendium





Recommendations from
2018 Compendium



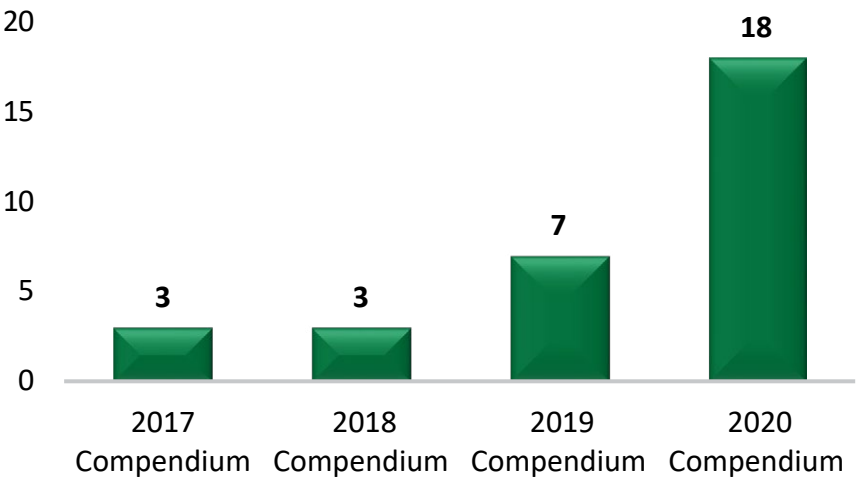
Recommendations from
2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	14
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

U.S. Strategic Command

Report No. DODIG-2018-122, "U.S. Strategic Command Facility Construction Project," May 31, 2018
(Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Commander of U.S. Strategic Command complete an after-action review following the construction of the U.S. Strategic Command replacement facility.	1 year 10 months
Rec. 2.b: The DoD OIG recommended that the Commander of U.S. Strategic Command review the administrative actions of individuals involved in the cost increases or schedule delays of the U.S. Strategic Command Facility Construction Project and initiate action as appropriate.	1 year 10 months

Report No. DODIG-2018-159, "Evaluation of the Integrated Tactical Warning and Attack Assessment System," September 26, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: Recommendation is Classified.	1 year 6 months 5 days

Report No. DODIG-2020-066, "Audit of the Department of Defense Supply Chain Risk Management Program for Nuclear Command, Control, and Communications Systems," March 2, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.5: Recommendation is Classified.	29 days
Rec. A.6: The DoD OIG recommended that the Commander of U.S. Strategic Command and the Under Secretary of Defense for Research and Engineering develop and implement a plan to conduct independent evaluations of supply chain risk management for nuclear command, control, and communications systems. The plan should outline responsibilities for conducting the evaluations, criteria and methodology that will be used, and timeframes for conducting the evaluations.	29 days
Rec. B.2.a: The DoD OIG recommended that the Commander of U.S. Strategic Command establish a process that derivative classifiers can follow under the guidance of an Nuclear Command, Control, and Communication original classification authority to adjudicate security classification marking conflicts or concerns pertaining to protecting sensitive nuclear command, control, and communications information.	29 days
Rec. B.2.b: The DoD OIG recommended that the Commander of U.S. Strategic Command determine whether the Director of the Nuclear Command, Control, and Communication Enterprise Center should have nuclear command, control, and communication classification authority to support the established derivative classifier adjudication process, and delegate, as appropriate.	29 days

APPENDIX A

U.S. Strategic Command – Report No. DODIG-2020-066 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.3: The DoD OIG recommended that the Director of the Nuclear Command, Control, and Communications Enterprise Center issue guidance to all nuclear command, control, and communications programs on how to derivatively classify and protect soon-to-be classified nuclear command, control, and communications information until security classification guides for nuclear, command, control, and communications are updated in accordance with the Acting Secretary of Defense and Chairman of the Joint Chiefs of Staff memorandum, "Nuclear Command, Control, Communications Umbrella Security Classification and Handling Guidance," June 6, 2019, and DoD Manual 5200.45, "Instructions for Developing Security Classification Guides," April 2, 2013, Incorporating Change 1, Effective April 6, 2018.	29 days

Report No. DODIG-2020-067, "Followup Audit on Corrective Actions Taken by DoD Components in Response to DoD Cyber Red Team-Identified Vulnerabilities and Additional Challenges Facing DoD Cyber Red Team Missions," March 13, 2020 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Commander for U.S. Strategic Command prioritize the risk of each unmitigated vulnerability identified in DoD Cyber Red Team Reports and adversarial assessments, take immediate actions to mitigate high-risk vulnerabilities, and if unable to immediately mitigate any of the vulnerabilities, include them on a command-approved plan of action and milestones.	18 days

Report No. DODIG-2020-068, "Audit of Security Controls Over the Department of Defense's Global Command and Control System-Joint Information Technology System," March 18, 2020 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 1.a: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. 1.b: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO)	

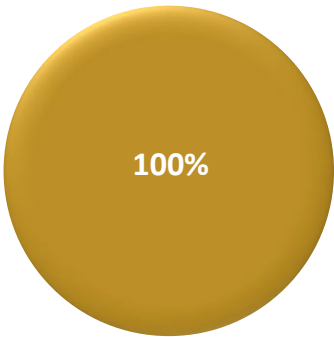
APPENDIX A

U.S. Strategic Command – Report No. DODIG-2020-068 (cont'd)

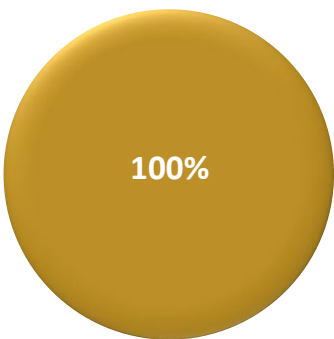
(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 1.c: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 1.d: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 1.e: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 1.f: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 1.g: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 1.h: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO) Rec. 1.i: The DoD OIG recommended that the [REDACTED] [REDACTED]	13 days
(FOUO)	

Statistics – U.S. Africa Command

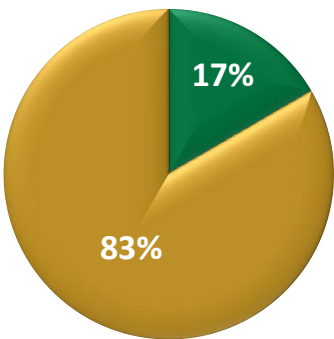
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



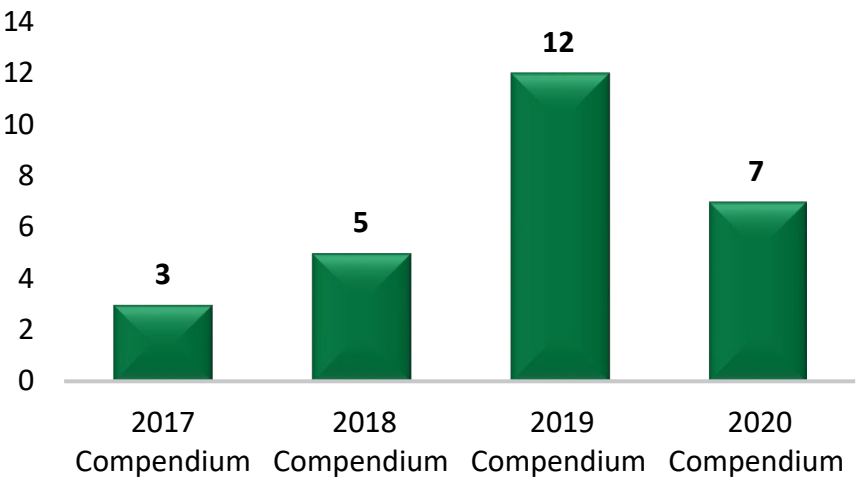
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	1
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

U.S. Africa Command

Report No. DODIG-2018-142, “U.S. Africa Command and U.S. European Command Integration of Operational Contract Support,” August 9, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.b: The DoD OIG recommended that the Commander, U.S. Africa Command update the U.S. Africa Command Instruction 4800.01A to include critical operational contract support requirements related to training, common operational picture, and working group attendance.	1 year 7 months 22 days

Report No. DODIG-2019-032, “Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes,” December 4, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.a: The DoD OIG recommended that the U.S. Africa Command Commander establish an analytic integrity policy.	1 year 3 months 27 days

Report No. DODIG-2019-107, “Evaluation of Combatant Commands’ Insider Threat Programs,” July 30, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.b: The DoD OIG recommended that all Combatant Commanders designate the required subject matter experts for HUB operations to integrate the monitoring, analysis, and reporting of, and the response to, insider threats.	8 months 1 day
Rec. 3.c: The DoD OIG recommended that all Combatant Commanders establish a plan to fully train the insider threat workforce to conduct critical tasks and insider threat HUB operations.	8 months 1 day
Rec. 3.d: The DoD OIG recommended that all Combatant Commanders establish and implement reporting procedures for the DoD Insider Threat Management and Analysis Center on all relevant insider threat information that meets the 13 DoD Insider Threat Management and Analysis Center thresholds.	8 months 1 day

APPENDIX A

U.S. Africa Command (cont'd)

Report No. DODIG-2019-111, "Evaluation of USAFRICOM and SOCAFRICA's Processes for Determining and Fulfilling Intelligence Requirements for Counterterrorism," August 13, 2019 (Full Report is Classified)

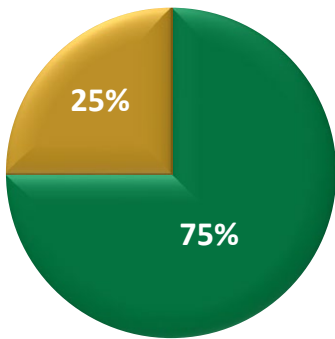
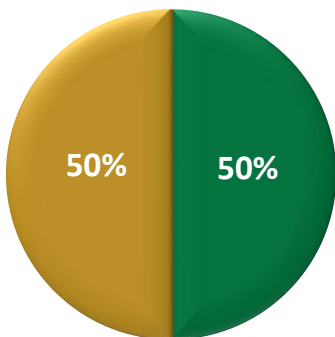
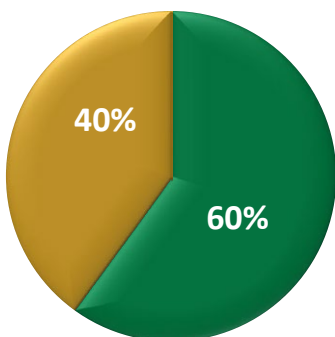
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: Recommendation is Classified.	7 months 18 days


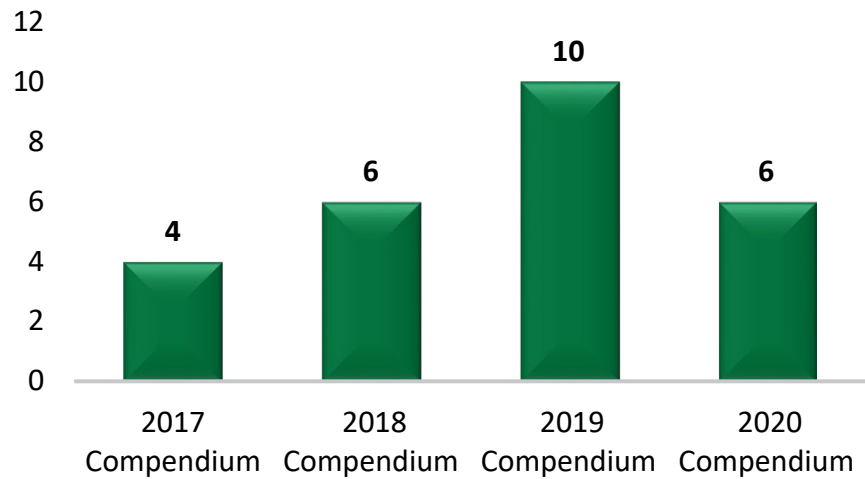
Report No. DODIG-2020-077, "Evaluation of Niger Air Base 201 Military Construction," March 31, 2020 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Commander of U.S. Africa Command establish a coordination and decision-making process with key stakeholders for troop labor construction projects, including a forum to directly communicate with the military construction program manager, designer of record, construction provider, and base support integrator, as applicable.	1 day



APPENDIX A

*Statistics – U.S. Cyber Command***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**
 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020
Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

U.S. Cyber Command

Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that addresses strategies to build, grow, and sustain the Cyber Mission Force.	4 years 4 months 7 days
Rec. 2: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	4 years 4 months 7 days
Rec. 3: The DoD OIG recommended that the Commander of U.S. Cyber Command, in coordination with the Service Components and the Defense Information Systems Agency, develop and specify a capability baseline and interoperability standards for all Cyber Protection Teams.	4 years 4 months 7 days

Report No. DODIG-2019-016, "DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015," November 8, 2018

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 5.a: The DoD OIG recommended that the Commander of U.S. Cyber Command [REDACTED]	1 year 4 months 23 days
(FOUO) Rec. 5.b: The DoD OIG recommended that the Commander of U.S. Cyber Command [REDACTED]	1 year 4 months 23 days
(FOUO)	

APPENDIX A

U.S. Cyber Command (cont'd)

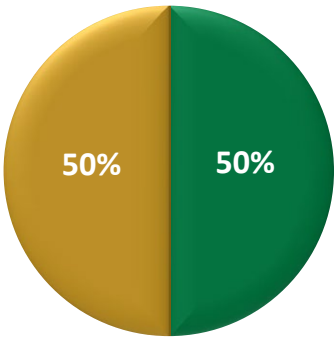
Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.</p>	1 year 6 days

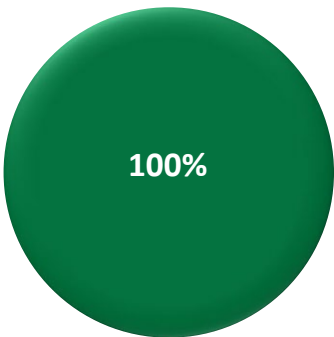


Statistics – DoD Office of the General Counsel

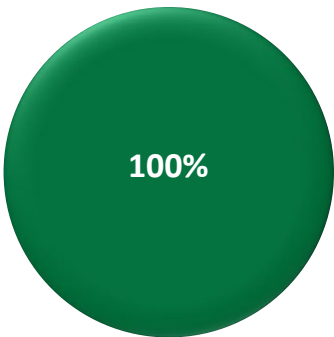
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium

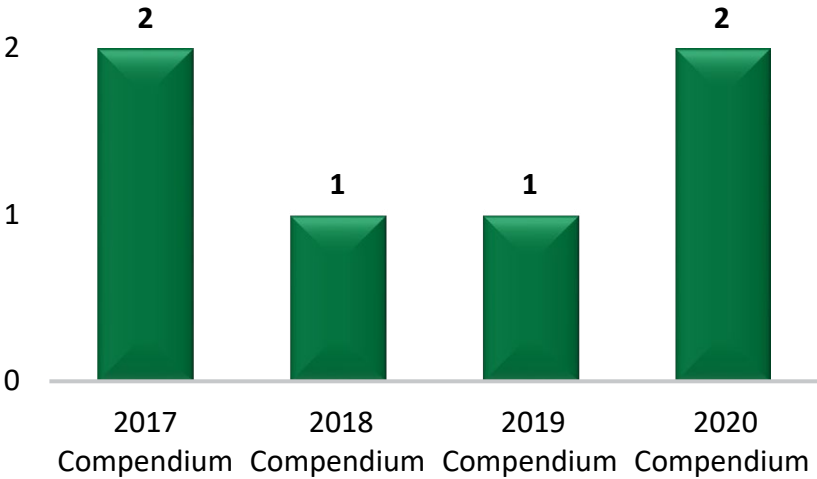


Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	2
Number of Recommendations Open Longer than 5 Years	1
Potential Monetary Benefits	–

APPENDIX A

DoD Office of the General Counsel

Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,” April 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3: The DoD OIG recommended that the DoD Office of General Counsel prepare an update to or replacement for DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency.	5 years 11 months 17 days

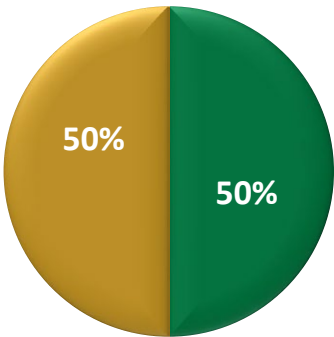
Report No. DODIG-2019-088, “Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait,” June 11, 2019


Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.4: The DoD OIG recommended that the General Counsel of the Department of Defense coordinate with the DoD organizations responsible for overseeing contracting in Kuwait, such as U.S. Central Command, U.S. Army Contracting Command-Rock Island, Deputy Assistant Secretary (Contracting), and Army and Air Force Exchange Service, to determine the appropriate DoD organization responsible for determining definitive guidance on Kuwaiti labor laws that apply to DoD contracts with performance in Kuwait, work to resolve disagreements among the organizations, and advise the Secretary of Defense and the Deputy Secretary of Defense on a comprehensive solution.	9 months 20 days




Statistics – Director of Cost Assessment and Program Evaluation

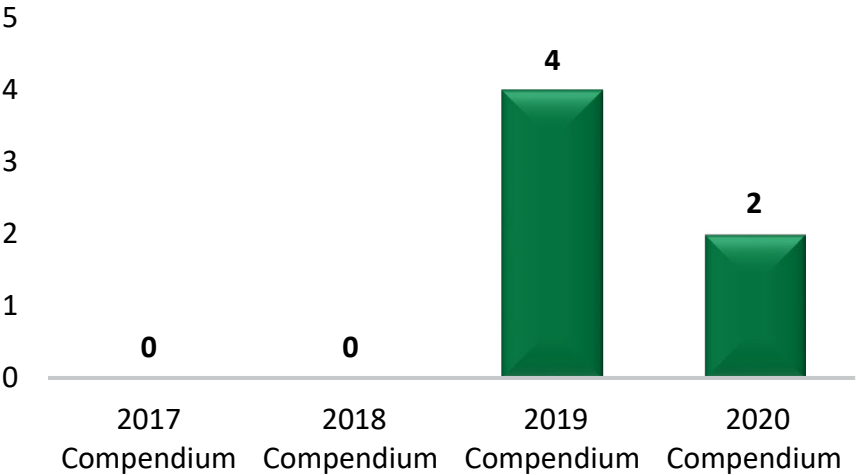
Recommendations from
2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

Director of Cost Assessment and Program Evaluation

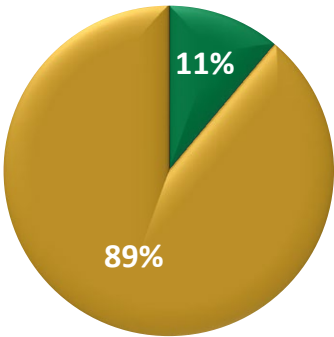
Report No. DODIG-2018-160, "Evaluation of the Space-Based Segment of the U.S. Nuclear Detonation Detection System," September 28, 2018 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
	Rec. 1.b: Recommendation is Classified.	1 year 6 months 3 days
(FOUO)	Rec. 1.c: The DoD OIG recommended that the Deputy Secretary of Defense, in coordination with the appropriate interagency stakeholders, [REDACTED].	1 year 6 months 3 days
(FOUO)		

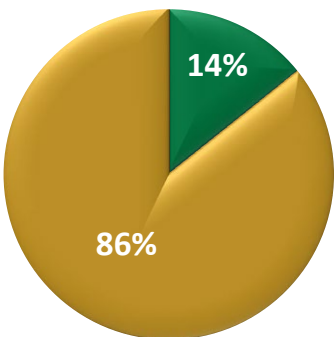


Statistics – DoD Chief Information Officer

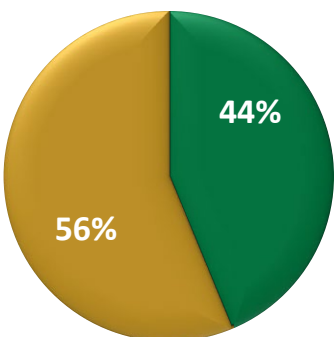
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



