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## Special Plans and Operations

Assessment of U.S. Efforts to Develop the  
Afghan National Security Forces Command,  
Control, and Coordination System

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March 22, 2013

MEMORANDUM FOR DISTRIBUTION

SUBJECT: Assessment of U.S. Efforts to Develop the Afghan National Security Forces  
Command, Control, and Coordination System (Report No. D2013-058).

We are providing this report for review and comment. We considered comments on the draft report from Commander, ISAF Joint Command; Commander, NTM-A/CSTC-A; and Commander 9<sup>th</sup> Air & Space Expeditionary Force – Afghanistan, when preparing the final report.


We request additional comments and information by April 22, 2013, as follows:

- Commander, International Security Assistance Force Joint Command: 1., 2.a, 2.b., 2.c., 4.a., 5, 8.a., 8.b.
- Commander, North Atlantic Treaty Organization (NATO) Training Mission – Afghanistan: 3.a., 3.b., 3.c., 4.b., 4.c., 6.a., 6.b., 7.a., 7.b.

In responding to the final report, management comments must have the actual signature or electronic signature of the authorizing official for your organization. We are unable to accept the / Signed / symbol in place of the actual signature. If possible, please send your comments in a .pdf file to [SPO@dodig.mil](mailto:SPO@dodig.mil). If you arrange to send classified comments electronically, you must send them over the SECRET Internet Protocol Router Network (SIPRNET).

We appreciate the courtesies extended to the assessment team from Commander, International Security Assistance Force; the Commander, International Security Assistance Force Joint Command; Commander; NATO Training Mission – Afghanistan; Commander NATO Air Training Command, and their respective staffs. Their assistance and support were invaluable.

Please direct questions to Mr. Joe A. Baker at (703) 604-9170 (DSN 664-9170), [joe.baker@dodig.mil](mailto:joe.baker@dodig.mil) or [joe.baker@dodig.smil.mil](mailto:joe.baker@dodig.smil.mil).

  
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Deputy Inspector General  
Special Plans and Operations

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# Executive Summary: Assessment of U.S. Government and Coalition Efforts to Develop the Afghan National Army Command, Control, and Coordination System

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## Who Should Read This Report?

Personnel within the Office of the Secretary of Defense, the Joint Staff, the U.S. Central Command and its subordinate commands in Afghanistan, the Military Departments, and agencies responsible for and engaged in training, mentoring, equipping, fielding and other aspects of the development of the Ministry of Defense, General Staff, and Afghan National Security Forces (ANSF) should read this report.

## Synopsis

*We found that the extensive U.S. and Coalition effort to develop security sector capacity in Afghanistan had produced a marginally sufficient Afghan National Army (ANA) Command and Control (C2) and Coordination system that was adequately resilient and at least capable of providing minimal essential support for the transition to Afghan lead in plans and operations by 2014. Coalition enablers enhance ANA capability and effectiveness to conduct C2.*

The ANA has demonstrated an improving capability to conduct counterinsurgency (COIN) missions independently, and its units can orchestrate basic coordination and communication with other elements of the ANSF. We found that their C2 capability is marginal and largely dependent upon Coalition support.

Both the ANA and the Afghan National Police (ANP) capabilities continue to develop countrywide; and they collectively demonstrated initiative, coordination, and resilience in responding to the April 15, 2012, insurgent attacks in Kabul. The ANSF's response to these complex, coordinated assaults on government installations and personnel afforded the DoD IG team, then present in Kabul, an opportunity to assess its command and control capabilities at the strategic and operational level through U.S. and Coalition advisors present. ANSF actions in response to the incident were encouraging and timely, demonstrating moderate situational awareness and command and control progress.

The ANA's progress in developing its C2 capabilities may be hampered or even reversed if a number of resource-intensive, high risk challenges are not properly addressed and resolved. These include the difficult challenge of adapting to evolving organizational structures; the limited command authority to remove ineffective senior officers; various logistical impediments; the limited capacity to integrate relatively complex technology and automation; and the significant reliance on U.S. and Coalition enablers.

## Notable Progress

The assessment team noted five main areas of progress:

- development and publication of the ANA Operational Plan NAWOOD, the Afghan-led operational campaign planning effort for Afghan Solar Year 1391 (SY1391);
- coalition development of an effective Afghan Special Operations Force (SOF) capability;
- development by the International Security Assistance Force Joint Command (IJC) of “ANSF in the Lead” Effects-Based Metrics;
- Operation SELAB, a one-time logistics operation focused on pushing multiple classes of supplies to the Regional Logistics Support Centers (RLSCs) over a 15-day period to increase stockage levels; and
- ANSF performance during the April 15, 2012, terrorist attacks in Kabul and some provinces.

## Challenges – Areas of Concern

### *Evolving Organizational C2 Constructs*

Evolving and emerging Afghan National Security Forces organizational constructs complicated the development of the ANA Command and Control System. For example, during our assessment, four key command and control nodes were being established or undergoing significant change in organizational mission and structure:

- the ANA Ground Forces Command (GFC),
- the National Military Command Center (NMCC),
- the Afghan National Army Special Operations Command (ANASOC), and
- the Air Command and Control Center (ACCC).

During 2012, the National Military Command Center planned to change its title to the National Military Operations Center with a yet to be defined change of mission; the Afghan National Army Special Operations Command expanded its mission set and force structure; and the ACCC changed its command and control role in ANA aviation. Further, 40 regional and provincial Operations Coordination Centers (OCCs) were at different stages of maturity in terms of manning, leadership, and equipment with varying levels of ability to interact with their provincial, regional, and national level command centers.

Not all of the organizational restructuring underway appeared sufficiently synchronized to achieve ANA C2 unity of effort by 2013, the date the Commander of International Security Assistance Force (ISAF) set as a critical milestone for the ANA to assume a greater role in planning and operations while a significant Coalition force still remained in country.

The extent of organizational change and complexity introduced into the existing C2 structure and that which still needed to be assimilated within the ANA presented a significant challenge to the maturation of its overall C2 structure. These evolving new C2 nodes may internally stress the ANA capacity for developing standard operating procedures, exercising staff actions to achieve proficiency, and coordinating real world operations.

Wide-ranging organizational changes could delay overall ANA C2 development and delay the ANA transition to fully independent and sustainable lead in security operations. **(Observation 1)**

### ***Establishment of Ground Forces Command***

The Coalition began developing the Ground Forces Command in April 2009 and it is scheduled to achieve full operational capability for command and control of ANA forces by October 2012. However, it may take longer for the Ministry of Defense (MoD), General Staff (GS), and some Corps Commanders to embrace this new organization. Several ANSF stakeholders expressed their belief that GFC was an unnecessary, intermediate layer of bureaucracy. A number of Afghan security officials conveyed doubts about the long-term existence of the GFC HQ after 2014. **(Observation 2)**

### ***Air Command and Control Center***

The Afghan Air Force Air Command and Control Center did not consistently function as a command and control node and was arguably a redundant layer of coordination with questionable effectiveness. ANA leaders appeared comfortable with both national and regional level missions being centrally controlled, prioritized, and retasked at the GS Operations Directorate (G3) air plans office, rather than at the ACCC. **(Observation 3)**

### ***Restrictions on Command Authority***

Senior Commanders within the ANA consistently expressed frustration at not having the power or authority to remove subordinate officers for cause, and that this inability impeded the effective command and morale of their forces. In order to exercise command, senior leaders needed appropriate, fair, and clearly detailed mechanisms to remove ineffective or incapable subordinates, supported by the Government of the Independent Republic of Afghanistan (GIRoA). **(Observation 4)**

### ***Operations Coordination Centers***

The creation of Operations Coordination Centers represented an attempt to give the ANSF a common operating picture (COP) and facilitate information sharing between the ANA, ANP, and National Directorate of Security (NDS).

Development of these coordination centers was a complex and at times apparently convoluted effort to join disparate stove-piped personnel, logistics, and administrative systems – challenges that continued to make the OCC concept of operations a work in progress. Without a joint-manning document, single logistics support, and a discrete budget and authority to procure equipment and supplies, the OCCs could remain ineffective, providing inconsistent performance across Afghanistan. **(Observation 5)**

### ***Complexity of Technology and Automation***

Senior ANA officers, and particularly on the General Staff, repeatedly expressed concern about the ANA's inability to cope with the complexity of computer automation and technology provided by the Coalition that had been intended to enhance ANA command, control, and communication capabilities.

They contended that the ANA did not have the capacity to employ, operate, and effectively maintain sophisticated information technology and automated systems without extensive Coalition support. We observed that the ANA may not be able to sustain these various systems after 2014 without continued funding, training, and support as a bridging mechanism.

**(Observation 6)**

### ***ANA Logistics System***

A 2011 Department of Defense Inspector General (DoD IG) report on ANA logistics sustainment capability highlighted how the lack of a well-understood logistical development plan hampered an ongoing restructuring of the ANA logistics organization.<sup>1</sup> Our observations during this visit confirmed the ANA confusion and inefficiencies attendant with the implementation of new logistics organization. We also determined that the new ANA operational commander – Ground Forces Command – had little visibility of Corps logistics problems and limited influence in correcting logistics inequities among the Corps commands. The ANA struggle with its logistics processes is an ongoing challenge and the logistical system continues to lag operational development of the ANA. **(Observation 7)**

### ***Enabler Gap Measurement***

**Aviation Support.** Neither the IJC nor the ANA realized the magnitude of the enabling capability provided by Coalition aircraft in support of the ANA. Consequently, advisors may not adequately develop Afghan capabilities or properly shape expectations regarding air support to the ANSF after 2014. Coalition support for ANA medical evacuation, combat air support, personnel air movement, and resupply was especially significant. Due to the limited size of the Afghan Air Force (AAF) fleet, requests for air support too often went directly to IJC without routing them through the Afghan C2 structure or coordinating through the OCCs. The Coalition had not captured the totality of the support it provided to the ANA with precision. **(Observation 8)**

**Other Enablers.** The ANA depended greatly upon specialized capability enablers from the Coalition to enhance command and control while supporting security operations.<sup>2</sup> Enabler capabilities that the ANA currently relies upon, and will continue to need (in varying degrees) until ANA capability is developed included:

- Logistics
- Artillery and Indirect Fires
- Engineers
- Intelligence, Surveillance, and Reconnaissance Assets
- Signals Intelligence,
- Military Intelligence Companies
- Counter-measures for Improvised Explosive Devices

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<sup>1</sup> DoD IG Report No. 2012-028, “Assessment of U.S. Government and Coalition Efforts to Develop the Logistics Sustainment Capability of the Afghan National Army,” December 9, 2011.

<sup>2</sup> In order to stay within project scope, this report will not go into detail regarding each individual enabler.



- Route Clearance
- Medical Support
- Information Operations
- Military Police

Measuring the gap between current and projected Coalition enablers and final ANA development goals and capability is important not only for the Afghans, but also for the Coalition, in order to plan, budget, and equip, for the post 2014 strategic partnership.

## Conclusion

The Afghan Command, Control, and Coordination System remained a work in progress. In its present state of development and given the threat environment, we found the command, control, and coordination system to be marginally sufficient to respond effectively to insurgent attacks, like those experienced in Kabul in April 2012, and to conduct effectively other short-term offensive operations.

However, challenges remained. The ANA C2 system did not yet have the ability to plan and conduct sustained operations without U.S. and Coalition support. To date, the ANA had only been effective in conducting offensive operations of short duration due to logistical shortfalls and limited organic enabler capacity, with heavy reliance on U.S. and Coalition support.

The effort to develop the ANA C2 system by U.S. and Coalition advisors has been marginally successful. While the ANA Command, Control, and Coordination System in place had gained capability over the past several years, the system remained fragile and needed significant Coalition support to ensure successful development of an independent, sustainable C2 capacity in the foreseeable future.

## Recommendations Table

<b>Client</b>	<b>Recommendations Requiring Comment</b>	<b>No Additional Comments Required</b>
Commander, International Security Assistance Force Joint Command	1., 2.a, 2.b., 2.c., 4.a., 5, 8.a., 8.b.	
Commander, North Atlantic Treaty Organization (NATO) Training Mission - Afghanistan	3.a., 3.b., 3.c., 4.b., 4.c., 6.a., 6.b., 7.a., 7.b.	6.c.

**Please provide comments by April 22, 2013**

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# Introduction

This is an assessment by DoD Office of Inspector General, Special Plans and Operations (SPO) regarding the development of the Afghan National Security Force Command and Control System. This report focuses on the command and control (C2) of the Afghan National Army (ANA), along with its coordination efforts through the regional and provincial Operations Coordination Centers (OCC). Previous SPO reports on Afghan National Security Force subjects may be viewed at <http://www.DoDIG.mil/spo/reports.html>.

## Objectives

On January 6, 2012, the DoD IG announced the Assessment of U.S. Efforts to Develop the Afghan National Security Forces Command and Control System (Project No. D2012-D00SPO-0085.000). With a specific focus on the Afghan National Army, the overall objective of this assessment was to determine the current status of C2 development and whether the Department of Defense would complete the development of the Afghan National Security Forces Command and Control System by 2014.

Specific sub-objectives included:

- Assess whether U.S. and Coalition strategy, guidance, plans, and available resources are sufficient to create an effective Afghan C2 system.
- Determine the status of C2 development in accordance with U.S. plans for withdrawal of combat forces by 2014.
- Assess the ANA coordination mechanisms, to include the OCCs

## Background

In a June 22, 2011, formal announcement, President Obama stated that the U.S. would withdraw 10,000 troops from Afghanistan by the end of 2011, with 23,000 additional troops leaving at the end of the summer of 2012. After that, according to the President:

...our troops will continue coming home at a steady pace as Afghan security forces move into the lead. Our mission will change from combat to support. By 2014, this process of transition will be complete, and the Afghan people will be responsible for their own security.<sup>3</sup>

Remaining forces would continue the transition to put ANSF in the lead in accordance with the International Security Assistance Force (ISAF) campaign plan.<sup>4</sup> As troop levels diminished, DoD IG determined it was important to assess the status of U.S. and coalition efforts to develop

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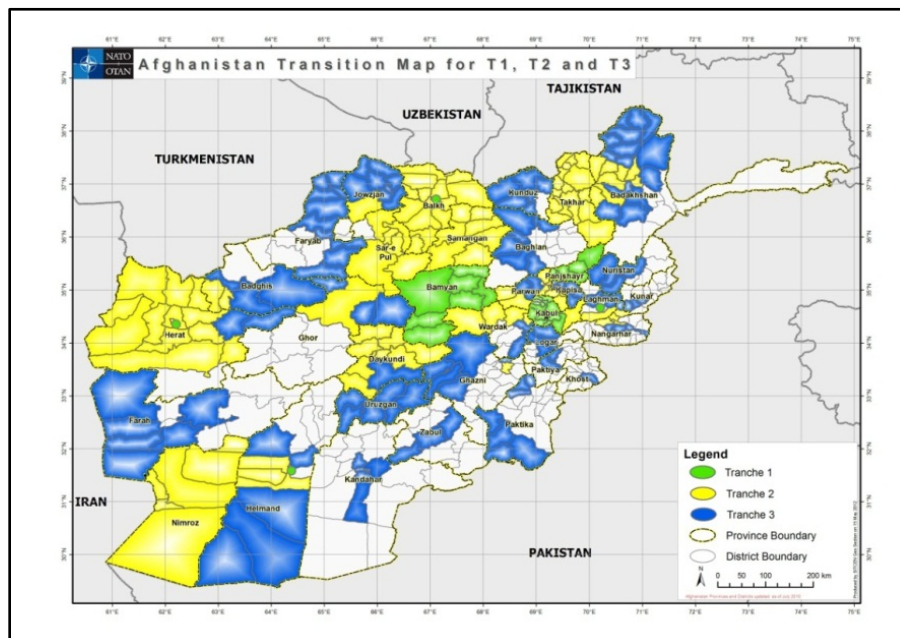
<sup>3</sup> Barack H. Obama, "Remarks by the President on the Way Forward in Afghanistan," Speeches and Remarks (June 22, 2011), <http://www.whitehouse.gov/the-press-office/2011/06/22/remarks-president-way-forward-afghanistan> (July 5, 2012).

