

July 6, 2015

MEMORANDUM FOR UNDER SECRETARY OF DEFENSE FOR ACQUISITION, TECHNOLOGY AND LOGISTICS GENERAL COUNSEL OF THE DEPARTMENT OF DEFENSE INSPECTOR GENERAL, DEPARTMENT OF JUSTICE INSPECTOR GENERAL, DEPARTMENT OF THE ARMY NAVAL INSPECTOR GENERAL INSPECTOR GENERAL, DEPARTMENT OF THE AIR FORCE

SUBJECT: Assessment of Compliance with Section 847 Requirements for Senior Defense Officials Seeking Employment with Defense Contractors (OIG Project No. D2015-D00SPO-0212.000)

We plan to begin the field work for the subject assessment immediately. This review complies with Public Law 110-181, Section 847, "Requirements for Senior Department of Defense Officials Seeking Employment with Defense Contractors," as amended, paragraph (b)(2), which requires the Office of Inspector General to conduct periodic reviews to ensure that written opinions are being provided and retained in accordance with the statutory requirements.

In accordance with the statutory requirement and following up on previous assessments, the objectives of this assessment are to:

- Determine whether the written ethics opinions that have been requested and provided pursuant to Section 847 comply with the requirements of Section 847.
- Summarize, by DoD organization, the total number of opinions issued and retained pursuant to Section 847.
- Compile a summary of referrals to, and/or complaints received by, the DoD Inspector General or the Department of Justice regarding potential violations of post-employment restrictions, including the final disposition of such cases.
- Determine the status of any pre-2012 records created pursuant to Section 847.
- Follow-up on previous DoD Inspector General reviews and report any other matters deemed relevant to a comprehensive assessment of compliance with Section 847.

We will consider suggestions from management on additional or revised objectives for future assessments.

We plan to visit the DoD Office of General Counsel, Standards of Conduct Office, and selected Designated Agency Ethics Officials, and we will contact the relevant office(s) in the Department of Justice. We may identify additional locations during the assessment.

Please provide us with a point of contact for the assessment within **10 days** of the date of this memorandum. The point of contact must be a Government employee – a GS-15, pay band equivalent, or the military equivalent. Send the contact's name, title, grade/pay band, phone number, and e-mail address to

You may obtain information about DoD, Office of Inspector General from the DoD Directive 5106.01, "Inspector General of the Department of Defense," August 19, 2014; DoD Instruction 7600.02, "Audit Policies," October 16, 2014; and DoD Instruction 7050.03, "Access to Records and Information by the Inspector General, Department of Defense," March 22, 2013. Our website is <u>www.dodig.mil</u>.

If you have any questions, please contact

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