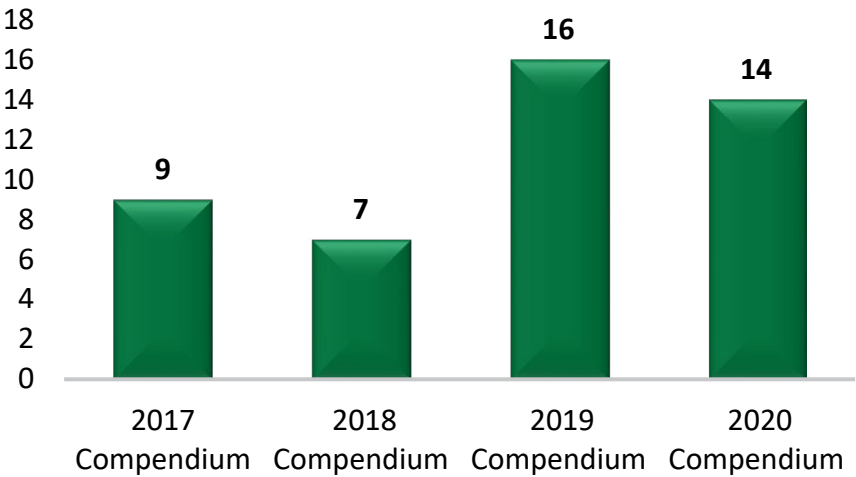
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	1
Potential Monetary Benefits	–

APPENDIX A

DoD Chief Information Officer

Report No. DODIG-2015-045, "DoD Cloud Computing Strategy Needs Implementation Plan and Detailed Waiver Process," December 4, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.3: The DoD OIG recommended that the DoD Chief Information Officer develop and publish a waiver process providing detailed guidance on how to obtain a Global Information Grid waiver for cloud computing in the DoD.	5 years 3 months 27 days

Report No. DODIG-2019-016, "DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015," November 8, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Under Secretary of Defense for Policy, issue DoD-wide policy implementing the Cybersecurity Information Sharing Act of 2015 requirements, including a requirement for the DoD Components to document barriers to sharing cyber threat indicators and defensive measures and take appropriate actions to mitigate the identified barriers.	1 year 4 months 23 days

Report No. DODIG-2019-037, "DoD Management of Software Applications," December 13, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the DoD Chief Management Officer, develop an enterprise-wide process for conducting the software application rationalization process throughout the DoD.	1 year 3 months 18 days
Rec. 1.b: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the DoD Chief Management Officer, establish guidance requiring DoD Components to conduct software application rationalization and require DoD Component Chief Information Officers to develop implementing guidance that outlines responsibilities and processes for software application rationalization within their Components. The policy should also require DoD Components to regularly, at least annually, validate the accuracy of their owned and in use software applications inventory.	1 year 3 months 18 days
Rec. 1.c: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the DoD Chief Management Officer to conduct periodic reviews to ensure DoD Components are regularly validating the accuracy of their inventory of owned and in use software applications and that DoD Components are eliminating duplicate and obsolete software applications.	1 year 3 months 18 days

APPENDIX A

DoD Chief Information Officer (cont'd)

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. A.4: The DoD OIG recommended that the DoD Chief Information Officer, [REDACTED].	1 year 13 days
Rec. B.10: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Under Secretary of Defense (Intelligence), ensure that the Army, Navy, and Air Force Chief Information Officers; the Army Deputy Chief of Staff for Intelligence; and the Army Provost Marshal General apply corrective actions related to physical security safeguards at each Command within their Components.	1 year 13 days
(FOUO)	

Report No. DODIG-2019-089, "Audit of the DoD's Implementation of the Joint Regional Security Stacks," June 4, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Defense Information Systems Agency Director, develop a baseline Joint Regional Security Stacks functional capabilities requirement document that includes all capabilities required for the Joint Regional Security Stacks to meet user needs and the expected outcomes of implementing regional security.	9 months 27 days

Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with Defense Pricing and Contracting, implement or revise policy to require all systems and networks that maintain DoD information, including those owned by contractors that maintain DoD information, to use strong passwords that, at a minimum, meet DoD password length and complexity requirements.	8 months 8 days
Rec. A.1.b: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with Defense Pricing and Contracting, implement or revise policy to require all systems and networks that maintain DoD information, including those owned by contractors that maintain DoD information, to configure their systems and networks to align with DoD requirements for locking after 15 minutes of inactivity and 3 unsuccessful logon attempts.	8 months 8 days

APPENDIX A

DoD Chief Information Officer (cont'd)

Report No. DODIG-2019-106, "Audit of the DoD's Management of the Cybersecurity Risks for Government Purchase Card Purchases of Commercial Off-the-Shelf Items," July 26, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the DoD Chief Information Officer revise DoD Instruction 8100.04, "DoD Unified Capabilities (UC)," December 9, 2010, to require an assessment of supply chain risks as a condition for approval to be included on the Unified Capabilities approved products list.	8 months 5 days

Report No. DODIG-2019-107, "Evaluation of Combatant Commands' Insider Threat Programs," July 30, 2019 (Full Report is Classified)

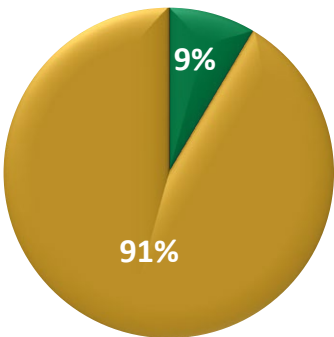
(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the DoD Chief Information Officer develop and issue a user activity monitoring policy and strategy, as required by DoD Directive 5205.16, "The DoD Insider Threat Program," September 29, 2014, incorporating Change 2, August 28, 2017.	8 months 1 day
(FOUO) Rec. 2.b: The DoD OIG recommended that the [REDACTED]	8 months 1 day
(FOUO)	

Report No. DODIG-2020-025, "Evaluation of the Algorithmic Warfare Cross-Functional Team (Project Maven)," November 8, 2019 (Full Report is Classified)

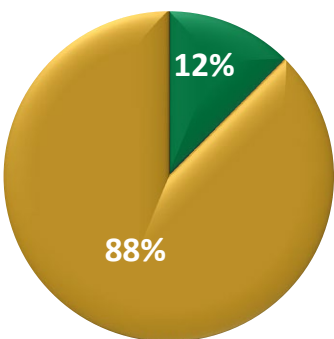
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the DoD Chief Information Officer review the Algorithmic Warfare Cross-Functional Team's recommendations for accelerated authorization and assessment process for Joint Artificial Intelligence Center and Project Maven information systems.	4 months 23 days

Statistics – Assistant Secretary of Defense for Health Affairs

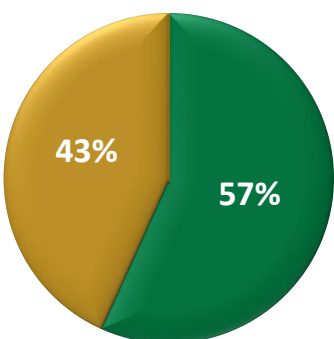
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium



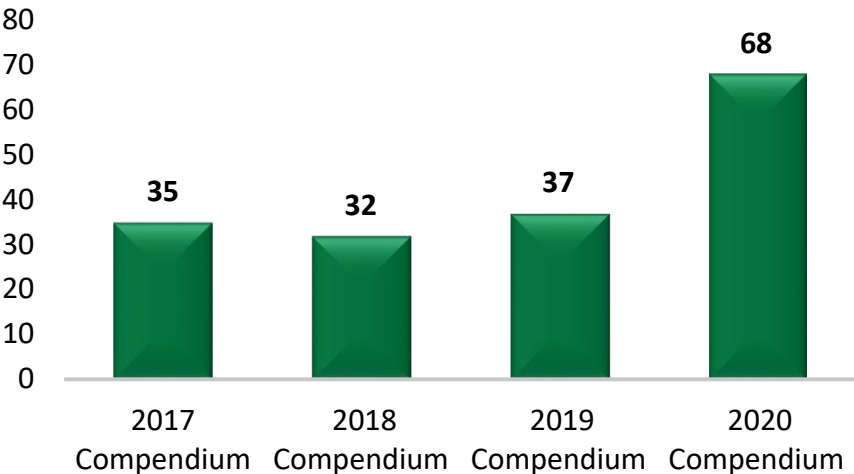
Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	13
Number of Recommendations Open Longer than 5 Years	2
Potential Monetary Benefits	\$61 million

APPENDIX A

Assistant Secretary of Defense for Health Affairs

Report No. DODIG-2013-078, “TRICARE Management Activity Needs to Improve Oversight of Acquisition Workforce,” May 1, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, perform a comprehensive review of TRICARE Management Activity’s compliance with Recommendation 1.	6 years 10 months 30 days

Report No. DODIG-2015-016, “Department of Defense Suicide Event Report Data Quality Assessment,” November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4.c: The DoD OIG recommended that the National Center for Telehealth and Technology Director, upon receipt of authority resulting from Recommendation 4.a, update software to allow unit/installation trend reports.	5 years 4 months 17 days

Report No. DODIG-2016-064, “Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective,” March 28, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Directors of the Other Defense Organizations and Defense Finance and Accounting Service revise service-level agreements based on the end-to-end business process identified. Service level agreements should include clearly defined roles and responsibilities, internal controls, performance metrics, and quality assurance plans to ensure that detail-level data for problem disbursements are provided and problem disbursements are reduced and corrected in a timely manner.	4 years 3 days

Report No. DODIG-2018-036, “DoD’s Response to the Patient Safety Elements in the 2014 Military Health System Review,” December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. D.1: The DoD OIG recommended that the Defense Health Agency Director determine the actionable root causes for the Staffing survey results being below national average in the “Hospital Survey on Patient Safety Culture” and take appropriate actions to improve those factors that pose a risk to patient safety.	2 years 3 months 17 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs (cont'd)

Report No. DODIG-2019-038, "Follow-up of Delinquent Medical Service Account Audits," December 19, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Defense Health Agency Director coordinate with Brooke Army Medical Center and Landstuhl Regional Medical Center management, through U.S. Army Medical Command, to develop a plan to review the delinquent debt identified in the prior audit reports, Report No. DODIG-2014-101 and DODIG-2016-079.	1 year 3 months 12 days
Rec. A.1.b: The DoD OIG recommended that the Defense Health Agency Director implement guidance and require all Services to develop procedures to review and process their old delinquent accounts.	1 year 3 months 12 days
Rec. A.1.c: The DoD OIG recommended that the Defense Health Agency Director coordinate with U.S. Army Medical Command to assist U.S. Army Medical Command officials with obtaining the authority from the Office of the Secretary of the Army to terminate uncollectible debt under \$100,000.	1 year 3 months 12 days
Rec. A.1.d: The DoD OIG recommended that the Defense Health Agency Director establish and implement guidance for all Services to review uncollectible accounts and obtain approval from the proper authority to terminate debt.	1 year 3 months 12 days
Rec. A.1.e: The DoD OIG recommended that the Defense Health Agency Director work with David Grant Medical Center Uniform Business Office personnel to obtain denied Medicare claims identified in the prior report, DODIG-2015-179, and review those claims to determine whether Medicare denied the claims for valid reasons. The Defense Health Agency Director should then work with the Department of Health and Human Services to resolve the issues with receiving reimbursement for services rendered for any claims that were denied for invalid reasons.	1 year 3 months 12 days
Rec. A.1.f: The DoD OIG recommended that the Defense Health Agency Director review the current process to determine how to improve the transfer time of delinquent accounts, as well as establish and implement guidance for monitoring and processing delinquent accounts to allow for compliance with the Financial Management Regulation requirement to send 120-day delinquent accounts to the Treasury.	1 year 3 months 12 days
Rec. A.1.g: The DoD OIG recommended that the Defense Health Agency Director determine whether enrollment in Medicare and Medicaid is in the best interest of the medical treatment facilities and provide guidance to the medical treatment facilities based on determination.	1 year 3 months 12 days
Rec. A.1.h: The DoD OIG recommended that the Defense Health Agency Director develop a process to improve billing of Medicare and Medicaid claims to ensure reimbursement for services provided to beneficiaries.	1 year 3 months 12 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-038 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.i: The DoD OIG recommended that the Defense Health Agency Director coordinate with Department of Health and Human Services to develop a strategy to improve Medicare and Medicaid billing, including a review of the number of days required to submit a claim, a determination of whether enrollment is appropriate, and a decision of whether the medical treatment facilities should become preferred providers.	1 year 3 months 12 days
Rec. A.1.j: The DoD OIG recommended that the Defense Health Agency Director work with the Services to establish and implement detailed guidance for processing accounts in the Armed Forces Billing and Collection Utilization Solution system that includes guidance for transaction codes.	1 year 3 months 12 days
Rec. A.1.k: The DoD OIG recommended that the Defense Health Agency Director work with the Services and the medical treatment facilities management to develop standardized procedures to obtain patient demographic information and address how to handle accounts that do not have adequate information to bill the patient.	1 year 3 months 12 days
Rec. A.1.l: The DoD OIG recommended that the Defense Health Agency Director review the current process for deploying the inpatient diagnostic-related group rates each fiscal year and determine how to improve the process to allow for more timely billing.	1 year 3 months 12 days
Rec. A.1.m: The DoD OIG recommended that the Defense Health Agency Director work with medical treatment facilities' Uniform Business Office management to research and review all Composite Health Care System transactions transferred to the Armed Forces Billing and Collection Utilization Solution system and identify all information that was not properly transferred to ensure that account data and status is accurate and that the accounts are billed, transferred to the Treasury, or written off as appropriate.	1 year 3 months 12 days
Rec. A.1.n: The DoD OIG recommended that the Defense Health Agency Director determine which reports in the Armed Forces Billing and Collection Utilization Solution system are used to identify medical service accounts for billing and reporting and require medical treatment facility Uniform Business Office personnel for all Services to review those reports to ensure the data is accurate and reliable.	1 year 3 months 12 days
Rec. A.1.o: The DoD OIG recommended that the Defense Health Agency Director establish standardized guidance for which reports the medical treatment facilities must review in the Armed Forces Billing and Collection Utilization Solution system to identify accounts ready to be billed.	1 year 3 months 12 days
Rec. A.1.p: The DoD OIG recommended that the Defense Health Agency Director review all billing processes, including the use of Centralized Receivables Service, and determine a billing process that would be in the best interest of all Services.	1 year 3 months 12 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-038 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.q: The DoD OIG recommended that the Defense Health Agency Director provide additional training to Uniform Business Office personnel for processing medical service accounts in the Armed Forces Billing and Collection Utilization Solution system.	1 year 3 months 12 days

Report No. DODIG-2019-073, “Audit of Payments to the DoD for Medical Services Provided to Department of Veterans Affairs Beneficiaries at Selected Army Medical Centers,” April 8, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) coordinate with Department of Veterans Affairs counterparts on the Health Executive Committee to determine the source of Veterans Affairs Pacific Island Health Care System payment delays to Tripler Army Medical Center and develop a joint solution to improve the timeliness of payments.	11 months 23 days
Rec. 2.a: The DoD OIG recommended that the Defense Health Agency identify the source of billing system errors that prevented payment of inpatient professional fees.	11 months 23 days
Rec. 2.a.1: The DoD OIG recommended that the Defense Health Agency modify the billing system to prevent future errors.	11 months 23 days
Rec. 2.a.2: The DoD OIG recommended that the Defense Health Agency determine whether the billing system errors affected other sharing sites.	11 months 23 days
Rec. 2.a.3: The DoD OIG recommended that the Defense Health Agency provide guidance to impacted sharing sites to bill for any previously unbilled care.	11 months 23 days
Rec. 2.b: The DoD OIG recommended that the Defense Health Agency update the scheduling system to ensure that all care provided to Department of Veterans Affairs beneficiaries can be properly recorded, tracked, and billed.	11 months 23 days
Rec. 2.c: The DoD OIG recommended that the Defense Health Agency coordinate with the Health Executive Committee to develop a standardized process that allows the Department of Veterans Affairs to review and retroactively authorize all emergency and inpatient care.	11 months 23 days

Report No. DODIG-2019-091, “Evaluation of the DoD’s Management of Opioid Use Disorder for Military Health System Beneficiaries,” June 10, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) develop policy to standardize the methodology to identify the population of patients with opioid use disorder within the Military Health System.	9 months 21 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-091 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) develop policy establish and implement minimum standard outcome and process measures, including data for both direct care and purchased care, for the treatment of opioid use disorder.	9 months 21 days

Report No. DODIG-2019-108, “Audit of the DoD’s Management of the Third Party Collection Program for Medical Claims,” September 16, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a.1: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not collecting other health insurance information at all clinics in accordance with Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to enforce existing other health insurance collection regulations, and as appropriate, take administrative action for noncompliance.	6 months 15 days
Rec. 1.a.2: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not submitting claims to insurance providers in compliance with the time requirements in Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to implement additional controls that enforce the requirements.	6 months 15 days
Rec. 1.a.3: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not obtaining pre-authorization for treatment, and coordinate with commanders of those medical facilities to develop and implement a process for obtaining pre-authorization when services rendered for a beneficiary require a pre-authorization from the insurance provider.	6 months 15 days
Rec. 1.a.4: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not conducting followup in compliance with the requirements in Defense Health Agency Procedures Manual 6015.01, and coordinate with commanders of those medical facilities to immediately revise procedures to ensure claims are followed up on in accordance with Defense Health Agency Procedures Manual 6015.01 and DoD Financial Management Regulation Volume 16, Chapter 2, requirements.	6 months 15 days
Rec. 1.a.5: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not managing claims requiring refunds, and as appropriate, coordinate with commanders of those medical facilities to initiate refunds to insurance providers, identify funds spent that the medical facility was not entitled to spend, and take action to mitigate any risk to the medical facilities’ mission.	6 months 15 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a.6: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not transferring eligible third party claims that are delinquent for more than 120 days to the Treasury Cross-Servicing Program, coordinate with commanders of those medical facilities to enforce Public Laws 104-134 and 113-101, which require medical facilities to transfer eligible delinquent claims to the Treasury Cross-Servicing Program, and take administrative action as appropriate for noncompliance.	6 months 15 days
Rec. 1.a.7: The DoD OIG recommended that the Director of the Defense Health Agency review all medical facilities in the Military Health System to determine which medical facilities are not providing legal support to the Uniform Business Office and coordinate with commanders of those medical facilities to provide legal support to collect on Third Party Collection Program claims, and report on the benefits of the Defense Health Agency providing centralized legal resources for all DoD medical facilities to support cost recovery programs, and take action as appropriate.	6 months 15 days
Rec. 1.b: The DoD OIG recommended that the Director of the Defense Health Agency coordinate with commanders at all medical facilities operating MHS GENESIS to identify whether other facilities have patient category code errors similar to Naval Hospital Bremerton, and as appropriate, require front desk personnel to take patient category training at least annually.	6 months 15 days
Rec. 1.c: The DoD OIG recommended that the Director of the Defense Health Agency coordinate with commanders at all medical facilities operating MHS GENESIS to implement procedures to correct patient category codes in MHS GENESIS when patient category code errors are identified.	6 months 15 days
Rec. 1.d: The DoD OIG recommended that the Director of the Defense Health Agency determine whether changing the field name in MHS GENESIS to assist front desk personnel resolved credentialed provider errors at medical facilities using MHS GENESIS and if not, identify an alternative course of action to assign credentialed providers to patient encounters.	6 months 15 days
Rec. 1.e: The DoD OIG recommended that the Director of the Defense Health Agency coordinate with commanders at all medical facilities operating MHS GENESIS to identify all patient encounters that are not assigned a credentialed provider or are missing medical coding or doctor's notes, and develop a course of action to process and bill the claims through the appropriate cost recovery program.	6 months 15 days
Rec. 1.f: The DoD OIG recommended that the Director of the Defense Health Agency coordinate with medical facility commanders to implement procedures to ensure claims are accurate before submission to the insurance provider.	6 months 15 days
Rec. 1.g: The DoD OIG recommended that the Director of the Defense Health Agency coordinate with medical facility commanders to develop a course of action and enforce existing Defense Health Agency requirements that Uniform Business Office personnel review previous patient encounters for potentially billable events when new other health insurance is identified for a beneficiary.	6 months 15 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.h: The DoD OIG recommended that the Director of the Defense Health Agency report the dollar impact of not collecting on prescriptions written for more than a 30-day supply and as appropriate, implement procedures to require Uniform Business Office personnel to collect at least the reasonable charges on pharmaceutical claims equal to the allowable portion covered by insurance policies.	6 months 15 days
Rec. 1.i: The DoD OIG recommended that the Director of the Defense Health Agency review and verify, at least annually, that billing personnel at all medical facilities in the Military Health System are meeting the Defense Health Agency Procedures Manual 6015.01 and the DoD Financial Management Regulation Volume 16, Chapter 2, requirements for following up on delinquent debt.	6 months 15 days
Rec. 1.j: The DoD OIG recommended that the Director of the Defense Health Agency review denials management programs of all medical treatment facilities and, when applicable, coordinate with facility commanders to develop and implement procedures for reviewing and validating denials before writing off claims, along with implementing an approach for reviewing denials by beneficiary.	6 months 15 days
Rec. 8.a: The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	6 months 15 days
Rec. 8.b: The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center implement procedures requiring Uniform Business Operations personnel to review and submit bills to insurance providers in compliance with the time requirements outlined in the Defense Health Agency Procedures Manual 6015.01, including procedures for high dollar claims held for review within the Armed Forces Billing and Collection Utilization Solution.	6 months 15 days
Rec. 8.c: The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days
Rec. 8.d: The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 8.e: The DoD OIG recommended that the Director of the Walter Reed National Military Medical Center provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days
Rec. 9.a: The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital direct personnel at all medical facility clinics and clinical support activities to collect hardcopy or electronic versions of DD Form 2569, and as appropriate, take administrative action for noncompliance.	6 months 15 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 9.b: The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital review and modify procedures for claim followup so debt can be transferred to the appropriate debt collection agency when claims become 120 days delinquent.	6 months 15 days
Rec. 9.c: The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital review all outstanding third party claims that are delinquent for more than 120 days to determine which claims are eligible for transfer to the Treasury Cross-Servicing Program or local Judge Advocate office, and transfer all eligible claims for collection assistance.	6 months 15 days
Rec. 9.d: The DoD OIG recommended that the Commander of the Fort Belvoir Community Hospital provide sufficient legal support to pursue collections through the Third Party Collection Program.	6 months 15 days
Rec. 11.a: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency review the contract language for the Third Party Collection Program contracts, and align the contract terms with all applicable Federal and DoD regulations.	6 months 15 days
Rec. 11.b: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency implement oversight procedures to monitor contractor performance in accordance with the terms of the contract and all Federal and DoD regulations.	6 months 15 days
Rec. 11.c: The DoD OIG recommended that the Commanding General of Army Regional Health Command-Atlantic; the Director of the Defense Health Agency, National Capital Region Medical Directorate; and Commander of the Air Force Medical Operations Agency hold any contracting personnel assigned oversight responsibility accountable for not appropriately performing oversight procedures necessary to ensure the contractor complied with Federal and DoD regulations and contract terms.	6 months 15 days
Rec. 12: The DoD OIG recommended that the Director of the Defense Health Agency, National Capital Region Medical Directorate, require the contracting officer to prepare contractor performance assessment reports for Third Party Collection Program contracts, in accordance with the Federal Acquisition Regulation and Defense Federal Acquisition Regulation Supplement.	6 months 15 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs (cont'd)