<sup>4</sup> Deputy Assistant Secretary of Defense for Afghanistan, Pakistan and Central Asia, official testimony before U.S. House of Representatives Armed Forces Subcommittee on Oversight and Investigations, 20 June 2012, p. 2.



As expected, coordinated military planning and approval of the campaign strategy preceded the President's announcement. By April 2012, ISAF had revised its Operations Plan (OPLAN) 38302 to integrate the counterinsurgency (COIN) strategy, the development strategy for Afghanistan, the transition of security responsibility to Afghan lead, and the development of the ANSF. The revised OPLAN (Revision 6) also integrated the recovery of U.S. surge forces.<sup>5</sup>

### Figure 1. Afghan Transition



<sup>5</sup> DoD Report on Progress Toward Security and Stability in Afghanistan, April 2012.

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## Enduring Strategic Partnership Agreement

On May 2, 2012, President Barack Obama and Afghan President Hamid Karzai signed the *Enduring Strategic Partnership Agreement between the Islamic Republic of Afghanistan and the United States of America*, a 10-year strategic partnership agreement (SPA). The SPA demonstrated the United States' enduring commitment to strengthen Afghanistan's sovereignty, stability, and prosperity and continue cooperation to defeat al-Qaida and its affiliates over time.<sup>7</sup> The signing increased Afghan confidence regarding continued U.S. and Coalition support after 2014 and provided a solid foundation to the advisors' efforts to develop an effective and sustainable ANA Command and Control system.

The NATO Chicago Summit followed in May 2012, where national leaders endorsed a plan to wind down the international combat mission. President Obama, supported by other international leaders, agreed to an exit strategy based upon a gradual withdrawal of American and foreign combat troops through 2014. The declaration stated:

We, the nations contributing to ISAF, and the Government of the Islamic Republic of Afghanistan, met today in Chicago to renew our firm commitment to a sovereign, secure, and democratic Afghanistan. In line with the strategy which we agreed at the Lisbon Summit, ISAF's mission will be concluded by the end of 2014. But thereafter Afghanistan will not stand alone: we reaffirm that our close partnership will continue beyond the end of the transition period.<sup>8</sup>

In July 2012, potential international donors met in Tokyo to discuss post 2014 support to the Government of the Islamic Republic of Afghanistan. Afghanistan and the international community agreed to the Tokyo Framework and a list of priority Afghan reforms regarding important steps to improve the effectiveness and transparency of international assistance. U.S. Secretary of State Clinton also announced the United States' intention to seek sustained levels of economic assistance for Afghanistan through 2017 at or near the levels the U.S. has provided over the past decade.<sup>9</sup>

## Cultural Considerations

The various components of the ANA C2 system operate within a multicultural society marked by decades of conflict. On matters of command and control, Afghan and western military cultures differ in their approaches. It took significant time for the two to understand each other's cultural complexities, then introduce and adapt western concepts and programs that could be accepted and implemented by the Afghan security forces. This cultural landscape challenged Coalition advisors when conveying western concepts at all organizational levels. Afghan cultural conditions and considerations consistently affected Coalition initiatives and significantly

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<sup>7</sup> Excerpt from State Department Fact Sheet, "U.S. Relations with Afghanistan." Bureau of South and Central Asian Affairs. 19 June 2012. Web. 20 Aug 2012. <<http://www.state.gov/r/pa/ei/bgn/5380.htm>>.

<sup>8</sup> Chicago Summit Declaration on Afghanistan, Issued by the Heads of State and Government of Afghanistan and Nations contributing to the NATO-led International Security Assistance Force (ISAF) May 21, 2012.

<sup>9</sup> Excerpt from State Department Fact Sheet, "July 7-9 Tokyo Conference on Afghanistan," <http://www.state.gov/r/pa/prs/ps/2012/07/194681.htm>, 8 July 2012. Web. 20 Aug 2012.

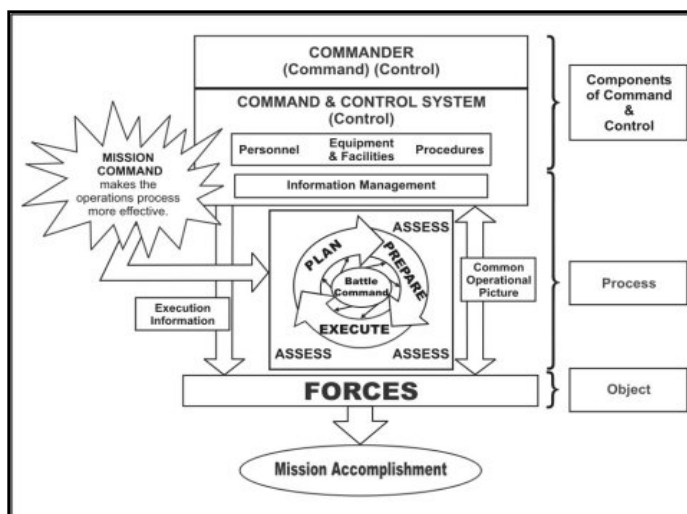
impeded progress in the development and effectiveness of the Afghan command and control system.

## The Western Approach to Command and Control

U.S. Joint Publication One (JP.1) defines command and control as “the exercise of authority and direction by a properly designated commander over assigned and attached forces to accomplish the mission.”

For U.S. Army commanders, the term mission command has replaced the term command and control and describes how properly designated ground commanders, through formal processes, convey their intent to plan, prepare, and execute missions while continually assessing the situation in order to achieve mission accomplishment.

Figure 2. ANA Mission Command



Source: ANA Command and Control Doctrine

U.S. Army Field Manual 3-0 codified mission command doctrine, portions of which were translated into the Dari language and used to develop the *draft ANA document 1-3.6 Command and Control Doctrine*. As of May 2012, this command and control doctrine awaited field-testing and final approval for use by the ANA for Corps level leaders. Figure 2 depicts the ANA Mission Command concept found in the ANA C2 document.

Through their C2 systems, U.S. Army commanders also used the Military Decision Making Process (MDMP)<sup>10</sup> to establish commander's intent and allocate resources. The Afghan National Army Training and Education Command (ANATEC) taught MDMP in formalized courses. Lower level Afghan leaders, such as those attending the ANA Sergeants Major Academy, were taught an abbreviated version of the MDMP – Troop Leading Procedures – that provided small unit leaders a framework for planning and preparing for operations. Whether the ANSF would fully accept and use these western processes as a subset of their C2 system was uncertain.

## ANSF Command and Control System

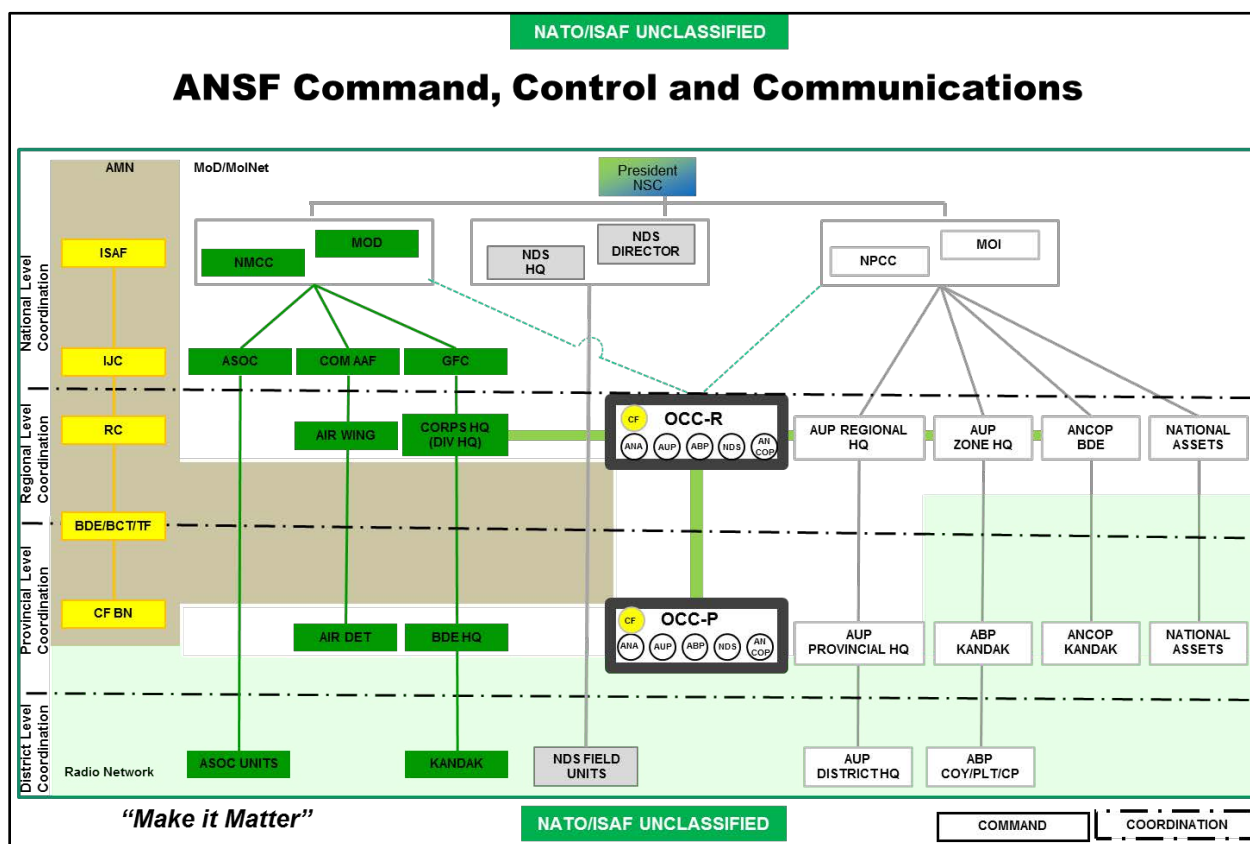
As of May 2012, the ANSF had integrated and linked its C2 system with parts of the Coalition command and control system. Figure 3 graphically depicts the interconnected national, regional, provincial and district level command, control, coordination, and communications systems among ISAF, Ministry of Defense (MoD), Ministry of Interior (MoI) and National Directorate of Security (NDS). Solid lines from the strategic to tactical level depict direct command and

<sup>10</sup> Please see U.S. Army Field Manual 101-5, Military Decision Making Process, for more in-depth discussion.

control responsibilities within MoD, MoI, and NDS. The dashed lines indicate coordination within the strategic, operational, and tactical levels of the ANSF.

The OCCs are not command and control nodes; however, they were designed to function as important coordination and communication centers. The OCCs included representatives from the MoD, MoI, and NDS in addition to liaison officers from the Afghan Uniformed Police (AUP), Afghan Border Police (ABP), Afghan National Civil Order Police (ANCOP), AAF, and Afghan National Army Special Operations Command (ANASOC). OCCs helped Corps commanders and provincial leaders deconflict battle space and facilitate operations, particularly important for the ANA and ANP, which lacked interoperable radio communications systems.

**Figure 3. ANSF Command, Control, and Communications Linkage**



Source: International Security Assistance Force Joint Command

Orders or ciphers flowed through the National Military Command Center to lower level commands. Each lower level of command had its own internal operations centers for information flow vertically to the General Staff and the NMCC as well as horizontally to the regional or provincial OCCs. The ANA Chief of the General Staff also routinely relayed information and orders directly to lower level commanders via cell phone.

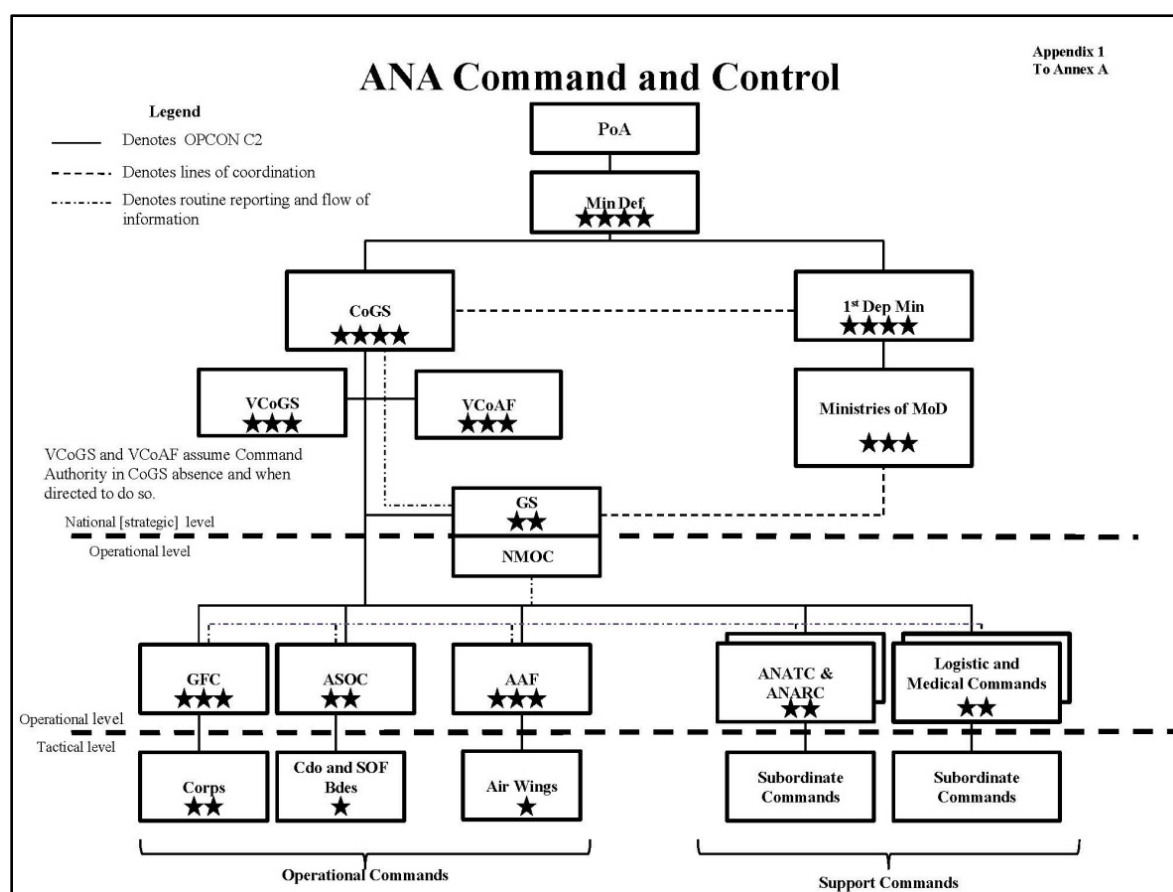
Of note, the Minister of Interior's staff and Coalition advisors referred to the National Police Command Center (NPCC) as a National Police Coordination Center, and did not view it as a critical strategic level command and control node. The MoI senior staff and advisors that we interviewed also expressed significant concern regarding the NPCC's and OCC's overall utility,

lack of effectiveness, and function. In particular, the overall structure of the National Police Coordination Center and regional OCC's did not align with the existing MoI and ANP structure. The ANP Chiefs of Police were locally powerful and decentralized and unlike the ANA regional corps commanders, did not have a strong regional presence. Therefore, advisors reported the NPCC and regional OCC's were perceived as redundant, duplicative, and unnecessary by some members of the ANP.

## ANA Command and Control System

In March 2011, the Ministry of Defense Organizations and Functions Manual defined the ANA Command and Control system, as directed by Presidential Decree 5001.

**Figure 4. ANA Command and Control Structure**



Source: MoD Organizations and Functions Manual

Figure 4 shows the ANA Command and Control Structure taken from the MoD Organizations and Functions Manual, Annex A, the Afghan National Army Command and Control directive, which states:

“Ultimate command authority for the ANA is held by the President of Afghanistan. Command authority may be devolved to the Minister of Defense, the Chief of the General Staff, the Ground Forces Commander, the AAF Commander, and Afghanistan’s Special Operations Command – all levels of



command are ultimately responsible to the President of Afghanistan for the ANA, AAF, and ASOC as appropriate. The First Deputy and all staff in the Ministry of Defense and General Staff, Recruiting, Training, Logistic and Medical Commands have no command authority outside their own mission specific chain of command, unless specifically directed by either the President of Afghanistan, Minister of Defense or Chief of the General Staff.”

## **Summary of ANA C2**

A deeply ingrained Afghan culture and history, a western command and control structure, and written guidance influenced the development and execution of the ANA C2 system. The codified ANA C2 guidance may not reflect current Afghan command practice, nor be sustainable for security operations in the future. The Afghans employ more abbreviated and simpler methods than prescribed in western planning and command concepts. In any event, the systems adopted by the ANA will require discipline, transparency, and clear organizational constructs in order to establish them in support of an enduring and professional security force. The merging of western C2 doctrine and constructs, combined with Afghan cultural considerations, the heavy reliance on Coalition enablers, and the challenging threat environment make the development of the ANA C2 system a daunting task. As such, the effort to develop the ANA C2 system by U.S. and Coalition advisors has been marginally successful. The ANA is capable of rudimentary command and control functionality; however, long-term success in this area will require continued Coalition and U.S. assistance and training.

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# **Part I**

## **Notable Progress**

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## **Operation NAWEED, Afghan-led Operational Planning Effort (SY1391)**

In early January 2012, the ANSF in coordination with International Security Assistance Force Joint Command began implementing Operation NAWEED in order to provide an updated strategy and operational plan to direct joint operations. Its goals are to secure the population and borders, create an environment for the expansion of good governance, enable Afghan-wide commerce and cultural expression, and provide the opportunity for peace and stability in Afghanistan.

Operation NAWEED was especially significant in that it was the first operational plan developed by the Afghan National Security Forces. The plan integrates and synchronizes ANSF and ISAF security force operations in a single campaign plan, detailing a concept of operations for each geographic region. It was a joint Afghan planning effort, including input by the MoI, MoD, NDS, and intended to guide combined team operations from March 2012 through March 2013. In addition, the non-security ministries also provided their own annexes to the SY 1391 plan, enabling a whole-of-government approach.

## **Coalition Development of an Afghan Special Operations Force Capability**

Special mission units have been highly valued in the counterinsurgency fight. Afghan Commandos and Afghan Special Forces have emerged as the premier MoD forces along with MoI Crisis Response Units. Coalition train, equip, and advising efforts have improved their combat proficiency and unit morale, and established them as aggressive and versatile combat-ready forces with strong leadership. The Coalition was undertaking an aggressive program to field more Afghan SOF units with organic aviation capability to enhance Afghan capacity and responsiveness to *in extremis* security situations.

## **ANSF Effects-Based Metrics**

The IJC Director of ANSF Development had developed an initiative to utilize detailed metrics to measure the outcomes of ANSF performance. These new indicators, including measures of support from the local community, public opinion, and levels of economic activity, if adopted, would provide necessary additional insight into ANSF effectiveness in leading the counterinsurgency effort. This would constitute a natural shift in emphasis from measuring how well the Coalition fielded the ANSF to how effectively the ANSF were currently performing. Using this approach would enable ANSF effectiveness measurements based upon trend lines by unit, commander, area, and type of operation.

## **Operation SELAB**

Operation SELAB was a one-time push of multiple classes of supply to the new Regional Logistics Centers (RLSCs) over a 15-day period in early 2012. Operation SELAB increased the



Authorized Stockage List (ASL) inventories at each RLSC prior to the spring 2012 fighting season and assisted in implementing the MoD 14 process<sup>11</sup> for requesting supplies. NTM-A identified 118 critical line items out of the RLSCs' 3,475 authorized inventory levels. Distribution efforts then focused on these key supplies. After Operation SELAB, advisors estimated the increased ASL significantly improved and streamlined requisition fills by the RLSCs. The results of Operation SELAB were encouraging, as previously unissued equipment and parts at depots began making their way into operational units.

## **ANSF Performance during April 2012 Kabul and Provincial Terrorist Attacks**

In April 2012, faced with well-coordinated and complex insurgent attacks conducted in both Kabul and outlying provinces, the ANSF had an opportunity and need to employ both ANA and Afghan National Police forces in response. The ANP, which had the security lead, utilized an internationally recognized “Gold – Silver – Bronze” police response plan, in coordination with the ANA, during Kabul attacks. Of note, these attacks occurred when several key MoD leaders (Minister of Defense and Chief of the General Staff) were out of the country, therefore affording their deputies the opportunity to direct operations. The ANSF successfully repelled the assault through timely coordination and communications between the police and the army. The effort was described by the Coalition as not perfect, but effective.

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<sup>11</sup> MoD 14 is an ANA form used within logistics channels that functions as a requisition mechanism for parts and supplies. This request process is a “pull” system from lower level organizations to logistics depots based upon unit need and tashkil authorizations. Historical data from multiple requests (parts and supplies) can potentially identify recurring demand items and consumption levels by type unit or organization. Accurate records of demand items can facilitate future operational requirements and budgeting considerations.

**Part II**

**Command, Control, and Coordination**

**Challenges and Areas of Concern**

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# **Observation 1: Evolving Organizational Constructs Complicated the Development of the Afghan National Army Command and Control System**

The U.S. and Coalition planners had not sufficiently synchronized the projected completion dates of the evolving organizational initiatives in order to achieve optimal ANA command and control unity of effort in line with Afghan security forces assumption of the lead security role by the summer of 2013.

Still evolving and newly emerging ANA organizational structures presented challenges to the development of the ANA Command and Control System. Specifically, the ANA organizations undergoing concurrent establishment or transition in 2012 included:

- the establishment of Ground Forces Command (GFC),
- the National Military Command Center (NMCC) transition to a National Military Operations Center,
- the changing role of the Air Command and Control Center (ACCC).
- the expanded mission set of the ANA Special Operations Command (ANASOC), and

Additionally, the 40 regional and provincial Operations Coordination Centers were in different stages of maturity with varying levels of capability to interact with provincial, regional, and national counterparts.

The inability of command and control and coordination nodes to develop internal standard operating procedures, exercise staff training scenarios, and coordinate actual operations due to changing ANA roles and responsibilities complicated the development of the overall ANA Command and Control system and could delay overall ANA progress and its transition to lead roles.

## **Applicable Criteria** (See Appendix C, Numbers 1, 12b, 12c, and 15, for more detail)

- Afghan Ministry of Defense, “Air Command and Control Doctrine,” (Dari-English), May 2011
- Decree 5001, Ministry of Defense “Organization and Functions Manual,” March 29, 2011
  - Chapter 32 “Ground Forces Command”
  - Chapter 33, “Afghan National Army Special Operations Command”
- ISAF Operations Plan (OPLAN) 38302 (Revision 6) ISAF Operations in Afghanistan, October 31, 2011

## **Discussion**

Evolving and emerging Afghan National Security Forces organizational constructs complicated the development of the Afghan National Army Command and Control System. At the time of our visit, the ANA had recently established or was in the process of changing four key command, control, and coordination organizations with major mission responsibilities that affected the entire C2 system.

Organizations undergoing change included the ANA Ground Forces Command, the National Military Command Center, the Afghan National Army Special Operations Command, and the Air Command and Control Center. The National Military Command Center planned to change its title to the National Military Operations Center, the Afghan National Army Special Operations Command expanded its mission profiles, and the ACCC changed its command and control role in ANA aviation. Additionally, 40 regional and provincial Operations Coordination Centers were at different stages of maturity with varying levels of ability to interact with their provincial, regional, and national level command centers.

To meet the May 2012 Chicago NATO Summit goal for the ANSF to assume the lead security role across Afghanistan by mid-2013, the ANA and their advisors need to urgently finalize the C2 architecture in order to train, advise, and evaluate ANA C2 proficiency, sufficiency, and ability to sustain these constructs after 2014.

### ***Ground Forces Command***

IJC advisors to the Ground Forces Command developed a series of staff exercises to train and evaluate the Ground Force Commander and staff responses to various security and non-security incidents. However, as our assessment team departed in early May 2012, the delineation of roles and responsibilities between the General Staff and the GFC Headquarters was not complete. In late June 2012, IJC advisors reported to the DoD IG that an Operational Planning Team (OPT) recently completed the initial effort to define roles and responsibilities, however, implementation and validation of the new roles and missions continued.

Ground Forces Command planned to be fully operationally capable (FOC) by August 2012, with plans to assume ANA operational level command and control responsibilities by October 2012. These C2 authorities included GFC direct coordination with ISAF Joint Command and with the commanders of the ANA Regional Corps in order to conduct and synchronize operational missions and respond to security incidents. Both milestones linked to staff exercises in order to validate GFC readiness to function as an operational level staff. However, until a clear delineation of the roles and responsibilities of the General Staff and the Ground Forces Command is defined and established, validation of the GFC Commander's ability to exercise effective command and control of ANA forces will be problematic.

### ***National Military Command Center***

The current National Military Command Center planned to change its name and mission to become a National Military Operations Center. Advisors reported the name change would be synchronized with the standup of Ground Forces Command; however, they could not clearly

specify the changes planned within the National Military Command Center in order for it to act as an operations center, nor the date planned to execute this change.

**Figure 5. National Military Command Center**



Source: DoD IG

### ***Air Command and Control Center***

Advisors to the Air Command and Control Center reported the Air Command and Control doctrine approved in May 2011 was under revision. The advisors indicated the ACCC might develop into an operations center instead of a command and control node due to the centralized control, prioritization, and retasking of air assets at the General Staff level. However, advisors did not provide a date when changes would occur to the ACCC, nor describe planned ACCC interactions with the other emerging C2 organizations.

Although Air Liaison Officer positions were established on each of the OCC *tashkils*, many positions had not been filled. Often ANA officers filled the positions due to shortages of Afghan Air Force representatives. The ACCC advisor at NTM-A was actively assessing the Air Liaison Officer situation during our visit in order to determine shortfalls and standardize liaison training. Even if the Air Liaison Officer positions were filled at desired levels, the uncertainty associated with the ACCC mission regarding operational command and control of Afghan Air Force air assets would impact their training.

### ***Operations Coordination Centers***

Regional and provincial Operations Coordination Centers were at different stages of maturity with varying levels of ability to interact with their provincial, regional, and national level command centers. Operations Coordination Centers had not fully developed although their original implementation began in 2007.

The Coalition emphasized its convictions regarding the importance of developing effective Operations Coordination Centers as integral to ANSF capability to assume the lead for security operations in 2013.

Detailed IJC CUAT reports from advisors captured the current state of OCC development and organizational capability. OCC evaluations depended upon a partnered unit affiliation or a dedicated OCC Security Force Advisor Team (SFAT) of 9-15 personnel. IJC tracked the status of development and readiness trends every quarter across multiple functional areas.

The Commanders Unit Assessment Tool assigned Readiness Development Levels based upon objective and subjective information, including personnel strength, equipment holdings, maintenance of equipment, and training. The readiness development level (RDL) certification process for OCCs was a slow process in development to go from RDL 5 (unit is established) to RDL 1 (unit is independent with Advisors). Coalition partners changed due to unit rotations, and SFAT personnel shortages affected the IJC validation of the OCCs. Additionally, some OCC locations occasionally lacked partner units and trainers.

In addition, the ANA, ANP, and NDS were unable to fulfill their *tashkil* resource requirements, which resulted in repeated OCC institutional shortfalls, particularly with regard to personnel and logistics support. New requirements created by ANA organizational restructuring challenged ANSF stakeholder efforts to have their requests included in changes to equipment or personnel authorizations during the annual *tashkil* requirements process. The ANSF institutional bureaucracy has necessitated significant Coalition involvement and assistance to facilitate timely updating of *tashkil* requirements.

The DoD IG team also reviewed the OCC Certification Activation Criteria that IJC and the ANA established for Transition Tranche 2. This assessment tool identified seven categories as key indicators for certification and effectiveness. Each category had a maximum point value: [Personnel (54), Operations (45), Information (27), Logistics (33), Communications (24), Training (15), and Command and Leadership (27)], based upon an agreed Coalition and Afghan Key Leader consensus. Periodic IJC assessments from partnered units or Security Force Assistance Teams could be used as a gauge for further Coalition assistance to improve the functional performance and operations of the OCCs. As of May 2012, nearly half of the 40 OCCs were certified.

OCCs are essential to support the resiliency of the ANSF Command and Control System. How the OCC certification program addresses existing OCC shortfalls in any of the seven categories will be essential to support the resiliency of the ANSF Command and Control System.