Report No. DODIG-2019-112, "Audit of TRICARE Payments for Health Care Services and Equipment That Were Paid Without Maximum Allowable Reimbursement Rates," August 20, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Health Agency Director identify the reasons why TRICARE region contractors did not use existing TRICARE maximum allowable reimbursement rates, and take immediate actions to confirm that TRICARE claims for vaccines and contraceptive systems are paid using the TRICARE maximum allowable reimbursement rates. Further, the Director should recoup overpayments for which the TRICARE contractors did not use existing TRICARE maximum allowable reimbursement rates.	7 months 11 days
Rec. 1.b: The DoD OIG recommended that the Defense Health Agency Director determine whether TRICARE region contractors did not apply TRICARE maximum allowable reimbursement rates to health care services, other than just vaccines and contraceptive systems.	7 months 11 days
Rec. 1.c: The DoD OIG recommended that the Defense Health Agency Director conduct a review to determine whether the Defense Health Agency should adopt vaccine manufacturer rates as reported by the Centers for Disease Control and Prevention when reimbursing TRICARE claims for vaccines. If adopted, the Defense Health Agency should regularly update rates to stay current with the vaccine manufacturer rates as reported by the Centers for Disease Control and Prevention.	7 months 11 days
Rec. 1.d: The DoD OIG recommended that the Defense Health Agency Director conduct annual reviews to identify health care services, supplies, and equipment for which TRICARE paid higher prices, and establish and implement new TRICARE maximum allowable reimbursement rates as necessary.	7 months 11 days
Rec. 1.e: The DoD OIG recommended that the Defense Health Agency Director revise TRICARE policy to incorporate wording regarding reasonable cost and being a prudent buyer similar to the related clauses in 42 Code of Federal Regulations 405.502 and Centers for Medicare & Medicaid Services Publication 15-1, "Provider Reimbursement Manual."	7 months 11 days
Rec. 1.f: The DoD OIG recommended that the Defense Health Agency Director revise TRICARE reimbursement methodologies to align with the Medicare program, when practicable, and establish a process to identify future changes to Medicare reimbursement methodologies.	7 months 11 days
Rec. 1.g: The DoD OIG recommended that the Defense Health Agency Director seek voluntary refunds from TRICARE providers where Defense Health Agency paid more than other pricing benchmarks identified in this report.	7 months 11 days

APPENDIX A

Assistant Secretary of Defense for Health Affairs (cont'd)

Report No. DODIG-2020-048, "Audit of Controls Over Opioid Prescriptions at Selected DoD Military Treatment Facilities," January 10, 2020

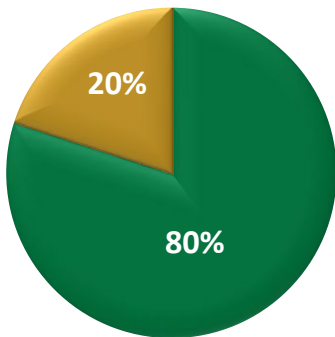
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Health Agency Director continue to monitor morphine milligrams equivalent per day by beneficiary, examine data for unusually high opioid prescriptions, and if appropriate, hold providers accountable for overprescribing opioids.	2 months 21 days
Rec. 1.b: The DoD OIG recommended that the Defense Health Agency Director implement controls to ensure that prescriptions in the Military Health System Data Repository exist and that the dispense date and the metric quantity field for opioid prescriptions in liquid form in the Military Health System Data Repository are accurate and consistent among all systems.	2 months 21 days



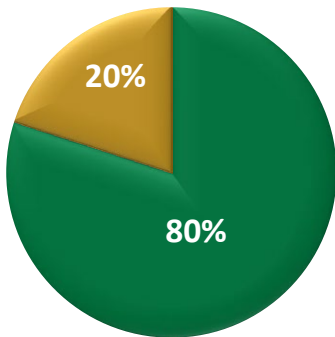
APPENDIX A

Statistics – Assistant Secretary of Defense for Homeland Defense and Global Security

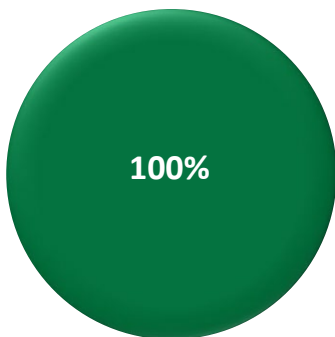
Recommendations from 2017 Compendium



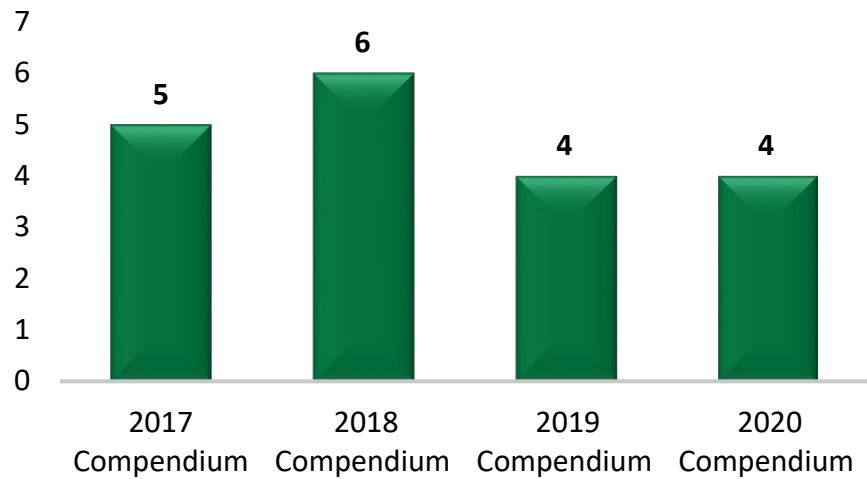
Recommendations from 2018 Compendium



Recommendations from 2019 Compendium



Open Recommendations



Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	4
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Potential Monetary Benefits	–
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 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

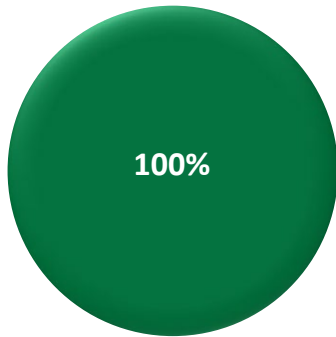
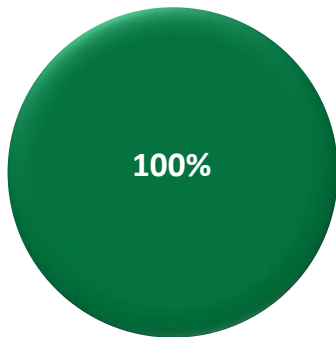
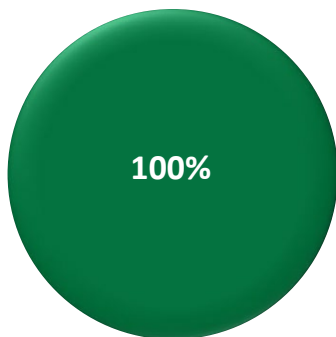
APPENDIX A


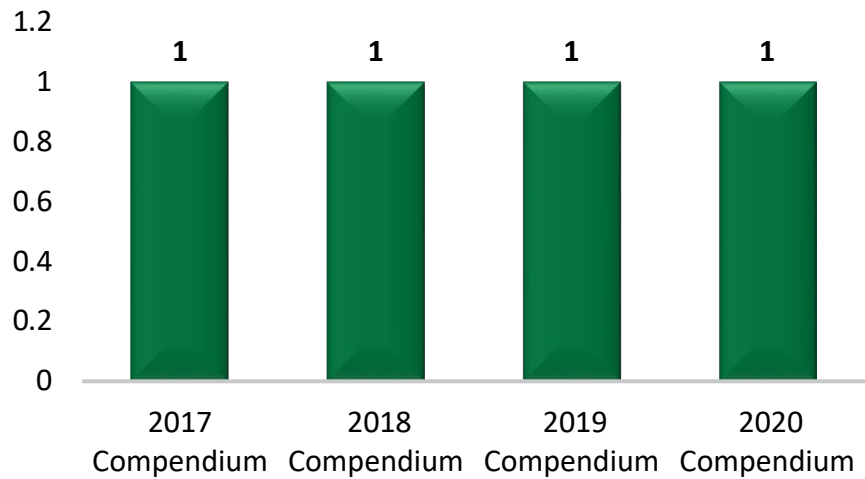
Assistant Secretary of Defense for Homeland Defense and Global Security

Report No. DODIG-2013-119, "Better Procedures and Oversight Needed to Accurately Identify and Prioritize Task Critical Assets," August 16, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Instruction 3020.45, "Defense Critical Infrastructure Program Management," April 21, 2008, to require that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs perform comprehensive Defense Critical Infrastructure Program reviews to help identify and resolve challenges in implementing the Critical Asset Identification Process across all DoD Components and Defense Infrastructure Sector Lead Agents.	6 years 7 months 15 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Policy, in coordination with the DoD Chief Information Officer and the Chairman of the Joint Chiefs of Staff, develop and implement a Defense Critical Infrastructure Program net-centric approach to facilitate asset information sharing among the DoD Components and Defense Infrastructure Sector Lead Agents.	6 years 7 months 15 days
Rec. 2.a: The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs implement a comprehensive program review process to verify that the critical asset identification and prioritization process is working effectively for DoD Components and Defense Infrastructure Sector Lead Agents to identify, prioritize, and coordinate critical asset information that could affect each other's missions or functions.	6 years 7 months 15 days
Rec. 2.b: The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs require the heads of DoD Components to develop or update policies and procedures to include all Defense Critical Infrastructure Program requirements and critical asset identification process steps in DoD Manual 3020.45, volume 1, "Defense Critical Infrastructure Program: DoD Mission-Based Critical Asset Identification Process," October 24, 2008.	6 years 7 months 15 days

APPENDIX A

*Statistics – Assistant Secretary of Defense for Public Affairs***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**
 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020
Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	1
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Potential Monetary Benefits	–
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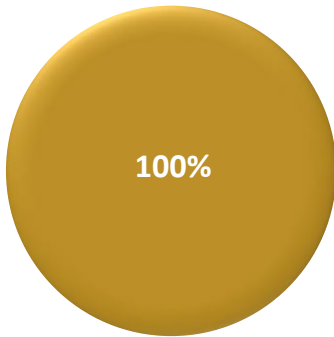
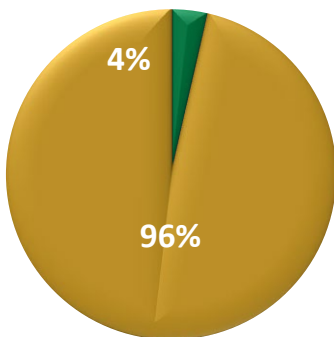
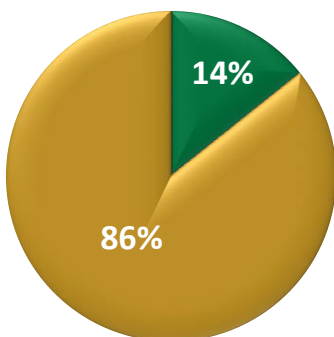
APPENDIX A


Assistant Secretary of Defense for Public Affairs**Report No. D-2010-026, "Joint Civilian Orientation Conference Program," December 9, 2009**


Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.1.a: The DoD OIG recommended that the Assistant Secretary of Defense (Public Affairs) and the Washington Headquarters Services Director work with the DoD General Counsel to establish detailed policies and procedures for managing future Joint Civilian Orientation Conferences in compliance with section 2262, title 10, United States Code. Specifically, they should update DoD Instruction 5410.19 to provide guidance on how to effectively administer and manage the Joint Civilian Orientation Conference program. This guidance should require the development and submission of an annual budget estimate for conducting the program, including an estimate of the amount of appropriated funding needed to support DoD personnel involved in the program and the amount of reimbursable authority needed to collect fees from non-DoD participants. The Instruction should also describe refund procedures for conference fees.</p>	<p>10 years 3 months 22 days</p>

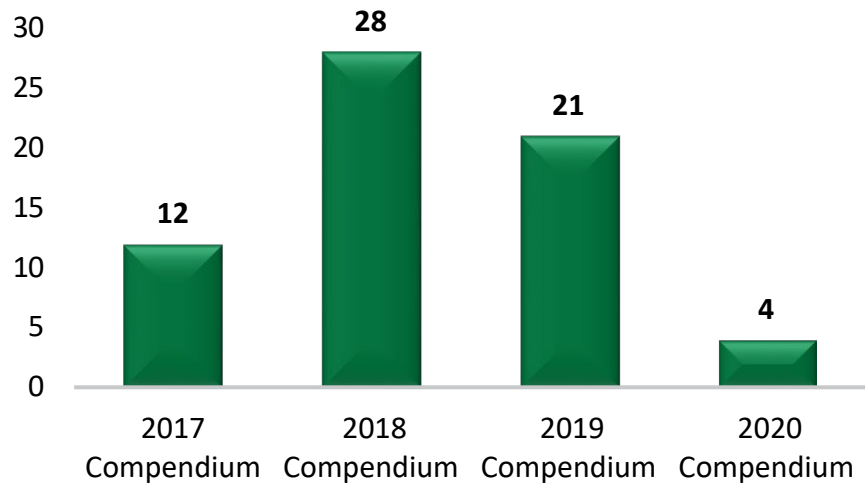


APPENDIX A

*Statistics – National Security Agency***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

National Security Agency

Report No. DODIG-2017-061, "Evaluation of the National Security Agency Counterterrorism Tasking Process Involving Second Party Partners," March 1, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: Recommendation is Classified.	3 years 30 days

Report No. DODIG-2019-016, "DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015," November 8, 2018

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 2.a: The DoD OIG recommended that the National Security Agency Director [REDACTED]	1 year 4 months 23 days
(FOUO)	

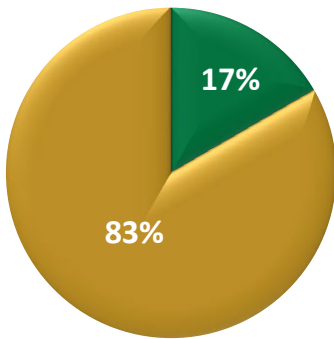
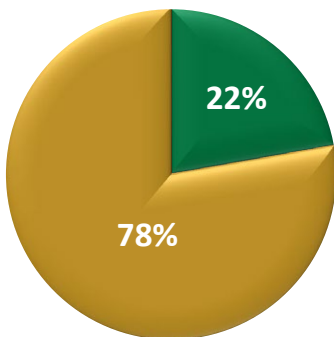
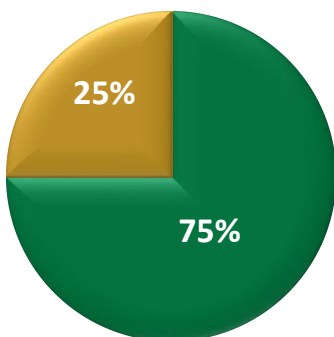
Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019 (Full Report is Classified)


Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 4: Recommendation is Classified.	1 year 1 month 20 days


Report No. DODIG-2019-111, "Evaluation of USAFRICOM and SOCAFRICA's Processes for Determining and Fulfilling Intelligence Requirements for Counterterrorism," August 13, 2019 (Full Report is Classified)

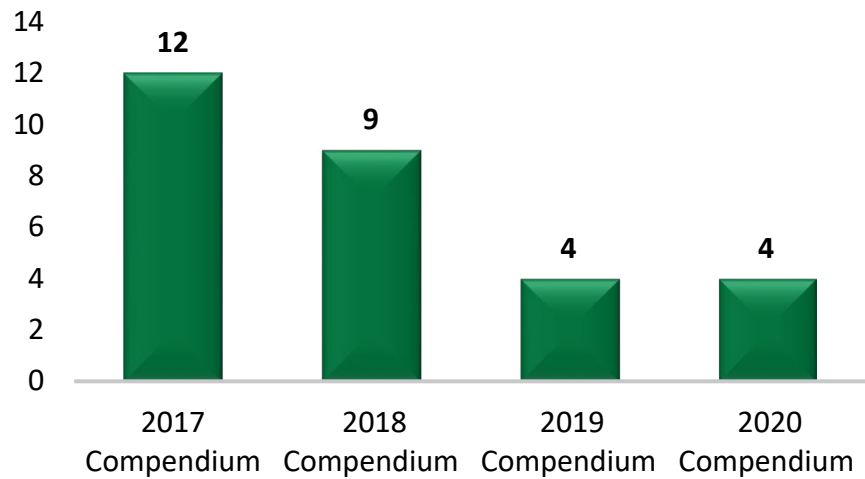
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: Recommendation is Classified.	7 months 18 days

APPENDIX A

*Statistics – Defense Threat Reduction Agency***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	2
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Potential Monetary Benefits	–
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APPENDIX A

Defense Threat Reduction Agency

Report No. DODIG-2014-055, "Investigation of a Hotline Allegation of a Questionable Intelligence Activity Concerning the Joint IED Defeat Organization (JIEDDO) Counter-IED Operations/Intelligence Integration Center (COIC)," April 4, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the Deputy Secretary of Defense approve DoD Directive 2000.19E to reflect the Joint Improvised Explosive Device Defeat Organization Counter-Improvised Explosive Device Operations/Intelligence Integration Center's authorized intelligence functions, roles, and responsibilities, and assign an executive agent for the Joint Improvised Explosive Device Defeat Organization's external intelligence oversight.	5 years 11 months 27 days
Rec. A.6: The DoD OIG recommended that the Joint Improvised Explosive Device Defeat Organization Director cease intelligence collection activities, pending Office of the Secretary of Defense authorization.	5 years 11 months 27 days