### ***ANA Special Operations Command***

In March 2012, the DoD-sponsored Afghanistan Strategic Program Review that considers important new initiatives and program enablers indicated a significant focus on increasing the ANA Special Operations Command force structure and organization. The solar year 1391 *tashkil*

authorized establishment of the ANASOC headquarters and two new Special Operations brigades, each consisting of five Special Operations *kandaks*. The brigades mixed ANA Commandos (ANACDO)<sup>12</sup> and ANA Special Forces (ANASF)<sup>13</sup> with each brigade allocated a general support *kandak*. These support *kandaks* will provide the ANASOC Commander improved ability to ensure tactical and operational level logistics support to ANASOC forces. The DoD sponsored Afghanistan Resources Oversight Council that addresses major ANSF requirements and funding sources identified and approved new air and ground mobility assets in September 2012 to provide additional mobility to ANASOC forces to meet mission requirements.

On July 16, 2012, the ANA activated the Special Operations Command headquarters followed by the Special Mission Wing (SMW) two days later. The Special Mission Wing will consist of four new squadrons of seven Mi-17s and four fixed wing aircraft. In addition, three MoI aviation support detachments will provide nationwide mobility coverage. The previous MoI Air Interdiction Unit became a part of ANASOC in order to conduct both counter narcotics and COIN missions under one command. As these capabilities emerge, so will the need for their respective command and control systems to mature.

The ANASOC force structure adjustments, combined with Coalition SOF headquarters consolidation into a single headquarters, introduced significant change and growth into the development of a critical Afghan security force asset. Although these ANASOC force structure changes bring an essential capability, integration with the other evolving command and control systems will require close monitoring by advisors and stakeholders in order to synchronize effects, achieve unity of effort, and maximize senior Afghan leader C2 training.

## Conclusion

The May 2012 NATO conference in Chicago set 2013 as the goal for ANA to assume the lead for security operations and prepare for transition in 2014. Not all the organizational C2 restructuring underway appeared sufficiently synchronized to achieve ANSF C2 unity of effort by the summer of 2013. The magnitude of ANA C2 organizational change presented a significant challenge to the maturation of its various C2 nodes. The organizational changes hindered development of standard operating procedures, staff training, and the ability to conduct independent security operations. The lack of synchronization could delay overall ANA progress in assumption of the security lead role from U.S. and Coalition forces.

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<sup>12</sup> ANA Special Forces specialize in foreign internal defense missions and COIN operations.

<sup>13</sup> ANA Commandos specialize in direct action, similar to the U.S. Army Rangers



## Recommendations, Management Comments, and Our Response

1. Commander, International Security Assistance Force Joint Command, in coordination with Commander, NATO Training Mission-Afghanistan, support the Afghan Minister of Defense and General Staff in the stabilization and finalization of efforts to bolster and develop existing Afghan National Army command and control organizational structures in order for these organizations to be properly mentored and validated for their full operational capability in support of the transition to ANA lead in security operations before summer of 2013.

### Management Comments

Responding for International Security Assistance Force Joint Command, the IJC Director of Future Plans and the Inspector General partially concurred with Recommendation 1, requesting that we adjust the wording of the recommendation for “accuracy and correctness.”

### Our Response

Although partially concurring with the draft recommendation, the comments received on behalf of Commander, International Security Assistance Force Joint Command are responsive. The command suggested the wording that now appears in the final Recommendation 1, and which recognizes that IJC supports (*emphasis added*) the MoD and General Staff in stabilizing and finalizing efforts to develop existing (*emphasis added*) ANA command and control structures. We have revised our draft recommendation to reflect the changes suggested. In responding to the final report, we request that IJC provide to us a summarization of specific actions the command has taken to support the MoD and GS in stabilizing and finalizing ANA C2 organizational structures, to include the NMCC, GFC, the ACCC, ANASOC, and the OCCs.

## **Observation 2: Ground Forces Command Responsibilities were Unclear**

An unclear division of responsibility existed between the ANA General Staff and the emerging ANA Ground Forces Command causing significant organizational and operational challenges during GFC establishment.

General Staff reluctance to identify and divest authorities and responsibilities to the GFC inhibited the timely development of the Ground Forces Command. Afghan and Coalition officers also reported widespread, lukewarm support among senior level MoD and General Staff officers for the establishment of the GFC. Operationally, some Afghan Corps commanders and staff members considered the GFC unnecessary and a redundant headquarters.

The General Staff's prolonged reluctance to identify and divest defined roles and missions to Ground Forces Command hindered formation of the GFC, affected GFC staff functional start-up training, and subsequently delayed the development of the overall ANA command and control system.

**Applicable Criteria** (See Appendix C, Numbers 6, 11, 12a, 12b, 13, and 17 for more detail)

- Chief of General Staff Order # 358, "About the Regional Corps and 111 Capital Division Command and Control," dated 04/11/1390, January 24, 2012
- Decree 467 Establishing GFC, June 2010, Implementation Order 2011
- Decree 5001, Ministry of Defense "Organization and Functions Manual," March 29, 2011
  - Chapter 23, "Mission of the Office of General Staff Chief of Operations GSG3"
  - Chapter 32, "Ground Forces Command"
- Draft Directive of the Authorities and Responsibilities of Ground Forces Command and Chief of General Staff of the MoD, undated
- Ministerial Development Program for ANA Ground Forces Command, January 3, 2011

## **Discussion**

The GFC faced significant organizational and operational challenges during its implementation. An IJC-sponsored Operational Planning Team had the mission to assist the MoD and GS to define and differentiate GS and GFC staff responsibilities. The OPT completed its work in mid-May 2012, and resulted in a cipher approved and issued by the Chief of the General Staff delineating the responsibilities between the GS and GFC. Detailed staff work continued within the GFC G5 Directorate (Plans and Policy) to define readiness criteria and the process to transfer specific responsibilities from the GS to GFC.

## ***Organizational and Operational Challenges***

Although Presidential Decree 467 established the GFC in June 2010, latent controversies surrounding the duties of the General Staff and GFC remained. Functional separation of staff responsibilities has proven to be a formidable task. The GS had to accept the loss of some

responsibilities and the GFC had to accept and begin staff training to assume new duties. Both actions were critical in delineating and assuming the responsibilities of major C2 nodes.

According to IJC, another OPT has been formed to resolve these on-going challenges. Whether the OPT will be effective is yet to be determined. Without a clear understanding of roles, missions, and functions, a confusing, duplicative, and dysfunctional ANSF command and control arrangement may result.

### ***Limited Support***

Advisors and ANA officials reported mixed levels of organizational support for the establishment of the GFC. The GFC was a late addition to the overall ANA command structure and, by organizational size and influence, the least understood. Views on the necessity, utility, and future role of the GFC varied widely among Afghan corps headquarters, GS staff principals, and some Coalition officers. Several ANA leaders and Coalition officers noted that the GFC was an IJC construct and initiative, not an Afghan-created requirement, and that it added an unnecessary layer to the ANA chain of command.

While IJC dedicated significant mentoring and training assistance to the stand-up of the GFC and headquarters staff, our assessment team observed limited support from within the General Staff for GFC development and significant doubt at all ANA levels regarding its long-term existence after 2014. The ANA did not display a unified conviction that the GFC would be a viable addition to the existing ANSF command and control structure.

### ***Development of GFC***

GFC senior officers planned for the GFC to be fully operational capable by August 2012, and assume ANA operational level command and control responsibilities by October 2012. The IJC effort to develop the GFC headquarters appeared on track. IJC's training strategy from July 2011 to August 2012 focused on essential tasks such as individual training, functional staff development, periodic exercises geared to the timely publishing of orders, synchronization of internal GFC staff functions, and refinement of NMCC reporting requirements. IJC validation tasks accompanied command post exercise staff training events based upon specific exercise scenarios.

The stated mission for GFC is to synchronize activities between the GS, the four operational Corps commands, Capital Division, and other assigned units. The GFC is intended to unify the essential ANA tasks to counter terrorism, insurgency, and any other threat to Afghan security. However, the GFC will have limited influence on logistics matters and must coordinate with the national level logistics command, the Army Support Command, to ensure supplies meet field operation needs. GFC development reflected a conditions-based process that required Afghan support, multi-national cooperation, and key leader engagements by U.S. and other Coalition general officers to meet the desired end state.

GFC Headquarters included an Interagency Coordination Center (ICC), equivalent to an OCC operating at a higher command level, that would play a prominent role in determining GFC requirements, information sharing within the interagency, and operational de-confliction. The GFC Commander's role in orchestrating both the GFC Joint Operations Center (JOC) and the

Interagency Coordination Center may duplicate responsibilities resident in the NMCC, MoD, and General Staff. The plan to have a civilian director in charge of the ICC introduced the notion of emerging civilian influence on operational matters. The role of the ICC as a collaborative asset was unclear at this stage in GFC development.

### ***Future Milestones***

IJC conducts periodic command post exercises to validate specific GFC staff function competencies based upon task lists. The first validation milestone began with an internal staff validation exercise for FOC preparation in late September; the second milestone began with the GFC preparations to assume ANA command and control in October. Although the GFC advisors had not planned for the corps to participate in the final GFC exercises, including corps participation would be vital to validate communications connectivity and confirm understanding of command relationships. IJC involvement in GFC staff training and mentoring was uncertain beyond October 2012.

### **Conclusion**

The lack of clearly differentiated functional responsibilities between the General Staff and GFC and the evolving delays in prescribing solutions has impeded completing the ANA C2 architectural structure. Operational staffs must have time to adjust to new lines of authority, establish relations with new points of contact, and initiate routine communications for specific staff actions. Undertaking comprehensive staff training exercises to practice procedures, educate, and train staffs in new responsibilities will also be essential and requires sufficient time.

### **Recommendations. Management Comments, and Our Response**

2.a. Commander International Security Assistance Force Joint Command, in coordination with NATO Training Mission - Afghanistan, the Ministry of Defense, and General Staff, support and assist the Operational Planning Teams and new MoD leadership to quickly resolve the relevant issues regarding delineation of roles, missions, and functions directed by the Chief of the General Staff's cipher to ensure previously published GFC activation milestones can be achieved.

2.b. Commander International Security Assistance Force Joint Command, in coordination with NATO Training Mission- Afghanistan, assist the Ministry of Defense to incorporate the new delineated General Staff and Ground Forces Command roles in the Ministry of Defense Organization and Functions Manual.

2.c. Commander International Security Assistance Force Joint Command, in coordination with NATO Training Mission - Afghanistan, the Ministry of Defense, and the Ground Forces Command, schedule a capstone command and control validation exercise with the General Staff, ANA Corps, 111<sup>th</sup> Capital Division, and other relevant stakeholders that re-enforces understanding and responsibilities across functional staff areas.

## **Management Comments**

Responding for International Security Assistance Force Joint Command, the IJC Director of Future Plans and the Inspector General concurred with Recommendations 2.a., 2.b., and 2.c., without any additional comments specific to the recommendations.

## **Our Response**

IJC comments are partially responsive. While concurring with each, IJC did not specify what actions it planned or had completed toward implementing the recommendations. We request that in response to the final report, IJC provide us a detailed narrative of actions planned or completed with projected dates or timelines to accomplish the elements of each recommendation. Response should cover resolution of relevant staff responsibilities between the GS and GFC (2.a.); delineation of these new roles and functions in an updated Afghan Organizations and Functions Manual (2.b.); and status of any command and control staff training exercises to validate Afghan understanding of the delineated responsibilities between the GS and GFC among all stakeholders, including the Corps commands, Logistics Command, and other logistics C2 nodes (2.c.).

## **Observation 3: The Afghan Air Force Headquarters Air Command and Control Center Did Not Function as an Effective Command and Control (C2) Node.**

The ACCC did not function as a command and control center and was a redundant level of coordination.

This occurred because the General Staff G3 Deputy for Air Affairs, Air Plans office assumed the mission to prioritize air mission requests (AMRs)<sup>14</sup> and sent them to the ACCC for AAF mission tasking and monitoring. However, changes and updates to in-progress missions routinely were sent by senior officers directly to Afghan Air Wings for action, bypassing the ACCC and removing the ACCC from command and control authority over AAF missions.

Ground and airborne communication limitations further complicated the ability of the ACCC to conduct C2. As a result, the ACCC could not consistently contact aircrews in order to relay official changes or track air mission progress.

The inability of the ACCC to execute C2 contributed to its lack of visibility over air operations at the Afghan Air Wings, and increased the risk of potential misuse of aircraft, thereby hampering official AAF mission accomplishment.

### **Applicable Criteria** (See Appendix C, Numbers 1, 12a, and 12d for more detail).

- Afghan Ministry of Defense, “Air Command and Control Doctrine,” (Dari-English), May 2011
- Decree 5001, Ministry of Defense “Organizations and Functions Manual,” March 29, 2011
  - Chapter 23, “Mission of the Office of General Staff Chief of Operations GSG3”
  - Chapter 34, “Mission of the Afghan Air Force”

## **Discussion**

In July 2011, a DoD IG team assessing the U.S. and Coalition efforts to develop the AAF,<sup>15</sup> noted deficiencies regarding command, control, and stewardship of AAF resources. Air advisors had established the Afghan Air Force Professionalization Program and collected monthly metrics regarding adherence to established command and control policy, as well as to the proper submission of air mission requests. The AAF Air Command and Control doctrine also

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<sup>14</sup> Air mission requests are forms used to request, approve, and prioritize the use of AAF assets.

<sup>15</sup> DoD IG SPO Report, “Assessment of U.S and Coalition Efforts to Train, Equip, and Field the Afghan Air Force,” Project No. D2011-D00SPO-0234.000.

emphasized that “the proper routing of air mission requests is central to disciplined command and control.”

From July 2011 to March 2012, after several key leader engagements, the advisors reported they had observed improvement in compliance with proper command and control policy and scheduling procedures. For example, in March 2012, the NATO Air Training Command – Afghanistan (NATC-A) Operations Officer reported the monthly results for these rotary and fixed wing missions. The report showed the percentage of missions conducted using the required AMR process increasing from 14 percent in August 2011, to 73 percent in March 2012. However, the AMRs that were both on time and completed properly stood at 40 percent. Further, the report showed the AAF completed proper mission planning in accordance with Afghan command and control policies for 54 percent of the missions flown in March 2012. The AAF command and control capability had demonstrated improvement, but still needed significant work.

Although U.S. and Coalition key leader engagements had resulted in improvement of stewardship of AAF assets by MoD and ANA senior leadership, during this assessment we noted that the ACCC did not function as an effective command and control node. We physically observed computers, radios, and direct phone lines to the NMCC. However, the ACCC exercised only limited coordination and no command authority over AAF air mission tasking. Several senior Afghan officials expressed the belief that each AAF wing should have its own operations center in order to report directly to the GS-G3 Deputy for Air Affairs at the NMCC. This action would, in effect, bypass the ACCC and relegate it to a mere coordination center instead of a command and control center.

## **Air C2 Organization and Doctrine**

Although the President of Afghanistan retained ultimate command and control of the ANA, the Minister of Defense and the Chief of the General Staff were responsible for day-to-day operational C2, communicating commander’s intent and implementing orders. Senior MoD and GS leaders’ orders flowed through the General Staff G3 and the NMCC.

According to the MoD Organizations and Functions Manual, the Afghan Air Force had responsibility for final tasking, execution of orders, and assumption of command and control of the Afghan Air Forces as an operational level command. Air Command and Control doctrine directed the use of the ACCC for this C2 function.

However, advisors reported that in April 2012, the Air Command and Control doctrine signed in May 2011 was in revision, and the ACCC role may be changed to become an air operation or coordination center, rather than an air command and control center. Specifics regarding the details of these changes, as well as how the new Ground Forces Command would affect the ANA Corps interaction with the ACCC, was yet to be defined.

In practice, the regional corps commanders sent their AMRs directly to the GS-G3 Deputy for Air Affairs, Air Plans office, instead of to the ACCC. Procedurally, this practice was in conflict with the May 2011 Air Command and Control Center Doctrine that stated, “regional air support requests are routed to the ACCC from Air Wings and detachments”. Advisors acknowledged some AAF C2 processes did not comply with this guidance, and the AAF would update those





## Limited Air and Ground Communications

Ground and airborne communication limitations further complicated command and control. The mountainous terrain limited the type of radios the ACCC could use to communicate with airborne aircraft, as did austere and remote landing locations with limited ground communication options.

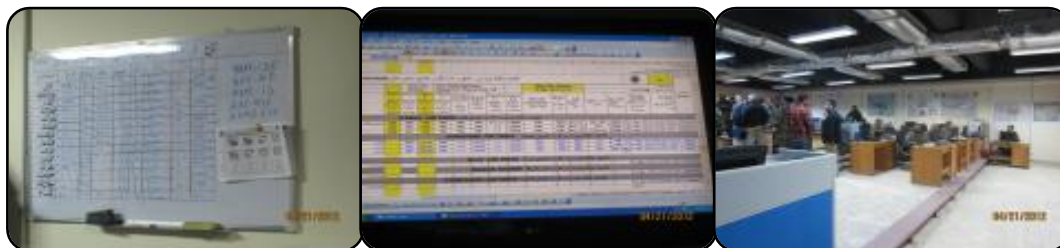
A high frequency radio (HF) was the primary method for the ACCC to communicate with airborne aircraft. The HF radio could reach the furthest distance, but was also limited to an unsecure single frequency used by all aircrews. Air advisors reported difficulty communicating with the ACCC in English due to limited air controller language proficiency; however, Afghan crews could communicate in Dari. Ultra High Frequency (UHF) and Very High Frequency (VHF) radios also had very limited range due to line of sight restrictions imposed by mountainous terrain.

Advisors and the AAF were working together on improving their communication system. The ACCC reported that their goal was to be able to connect with all AAF units via e-mail, fax, and radio. As of May 2012, the ACCC had established e-mail contact with the Kandahar Air Wing. However, this contact had not been established with the Shindand Air Wing and other maturing air detachments at various locations.

The ACCC was unable to communicate with aircrews on the ground via a landline at many locations. In addition, although the AAF had a program for government cell phones, this program was not available to tactical level aircrews. Advisors created a work-around for Wing Operations Centers to call aircrews as personal cell phone charges were not incurred in this situation. However, the AAF expected Afghan aircrews to use their personal cell phones, at their own expense, to initiate contact to the ACCC or WOC. This situation provided little incentive for the Afghans to make phone contact.

As a result, the ACCC could not easily communicate with aircrews in order to execute official changes, nor track missions via flight following<sup>16</sup> to ascertain their current location. In order to obtain current mission information, the ACCC needed to contact the Afghan Air Wings individually for updates and details.

**Figure 7. Air Command and Control Center (Kabul)**



Source: DoD IG

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<sup>16</sup> The task of maintaining contact with specified aircraft for the purpose of determining en route progress and/or flight termination.

## Kandahar Air Wing

Kandahar Air Wing had recently established its WOC in the AAF Wing Commander's Headquarters. This move was a step forward in the development of their Command and Control system. Previously, the WOC was located in another building within the Air Wing compound away from AAF leadership. The AAF presented visual evidence of computers and radios in the new WOC, along with a manual ledger air mission tracking system (see Figure 12). Advisors reported that the Afghans, a week prior to our visit, had established positive radio contact with an airborne aircraft from their new operations center. Although the wing operations center was in a nascent stage of development, it showed improvement toward achievement of C2 for Kandahar AAF leadership.

The Kandahar WOC had limited interaction with the ACCC and mission changes routinely came directly to the Air Wing instead of through the ACCC. However, the WOC coordinated with the Regional Operations Coordination Center (OCC-R) at Kandahar regarding availability of AAF aircraft and mission status. The assessment team confirmed the presence of an aircraft status board during its visit to the Kandahar OCCR.

Kandahar Air Wing also demonstrated a rudimentary, but emerging capability to conduct flight following of their aircraft. The Afghan National Tracking System (ANTS), a commercially available GPS-based solution originally designed for use by the ANA, was in the early stages of implementation by the AAF. Of note, the ACCC had also requested this capability in order to track national level missions, but as of May 2012, did not possess the ANTS equipment.

**Figure 8. Kandahar Air Wing Operations Center**



*Source: DoD IG*

## Conclusion

The ANA had shifted prioritization of both national and regional level missions along with the majority of C2 functions away from the ACCC and to the General Staff G3 Deputy for Air Affairs office in the NMCC. Although General Staff Air Plans sent the AMRs to the ACCC for mission tasking and monitoring, advisors reported that changes and updates to in-progress missions went directly to the Air Wings and bypassed the ACCC.

Ground and airborne communication limitations further complicated effective C2. Although radio, telephones, and computers were present in the ACCC, there appeared to be limited use of them to effect coordination and ACCC personnel exercised no command authority. Aircrews could not be consistently contacted to execute official mission changes, nor be tracked real time via flight following to ascertain their current position.

As a result, the ACCC was a rudimentary coordination or operations center instead of a command and control center and, therefore, appeared to be a redundant level of coordination. According to U.S. and Coalition air advisors, the Afghan centralized prioritization and control of air missions at the General Staff Deputy for Air Affairs made consolidation of WOC inputs at the NMCC a natural solution, particularly until the AAF was further along in its development. The inability of the ACCC to execute C2 consistently contributed to the lack of transparency regarding air operations at the Air Wings and increased the risk of potential misuse of aircraft, thereby hampering official AAF mission accomplishment.

## **Recommendations, Management Comments, and Our Response**

3.a. Commander, NATO Air Training Command – Afghanistan reevaluate utility of the Air Command and Control Center and either close it or rescope its function to serve as an air operations and coordination center only; consider streamlining operations and resources to have all air movements approved and tracked by General Staff Air Plans within the National Military Control Center.

### **Management Comments**

The NTM-A/CSTC-A Deputy Commanding General for Support non-concurred with Recommendation 3.a., stating that while the ACCC had experienced a reduced level of performance, a re-emphasis by mentors had made significant organizational improvements. NTM-A/CSTC-A was not in favor of closing the ACCC, declaring it an important C2 node. Specifically, they noted:

“The ACCC is an essential command and control (C2) element integral to the success of the growing and maturing Afghan Air Force (AAF). Further, the ACCC is key to the efficient and effective daily management of the AAF's air resources both now and as the force evolves to full capability in the coming years. Closing the ACCC would hinder the ability of the AAF Commander and headquarters staff to maintain adequate oversight during planning, execution, and post mission phases of national and regionally tasked air movement requests (AMR). The ACCC is an important part of the greater Afghan National Security Force (ANSF) air-ground coordination construct.”

### **Our Response**

NTM-A/CSTC-A comments are partially responsive. According to the MoD Organizations and Functions Manual, the AAF had responsibility for final tasking, execution of orders, and assumption of command and control of the AAF as an operational level command. Air Command and Control doctrine directed the use of the ACCC for this C2 function. Yet, at the time of our assessment, the ACCC did not have operational command and control authority over AAF assets. The management comments from NTM-A/CSTC-A do not clearly indicate how the ACCC is acting with command and control authority over all air operations within the AAF, or when that authority will be implemented. Until that time the ACCC appears to be nothing more than a redundant air operations and coordination center without clear authority to direct and task missions. In response to the final report, we request that NTM-A/CSTC-A describe how the ACCC exercises “command” over AAF assets for operational planning, execution, and control;

or specify when it is anticipated that the ACCC will gain command and control authority over AAF mission execution. In describing this, please define what distinguishes the ACCC from typical Air Tactical Operations Centers.

3.b. Commander, NATO Training Mission - Afghanistan in coordination with Commander, NATO Air Training Command – Afghanistan advise the Vice Chief of the General Staff –Air and Afghan Air Force Headquarters staff to revise the Air Command and Control Center doctrine in order to clarify its role and its interaction with other command, control, and coordination nodes.

## Management Comments

The NTM-A/CSTC-A Deputy Commanding General for Support concurred with the recommendation as written, and offered an over-arching explanation of evolving improvements.

NTM-A stated that:

“...despite the adoption of a new C2 doctrine document in May 2011, lack of coalition advising activities significantly contributed to a general misunderstanding, inconsistent application, and varied interpretations of the nascent Afghan Air C2 Doctrine. Great strides have been made to reverse these trends with increased emphasis on the use and exercise of C2 authority by the ACCC, as well as, continued and frequent education for the Afghans from their advisor counterparts.”

However, the AAF must have the opportunity to implement the Air C2 doctrine as written over a reasonable period of time before considering a revision. In support of this effort, NATC-A advisors have been and continue to 1) educate key senior leaders on the approved Air C2 doctrine, 2) develop the products and C2 nodes to facilitate full implementation of the approved C2 process, 3) evaluate progress, and 4) make recommendations for future Air C2 doctrine revision based on measured results. Among these efforts is a combined Afghan-Coalition ACCC Mobile Training Team to provide a complete and standardized interpretation of the approved Air C2 doctrine throughout the AAF. Similarly, a campaign to educate senior leaders at the MoD, AAF HQ, Air Wing, and regional levels began in Oct 2012.”

## Our Response

NTM-A/CSTC-A comments are partially responsive. We acknowledge that NTM-A/CSTC-A is aggressively acting to improve the advisory capacity to the AAF, and specifically, for the ACCC. We agree that recommendations for future Air C2 doctrine revision should be based on measured results. We request that in response to this report, NTM-A/CSTC-A report progress made in clarifying the role and interaction of the ACCC with respect to other Afghan Air Force command and control nodes, and how this interaction and authority has been incorporated into the ACCC doctrine.

3.c. Commander, NATO Air Training Command - Afghanistan explore options to improve command and control communications with Afghan Air Force aircrews, to include use of government cell phones, and provide reliable mission flight following of Afghan Air Force aircraft.

## **Management Comments**

The NTM-A/CSTC-A Deputy Commanding General for Support concurred with the recommendation as written, without any additional comments specific to the recommendation as to how or when they would explore the options to improve communications with air crews or develop a flight following capability.

## **Our Response**

The NTM-A/CSTC-A comment is partially responsive. We request that in response to this report, NTM-A/CSTC-A provide the details of how and when the command will assist the Afghan Air Force to develop options for improving real time communications with aircrews during operational missions and develop operational flight following capability.

## **Observation 4: ANA Commanders' Inability to Remove Officers for Cause Negatively Impacts Effectiveness of Command**

Senior Commanders within the ANA perceived that they were unable to remove officers assigned to them for misconduct, negligence, or loss of confidence in their ability to execute their assigned duties.

Several factors contributed to this perception. First, the Inherent Law for the Afghan National Army Officers and NCOs (ILON) and other internal ANA directives on assignment or removal of officers and NCOs were vague and contradictory. Second, there was no formally prescribed and universally understood methodology governing the process by which Commanders could relieve subordinates. Finally, due to the political influence exercised by central government, Corps Commanders, the Chief of the General Staff, and even the Minister of Defense were restricted in their authority to remove ANA officers, and general officers in particular.

Failure to grant Corps Commanders and the Chief of General Staff the clear and unambiguous authority to remove subordinate officers for cause while providing an equitable, properly administered process by which this action can be accomplished, negatively affects ANA command authority and mission effectiveness.

### **Applicable Criteria** (See Appendix C, Numbers 4, 5, 12, and 18 for more detail).

- Afghan National Army Inherent Law for Officers and NCOs, published in the Ministry of Justice *Official Gazette*, July 2010
- Afghan National Army Regulation 030: "Officer Assignments, Transfers, and Details"
- Decree 5001, Ministry of Defense "Organization and Functions Manual," March 29, 2011
- Ministry of Defense Order No. 0517, "Maintaining Ethnic Balance in the ANA," March 18, 2010

## **Discussion**

Senior commanders within the Afghan National Army almost uniformly shared the opinion that limitations imposed on their authority to assign personnel, and perhaps more critically, to remove or suspend incompetent or incapable officers, impaired their capability to command. In one case reported to our team, a Corps Commander (Major General) had been unable to remove a disruptive Chief of Staff (Brigadier General) for over a year, and the ensuing dysfunctional command relationship had a palpably negative effect on the morale of the corps.

### ***Balancing Ethnicities within the ANA***

In June 2006, the Minister of Defense issued MoD Decree 062, which established ethnic personnel goals for the first time within the ANA. The Minister issued the ethnic targets, in large part, due to an unusually large representation of Tajiks among the ANA senior officers, and a disproportionately smaller representation among the Hazaras, Uzbeks, and other smaller tribal

entities.<sup>17</sup> In March 2010 the MoD issued Decree 0517 that restated the same ethnic goals as in the 2006 Decree.

The senior officers interviewed understood the need for ethnically balanced personnel to make the ANA more representative of the Afghan population and to remove the potential for corruption. They also noted, however, that the policy could potentially result in some officers being assigned to positions for which they were unqualified or incapable, merely to attain the balance. Regardless, by having a program that actively sought to achieve ethnic balance, commanders were restricted in their ability to remove some unqualified officers. To institutionalize an equitable program, formal investigative mechanisms needed to be emplaced to ensure that removed officers were afforded legal protections. We could not determine that such a formal mechanism existed in the ANA.

### ***Confusing Policies***

The ANA had no formally prescribed and universally understood process whereby commanders could relieve subordinates for cause. Instead, parliamentary and MoD leaders had issued confusing and contradictory laws and policies that often did not address the needs of commanders to remove non-performing officers. Two crucial documents addressing assignment or reassignment of officers were the Inherent Law of Officers and NCOs, and the MoD Officer Assignment and Reassignment Policy.

### ***Inherent Law of Officers and NCOs (ILON)***

The Inherent Law for ANA Officers and NCOs (ILON) was enacted to manage the “employment, transfer, promotion, award, punishment, separation, resignation, and retirement” of ANA officers and NCOs.<sup>18</sup> However, the ILON does not provide specific authority to remove officers; it does prescribe the approval authorities for promotion, assignment, and transfer of officers.