Report No. DODIG-2019-031, "Evaluation of the Defense Threat Reduction Agency's Counterintelligence Program," November 21, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Defense Threat Reduction Agency Counterintelligence Division create a System of Records Notice and have it published in the Federal Register.	1 year 4 months 10 days

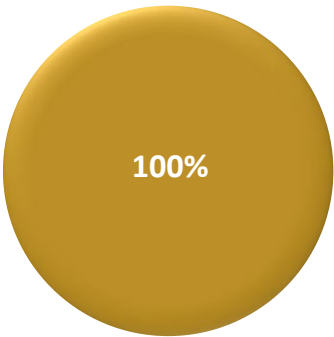
Report No. DODIG-2020-043, "Audit of Jordan Border Security Program Oversight," December 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Cooperative Threat Reduction Director request the Jordanian Armed Forces to perform a full annual inventory of equipment received to support the Jordan Border Security System during task order 0012. If this request is refused, pursue consultation or negotiation through appropriate channels between the U.S. Government and the Government of the Hashemite Kingdom of Jordan under the terms of the Transfer of Property form. If this condition is ultimately determined to be either unreasonable or unenforceable, remove it from the form for future equipment transfers. In addition, the Defense Threat Reduction Agency should conduct a statistically significant sample of task order 0012 equipment to perform a physical inventory.	3 months 11 days

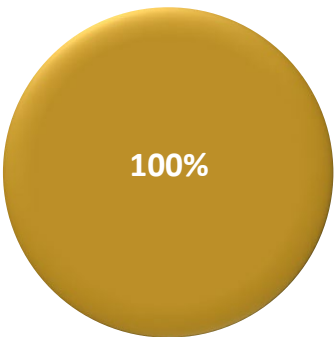
APPENDIX A

Statistics – Defense Information Systems Agency

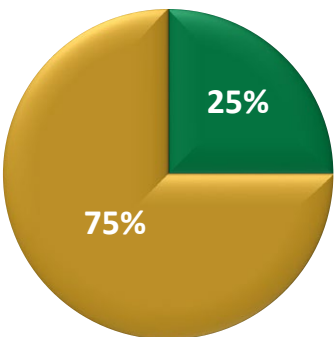
Recommendations from
2017 Compendium





Recommendations from
2018 Compendium



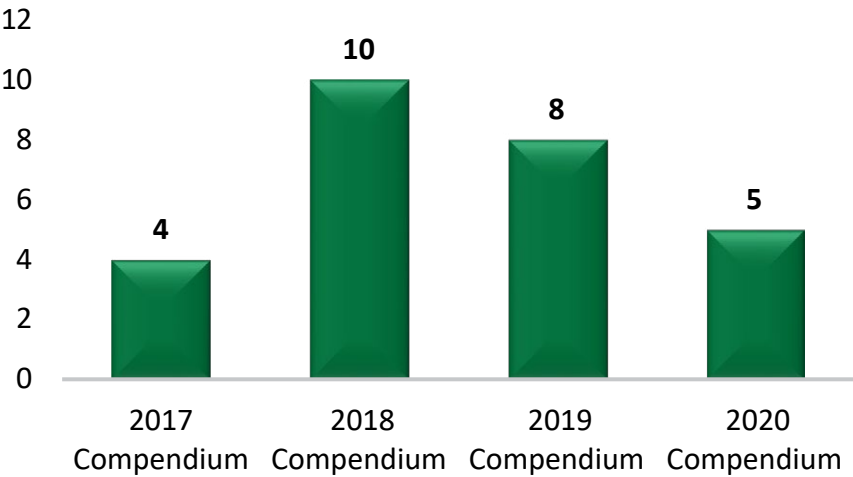
Recommendations from
2019 Compendium



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

Defense Information Systems Agency

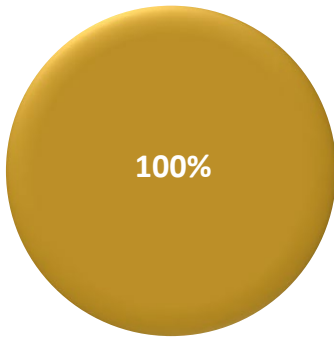
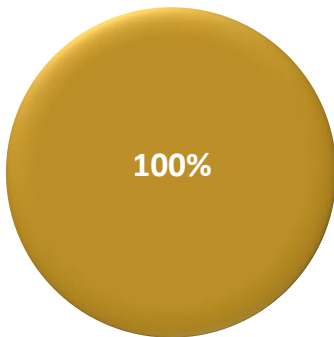
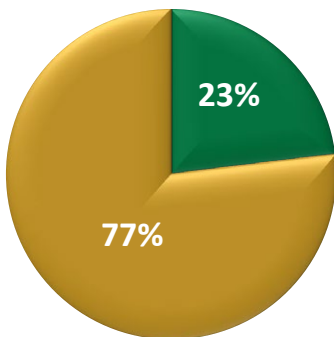
Report No. DODIG-2019-016, "DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015," November 8, 2018 (Full Report is FOUO)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO)	Rec. 3.a: The DoD OIG recommended that the Defense Information Systems Agency Director [REDACTED].	1 year 4 months 23 days
(FOUO)	Rec. 3.b: The DoD OIG recommended that the Defense Information Systems Agency Director [REDACTED].	1 year 4 months 23 days
(FOUO)		


Report No. DODIG-2019-089, "Audit of the DoD's Implementation of the Joint Regional Security Stacks," June 4, 2019

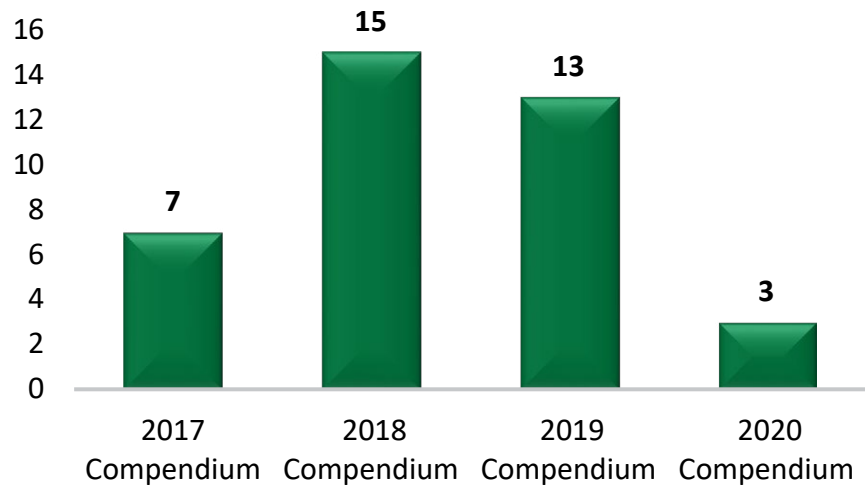
(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/20
	Rec. 3.a: The DoD OIG recommended that the Defense Information Systems Agency Director direct the Joint Regional Security Stacks Program Management Officer to establish and implement a plan to incorporate the required capabilities into the Joint Regional Security Stacks once the functional capabilities requirement document is developed.	9 months 27 days
	Rec. 3.b: The DoD OIG recommended that the Defense Information Systems Agency Director direct the Joint Regional Security Stacks Program Management Officer to develop and implement a schedule to provide all Joint Regional Security Stacks operators with training, as required by the Joint Regional Security Stack Operations Training Requirements Document.	9 months 27 days
(FOUO)	Rec. 3.c: The DoD OIG recommended that the Defense Information Systems Agency Director direct the Joint Regional Security Stacks Program Management Officer to [REDACTED].	9 months 27 days
(FOUO)		

APPENDIX A

*Statistics – Defense Intelligence Agency***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	2
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Potential Monetary Benefits	–
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APPENDIX A

Defense Intelligence Agency

**Report No. DODIG-2015-064, "Assessment of Intelligence Support to In-Transit Force Protection,"
January 2, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.1: The DoD OIG recommended that the Defense Intelligence Agency Director ensure that the Joint Counterintelligence Training Academy completes and fields the Force Protection Detachment computer-based training course.	5 years 2 months 29 days
Rec. C.2: The DoD OIG recommended that the Defense Intelligence Agency Director ensure that the Joint Counterintelligence Training Academy establishes a formal system to integrate Force Protection Detachment course feedback from graduates and their supervisors and report results, at least annually, to the Force Protection Detachment Functional Manager.	5 years 2 months 29 days

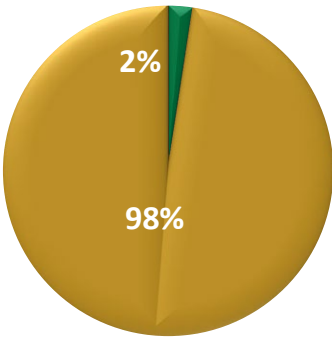
Report No. DODIG-2019-042, "Evaluation of Social Media Exploitation Procedures Supporting Operation Inherent Resolve," December 28, 2018 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 2: The DoD OIG recommended that the [REDACTED] [REDACTED]	1 year 3 months 3 days
(FOUO)	

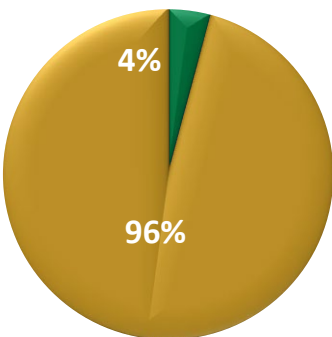
APPENDIX A

Statistics – Defense Logistics Agency

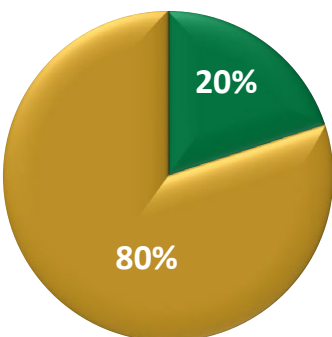
**Recommendations from
2017 Compendium**





**Recommendations from
2018 Compendium**



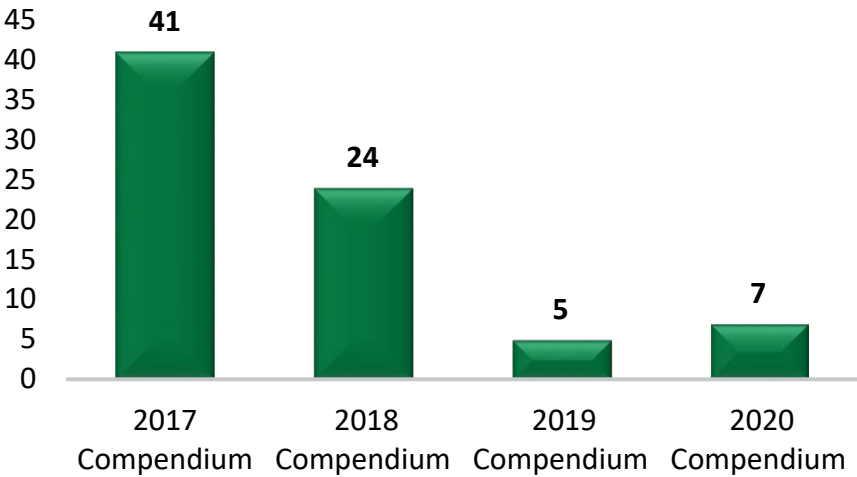
**Recommendations from
2019 Compendium**



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	1
Potential Monetary Benefits	\$632 million

APPENDIX A

Defense Logistics Agency

Report No. DODIG-2013-100, "Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed," July 2, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander implement corrective actions to address all recommendations, with the exception of Recommendations A1.b, A2.a, A2.b, A2.c, A3, A4.a, A4.b, B1, and B2, in DoD OIG Report No. D-2011-047, "Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan," March 2, 2011. Specifically, initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.*	6 years 8 months 29 days

* The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

Report No. DODIG-2019-127, "Audit of Access Controls in the Defense Logistics Agency's Commercial and Government Entity Code Program," September 30, 2019 (Full Report is FOUO-Law Enforcement Sensitive)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.c: Recommendation is For Official Use Only-Law Enforcement Sensitive.	6 months 1 day

Report No. DODIG-2020-037, "Audit of the Defense Logistics Agency's Purchases of Aviation Critical Safety Items," December 3, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.b: The DoD OIG recommended that the Defense Logistics Agency Director improve and implement controls over purchases of aviation critical safety items at the Defense Logistics Agency Aviation Supply Chain to address the problems in this report and provide oversight and recurring training to ensure Defense Logistics Agency personnel accurately complete critical safety item award checklist requirements including: <ol style="list-style-type: none"> 1. verifying contract award to an approved source; 2. preparing quality assurance letters of instruction and assigning the Defense Contract Management Agency as the Contract Administrator; and 3. obtaining traceability documentation to adequately trace aviation critical safety items from dealers and distributors to approved sources. 	3 months 28 days
Rec. 1.c: The DoD OIG recommended that the Defense Logistics Agency Director improve and implement controls over purchases of aviation critical safety items at the Defense Logistics Agency Aviation Supply Chain to address the problems in this report and develop a formalized process to ensure that personnel issue quality assurance letters of instruction to the Defense Contract Management Agency for aviation critical safety item contracts and retain evidence of receipt acknowledgement in the contract file.	3 months 28 days

APPENDIX A

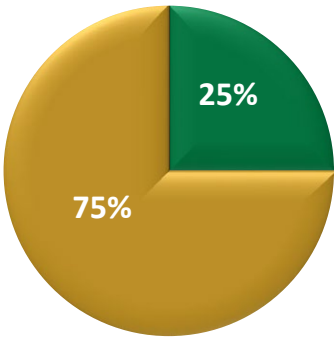
Defense Logistics Agency – Report No. DODIG-2020-037 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Defense Logistics Agency Director improve and implement the controls over the independent compliance reviews of aviation critical safety item contract awards at the Defense Logistics Agency Aviation Supply Chain and enforce compliance reviews of all aviation critical safety item contract awards and prioritization of the reviews of aviation critical safety items purchased from dealers and distributors.	3 months 28 days
Rec. 2.b: The DoD OIG recommended that the Defense Logistics Agency Director improve and implement the controls over the independent compliance reviews of aviation critical safety item contract awards at the Defense Logistics Agency Aviation Supply Chain and update Defense Logistics Agency Standard Operating Procedure ABAT-04 to require verification of traceability documentation and issuance of quality assurance letters of instruction to the Defense Contract Management Agency for awards to dealers and distributors and provide oversight and recurring training to ensure completion of the compliance reviews on a consistent basis in accordance with the updated procedures.	3 months 28 days
Rec. 4: The DoD OIG recommended that the Defense Logistics Agency Director implement the applicable corrective actions resulting from this report across all Defense Logistics Agency Supply Chains that purchase aviation critical safety items.	3 months 28 days

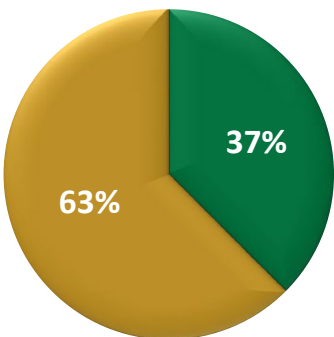


Statistics – Defense Contract Audit Agency

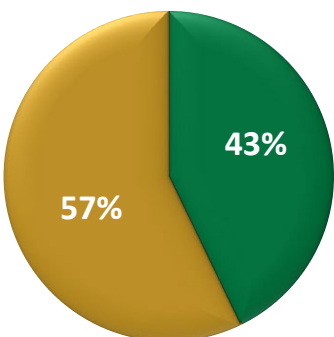
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium

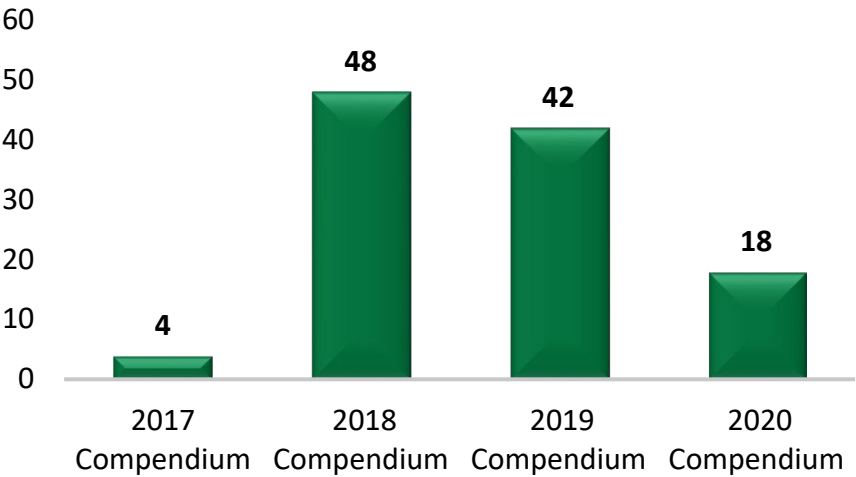


Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

Defense Contract Audit Agency

Report No. DODIG-2016-091, "Evaluation of the Accuracy of Data in the DoD Contract Audit Follow-Up System," May 13, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.b: The DoD OIG recommended that the Defense Contract Audit Agency Director modify Agency procedures and related internal controls to include the Qualifications or Unresolved Cost data field in the Defense Contract Audit Agency monthly report list of reportable audits, as DoD Instruction 7640.02, enclosure 4, paragraph 2.j, requires.	3 years 10 months 18 days

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

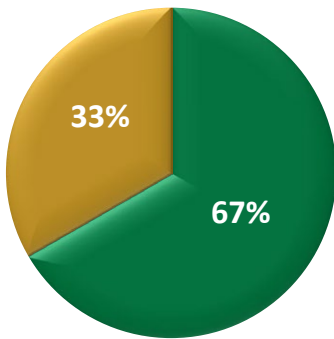
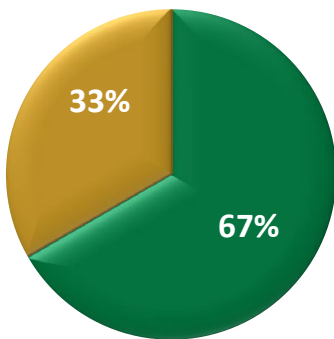
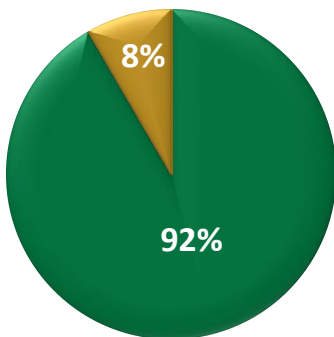
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.c: The DoD OIG recommended that the Defense Contract Audit Agency Director, in coordination with the Defense Contract Audit Agency Security Officer, develop and implement a formalized program access request process to initiate, approve, debrief, and maintain personnel accesses.	2 years 9 months 17 days
Rec. A.2.f: The DoD OIG recommended that the Defense Contract Audit Agency Director initiate corrective action to the 2012 Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office Staff Assistance Visit report.	2 years 9 months 17 days
Rec. A.3.a: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer identify and complete all required co-utilization agreements for the Defense Contract Audit Agency Field Detachment.	2 years 9 months 17 days
Rec. A.3.b: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer update internal guidance to require classification reviews from the customer program security officer for audit work derived from classified information.	2 years 9 months 17 days
Rec. A.3.g: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer complete special access program facility accreditation documentation for the Defense Contract Audit Agency Field Detachment locations.	2 years 9 months 17 days
Rec. A.3.h: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer work with the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office to identify all Field Detachment personnel special access program accesses.	2 years 9 months 17 days
Rec. A.3.i: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, develop and implement a formalized automated process to request, initiate, approve, debrief, and maintain personnel special access program accesses.	2 years 9 months 17 days


APPENDIX A


Defense Contract Audit Agency – Report No. DODIG-2017-092 (cont'd)