**Table 1. ILON Authorities for Assignment and Promotion**

<b>RANK</b>	<b>FINAL APPROVAL</b>
General Officers	President of Afghanistan
MAJ, LTC, COL	Minister of Defense
2LT, 1LT, CPT	Chief of General Staff
NCO	Chief of General Staff

*Source:* ANA Inherent Law for Officers and NCOs

Commenting on the ILON-a senior General Staff officer stated that in Afghanistan there existed a fear of misusing power, and that ethnic and relational preferences could result in some

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<sup>17</sup> MoD Decree 062 provided for the following ethnic goals within the ANA: Pashtun – 44%, Tajik – 25%, Hazara – 10%, Uzbek – 8%, Other – 13%. A NTM-A brief in March 2012 depicted actual officer percentages as follows: Pashtun – 42.9%, Tajik – 40.1%, Hazara: 7.3%, Uzbek: 4.2%, Others: 5.6%.

<sup>18</sup> Islamic republic of Afghanistan, Ministry of Justice, Official Gazette (Extraordinary Issue), “Inherent Law for Afghan National Army Officers and NCOs (ILON).”

commanders removing officers, replacing them with their own tribal kinsmen or favorites, and creating a dangerous concentration of like-minded officers with military power. He stated that the ILON was passed to prevent such an occurrence. Nevertheless, he, like many other Afghan officers, opined that the ILON negatively affected the “command” authority of commanders and the efficiency of the ANA. He stated that the authority and responsibility to command should ultimately reside in the Chief of the General Staff (CoGS), and that the CoGS must have the authority to remove incompetent and incapable officers to improve the efficiency and effectiveness of the ANA.

Of note, all ANA officers who addressed the inability of commanders to relieve or suspend subordinate officers for cause referred to the limitation imposed by the ILON as the authoritative document. However as previously noted, the ILON contained no article that addressed the involuntary removal of officers.

### **Officer Assignment and Reassignment Policy / Regulation 030**

**Three Officers/Year.** Paragraph 5-2 of the MoD Officer Assignment and Reassignment Policy did specify that commanders (at all levels) had the right to remove a maximum of three officers per calendar year without higher level approval. The commander needed only to forward the appropriate documentation to the General Staff G1 (Personnel) for processing and reassignment orders for the removed officer. The regulation did not specify what happened to the relieved officer while awaiting reassignment.

**More than Three Officers.** In addition, this regulation also contained an article authorizing a commander to remove more than three officers during the calendar year, but to exceed three the commander had to first forward the request for removal of the officer to the CoGS for final approval and replacement actions through the respective Corps Commander. This differed from the removal policy for the first three personnel in that this article specified Chain of Command action and final approval by the CoGS.

**Reasons for Removal.** The MoD Officer Assignment and Reassignment Policy regulation provided that personnel may be removed for two reasons:

- Removal without Prejudice – when a commander recognizes an officer is not suited for the position. Removal under this clause is not negative.
- Removal for Cause – when a commander loses confidence in the officer’s ability due to misconduct, poor judgment, inability to complete assigned duties, or other similar reasons.

In actual practice, the CoGS and most commanders interviewed did not believe they were empowered to remove officers/commanders. Most officers and commanders we interviewed perceived that authority for removal resided with those authorities specified in the promotion, assignment, and transfer sections of the ILON – CoGS for officers in the rank of captain and below; the Minister of Defense for colonels and below; and the President of Afghanistan for all General Officers.



The policies and procedures associated with removal of officers were sufficiently vague and conflicted with the ILON with respect to authority and process.

### ***External Review Process Needed for Relieved Officers***

If there were clear command authority to remove officers for cause, it would also be equally important to ensure removed officer received due process. An impartial investigation process was lacking, however, to ensure fairness and to protect officers from any capricious and unwarranted removal actions instigated by their commanders. A formal, unbiased investigative process to examine all factors involved in an officer's removal would provide transparency to the process and protect both the removed officer and the commander from questionable practices.

## **Conclusion**

To be effective, commanders must have the authority to remove incapable or incompetent officers for cause. Within the ANA, this authority was seriously lacking or misunderstood because policies and regulations regarding removal of officers from their position were unclear and a formal investigation process was lacking. To enable commanders the authority to remove incapable officers with impartiality, the policies and regulations would have to be clarified and a formal methodology for investigating the factors accompanying the removal be established. These precepts would serve to support the "command" aspect of command and control by supporting and elevating the authority of the commander while preserving fairness in the system.

## **Recommendations, Management Comments, and Our Response**

4.a. Commander, International Security Assistance Force Joint Command engage the Minister of Defense to encourage an amendment to the Inherent Law of Officers and NCOs providing specific language granting Chief of General Staff and Corps Commanders clear authority to remove and reassign officers. An effective system of military justice and administrative process should be developed to allow removal of officers and soldiers. A system of administrative law should be instituted to support such decision making and ensure natural justice is served.

## **Management Comments**

Responding for International Security Assistance Force Joint Command, the IJC Director of Future Plans and the Inspector General partially concurred with Recommendation 4.a., requesting that we adjust the wording of the recommendation for "correctness and fidelity." They suggested the wording which now appears in the final Recommendation 4.a., removing the phrase 'for cause due to incompetence or corruption,' which had been contained in our draft recommendation. They further requested that we add the following two sentences to the wording of the final recommendation:

"An effective system of military justice and administrative process should be developed to allow removal of officers and soldiers. A system of administrative law should be instituted to support such decision making and ensure natural justice is served."

## Our Response

Although partially concurring with the draft recommendation, the comments received on behalf of Commander, International Security Assistance Force Joint Command are responsive. We have revised our draft recommendation to reflect the changes suggested. In response to the final report, we request that IJC provide a summary of specific actions taken to encourage changes to the Inherent Law of Officers and NCOs, and actions taken to develop an equitable system of military justice and administrative process that support the removal or reassignment of officers by the Chief of the General Staff and by Corps Commanders.

4.b. Commander, NATO Training Mission - Afghanistan, in coordination with Commander, International Security Assistance Force Joint Command, coordinate with the Minister of Defense and the Chief of the General Staff to ensure that the MoD Officer Assignment and Reassignment Policy regarding existing authority and process for the removal of officers is clearly understood and monitor implementation within the MoD/ANA.

## Management Response

The NTM-A/CSTC-A Deputy Commanding General for Support concurred with the recommendation. Additionally he stated that the Assistant Minister of Defense for Personnel and Education was conducting Staff Assistant Visits (SAV) throughout the ANA from November through December 2012. He stated that emphasis was being placed on the clarification and implementation process of the current policies to include the recently approved Officer and NCO Assignment Policy as directed by Presidential Order 45 of June 21, 2012. Upon completion of the SAV, the teams were to generate an after action report (AAR) that would be provided to the Minister of Defense on the results of the SAV.

## Our Response

Management comments are responsive. In response to the final report, we request a copy of the Presidential Order 45 issued on June 21, 2012, and a summary of the results of the the Staff Assistance Visit After Action Reports.

4.c. Commander, NATO Training Mission – Afghanistan assist the ANA in developing and formalizing an official review process to accompany the removal authority existing or implied by statute or regulation.

## Management Comments

The NTM-A/CSTC-A Deputy Commanding General for Support concurred with the recommendation. In addition, the command reported that a panel of senior ANA General Officers had been reviewing the records of over 600 officers below Corps. The command reported that an analysis would be made to determine the future of substandard performing officers.

## **Our Response**

Management comments are partially responsive. The information provided is instructive and reflects continued progress; however, it does not delineate the establishment of an officially sanctioned, formal review process that accompanies any removal authority existing or implied by statute or regulation.

In response to the final report, we request that NTM-A/CSTC-A describe in detail the official review process that has been established to accompany the removal of officers or soldiers, that has been enacted, or that is implied by statute or regulation. State whether the panel of senior officers convened to review the 600 records was a one-time event or whether it was to be a recurring event established by regulation. We also request that you provide us a copy of the regulation or directive that guides the review of the removal of officers.

## **Observation 5: Organizational Structure Impeded Operation Coordination Center Leadership from Achieving Unity of Effort**

OCC leadership did not have sufficient authority to manage personnel staffing, to regulate support requirements, or to control the internal procedures of their respective Operation Coordination Centers.

This occurred because the OCCs developed from three distinct *tashkil* documents, each supported respectively by resources from the ANA, ANP, and NDS. Rather than an integrated effort, the separate *tashkils* created division of labor, blurred responsibility, and stove-piped the OCC workplace, making them divided, disjointed, and inefficient organizations.

Decrees and ciphers had not clarified the day-to-day OCC leadership's authority to resolve internal logistics issues, manage personnel resources, or optimize coordination with Afghan battle-space owners to achieve unity of effort. Personality and institutional influences affected internal operations and degraded the effective internal management of the OCC structures. ANA, ANP, and NDS support was fragmented and insufficient to achieve organizational effectiveness.

Without sufficient authority, OCC leaders will not be able to manage effectively their organization, and this could adversely affect their ability to improve coordination; promote intelligence sharing and battlefield information fusion; and optimize coordination support of ANSF operations, and thus effectiveness.

### **Applicable Criteria** (See Appendix C, Numbers 7, 14, 16, and 19 for more detail).

- Combined Directive 001/2011 between the International Security Assistance Force and the Afghanistan National Security Forces for the Conduct of Security Operations in Transitioning Areas (Classified)
- ISAF FRAGO 164-2009, Accelerating the Establishment of Operations Coordination Centres-Regional (OCC-R) And Operations Coordination Centres -Provincial (OCC-P) within Afghanistan, April 30, 2009 (Classified)
- Joint Directive Implementing Order 3501, September 16, 2007, and Presidential Decree 1730, March 2, 2008
- Operations Coordination Center National Standard Operating Procedures (OCC NSOP), Version 2.2 Draft as of January 16, 2012

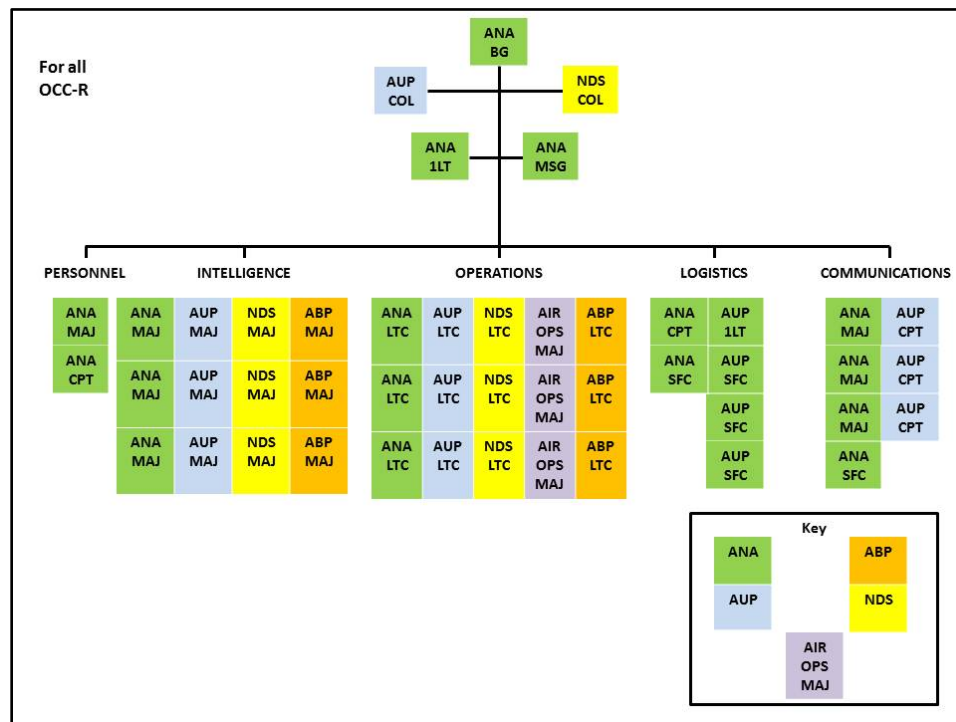
## **Discussion**

OCC challenges at the regional and provincial level mirrored many of the challenges associated with Afghan ministerial development. Internal tensions and friction with higher and adjacent headquarters, logistics shortfalls, and lack of trained personnel permeated the OCC structures. Specifically, the OCC Deputy Commander for Coordination lacked the authority to integrate separate institutional efforts to organize and manage assigned resources for optimum efficiency.

ANA Corps Commanders were dual hatted as OCC-R commanders. The OCC-R commander delegated most of his OCC-R duties to the OCC-R director, an ANA Brigadier General, as depicted in Figure 13. The OCC-R director's job title, on the *tashkil*, is OCC-R Deputy Commander for Coordination. In practice, the individual OCC ANA, ANP, and NDS deputy commanders conducted day-to-day supervision for their respective personnel – the ANA Deputy managed only the ANA element, the ANP Deputy managed the ANP element, and the NDS Deputy managed the NDS element. The ANSF had not codified the ANA Director for Coordination's responsibility clearly via cipher or decree. Therefore, the NDS and ANP deputy commanders were not compelled to coordinate their activities to achieve the intended unity of effort in terms of staffing, training, or equipment. Without a specific decree, cipher authority, or a joint staffing *tashkil*, the Deputy Commander for Coordination had inherent organizational challenges to resolve OCC resource shortfalls.

The lack of unity of command and lack of sufficient resources within the OCCs inhibited their effectiveness and efficiency to facilitate interaction, coordination, and synchronization of security activities between the ANA, ANP, and NDS. Based on our interviews with Coalition advisors and with the Afghan leaders at each of the OCCs we visited, the security ministries apparently placed little emphasis on resourcing the *tashkils* that established the Table of Organization and Equipment for OCC structures. Common deficiencies included equipment, infrastructure, staffing shortfalls and further training requirements of assigned personnel.

**Figure 9. Typical Personnel Structure of an OCC-R Showing Notional *Tashkil* Contributions from Each Security Pillar**



Source: IJC

Assignment of qualified personnel to the OCCs competed with other requirements for quality human capital across the ANSF. In addition, standardized OCC staff training did not exist. Advisors planned an OCC Foundation Course of Instruction to begin in the fall of 2012 in order to address the challenge of untrained personnel at the Operation Coordination Centers.

## Conclusion

The OCC Deputy Commander for Coordination was not empowered to facilitate unity of effort within the OCCs. Resourcing three different *tashkils*, with varying levels of support from the ANA, ANP, and NDS, was a significant challenge. Without an order, policy, or decree that provides clear OCC resource instructions to MoD, MoI, and NDS and commensurate authority for the OCC Deputy Commander, OCC leadership will continue to operate with limited resources and capabilities, and this will adversely influence staffing, intelligence sharing, information fusion, and required support coordination to ANSF operations.

## Recommendations, Management Comments, and Our Response

5. Commander, International Security Assistance Force Joint Command, in coordination with NATO Training Mission – Afghanistan, and leadership within the ANA, ANP, and NDS, develop a joint staffing and equipment resourcing *tashkil* decree, a Memorandum of Agreement, or other appropriate mechanism to delegate clear authority and daily administrative control of each OCC-P and OCC-R to the respective OCC Deputy Commander for Coordination.

## Management Comments

Responding for International Security Assistance Force, the IJC Director of Future Plans and the Inspector General concurred with Recommendation, without any additional comments specific to the recommendations.