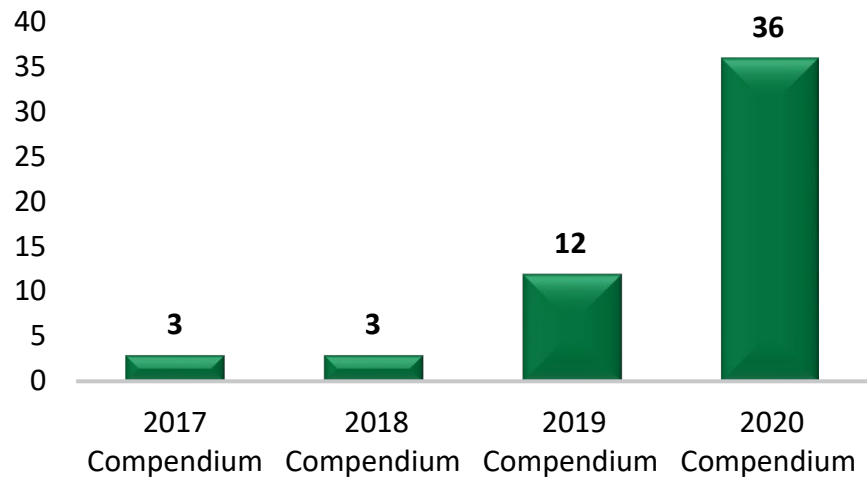
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.i.2: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer develop and maintain a special access program master list and provide site specific access lists to the Field Detachment security managers.	2 years 9 months 17 days
Rec. A.3.i.3: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer require security managers to destroy the old document when they receive an updated list.	2 years 9 months 17 days
Rec. A.3.i.4: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer inform the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office of all updates to DoD and non-DoD customer accesses.	2 years 9 months 17 days
Rec. A.3.n: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer initiate corrective action based on the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office risk assessment.	2 years 9 months 17 days
Rec. B.2.a: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria.	2 years 9 months 17 days
Rec. B.2.b: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency establish and implement a process for annual planning and coordination with customer program security officers and Field Detachment supervisors to identify classified and special access programs.	2 years 9 months 17 days
Rec. B.2.b.1: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency work with the Defense Contract Audit Agency Security Officer and the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office to designate a group of Field Detachment leadership and branch managers to receive access to special access programs to conduct planning and oversight.	2 years 9 months 17 days
Rec. B.2.b.2: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency conduct annual planning to identify Field Detachment audit oversight efforts for classified and special access program projects.	2 years 9 months 17 days
Rec. B.2.b.4: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency determine annually whether classified, sensitive compartmented information, and special access programs are receiving adequate audit oversight.	2 years 9 months 17 days
Rec. B.2.c: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency acquire and use a classified automated information system for conducting classified audit assignments and reports.	2 years 9 months 17 days

APPENDIX A

*Statistics – Defense Security Cooperation Agency***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	1
Number of Recommendations Open Longer than 5 Years	1
Potential Monetary Benefits	\$746 million

APPENDIX A

Defense Security Cooperation Agency

Report No. DODIG-2013-005, “Performance Framework and Better Management of Resources Needed for the Ministry of Defense Advisors Program,” October 23, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Partnership Strategy and Stability Operations, in coordination with the Commander, U.S. Combined Security Transition Command-Afghanistan, develop a performance management framework to include goals, objectives, and performance indicators to assess progress and measure program results. The performance management framework should also consider environmental and external factors that could affect the goals and objectives from being accomplished.	7 years 5 months 8 days

Report No. DODIG-2015-177, “Assessment of DoD/USCENTCOM and Coalition Plans/Efforts to Train, Advise, and Assist the Iraqi Army to Defeat the Islamic State of Iraq and the Levant,” September 30, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2: The DoD OIG recommended that the Defense Security Cooperation Agency Director, in coordination with the geographic combatant commands and the implementing agencies, establish, via a written internal/external standard operating procedure, a formal quality assurance review process that identifies process error omissions during each phase of the pseudo-foreign military sales equipment supply/procurement process.	4 years 6 months 1 day

Report No. DODIG-2017-056, “U.S. European Command Needs to Improve Oversight of the Golden Sentry Program,” February 17, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Principal Director for the Security Assistance and Equipping Directorate, Defense Security Cooperation Agency update the security checklists to include instructions on when, where, and how the checklists should be used; who should use the checklists; and how that person should verify that the recipient country complied with the security checklists requirements.	3 years 1 month 14 days
Rec. 2.b: The DoD OIG recommended that the Principal Director for the Security Assistance and Equipping Directorate, Defense Security Cooperation Agency update the Defense Institute of Security Cooperation Studies’ Security Cooperation Management Overseas training course to include training that addresses the use of security checklists and demonstrates how the Security Cooperation Organization’s Golden Sentry Program Managers verify that the recipient country complied with the security checklist requirements.	3 years 1 month 14 days

APPENDIX A

Defense Security Cooperation Agency (cont'd)

Report No. DODIG-2017-099, "Evaluation of Department of Defense Efforts to Build Counterterrorism and Stability Operations Capacity of Foreign Military Forces with Section 1206/2282 Funding," July 21, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.1.a: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, pursuant to implementing activities authorized under 10 U.S.C. § 2282, take action to issue and enforce proposal guidance, including standards for submitting specific information necessary to fully describe partner-nation requirements, the metrics to assess project impact, and the means to sustain a project, if applicable.*	2 years 8 months 10 days
Rec. C.1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, pursuant to implementing activities authorized under 10 U.S.C. § 2282, take action to update proposal forms to provide guidance about including sections that enable Geographic Combatant Commands and United States Embassy Security Cooperation Organizations to document required data.*	2 years 8 months 10 days
Rec. C.2: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with applicable Combatant Commands and Military Department Implementing Agencies, take action to ensure that Security Cooperation Organization personnel assigned to United States Embassies have the appropriate training, capability, and necessary Department of Defense support to develop equipment and requirement details meeting project-proposal standards required by 10 U.S.C. § 2282.*	2 years 8 months 10 days
Rec. D.2: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict ensure that Department of Defense components responsible for implementing 10 U.S.C. § 2282 comply with Department of Defense security cooperation directives and procedures for documenting and retaining records pursuant to that authority.*	2 years 8 months 10 days
Rec. F.1: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant United States Government stakeholders, take action to ensure project proposals currently authorized under 10 U.S.C. § 2282 include fully developed and coordinated sustainment plans, as warranted.*	2 years 8 months 10 days
Rec. F.2: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant United States Government stakeholders, take action to ensure geographic Combatant Commands and United States Embassy Security Cooperation Organizations are aware of, and fully use, all funding authorities and sources available for sustaining capability provided by 10 U.S.C. § 2282.*	2 years 8 months 10 days

APPENDIX A

Defense Security Cooperation Agency – Report No. DODIG-2017-099 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. G: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant Department of Defense stakeholders, systematically monitor implementation of 10 U.S.C. § 2282 with performance measures and indicators that enable senior-level management reviews in accordance with applicable Department of Defense, Office of Management and Budget, and other Government directives and guidance.*	2 years 8 months 10 days

* Responsibility for implementing Recommendations C.1.a, C.1.b, C.2, D.2, F.1, F.2, and G was transferred from ASD(SO/LIC) to DSCA.

Report No. DODIG-2019-085, “Audit of the Defense Security Cooperation Agency-Security Assistance Accounts,” May 8, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Defense Security Cooperation Agency Director provide the implementing organizations with detailed accounting and reporting guidance for the Special Defense Acquisition Fund inventory that complies with Statement of Federal Financial Accounting Standards 3.	10 months 23 days
Rec. A.1.b: The DoD OIG recommended that the Defense Security Cooperation Agency Director update the Security Assistance Management Manual to require the implementing organizations to report the value and location of Special Defense Acquisition Fund inventory quarterly.	10 months 23 days
Rec. A.1.c: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Directors of the implementing organizations to develop and implement a comprehensive end-to-end accounting and reporting process for Special Defense Acquisition Fund inventory. The Defense Security Cooperation Agency should require the implementing organizations to report the following attributes quarterly: item quantities, location, identification number, and value. The Defense Security Cooperation Agency should have this information readily available for management and stakeholders.	10 months 23 days
Rec. A.1.d: The DoD OIG recommended that the Defense Security Cooperation Agency Director perform annual inspections of DoD and contractor facilities to determine the location, identification numbers, quantities, and values of the inventory on hand.	10 months 23 days
Rec. A.1.e: The DoD OIG recommended that the Defense Security Cooperation Agency Director establish definitions for all Special Defense Acquisition Fund collection sources and issue detailed accounting and reporting guidance to the implementing organizations for the transactions.	10 months 23 days
Rec. A.1.f.2: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to test the completeness and accuracy of the Special Defense Acquisition Fund accounting records in the systems identified.	10 months 23 days

APPENDIX A

Defense Security Cooperation Agency – Report No. DODIG-2019-085 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.f.3: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to develop a corrective action plan to remedy any deficiencies identified during testing.	10 months 23 days
Rec. A.1.f.4: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to correct the Defense Departmental Reporting System to accept, consolidate, reconcile, and report Special Defense Acquisition Fund accounting records.	10 months 23 days
Rec. A.1.f.5: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to analyze all Foreign Military Sales cases dating back to FY 2012 to identify the correct number of lease cases.	10 months 23 days
Rec. A.1.f.6: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to analyze all open and closed Foreign Military Sales cases to determine whether the cases were properly coded in accordance with the Security Assistance Management Manual.	10 months 23 days
Rec. A.1.f.7: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to recover and transfer into the Special Defense Acquisition Fund all lease payments dating back to FY 2012 that the Defense Finance and Accounting Service did not transfer into the Special Defense Acquisition Fund account.	10 months 23 days
Rec. A.1.f.8: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to recover and transfer into the Special Defense Acquisition Fund account all authorized collections dating back to FY 2012 that the Defense Finance and Accounting Service did not transfer into the Special Defense Acquisition Fund account.	10 months 23 days
Rec. A.1.f.9: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to develop and implement internal controls to prevent the implementing organizations from improperly coding Foreign Military Sales cases.	10 months 23 days
Rec. A.1.f.10: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to obtain transaction-level detail for the Accrued Unfunded Annual Leave liability balance for all DoD employees who support the Security Assistance Accounts.	10 months 23 days

APPENDIX A

Defense Security Cooperation Agency – Report No. DODIG-2019-085 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.f.11: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to develop and implement standard operating procedures, process narratives, and process maps to instruct Defense Finance and Accounting Service personnel on how to record the Accrued Unfunded Annual Leave balance correctly.	10 months 23 days
Rec. A.1.f.12: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to develop and implement internal controls to ensure that all Accrued Unfunded Annual Leave transactions are recorded completely and accurately.	10 months 23 days
Rec. B.1.a: The DoD OIG recommended that the Defense Security Cooperation Agency Director review and provide written approval for each reconciliation performed by the Defense Finance and Accounting Service-Indianapolis of the Security Assistance Accounts.	10 months 23 days
Rec. B.1.b: The DoD OIG recommended that the Defense Security Cooperation Agency Director review and provide written approval for each adjustment made by the Defense Finance and Accounting Service-Indianapolis to the Security Assistance Accounts.	10 months 23 days
Rec. B.1.c: The DoD OIG recommended that the Defense Security Cooperation Agency Director develop and implement detailed standard operating procedures, process narratives, and process maps for each of the Security Assistance Accounts. The documentation should include the entire life cycle of each transaction within the Security Assistance Accounts, roles and responsibilities, internal controls, and a listing and description of interfaces with other accounting cycles and systems. Defense Security Cooperation Agency management should review the documentation annually.	10 months 23 days
Rec. B.1.d.1: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to research and resolve at the transaction level each of the transactions aged over 60 days.	10 months 23 days
Rec. B.1.d.2: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to research and resolve each of the adjustments on the FY 2017 Security Assistance Account Central Accounting and Reporting Systems statements.	10 months 23 days
Rec. B.1.d.3: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to develop and implement a Fund Balance With Treasury reconciliation process for all of the Security Assistance Accounts. The process should be documented in standard operating procedures, process narratives, and process maps.	10 months 23 days

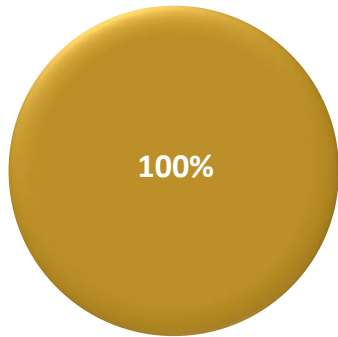
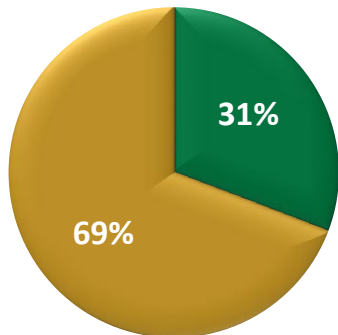
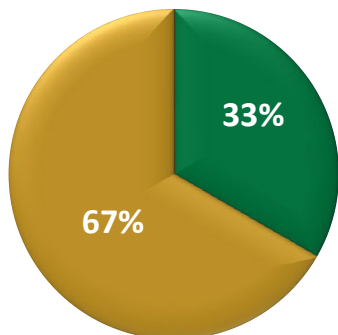
APPENDIX A


Defense Security Cooperation Agency – Report No. DODIG-2019-085 (cont'd)


Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.d.4: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to make the necessary corrections to the Defense Department Reporting System to collect and reconcile data so that the DoD can report all Security Assistance Account balances in the DoD Agency Financial Report.	10 months 23 days
Rec. B.1.d.5: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to review and update the mission work agreement to include detailed performance metrics, roles, and responsibilities.	10 months 23 days
Rec. B.1.d.6: The DoD OIG recommended that the Defense Security Cooperation Agency Director work with the Defense Finance and Accounting Service-Indianapolis Director to improve the management of overaged transactions so that the data and supporting documentation is readily available in a single location.	10 months 23 days

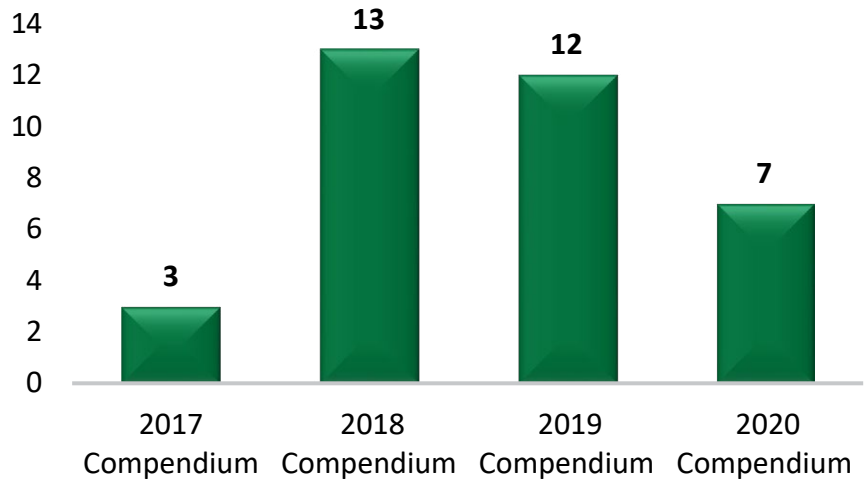


APPENDIX A

*Statistics – Missile Defense Agency***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations

–

Number of Recommendations Open Longer than 5 Years

–

Potential Monetary Benefits

–

APPENDIX A

Missile Defense Agency

Report No. DODIG-2018-094, "Logical and Physical Access Controls at Missile Defense Agency Contractor Locations," March 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency revise acquisition strategies for contract proposals involving ballistic missile defense system technical information to require contract offerors to submit a system security plan and associated plans of action that shows the condition of an offeror's internal information system and network that will process, store, and transmit classified and unclassified ballistic missile defense system technical information.	2 years 2 days
Rec. 2: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency establish a separate technical evaluation factor in the source selection process to evaluate whether an offeror's approach to securing its networks and systems complied with Defense Federal Acquisition Regulation Supplement clause 252.204-7012.	2 years 2 days
Rec. 3: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency conduct risk assessments prior to awarding contracts to evaluate the overall risk introduced by the condition of an offeror's information system and network that will process, store, and transmit ballistic missile defense system technical information and perform periodic risk assessments throughout the lifecycle of the contract.	2 years 2 days
Rec. 5: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency provide oversight to ensure that contractors comply with the National Institute of Standards and Technology requirements for protecting controlled unclassified information throughout the lifecycle of the contract.	2 years 2 days

Report No. DODIG-2019-076, "Evaluation of the Missile Defense Agency's Pentagon Force Protection Agency's, and Defense Commissary Agency's Use of Counterintelligence Inquiry Authority," April 16, 2019
(Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. A.1.a: The DoD OIG recommended that the [REDACTED].	11 months 15 days
(FOUO) Rec. A.1.c: The DoD OIG recommended that the [REDACTED].	11 months 15 days
(FOUO)	

APPENDIX A

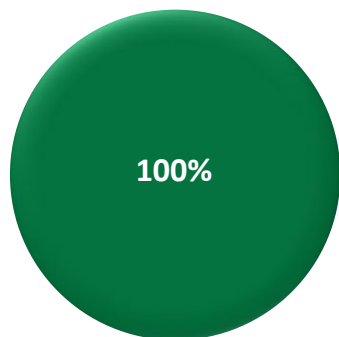
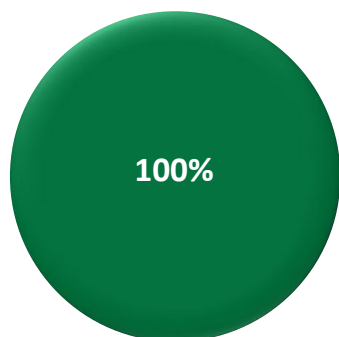
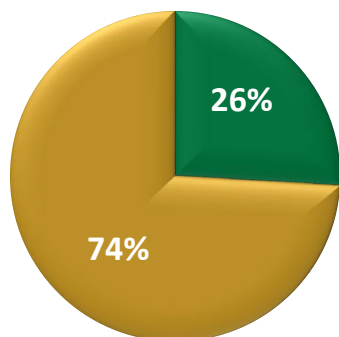

Missile Defense Agency (cont'd)


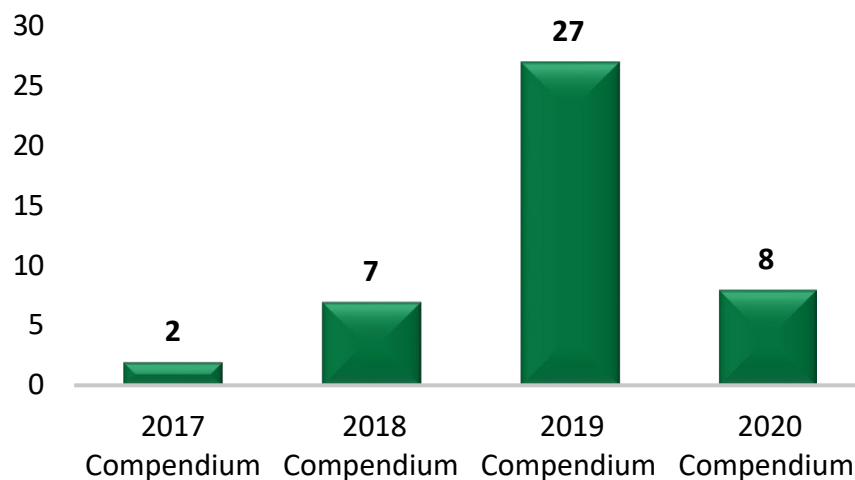
Report No. DODIG-2019-116, "Audit of Contingency Planning for DoD Information Systems," August 21, 2019
(Full Report is FOUO)

{FOUO}	Recommendation Number and Text	Age of Recommendation on 3/31/20
{FOUO}	<p>Rec. 5: The DoD OIG recommended that the Missile Defense Agency Director [REDACTED] based on the revisions made to DoD Instruction 8500.01, "Cybersecurity," March 14, 2014, or any additional guidance provided by the DoD Chief Information Officer.</p>	7 months 10 days
{FOUO}		



APPENDIX A

*Statistics – Defense Commissary Agency***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**
 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020
Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

Defense Commissary Agency

Report No. DODIG-2017-060, "Defense Commissary Agency Purchases of Fresh Produce in Guam," February 28, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Defense Commissary Agency Director reevaluate transportation options to address the price increase of bagged salads at the Guam commissaries.	3 years 1 month 3 days
Rec. 2: The DoD OIG recommended that the Defense Commissary Agency Director require Guam commissary produce personnel to document quality problems with fresh produce in commissary display areas and identify whether problems were related to ordering, product rotation, or receiving.	3 years 1 month 3 days

Report No. DODIG-2018-072, "Defense Commissary Agency's Purchases of Fresh Produce for Japan and South Korea," February 12, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Defense Commissary Agency Director conduct a business case analysis or detailed market research on the Pacific fresh produce purchase process to identify potential opportunities to lower fresh produce prices and improve produce quality for customers.	2 years 1 month 19 days