## Our Response

IJC comments are partially responsive. While concurring, IJC did not specify what actions it planned or had completed toward implementing the recommendation. We are interested in determining what specific action is being taken to resolve the leadership challenges found inside the OCC-R/P organizations. Three security pillars occupy personnel positions and provide equipment in the Operation Coordination Centers throughout Afghanistan. While concurring that a single *tashkil* or other mechanism might resolve the leadership challenges, we have no indication of the course of action IJC is pursuing to generate greater efficiencies in the OCC-R/P structures. We request that in response to the final report, IJC provide us a detailed narrative of actions planned or completed with projected dates or timelines to accomplish the elements of the recommendation.

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## **Observation 6: Complexity of Automated Systems Exceeds ANSF Capacity to Operate and Sustain**

The complexity of computer automation and information technology provided by the Coalition has exceeded ANSF capacity to assimilate, integrate, and sustain.

This occurred because the Coalition lacked a comprehensive, coordinated, systematic, and integrated plan for providing computer automation and information technology to the ANSF that properly recognized the limitations inherent in Afghanistan (e.g., low literacy rate, inadequate electrical generation, and distribution, and lack of information networking capacity). The pool of qualified personnel to operate and maintain the array of networks, systems, and programs within the ANSF command, control, and communications systems was insufficient. The Coalition has incrementally introduced non-integrated, information technology systems within the ANSF that are relatively complex given the educational/literacy rate of the population and ANA to support. The personnel training and retention issues of system operators and maintainers had not been sufficiently resolved.

As a result, automation systems currently fielded were considerably underutilized and did not optimize command, control, and communications. ANA Commanders too frequently resorted to using less complicated, more customary, and less secure systems as a primary means to exercise command and control.

### **Applicable Criteria** (See Appendix C, Numbers 3, 12, and 21 for more detail).

- Afghan National Army (ANA) 1-6 “Communications,” May 2009
- Decree 5001, Ministry of Defense “Organization and Functions Manual,” March 29, 2011
- United States Army Doctrine Publication 6.0 “Mission Command,” May 17, 2012

## **Discussion**

The complexity of the new data processing and communication equipment and systems seemingly had surpassed ANA current capacity to not only absorb, but also sustain them.

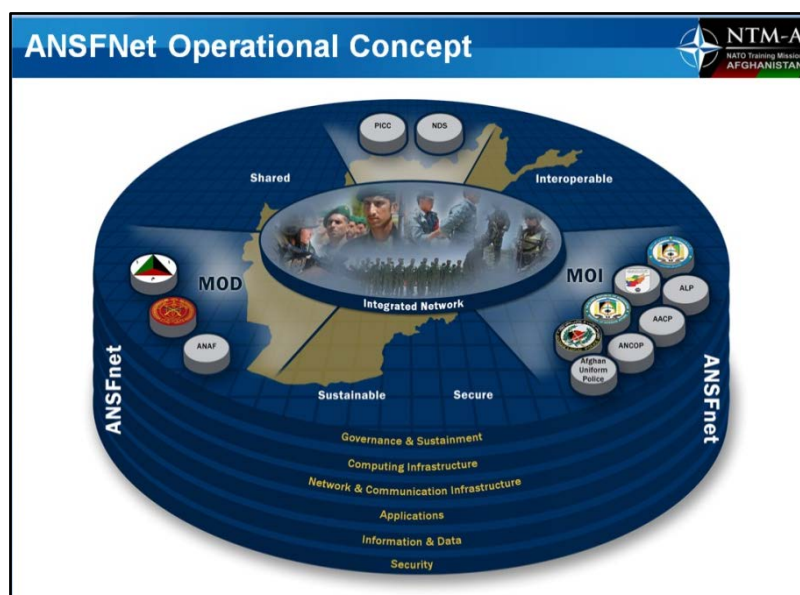
Computer automation and information technology are critical to command and control and coordination systems in today’s modern armed forces. The advancement in sharing critical information quickly and issuing timely orders and direction drives armed forces in combat. Sophisticated systems and advanced technology are the cornerstones of a fully developed, well-equipped, highly trained, and motivated force with the ability to conduct all manner of complex operations whether defensive or offensive, in their own territory or deployed, independently or with partners. The Afghan National Army is not yet sufficiently advanced to be able to utilize modern and highly technologically advanced systems to support their developing force. They are just beginning to integrate simple yet important concepts such as sustainment of training, education, force management, and logistics operations. In the effort to assist them in



development of their combat capability, Coalition forces have provided complex, sophisticated, and expensive hardware and software systems that cannot be operated or sustained by the ANA.

The ANA was inadequately prepared to deploy, operate, or sustain the extensive modern communication technology systems provided to support its emergent force structure and C2 systems. The ANA has been developing its training, education, and logistics systems, but, as

**Figure 10. ANSF Net Operational Concept**



Source: NTM-A

yet, these systems are insufficient to meet the support and sustainment requirements of ANA. As transition to ANSF lead in security operations gains momentum, a significant percentage of Afghan senior leaders, General Staff principals, members of the Afghan training community, and Coalition advisors reported they had reservations regarding ANA capability to fully operate and sustain these systems without continued, extensive Coalition assistance.

In the effort to improve overall management of the growth of the ANSF and to improve

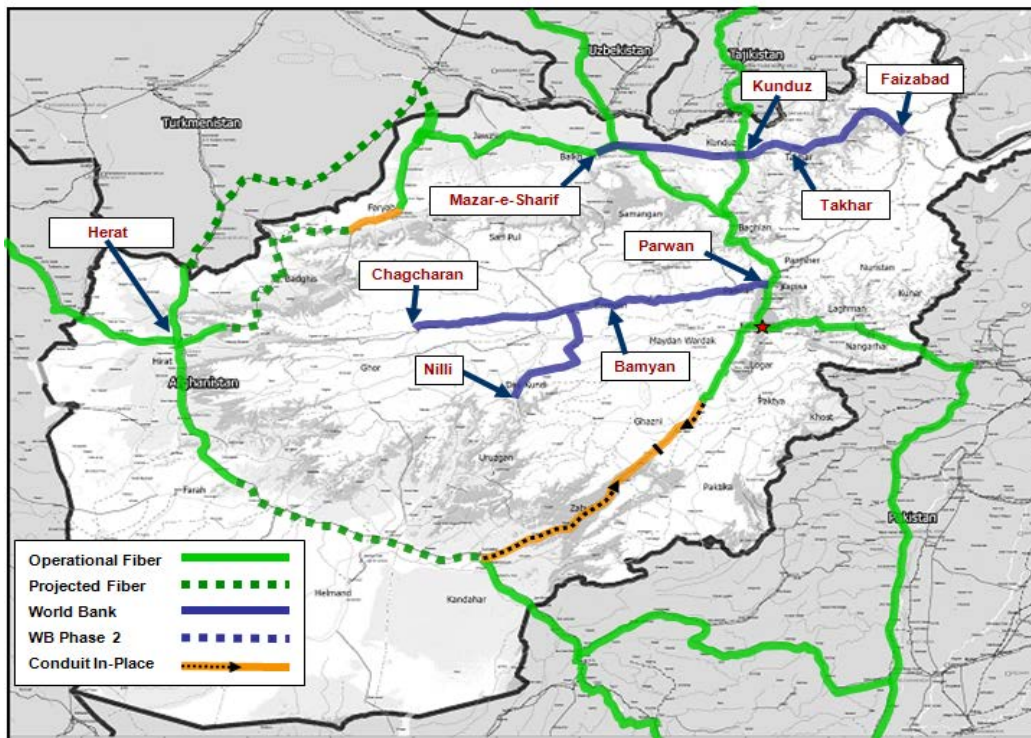
communications connectivity among and between the various elements of the ANSF throughout Afghanistan, the U.S. and Coalition forces had deployed multiple relatively complex systems, which had achieved varying degrees of effectiveness.

Some of these include:

- The Afghan Human Resources Management Information System (AHRIMS) [G-1 Personnel]
- The National Information Management System (NIMS) [G-2 Intelligence]
- The CORE Information Management System (CORE IMS) [G-4 National Depot Logistics down to Forward Support Depots]
- WEB Manage – an equipment maintenance database for tactical units designed to integrate maintenance, inventory, and material requisitions. Specifically, its purpose is to manage and integrate warehouse, procurement, and logistics operations countrywide in a centralized system
- Web Based Electronic Pay System (WEPS) [G-8 Comptroller]

- Strategic and tactical communications systems: DasNet, ANSF Net, Afghan Wireless Communication Company (Microwave), Afghan Telecom Optical Fiber Cable (for USB High Speed wireless internet and broadband connections)

**Figure 11. Afghanistan Fiber Optic Installation**



Source: NTM-A

## Obstacles

### ***Low Literacy Rate***

A low ANSF literacy rate compounds these factors. Despite progress made from focused Coalition and Afghan literacy training programs, widespread illiteracy was still prevalent throughout Afghanistan and within the ANSF. This inhibited rapid training and education of personnel needed in both numbers and competency to install, operate, repair, and sustain data and communications equipment and systems provided by Coalition forces.

### ***Training and Retention***

The actual pool of technically trained personnel within the ANA was limited and reportedly unable to operate and maintain effectively the systems and networks intended to enable ANA establishment of command and control systems. Radios with encryption, computers with advanced software, electrical circuits with generators requiring maintenance and fuel, all required on-site technicians or maintenance contracts for support operations and spare parts, all with network engineers to keep the whole enterprise operational. It is the intent of the Coalition and the GIRoA that the ANA have a well-qualified, educated and technically proficient cadre of personnel to deploy, install, operate, and maintain its information technology networks in

support of the expanding ANSF. To meet these requirements, additional training and education facilities to increase personnel throughput were needed. To provide this training, modern, up-to-date instruction and sufficient equipment was necessary to ensure that each student was qualified once training is completed.

A further complication noted was that once personnel became adequately trained and experienced in servicing and maintaining complex systems, they had options to leave the military to seek more profitable civilian employment. The skills needed to operate, maintain, and service computer and communication systems are in great demand in the rapidly growing private industry within Afghanistan. While the pay of an IT professional in the Afghan marketplace may be somewhat lower than in other countries, it nonetheless exceeded the pay received as a member of the military. There was no special incentive pay offered for skilled computer and communications technicians to retain their services.

### ***Equipment Distribution***

Coalition procurement and programs providing computer automation and information technology overwhelmed ANA absorption capacity. Equipment intended for distribution to the end user often sat for an extended period in warehouses, and deployment of hardware was unevenly provided to units sometimes not yet capable of independent, unassisted computer-based systems operations. Once equipment was distributed and put into operation, it was frequently underutilized. Our team observed many instances of computers on the desks of key leaders at the strategic and operational level that were rarely turned on. At one OCC, where communications and coordination of activities was a key function, a “daily report” stated that only 39 e-mails had been processed during the reporting period through the communications center. This number appeared low based upon western knowledge of the current security threat, operational tempo, and existing reporting nodes. The ANA can be expected to grow more sophisticated with additional training, mentoring, and experience, but the current force structure and its leadership retained a high preference for and reliance upon cell phone technology and hard-copy paper reports.

### ***Sustainability***

Adapting to the different types of automated database software, in concert with varying types of equipment such as computer systems, communications systems, and IT support systems presented a significant burden on the ANA training and logistics systems. These systems were continually being delivered by U.S. and Coalition Forces, at a monetary cost not imposed on the Government of the Islamic Republic of Afghanistan. They require education, training, operations, maintenance, and management capability so far not sufficiently achieved.

The cost to implement, operate, and maintain automated systems may be beyond the ANA, MoD or Afghan government to sustainably support. There is a difficulty and cost to maintaining systems with incompatible software, expensive support contracts, and with non-interoperable communications systems. Another issue was the inability to distribute equipment throughout the force using the inefficient logistics system, in addition to the Afghan tendency to retain equipment in warehouses rather than to distribute equipment to units. These factors have prevented implementation of sufficiently effective ANA integration of complex command and control equipment.

The computer systems (particularly software) were not compatible; software systems were stand-alone; analog radio systems required electricity to operate (batteries needed charging); and items as simple to Westerners such as scanners, copiers, printers, telephones, encryption devices, flat screens display panels, monitors, routers, modems, cables, wiring, computers, and all associated support requirements were not easily sustainable in Afghanistan. Electrical distribution was not reliable; fuel distribution difficult; logistics distribution slow and more often than not, dangerous; and the cost to support all of this exceeded the current capacity of the ANA, MoD, and GIRoA.

## **Conclusion**

Several factors delayed ANA capability to use sophisticated C2 systems. The cost of maintaining systems with incompatible software, expensive support contracts, and non-interoperable communications systems will present ANA sustainment challenges; as will the inability to distribute all of the equipment throughout the force due to a largely inoperable logistics system.

The very high illiteracy rate in the ANA inhibits rapid training and education of a large number of personnel that are needed to distribute and install, operate, maintain, repair, and sustain the C2 systems that are being delivered by Coalition forces, as does the inability to distribute all of the equipment throughout the force due to a largely inoperable logistics system.

A substantial, well-trained cadre of personnel is needed to maintain and operate the encrypted radios, the computers with advanced software, and the electrical supply systems. Printers, scanners, and copiers all require either on site technicians or contracts to support, and skilled networking engineers are required to keep the entire enterprise in operation.

The Coalition may have delivered too much computer automation and information technology systems to the ANA too quickly and in any event, had not first developed a comprehensive plan to integrate all component systems to be interoperable.

A plan for targeted fielding of equipment, education, training, and personnel retention is needed to achieve an identified and desired endstate in relation to time, cost, and ANA capability.

## **Recommendations, Management Comments, and Our Response**

6. Commander, NATO Training Mission – Afghanistan coordinate with International Security Force Assistance Joint Command and Afghan counterparts at Ministry of Defense, Ministry of Interior, General Staff, Afghan National Army Corps/Zones and supporting commands, to convene an Afghan-led and Coalition-supported Integrated Planning Team (IPT) to:

6.a. Determine and document the computer automation and information technology requirements of the ANSF at the strategic, operational, and tactical levels.

## Management Comments

Responding for NTM-A/CSTC-A, the Deputy Commanding General for Support (DCG-SPT) concurred with the recommendation as written. To support the general sense of Recommendation 6.a., the command noted that “Computer automation and information technology requirements of the ANSF at the strategic, operational, and tactical levels are defined in Appendix 2 to Annex CCC to Op Naweed 1391.”

## Our Response

NTM-A/CSTC-A management comments are partially responsive. While noting that ANSF automation and IT requirements had been detailed in the OP Naweed 1391 Plan, the DCG-SPT did not mention how the requirements were determined. To ensure that advanced technology is not being overwhelmingly and needlessly provided beyond the ANSF’s capability to maintain and sustain, we request that NTM-A/CSTC-A, in response to the final report, describe the methodology used to determine the computer automation and information technology requirements of the ANSF and whether these requirements receive an ongoing review to confirm their relevance.

6.b. Develop a holistic requirements, equipment, and sustainability strategy with a bridging mechanism to synchronize present and emerging Afghan capacity to ANSF needs.