Report No. DODIG-2018-078, "Defense Commissary Agency Oversight of Fresh Produce Contracts in Japan and South Korea," February 22, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the Defense Commissary Agency Director develop policies and procedures defining roles and responsibilities regarding contract quality assurance and surveillance on the Japan and South Korea produce contracts. The policies and procedures should provide guidance on how Defense Commissary Agency personnel should oversee and verify the surveys and calculate and verify contract fill rates before the information is used for contract performance evaluation.	2 years 1 month 9 days
Rec. 1.b: The DoD OIG recommended that the Defense Commissary Agency Director develop training for personnel on contract quality assurance and surveillance on the Japan and South Korea produce contracts that includes how to oversee the surveys and how to calculate contract fill rates.	2 years 1 month 9 days

APPENDIX A

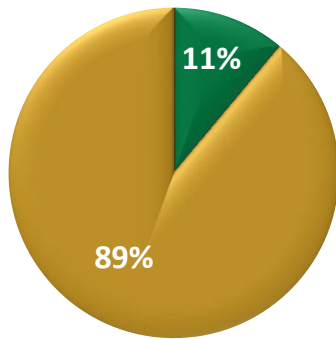
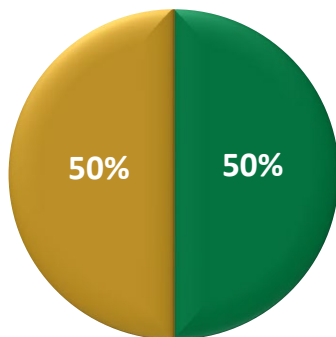
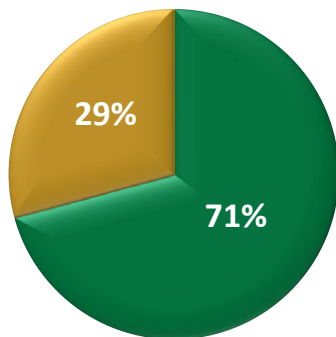
Defense Commissary Agency – Report No. DODIG-2018-078 (cont'd)


Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.c: The DoD OIG recommended that the Defense Commissary Agency Director require Defense Commissary Agency personnel to review and verify credit information for all produce inspection worksheets previously submitted to support all vouchers that have been paid on the Japan and South Korea contracts, since the original award in July 2015. If Defense Commissary Agency personnel find incorrect credit information and incorrect voucher amounts that were paid, they should fix the under or overstated amount paid. The Director, Defense Commissary Agency, should provide the results of the review to the DoD Office of Inspector General.	2 years 1 month 9 days
Rec. 1.d: The DoD OIG recommended that the Defense Commissary Agency Director develop policies and procedures which require Defense Commissary Agency personnel to review and verify the accuracy of all future produce inspection worksheets-including the case price, pack size, cases received, units received, percent case credit, amount to be credited, and total credit-before processing vouchers for payment.	2 years 1 month 9 days


Report No. DODIG-2019-076, “Evaluation of the Missile Defense Agency’s Pentagon Force Protection Agency’s, and Defense Commissary Agency’s Use of Counterintelligence Inquiry Authority,” April 16, 2019 (Full Report is Classified)

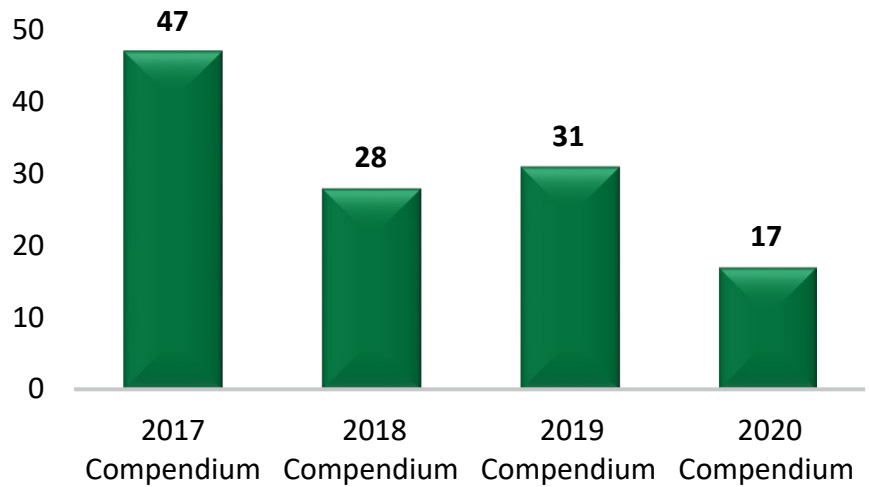
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1: The DoD OIG recommended that the Deputy Director of the Defense Commissary Agency, in coordination with the Commander of the Army Intelligence and Security Command, revise Section 4 of the Memorandum of Agreement between the Army Intelligence and Security Command and the Defense Commissary Agency by deleting the clause within Section 4 which stated that the Army Intelligence and Security Command will assist the Defense Commissary Agency in developing Defense Commissary Agency counterintelligence functional services.	11 months 15 days

APPENDIX A

*Statistics – Defense Finance and Accounting Service***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

Defense Finance and Accounting Service

Report No. DODIG-2016-126, "Improvements Needed In Managing the Other Defense Organizations' Suspense Accounts," August 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.c: The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus develop an estimate using relevant, sufficient, and reliable information to record the consolidated Other Defense Organizations' suspense account balances on the individual Other Defense Organizations' financial statements.	3 years 7 months 6 days

Report No. DODIG-2017-015, "Application Level General Controls for the Defense Cash Accountability System Need Improvement," November 10, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.c.1: The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, develop and implement procedures to require Information System Security Officers to comply with the certification requirements established in DoD Manual 8570.01-M, "Information Assurance Workforce Improvement Program."	3 years 4 months 21 days

Report No. DODIG-2017-069, "Ineffective Fund Balance With Treasury Reconciliation Process for Army General Fund," March 23, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Defense Finance and Accounting Service-Indianapolis Director reengineer their reconciliation process to meet the 10-workday deadline or coordinate with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to determine whether DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapter 2, needs to be revised to increase the number of days for the reconciliation process.	3 years 8 days

APPENDIX A

Defense Finance and Accounting Service – Report No. DODIG-2017-069 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. 2.a-d: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Director, Defense Finance and Accounting Service-Indianapolis, reengineer the Fund Balance With Treasury reconciliation process for the Army General Fund to:</p> <ul style="list-style-type: none"> a. correct system deficiencies known to cause Fund Balance With Treasury differences; b. research and resolve all differences within 60 days, as required by DoD Financial Management Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 2; c. document the Defense Departmental Reporting System-Budgetary business rules that create the system-generated adjustments; and d. support all Fund Balance With Treasury adjustments to the Army General Fund with transaction-level detail, as required by DoD Financial Management Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 6a, chapter 2, and establish and properly document the cause of the difference requiring adjustment to comply with the Treasury Financial Manual. 	3 years 8 days

Report No. DODIG-2018-041, “The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations’ General Funds,” December 15, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a process narrative and process map that describes the detailed processes for the Other Defense Organizations’ General Fund compilation process.	2 years 3 months 16 days
Rec. 2.b.1: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to compile the Other Defense Organizations’ General Fund financial statements.	2 years 3 months 16 days
Rec. 2.b.2: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to reconcile the list of Other Defense Organizations’ General Fund reporting entities and their sub-entities to the Defense Finance and Accounting Service Report 804E, “Entity Code Listing and Supplemental Line of Accounting,” and Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer Financial Improvement and Audit Readiness Directorate listing.	2 years 3 months 16 days
Rec. 2.c: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a plan to reduce the number of the journal vouchers needed to compile the Other Defense Organizations’ General Fund financial statements.	2 years 3 months 16 days

APPENDIX A

Defense Finance and Accounting Service – Report No. DODIG-2018-041 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards categorize system-generated journal vouchers in accordance with DoD Financial Management Regulation, volume 6A, chapter 2, section 020208, “Journal Voucher (JV) Preparation,” August 2011.	2 years 3 months 16 days
Rec. 3.b: The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards prepare quarterly metric reports that include all the journal vouchers, including system-generated journal vouchers, made to compile the Other Defense Organizations General Funds’ financial statements.	2 years 3 months 16 days

Report No. DODIG-2018-120, “The Treasury Index 97 Cash Management Report,” May 23, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a.1: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis improve the Cash Management Report process to produce one consolidated Cash Management Report that reports all the Other Defense Organizations financial activity.	1 year 10 months 8 days
Rec. 3.a.3: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis improve the Cash Management Report process to produce one consolidated Cash Management Report that supports all Treasury Index 97 Fund Balance With Treasury account reconciliations.	1 year 10 months 8 days
Rec. 3.b: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis document the updated Cash Management Reporting process for all Treasury Index 97 Fund Balance With Treasury accounts in process maps and process narratives.	1 year 10 months 8 days
Rec. 3.c: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis Revise Standard Operating Procedure, “Cash Management Report Summary-Level Preparation,” September 2015, to include adequate procedures that require a reconciliation of all Treasury Index 97 Fund Balance With Treasury accounts in the Cash Management Report with all the Treasury Index 97 Fund Balance With Treasury accounts in the Central Accounting and Reporting System.	1 year 10 months 8 days
Rec. 3.d.3: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis develop standard operating procedures that require Defense Finance and Accounting Service-Indianapolis to obtain written approval from the Other Defense Organizations for any adjustment made to Treasury Index 97 accounts.	1 year 10 months 8 days

APPENDIX A

Defense Finance and Accounting Service (cont'd)

Report No. DODIG-2018-136, "Followup Audit: Application Level General Controls for the Defense Cash Accountability System," July 10, 2018

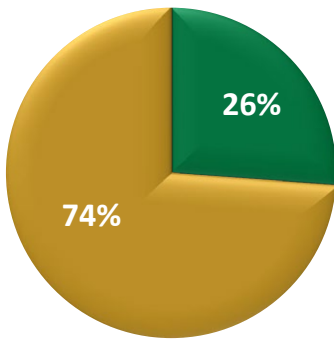
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Business Enterprise Information Services and Other Systems Director, Defense Finance and Accounting Service review and verify policies and procedures to execute periodic user reviews in accordance with the Defense Cash Accountability System Access Control Policy are operating effectively by documenting that 100 percent of sensitive users are reviewed each quarter and 100 percent of authorized users are reviewed within the last year.	1 year 8 months 21 days

Report No. DODIG-2019-039, "Reporting of Improper Payments for the Defense Finance and Accounting Service Commercial Pay Program," December 21, 2018

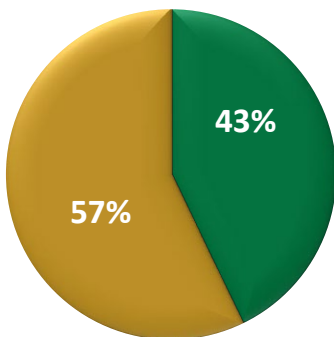
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3: The DoD OIG recommended that the Defense Finance and Accounting Service, Enterprise Solutions and Standards Director, develop a memorandum of understanding for each payment system, documenting the payment data requirements from each system that includes: <ul style="list-style-type: none"> a. a detailed description of payments to exclude from the population; b. a definition of the types of commercial payments to include in the population; and c. documentation of how each system identifies the payment-related data elements. 	1 year 3 months 10 days

Statistics – Defense Contract Management Agency

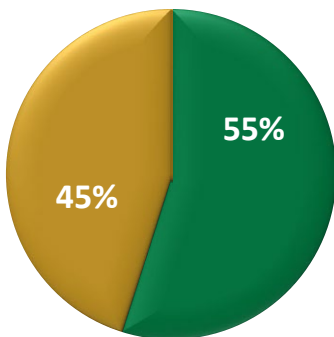
Recommendations from 2017 Compendium





Recommendations from 2018 Compendium

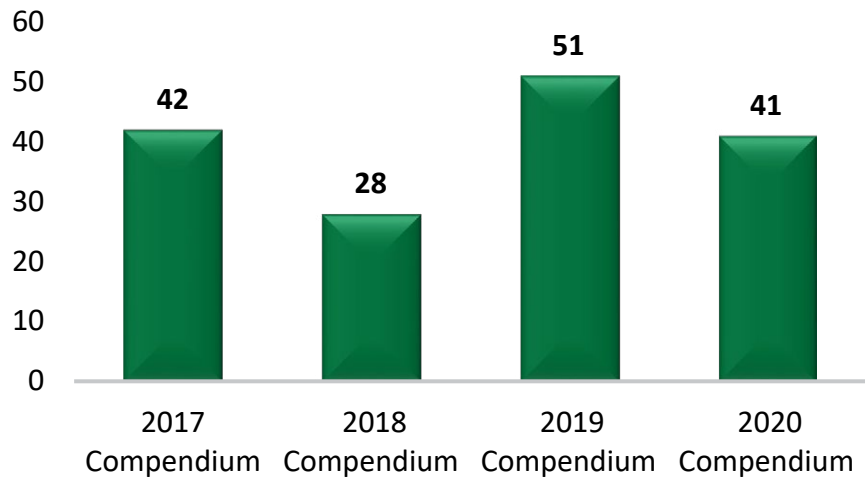


Recommendations from 2019 Compendium



 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	3
Potential Monetary Benefits	\$285 million

APPENDIX A

Defense Contract Management Agency

Report No. DODIG-2014-077, "Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case," May 30, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. F: The DoD OIG recommended that the DCMA Director take the following actions in a timely manner to ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 resulting from the contractor's use of a cost accounting practice determined by the DCMA to be noncompliant with CAS 418 on June 5, 2006:</p> <ol style="list-style-type: none"> 1. if legally required, make a second determination of compliance or noncompliance in accordance with FAR 30.605(b)(3)(ii); 2. if legally required, notify the contractor of this determination in accordance with FAR 30.605(b)(3)(iii); 3. make a determination of materiality in accordance with the requirements of FAR 30.605(b)(4); 4. in making the decision on materiality as required by FAR 30.605(b)(4), abide by the decision of the United States Court of Appeals for the Federal Circuit and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts; 5. follow the procedures in paragraphs (c) through (h) of FAR 30.605 to correct the noncompliant cost accounting practice; 6. when evaluating a general dollar magnitude proposal (FAR 30.605(d)) or a detailed cost impact proposal (FAR 30.605(f)), abide by the decision of the Court and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts; 7. obtain a legal counsel opinion regarding the applicability, if any, of the requirement in the Contracts Disputes Act that the government submit a claim to the contractor within 6 years after the accrual of the claim and how this may impact the U.S. Government's ability to recover any increased costs paid since 2005; and 8. provide semiannual updates to the DoD OIG Assistant Inspector General, Audit Policy & Oversight, until all recommendations have been implemented.* 	<p>5 years 10 months 1 day</p>

* The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

APPENDIX A

Defense Contract Management Agency (cont'd)

Report No. DODIG-2015-006, "Policy Changes Needed at Defense Contract Management Agency to Ensure Forward Pricing Rates Result in Fair and Reasonable Contract Pricing," October 9, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to the administrative contracting officer community on the Federal Acquisition Regulation requirement to tailor the request for audit services.	5 years 5 months 22 days
Rec. C.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to the administrative contracting officer community on: <ol style="list-style-type: none"> 1. the need to document in the contract case file the cost analysis performed and the data and information related to the contracting officer's determination of fair and reasonable Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement rates; 2. the use of the revised Pre-negotiation Memorandum template; and 3. any revisions made to DCMA Instruction 809, "Records Management," to ensure the Government contract case file is sufficient to constitute a complete history of an Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement transaction. 	5 years 5 months 22 days

Report No. DODIG-2017-055, "Evaluation of Defense Contract Management Agency Contracting Officer Actions on Defense Contract Audit Agency Incurred Cost Audit Reports," February 9, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director request the six Defense Contract Management Agency contracting officers with negotiation authority to: <ol style="list-style-type: none"> a. take appropriate action on the Defense Contract Audit Agency reported questioned direct costs of \$297.6 million; and b. document the action in a post-negotiation memorandum, as DoD Instruction 7640.02 requires.* 	3 years 1 month 22 days
Rec. A.2.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director direct the two remaining Defense Contract Management Agency contracting officers to: <ol style="list-style-type: none"> a. coordinate with the other DoD Component contracting officers having authority to negotiate the reported questioned direct costs of \$7.2 million; and b. incorporate the negotiation results from the other DoD Components in a post-negotiation memorandum, as DoD Instruction 7640.02 requires.* 	3 years 1 month 22 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2017-055 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director consider educational or corrective opportunities for each of the contracting officers that did not assess penalties or appropriately waive them to ensure the contracting officers are aware of their responsibilities for complying with Federal Acquisition Regulation 42.709.	3 years 1 month 22 days
Rec. B.1.c: The DoD OIG recommended that the Defense Contract Management Agency Director improve the management review of contracting officer actions to better ensure contracting officers assess penalties for expressly unallowable costs or document a waiver of penalties that complies with Federal Acquisition Regulation 42.709-5.	3 years 1 month 22 days
Rec. C.1: The DoD OIG recommended that the Defense Contract Management Agency Director improve controls for ensuring the completeness and accuracy of negotiation documents in accordance with Federal Acquisition Regulation 42.705-1(b)(5), DoD Instruction 7640.02, and the Defense Contract Management Agency Instruction 125.	3 years 1 month 22 days
Rec. C.2.a-c: The DoD OIG recommended that the Defense Contract Management Agency Director provide refresher training to the contracting officers emphasizing DoD Instruction 7640.02, Defense Contract Management Agency Instruction requirements to: <ul style="list-style-type: none"> a. address and document all audit findings, including any questioned direct costs, on the negotiation memorandum; b. maintain evidence demonstrating that the contracting officer appropriately distributed negotiation documents, including the indirect cost rate agreement and negotiation memorandum; and c. consider additional educational or corrective opportunities for those contracting officers that did not prepare adequate negotiation documents or distribute them in accordance with Federal Acquisition Regulation 42.706. 	3 years 1 month 22 days
Rec. D.1.a-c: The DoD OIG recommended that the Defense Contract Management Agency Director request that the contracting officers assigned to Audit Report Numbers 6281-2005G10100001, 3321-2009K10100002 and 4531-2007K10100001: <ul style="list-style-type: none"> a. determine the actions they should take to appropriately disposition the audit findings; b. document the actions taken to achieve disposition at least monthly; and c. document the disposition of the audit findings in a negotiation memorandum.* 	3 years 1 month 22 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2017-055 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. E.1.a-d: The DoD OIG recommended that the Defense Contract Management Agency Director remove the disposition date entered in the Contract Audit Follow-up System for the following audit reports and reinstate the reports as unresolved until the contracting officer resolves and dispositions the questioned direct costs: <ul style="list-style-type: none"> a. Audit Report No. 2161-2007T10100001, b. Audit Report No. 3161-2007F10100001, c. Audit Report No. 3181-2009D10100001, and d. Audit Report No. 6271-2003A10100103.* 	3 years 1 month 22 days
Rec. E.2.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director remove the resolution date entered in the Contract Audit Follow-up System for the following audit reports and change their status to Defer while the audit issues are before the U.S. Court of Federal Claims or Armed Services Board of Contract Appeals: <ul style="list-style-type: none"> a. Audit Report No. 1271-2007D10100003, and b. Audit Report No. 2801-2008B10100101. 	3 years 1 month 22 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2018-110, “Defense Contract Management Agency’s Information Technology Contracts,” April 25, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a.1: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop contract performance work statements for service acquisitions that include performance requirements in terms of defined deliverables, contractor performance objectives and standards, and a quality assurance plan.	1 year 11 months 6 days
Rec. A.1.a.2: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop acquisition plans for all service acquisitions of \$3,000 or more.	1 year 11 months 6 days
Rec. A.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director develop internal guidance to establish multi-functional teams that include the program manager, contracting officer, contracting officer’s representative, finance officer, and legal advisor to plan and manage service acquisitions from when the agency identifies a need for a service through the execution of the contract.	1 year 11 months 6 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2018-110 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.f: The DoD OIG recommended that the Defense Contract Management Agency Director immediately identify the mission-critical requirements being met through flexible ordering agreements and award new contracts before the current flexible ordering agreements' periods of performance end.	1 year 11 months 6 days
Rec. A.1.g: The DoD OIG recommended that the Defense Contract Management Agency Director initiate a review of the contracting officers' actions to continue the use of flexible ordering agreements, despite the Government Accountability Office's decision and, as appropriate, initiate management action to hold the officials accountable.	1 year 11 months 6 days
Rec. B.1.a.1: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officer's representatives or contracting officers perform inspections and monitor contractor performance on service contracts.	1 year 11 months 6 days
Rec. B.1.a.2: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officer's representatives complete and submit monthly reports on the contractor's performance and contracting officers review contracting officer's representative monthly reports.	1 year 11 months 6 days
Rec. B.1.a.3: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that either the contracting officer or contracting officer's representative performs reviews or inspections of contractor deliverables before accepting services.	1 year 11 months 6 days
Rec. B.1.a.4: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that the contracting officer or contracting officer's representative determines whether the contractor performed satisfactorily and ensure the work progressed according to the contract before approving invoices.	1 year 11 months 6 days
Rec. B.1.a.5: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that requiring activities nominate qualified contracting officer's representatives for all ongoing service contracts without contracting officer's representatives and for future service contracts prior to the award of the contracts.	1 year 11 months 6 days
Rec. B.1.a.6: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers appoint contracting officer's representatives for all ongoing service contracts without contracting officer's representatives and for future service contracts prior to the award of the contracts.	1 year 11 months 6 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2018-110 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.a.7: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers terminate the contracting officer's representative appointment when a contracting officer's representative has unsatisfactory performance, transfers, or retires and appoint a new contracting officer's representative.	1 year 11 months 6 days
Rec. B.1.a.8: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers provide contracting officer's representatives with contract-specific training on contracting officer's representative duties and specific contract terms, conditions, and requirements prior to appointing contracting officer's representatives.	1 year 11 months 6 days
Rec. B.1.a.9: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that requiring activities develop quality assurance surveillance plans for all service acquisitions in accordance with the DoD Contracting Officer's Representative Handbook and in conjunction with the performance standards contained in the performance work statement.	1 year 11 months 6 days
Rec. B.1.a.10: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that only the Defense Contract Management Agency contracting officers or officials with the delegated authority from a contracting officer accept services and approve invoices.	1 year 11 months 6 days
Rec. B.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director reemphasize the importance of all aspects of the contracting process and provide training on the importance of following established internal controls for Defense Contract Management Agency officials responsible for contract monitoring and administration of information technology service contracts.	1 year 11 months 6 days

APPENDIX A

Defense Contract Management Agency (cont'd)

Report No. DODIG-2019-105, "Audit of Protection of DoD Controlled Unclassified Information on Contractor-Owned Networks and Systems," July 23, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to using multifactor authentication.	8 months 8 days
Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to mitigating vulnerabilities in a timely manner.	8 months 8 days
Rec. A.3.c: The DoD OIG recommended that the Assistant Secretary of the Air Force (Acquisition, Technology & Logistics); Director of Acquisitions for the Missile Defense Agency; and Contracting Officers for the U.S. Army, U.S. Navy, U.S. Cyber Command, U.S. Transportation Command, Defense Contract Management Agency, Office of the Director of Operational Test and Evaluation, and Defense Microelectronics Activity, in coordination with DoD requiring activities, develop and implement a plan to verify that contractors correct the weaknesses identified in this report related to protecting and monitoring data on removable media.	8 months 8 days

Report No. DODIG-2020-036, "Evaluation of Contracting Officer Actions on Defense Contract Audit Agency Reports that Disclaim an Opinion," November 26, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Director of the Defense Contract Management Agency require the contracting officers to determine if any of the \$219 million in questioned costs reported by Defense Contract Audit Agency in Report Nos. 6341-2009A10100044 and 1281-2007J10100015 are not allowable according to Federal Acquisition Regulation Part 31, "Contracts with Commercial Organizations."	4 months 5 days
Rec. A.1.b: The DoD OIG recommended that the Director of the Defense Contract Management Agency require the contracting officers to take steps to recoup any portion of the \$219 million that is not allowed on Government contracts.	4 months 5 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2020-036 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.c: The DoD OIG recommended that the Director of the Defense Contract Management Agency require the contracting officers to review the actions of the contracting officers on Report Nos. 6341-2009A10100044 and 1281-2007J10100015 to determine whether management action is necessary to hold those individuals accountable.	4 months 5 days

Report No. DODIG-2020-049, “Evaluation of Defense Contract Management Agency Contracting Officer Actions on Penalties Recommended by the Defense Contract Audit Agency,” January 10, 2020

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the Defense Contract Management Agency Director for the 18 audit reports in which the contracting officers did not document adequate rationale as shown in Appendix B, review the contracting officers’ decision to not assess penalties on \$43 million of expressly unallowable costs reported by Defense Contract Audit Agency, to determine whether the costs are expressly unallowable in accordance with Federal Acquisition Regulation 31.205, “Selected Costs,” and subject to penalty in accordance with Federal Acquisition Regulation 42.709, “Penalties for Unallowable Costs.”	2 months 21 days
Rec. A.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director, based on the results of the review in Recommendation A.1.a, take steps to: <ol style="list-style-type: none"> 1. recoup any expressly unallowable costs not previously disallowed; and 2. obtain payment from the contractor for any associated penalties due to the Government. 	2 months 21 days
Rec. A.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to contracting officers and supervisors on the requirements for identifying unallowable costs and for assessing and waiving penalties, including: <ol style="list-style-type: none"> a. Federal Acquisition Regulation 31.205, “Selected Costs,” and b. Federal Acquisition Regulation 42.709, “Penalties for Unallowable Costs.” 	2 months 21 days
Rec. A.3: The DoD OIG recommended that the Defense Contract Management Agency Director revise Defense Contract Management Agency procedures to require that supervisors document their review comments on the contracting officers’ actions in writing.	2 months 21 days
Rec. B.1.a: The DoD OIG recommended that the Defense Contract Management Agency Director, for the audit reports for which the contracting officers did not correctly calculate penalties and interest as shown in Appendix D, review the contracting officers’ calculation of penalty and interest due to the Government to determine the penalties and interest that should have been collected in accordance with Federal Acquisition Regulation 42.709 1, “General,” and 42.709-4, “Computing Interest.”	2 months 21 days

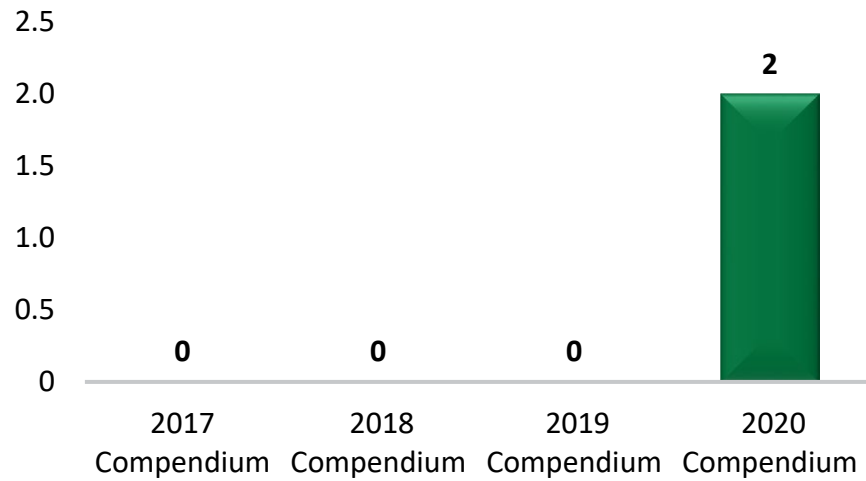
APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2020-049 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director, based on the results of the review in Recommendation B.1.a, take steps to recoup the difference between the penalties and interest that should have been collected in accordance with the Federal Acquisition Regulation and the amounts that were previously collected.	2 months 21 days
Rec. B.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to contracting officers in the following areas: <ol style="list-style-type: none"> 1. calculating penalties in accordance with Federal Acquisition Regulation 42.709-1(a)(1)(i), "General;" and 2. computing interest in accordance with Federal Acquisition Regulation 42.709-4, "Computing Interest." 	2 months 21 days



APPENDIX A

Statistics – Washington Headquarters Services**Open Recommendations**

Number of Unresolved Recommendations	1
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

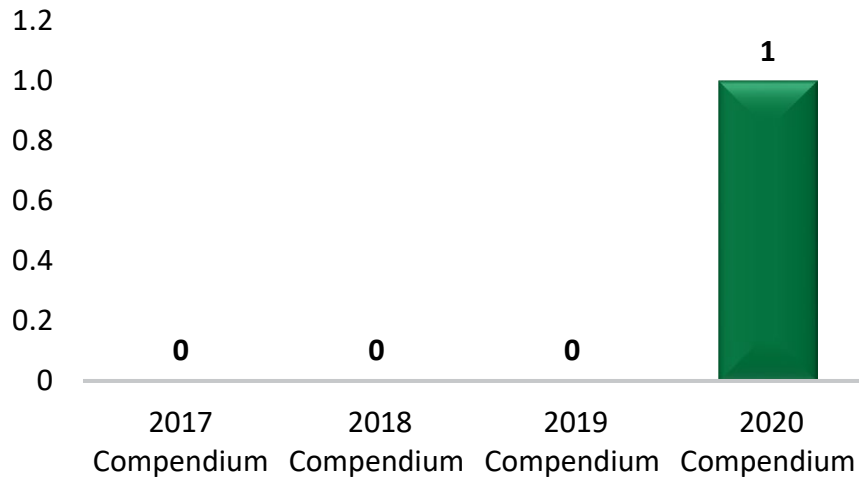
Washington Headquarters Services

Report No. DODIG-2019-116, "Audit of Contingency Planning for DoD Information Systems," August 21, 2019
(Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/20
(FOUO) Rec. 6.a: The DoD OIG recommended that the Washington Headquarters Services Director, in coordination with the Department of Defense Chief Information Officer and the Defense Information Systems Agency Director, [REDACTED].	7 months 10 days
(FOUO) Rec. 6.b: The DoD OIG recommended that the Washington Headquarters Services Director, in coordination with the Department of Defense Chief Information Officer and the Defense Information Systems Agency Director, [REDACTED] based on the revisions made to DoD Instruction 8500.01, "Cybersecurity," March 14, 2014, or any additional guidance provided by the DoD Chief Information Officer.	7 months 10 days
(FOUO)	



APPENDIX A

Statistics – Army and Air Force Exchange Service**Open Recommendations**

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	–
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Potential Monetary Benefits	–
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APPENDIX A

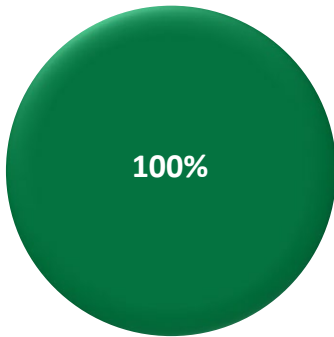
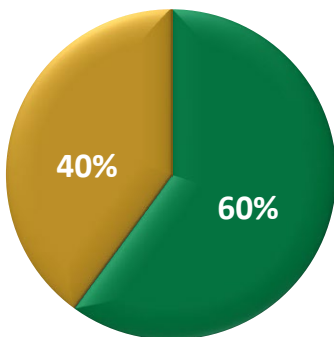
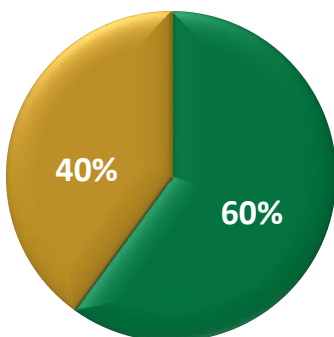
Army and Air Force Exchange Service


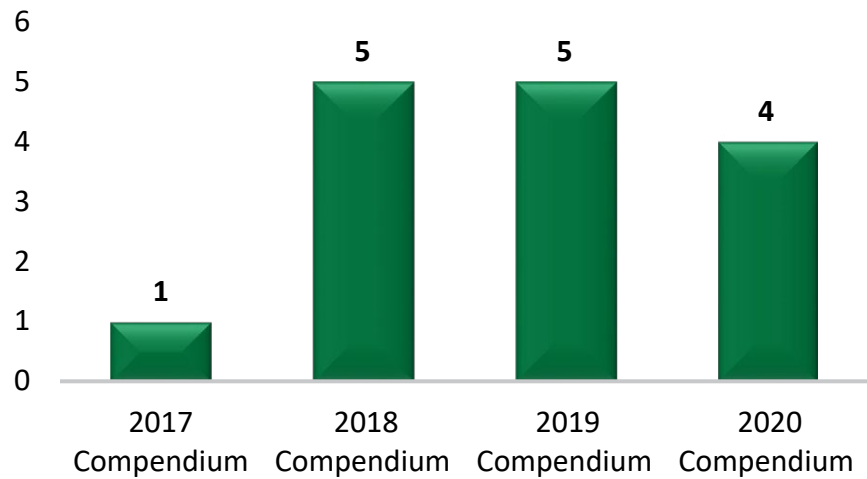
Report No. DODIG-2019-088, "Evaluation of DoD Efforts to Combat Trafficking in Persons in Kuwait," June 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.3: The DoD OIG recommended that the Army and Air Force Exchange Service Chief Executive Officer establish a process or identify a resource for DoD contracting officials to obtain definitive guidance on Kuwaiti labor laws that apply to DoD contracts.	9 months 20 days



APPENDIX A

*Statistics – Defense Criminal Investigative Service***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**
 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020
Open Recommendations

Number of Unresolved Recommendations	–
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Number of Recommendations Open Longer than 5 Years	1
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Potential Monetary Benefits	–
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APPENDIX A

Defense Criminal Investigative Service

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the U.S. Army Criminal Investigative Command Commander; the Naval Criminal Investigative Service Director; the Air Force Office of Special Investigations Commander; and the Defense Criminal Investigative Service Director ensure that Defense Incident-Based Reporting System data submitters provide accurate and complete data submissions within 15 workdays after the end of each month, as required by DoD Manual 7730.47-M, Volume 1.	5 years 5 months 2 days

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. H.1.a: The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency to immediately and comprehensively review all their criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements.	2 years 3 months 27 days
Rec. H.1.d: The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	2 years 3 months 27 days

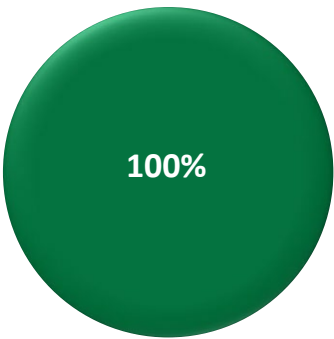
Report No. DODIG-2020-064, "Evaluation of DoD Law Enforcement Organization Submissions of Criminal History Information to the Federal Bureau of Investigation," February 21, 2020



Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 6: The DoD OIG recommended that the Defense Criminal Investigative Service Director take prompt action to collect and submit the five missing Deoxyribonucleic Acid samples to the Federal Bureau of Investigation Combined Deoxyribonucleic Acid Index System.	1 month 10 days

APPENDIX A

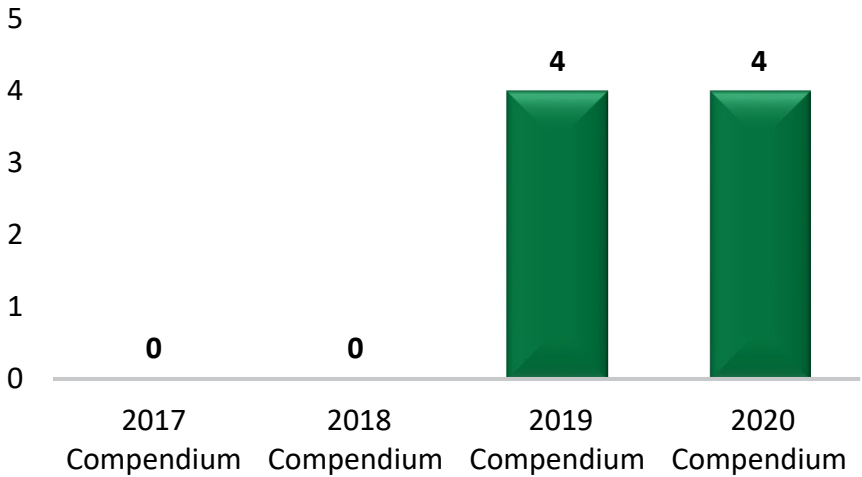
Statistics – Director, DoD Special Access Program Central Office

Recommendations from
2019 Compendium



-  Number remaining open as of 3/31/2020
-  Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	–
Potential Monetary Benefits	–

APPENDIX A

Director, DoD Special Access Program Central Office

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019
(Full Report is Classified)

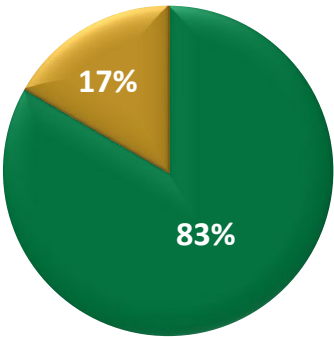
Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 5.a: Recommendation is Classified.	1 year 1 month 20 days
Rec. 5.b: Recommendation is Classified.	1 year 1 month 20 days
Rec. 5.c: Recommendation is Classified.	1 year 1 month 20 days
Rec. 5.d: Recommendation is Classified.	1 year 1 month 20 days



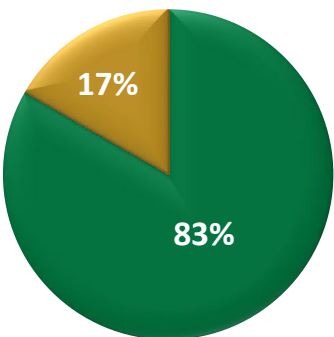
APPENDIX A

Statistics – F-35 Joint Program Office

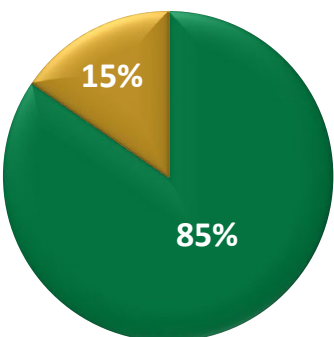
**Recommendations from
2017 Compendium**





**Recommendations from
2018 Compendium**



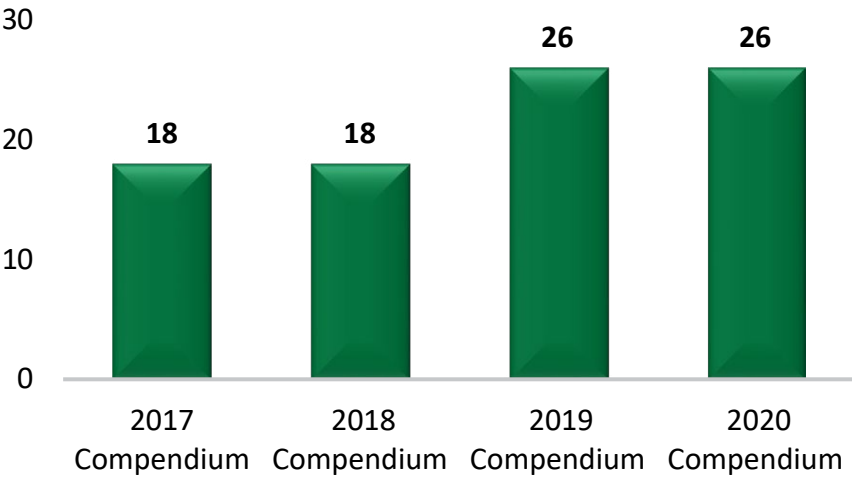
**Recommendations from
2019 Compendium**



 Number remaining open as of 3/31/2020

 Number closed as of 3/31/2020

Open Recommendations



Number of Unresolved Recommendations	–
Number of Recommendations Open Longer than 5 Years	6
Potential Monetary Benefits	\$2.4 billion

APPENDIX A

F-35 Joint Program Office

Report No. DODIG-2013-031, “Audit of the F-35 Lightning II Autonomic Logistics Information Systems (ALIS),” December 10, 2012 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B: Recommendation is Classified.	7 years 3 months 21 days
Rec. D.1: Recommendation is Classified.	7 years 3 months 21 days
Rec. D.3: Recommendation is Classified.	7 years 3 months 21 days
Rec. D.4: Recommendation is Classified.	7 years 3 months 21 days
Rec. D.5: Recommendation is Classified.	7 years 3 months 21 days

Report No. DODIG-2015-092, “F-35 Lightning II Program Quality Assurance and Corrective Action Evaluation,” March 11, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2: The DoD OIG recommended that the F-35 Joint Program Office conduct periodic Critical Safety Item Program evaluations of Lockheed Martin and its suppliers to ensure compliance with public law and the Joint Service Critical Safety Item Instruction.	5 years 20 days

Report No. DODIG-2015-111, “F-35 Engine Quality Assurance Inspection,” April 27, 2015 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the F-35 Joint Program Office coordinate with the Defense Contract Management Agency to conduct an effective root cause analysis and implement corrective actions for all 61 nonconformities (violations of AS9100C, regulatory requirements, and DoD policies) identified during our inspection.	4 years 11 months 4 days
Rec. A.1.b: The DoD OIG recommended that the F-35 Joint Program Office review the contract data requirements list and determine specific items that should require approval.	4 years 11 months 4 days
Rec. B.1: The DoD OIG recommended that the F-35 Joint Program Office ensure that critical safety item contractual requirements and Pratt & Whitney’s critical safety item program processes and specifications meet the intent of the Joint Critical Safety Item Instruction and supplemental guidance of the Joint Aeronautical Commanders Group Aviation Critical Safety Item Management Handbook.	4 years 11 months 4 days
Rec. C.2: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney’s quality plan meets contractual requirements.	4 years 11 months 4 days

APPENDIX A

F-35 Joint Program Office – Report No. DODIG-2015-111 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. C.3: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney consistently analyzes and reports Key Product Characteristics Process Capability Index data for F135 engine hardware and that performance improvement plans are established.	4 years 11 months 4 days
Rec. D: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney identify, elevate, track, and manage all risks that affect the program, including software and supply chain risks.	4 years 11 months 4 days
Rec. E.1: The DoD OIG recommended that the F-35 Joint Program Office work with the Defense Contract Management Agency to ensure that Pratt & Whitney clearly defines, documents, and implements minimum baseline criteria for supplier selection and actions to be taken for suppliers that continue to be high risk.	4 years 11 months 4 days
Rec. F.1: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney resolve nonconformities related to software quality management systems, including an obsolete F135 Software Development Plan, lack of software requirements traceability, and software not developed to the appropriate product software level.	4 years 11 months 4 days
Rec. F.2: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney's Software Quality Assurance organization conduct audits, reviews, and verification activities of both internally-developed and supplier-developed software.	4 years 11 months 4 days

Report No. DODIG-2019-062, "Audit of Management of Government-Owned Property Supporting the F-35 Program," March 13, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: The DoD OIG recommended that the F-35 Program Executive Officer ensure that contracting officers identify and resolve government-furnished property list inaccuracies and incomplete or missing entries before attachment to and award of subsequent contracts.	1 year 18 days
Rec. 2.c: The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.	1 year 18 days
Rec. 2.d: The DoD OIG recommended that the F-35 Program Executive Officer establish and implement procedures for property officials to continuously input the data required by DoD Instruction 5000.64 in its accountable property system of record.	1 year 18 days

APPENDIX A

F-35 Joint Program Office – Report No. DODIG-2019-062 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 3.a: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, reach agreement for how to implement processes and procedures to transition F-35 Program contractor-acquired property to Government-furnished property from original contracts to subsequent contracts in accordance with the Defense Federal Acquisition Regulation Supplement.	1 year 18 days
Rec. 3.b: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made and upon completion of Recommendation 3.a, ensure contractor-acquired property that was procured on past contracts is transitioned to Government-furnished property on contracting actions as required by the Defense Federal Acquisition Regulation Supplement.	1 year 18 days
Rec. 3.c: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made and upon completion of Recommendation 3.a, ensure the required delivery of contractor-acquired property identified as special tooling or special test equipment for accountability and management purposes as required by the Defense Federal Acquisition Regulation Supplement.	1 year 18 days
Rec. 3.d: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, provide updates for the accountable property system of record for any Government-furnished property resulting from the actions taken for contractor-acquired property in the previous Recommendations.	1 year 18 days

Report No. DODIG-2019-094, “Audit of F-35 Ready-For-Issue Spare Parts and Sustainment Performance Incentive Fees,” June 13, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office, in coordination with the Defense Contract Management Agency, pursue compensation from the contractor for costs of non-Ready-For-Issue spare parts that have been delivered since 2015 on the sustainment contracts.	9 months 18 days
Rec. A.2: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office direct the Contracting Officer to add language to the future F-35 sustainment contracts to allow the DoD to collect compensation for each non-Ready-For-Issue spare part provided by the contractor.	9 months 18 days

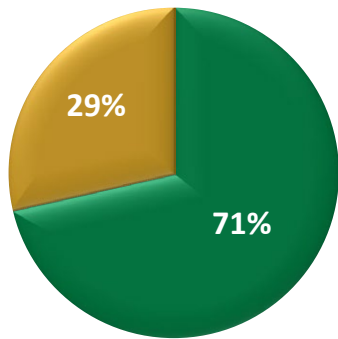
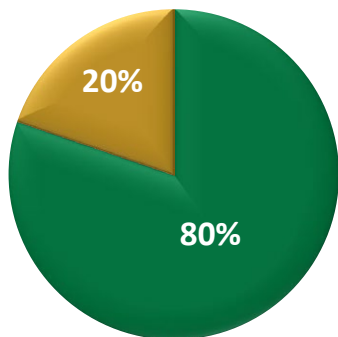
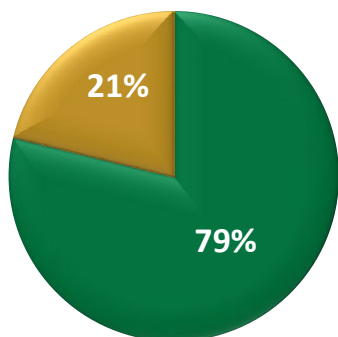
APPENDIX A



F-35 Joint Program Office – Report No. DODIG-2019-094 (cont'd)

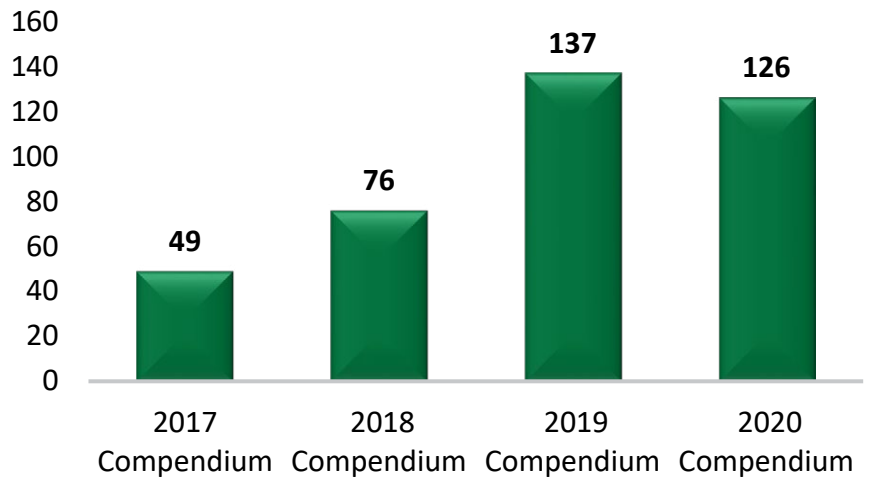
Recommendation Number and Text	Age of Recommendation on 3/31/20
<p>Rec. A.3: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office direct the Lead Contracting Officer's Representative to update the Quality Assurance Surveillance Plan, approve the site surveillance plans, and require the Contracting Officer's Representatives to provide monthly information on contractor performance, including the number of non-Ready-For-Issue spare parts received; the manual processes used by the DoD to correct non-Ready-For-Issue problems; the manual processes used by the F-35 sites to keep aircraft flying when non-Ready-For-Issue spare parts are used and the associated increase in availability hours; and the total F-35 aircraft availability hours.</p>	9 months 18 days
<p>Rec. A.4: The DoD OIG recommended that the Program Executive Officer for the F-35 Joint Program Office direct the Lead Contracting Officer's Representative to assign Contracting Officer's Representatives to provide oversight at all F-35 sites and collect contractor performance data from the Contracting Officer's Representatives and the Defense Contract Management Agency to identify systemic contractor performance problems.</p>	9 months 18 days



APPENDIX A

*Statistics – Classified***Recommendations from
2017 Compendium****Recommendations from
2018 Compendium****Recommendations from
2019 Compendium**

 Number remaining open as of 3/31/2020
 Number closed as of 3/31/2020

Open Recommendations

Number of Unresolved Recommendations	16
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Number of Recommendations Open Longer than 5 Years	50
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Potential Monetary Benefits	–
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APPENDIX A

Classified

Report No. DODIG-2015-057, "Title is Classified," December 19, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.2.1: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.2.2: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.2.3: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.2.4: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.2.5: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.3.1: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.3.2: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.3.3: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.3.4: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.1: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.2: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.3: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.4: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.5: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.6: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.7: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.8: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.9: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.10: Recommendation is Classified.	5 years 3 months 12 days
Rec. A.4.11: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.1: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.2: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.3: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.4: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.5: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.6: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.7: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.8: Recommendation is Classified.	5 years 3 months 12 days

APPENDIX A

Classified – Report No. DODIG-2015-057 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.1.9: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.10: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.11: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.12: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.13: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.1.14: Recommendation is Classified.	5 years 3 months 12 days
Rec. B.2: Recommendation is Classified.	5 years 3 months 12 days

**Report No. DODIG-2015-065, “Evaluation of the Defense Sensitive Support Program,” January 5, 2015
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: Recommendation is Classified.	5 years 2 months 26 days
Rec. A.1.b: Recommendation is Classified.	5 years 2 months 26 days
Rec. A.2.a: Recommendation is Classified.	5 years 2 months 26 days
Rec. A.2.b: Recommendation is Classified.	5 years 2 months 26 days
Rec. A.2.c: Recommendation is Classified.	5 years 2 months 26 days
Rec. B.1.a: Recommendation is Classified.	5 years 2 months 26 days
Rec. B.1.b: Recommendation is Classified.	5 years 2 months 26 days
Rec. B.1.c: Recommendation is Classified.	5 years 2 months 26 days
Rec. B.2: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.1.a: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.1.b: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.2.b.1: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.2.b.2: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.2.b.3: Recommendation is Classified.	5 years 2 months 26 days
Rec. C.2.b.4: Recommendation is Classified.	5 years 2 months 26 days

APPENDIX A

Classified (cont'd)

**Report No. DODIG-2018-037, "Evaluation of the Long Range Strike-Bomber Program Security Controls,"
December 1, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: Recommendation is Classified.	2 years 3 months 30 days
Rec. A.1.b: Recommendation is Classified.	2 years 3 months 30 days
Rec. A.2.a: Recommendation is Classified.	2 years 3 months 30 days
Rec. A.2.b: Recommendation is Classified.	2 years 3 months 30 days
Rec. B.1.a: Recommendation is Classified.	2 years 3 months 30 days
Rec. B.1.b: Recommendation is Classified.	2 years 3 months 30 days
Rec. B.1.c: Recommendation is Classified.	2 years 3 months 30 days
Rec. B.1.d: Recommendation is Classified.	2 years 3 months 30 days
Rec. B.1.e: Recommendation is Classified.	2 years 3 months 30 days
Rec. B.1.f: Recommendation is Classified.	2 years 3 months 30 days
Rec. C.1.a: Recommendation is Classified.	2 years 3 months 30 days
Rec. C.1.b: Recommendation is Classified.	2 years 3 months 30 days
Rec. C.1.c: Recommendation is Classified.	2 years 3 months 30 days
Rec. C.1.d: Recommendation is Classified.	2 years 3 months 30 days
Rec. C.1.d.1: Recommendation is Classified.	2 years 3 months 30 days
Rec. C.1.d.2: Recommendation is Classified.	2 years 3 months 30 days
Rec. C.1.e: Recommendation is Classified.	2 years 3 months 30 days

**Report No. DODIG-2018-047, "Follow-up to Under Secretary of Defense for Intelligence Evaluation,"
December 18, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. B.2: Recommendation is Classified.	2 years 3 months 13 days
Rec. B.3: Recommendation is Classified.	2 years 3 months 13 days
Rec. B.6: Recommendation is Classified.	2 years 3 months 13 days
Rec. B.7: Recommendation is Classified.	2 years 3 months 13 days

APPENDIX A

Classified (cont'd)

Report No. DODIG-2018-057, "The [REDACTED] Financial Statement Compilation Adjustments and Information Technology Corrective Action Plan Validation Process," December 21, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. A.1.a: The DoD OIG recommended that the [REDACTED] Comptroller develop policies and implement procedures to ensure that Journal Vouchers contain valid General Ledger accounts, accurate financial information, and required approvals; include adequate documentation; and reflect correct dollar amounts.	2 years 3 months 10 days
Rec. A.1.b: The DoD OIG recommended that the [REDACTED] Comptroller implement quality control review procedures in accordance with the DoD Financial Management Regulation.	2 years 3 months 10 days
Rec. B.1.a: The DoD OIG recommended that the [REDACTED] Comptroller develop, document, and implement policy to validate that corrective actions have been effectively designed and implemented in accordance with Office of Management and Budget Circular A-123 criteria.	2 years 3 months 10 days
Rec. B.1.b: The DoD OIG recommended that the [REDACTED] Comptroller test all corrected controls to ensure that deficiencies are corrected prior to closing Information Technology Corrective Action Plans and determine whether the controls are implemented correctly, operating as intended, and producing the desired outcome.	2 years 3 months 10 days
Rec. B.1.c: The DoD OIG recommended that the [REDACTED] Comptroller maintain an updated and accurate status for identified control deficiencies throughout the entire process.	2 years 3 months 10 days

Report No. DODIG-2019-034, "Security Controls at DoD Facilities for Protecting Ballistic Missile Defense System Technical Information," December 10, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to using multifactor authentication.*	1 year 3 months 21 days
Rec. 1.b: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to mitigating vulnerabilities in a timely manner.*	1 year 3 months 21 days
Rec. 1.c: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to securing server racks.*	1 year 3 months 21 days

APPENDIX A

Classified – Report No. DODIG-2019-034 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.d: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to protecting and monitoring data on removable media.*	1 year 3 months 21 days
Rec. 1.e: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing intrusion detection controls.*	1 year 3 months 21 days
Rec. 1.f: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to requiring and maintaining justifications for accessing networks.*	1 year 3 months 21 days
Rec. 1.g: The DoD OIG recommended that the [REDACTED] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing physical security controls.*	1 year 3 months 21 days
Rec. 2.a: The DoD OIG recommended that the [REDACTED] enforce the use of multifactor authentication to access systems that process, store, and transmit ballistic missile defense system technical information or obtain a waiver that exempts the networks from using multifactor authentication.	1 year 3 months 21 days
Rec. 2.c: The DoD OIG recommended that the [REDACTED] develop and implement a process for identifying individuals who are authorized to use removable media on their networks and systems as well as procedures for monitoring the type and volume of data transferred to and from removable media.	1 year 3 months 21 days
Rec. 2.e: The DoD OIG recommended that the [REDACTED] develop plans of action and milestones, and take appropriate and timely steps to mitigate known vulnerabilities.	1 year 3 months 21 days
Rec. 2.g: The DoD OIG recommended that the [REDACTED] require facility security or maintenance personnel to physically verify, at least daily, that entry and exit doors operate as intended.	1 year 3 months 21 days
Rec. 2.h: The DoD OIG recommended that the [REDACTED] require data center managers to develop and implement procedures to secure server racks, validate that the racks remain locked, and control keys to the server racks.	1 year 3 months 21 days
Rec. 2.i: The DoD OIG recommended that the [REDACTED] require written justification as a condition for obtaining access to all networks and systems that process, store, and transmit ballistic missile defense system technical information.	1 year 3 months 21 days

APPENDIX A

Classified – Report No. DODIG-2019-034 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.j: The DoD OIG recommended that the [REDACTED] maintain access request forms for all users with access to networks and systems that contain ballistic missile defense system technical information and verify, at least annually, the continued need for access.	1 year 3 months 21 days
Rec. 3.b: The DoD OIG recommended that the Chief Information Officer for the [REDACTED] implement intrusion detection capabilities on networks that maintain ballistic missile defense system technical information.	1 year 3 months 21 days
Rec. 4.a: The DoD OIG recommended that the Chief Information Officers for the [REDACTED] encrypt ballistic missile defense system technical information stored on removable media.	1 year 3 months 21 days
Rec. 4.b: The DoD OIG recommended that the Chief Information Officers for the [REDACTED] develop and implement a process for identifying individuals who are authorized to use removable media on their networks and systems as well as procedures for monitoring the type and volume of data transferred to and from removable media.*	1 year 3 months 21 days
Rec. 4.c: The DoD OIG recommended that the Chief Information Officers for the [REDACTED] assess existing security camera placements to identify gaps in security coverage and install security cameras with [REDACTED] to monitor personnel movements throughout their facilities.	1 year 3 months 21 days
Rec. 5.a: The DoD OIG recommended that the Chief Information Officer for the [REDACTED] require data center managers to develop and implement procedures to secure server racks, validate that the racks remain locked, and control keys to the server racks.	1 year 3 months 21 days
Rec. 5.b: The DoD OIG recommended that the Chief Information Officer for the [REDACTED] require written justification as a condition for obtaining access to all networks and systems that process, store, and transmit ballistic missile defense system technical information.	1 year 3 months 21 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

**Report No. DODIG-2019-054, "Evaluation of Special Access Programs Industrial Security Program,"
February 11, 2019 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 2.a: Recommendation is Classified.	1 year 1 month 20 days
Rec. 2.b: Recommendation is Classified.	1 year 1 month 20 days
Rec. 5: Recommendation is Classified.	1 year 1 month 20 days
Rec. 6.a: Recommendation is Classified.	1 year 1 month 20 days

APPENDIX A

Classified (cont'd)**Report No. DODIG-2020-029, "Audit of a Classified Program," November 13, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: Recommendation is Classified.	4 months 18 days
Rec. 1.b: Recommendation is Classified.	4 months 18 days
Rec. 1.c: Recommendation is Classified.	4 months 18 days
Rec. 2.a: Recommendation is Classified.	4 months 18 days
Rec. 2.b: Recommendation is Classified.	4 months 18 days
Rec. 2.c: Recommendation is Classified.	4 months 18 days
Rec. 3.a: Recommendation is Classified.	4 months 18 days
Rec. 3.b: Recommendation is Classified.	4 months 18 days
Rec. 3.c: Recommendation is Classified.	4 months 18 days
Rec. 4.c: Recommendation is Classified.	4 months 18 days
Rec. 4.d: Recommendation is Classified.	4 months 18 days
Rec. 4.e: Recommendation is Classified.	4 months 18 days

Report No. DODIG-2020-039, "Combatant Command Integration of Space Operations Into Military Deception Plans," December 13, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/20
Rec. 1.a: Recommendation is Classified.	3 months 18 days
Rec. 1.b: Recommendation is Classified.	3 months 18 days
Rec. 2.a: Recommendation is Classified.	3 months 18 days
Rec. 2.b: Recommendation is Classified.	3 months 18 days
Rec. 3: Recommendation is Classified.	3 months 18 days
Rec. 4: Recommendation is Classified.	3 months 18 days

APPENDIX B

Open Recommendations from Secret Reports

This appendix contains information about open recommendations made in Secret reports. For access, please email RFUNET@dodig.smil.mil.

APPENDIX C

Open Recommendations from Top Secret Reports

This appendix contains information about classified open recommendations.

For access, please email RFUNET@dodig.smil.mil.

APPENDIX D

Other Classification Open Recommendations

This appendix contains information about other classified open recommendations.
For access, please email RFUNET@dodig.smil.mil.

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INTEGRITY ★ INDEPENDENCE ★ EXCELLENCE

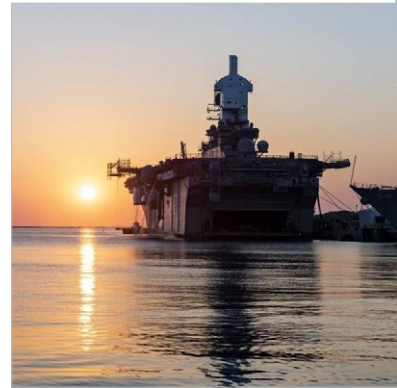


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