## Management Comments

The NTM-A/CSTC-A Deputy Commanding General for Support concurred as written. The command offered the following discussion point: “The importance of a mature and capable ANSF communications system is recognized by ISAF. NTM-A (CJ-6) is working with the ANA, MoD and MoI, to develop a Command, Control and Communication (C3) Strategy for the ANSF. The strategy aims to document current capabilities, identify the key capability gaps, including methodologies for future expansion all of which takes into account and works within the overall transition plan. Specific areas being addressed include: Network Operations, Communication Parts, and Training.”

## Our Response

NTM-A/CSTC-A management comments are responsive and directly address the recommendation. A solid command, control, and communications (C3) plan will provide sufficient and effective direction to the ANSF for supporting planned and unplanned operations. In response to this final report, we request that NTM-A/CSTC-A provide us a copy of the C3 Strategy. If the C3 Strategy is still in development, provide the approximate date of completion. DoD IG will keep this recommendation open until the C3 Strategy document is received and verified.

6.c. Develop the funding, personnel, and training strategy to establish an information technology (IT) work force that will meet ANSF computer automation and information technology requirements at the strategic, operational, and tactical levels, to include a concerted effort to continue literacy training throughout the ANSF at a skill level required to support progress in technical training.

## Management Comments

The NTM-A/CSTC-A Deputy Commanding General for Support concurred with the recommendation as written. The Command offered a detailed explanation of funding and institutional capacity-building efforts that demonstrate a broad expanse of coalition engagement:

“Funding for training is already established. ANA currently has three avenues with which to develop an IT workforce.

1) Signal School - Currently established to provide signal training to soldiers, NCO, and officers. Areas of focus currently include network operations, information assurance, communications security (COMSEC), frequency management, satellite technology, and basic ISP/OSP.

2) O&M Contract - As previously stated, the current O&M contract include hands on training of the operation, sustainment, and basic maintenance of the network at the network operations center (NOC) and Corps level.

3) Commercial/University Training - ANA signal personnel are sent to commercial training to cover advanced topics (Microsoft admin, Cisco admin, etc). This is budgeted for and run by the GS-G6 Training Directorate.”

“In addition to IT training, a similar mechanism is in place for radio training.

1) Signal School - Teaches Level 1 (operator) maintenance and operation.

2) Radio Maintenance Training Contract - Current radio maintenance training contract provides Level 2 radio maintenance training at the Central Workshop, Corps, Brigades, and soon to be at the Logistics School. Contract expires September 2013 but has an additional one year option.”

## Our Response

NTM-A/CSTC-A management comments are responsive and indicate a substantial and proactive effort to train ANSF technicians to maintain and sustain the IT and communications systems the Coalition is providing for them. Please benchmark the progress achieved so far in these training courses and plans for sustained and continued training beyond 2014.

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## Observation 7: The ANA Logistics System Did Not Sufficiently Support Command and Control

The logistics organizational command and support relationships between the General Staff, the Logistics Command, the Army Support Command, and the Ground Forces Command were not well defined, and therefore contradictory.

This occurred because the lines of command and coordination for logistical matters were poorly defined and depicted, and in some cases, contradictory, within the MoD Organization and Functions Manual (OFM), which is intended to establish clear roles and responsibilities for major MoD and ANA organizational structures. Specifically, the OFM description of Army Support Command lines of authority were unclear and confused command and support relationships.

The lack of clearly defined lines of command and support created confusion in the ANA over roles and responsibilities of logistics organizations and prevented effective mission execution. The inability of the ANA logistics system to meet sustainment needs of operational commands raised concerns about its ability to support ANA C2.

### **Applicable Criteria** (See Appendix C, Numbers 8, 9, 10, 12e, and 12g for more detail).

- Decree 4.0, Ministry of Defense, Office of the Assistant Minister of Acquisition, Technology, and Logistics, “Supported And Supporting Unit Logistics Policy and Support Procedures,” January 2009
- Decree 4.1, Ministry of Defense, Office of the Assistant Minister of Acquisition, Technology, Logistics, “Transportation Management Policy and Procedures,” August 2010
- Decree 4.2, Ministry of Defense, Office of the Assistant Minister of Acquisition, Technology, Logistics, “Materiel Accountability Policy and Procedures,” June 2009
- Decree 5001, Ministry of Defense “Organization and Functions Manual,” March 29, 2011
  - Chapter 37 “Army Support Command,”
  - Annex B: “Support of the Afghan National Army (Logistics Concept of Support),”

## Background

The Coalition’s decision to initially focus primarily on fielding combat units for COIN operations resulted in lagging development of ANA combat support and combat service support units responsible for the ANA logistics system. Emphasis shifted in recent years to developing ANA logistics capabilities as operational units were fielded.

Over the course of 2011, the ANA with assistance from NTM-A/CSTC-A stood up a new logistics structure headed by the Army Support Command (ASC) and incorporating six subordinate Regional Logistics Support Commands (RLSCs). These RLSCs reported to the ASC, providing a centralized management point for distribution of materiel. The last logistics support center activated was Regional Logistics Support Command-North at Mazar-E-Sharif in



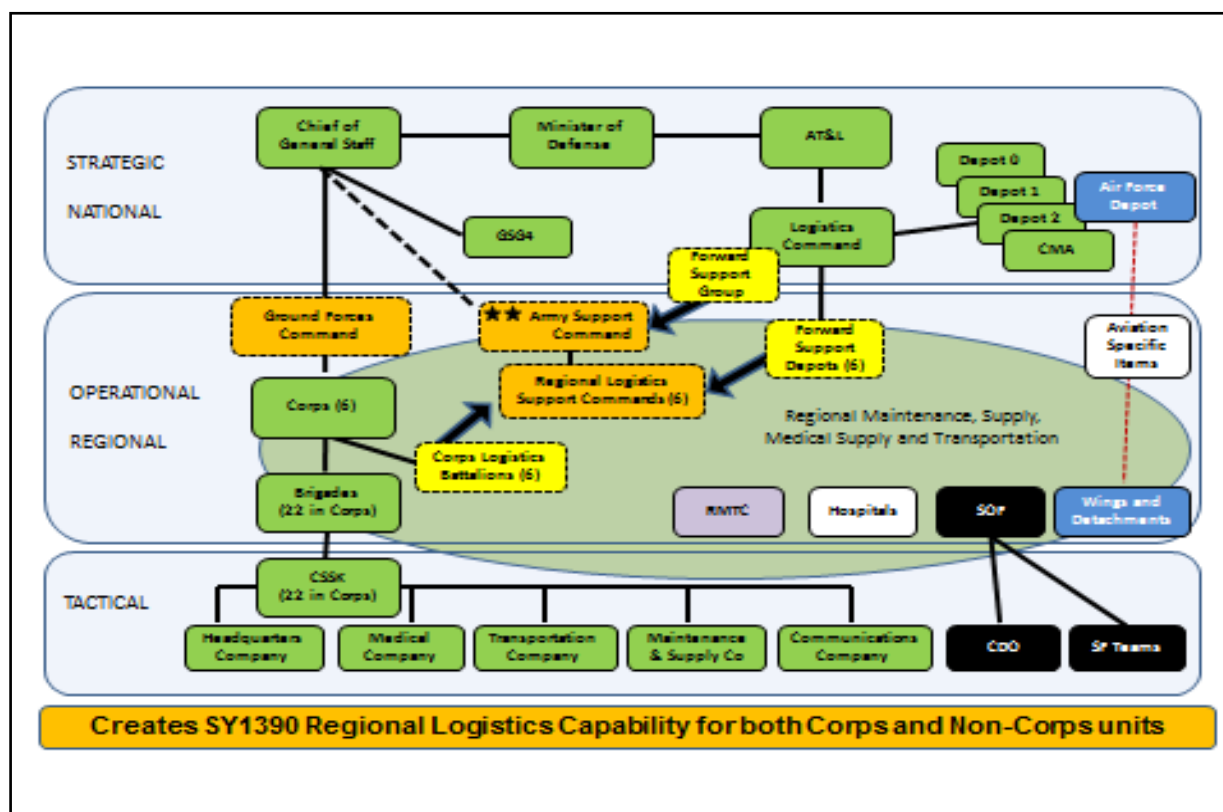
July 2012. At that point, command and control of operational logistics functions shifted from the Corps commanders to the RLSCs. This action centralized operational level logistics functions above the Corps level for the purpose of enabling better C2 of ANA logistics inventories.

## Poorly Defined ANA Logistics Structure

The OFM creates confusion regarding the lines of command and coordination for logistical matters. Logistics authorities were poorly defined and depicted and, in some cases, contradictory. Specifically, the OFM description of Army Support Command lines of authority confused command and support relationships.

The ANA logistics organizational chart in the MoD Organization and Functions Manual depicted the relationship between the ASC and the GS as a “supporting” role rather than a “command” role. The supporting relationship between the GS and the ASC is reflected at Figure 16, found in Annex B of the MoD Organization and Functions Manual.

**Figure 12. ANA Logistics Structure**

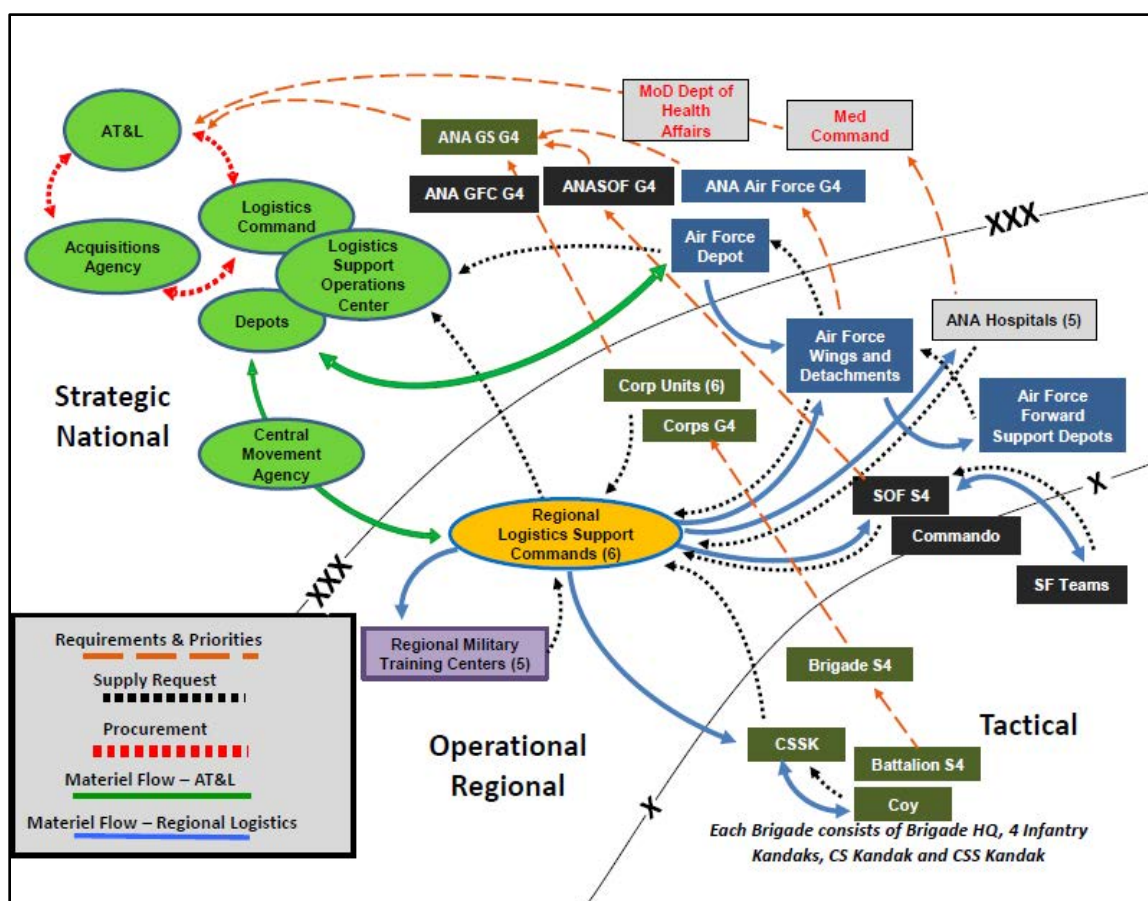


Source: IJC

Figure 16 was inconsistent with the organization description provided in Chapter 37 of the OFM for the ASC. Paragraph 37-1 stated that the ASC works “directly for the Chief of General Staff.” This centralizes command authority above the operational level. As such, the GS had the authority to direct the ASC to conduct cross-leveling logistical actions as needed in the RLSCs. Cross-leveling was critical to ensure key spare parts were available to ANA units when needed to support and maintain equipment necessary to conduct C2 operations.

The Army Support Command, however, was not included in the illustration in Figure 17 taken from Annex B of the OFM. As a key logistics provider for the ANA, the ASC therefore should be featured.

**Figure 13. ANA Logistics Support Concept**



Source: NTM-A

Without clarification in the OFM GS and ANA logistics command and support relationships, the ability of the logistics system to meet sustainment requirements of operational commands will be impeded and raises concerns about its ability to support ANA C2. If the logistics system is unable to move equipment and parts from the depots to the end-users efficiently, operational commanders cannot depend on having the materiel necessary for C2 functions.

Once this lack of clarity is remedied, ANA training and education will be crucial to recognizing the value afforded by the RLSCs in providing visibility of requirements for effective cross leveling of supplies. Until the ANA develops an understanding of the structure, command lines

of authority, and staff responsibilities, the logistics system will not mature. ANA understanding, acceptance, and trust of this important enabler is therefore essential for ANA transition to lead security and sustainment of their units.

## **Conclusion**

Logistics is a key enabling force capability whose shortfalls directly affect the ability of the ANA to conduct command and control. While the commands have made significant progress in developing the ANA logistics system, logistics development will be hindered until the roles and responsibilities of GS and ANA logistical organizational structures are clearly defined along with their respective command, control, and support relationships. Appropriate training will be needed to explain and reinforce the system's effective functionality. Organizational lines with no clearly defined reporting chain for the Army Support Command at the operational level were a source of confusion for the ANA.

## **Recommendations, Management Comments, and Our Response**

7.a. Commander, NATO Training Mission – Afghanistan, in coordination with International Security Assistance Force Joint Command and the Ministry of Defense, deconflict guidance provided in the MoD Organization and Functions Manual, Chapter 37 and chapter 42a to clarify the relationship, roles, and responsibilities of the Chief of General Staff and the Army Support Command.

## **Management Comments**

The NTM-A/CSTC-A Deputy Commanding General for Support non-concurred with the recommendation as written. In his response he stated that the lines of command were clearly depicted in the OFM. However, he also stated that NTM-A/CSTC-A would work to make the necessary adjustments to the OFM to ensure the command relationship between the Chief of the General Staff and the Army Support Command was appropriately reflected.

## **Our Response**

While NTM-A/ CSTC-A non-concurred with the recommendation, their comments are responsive. In a separate follow-up with NTM-A, we determined that the lines of command and control for the ASC did not exist in the OFM in Chapter 1, as they suggested, but instead appeared in Chapter 37. NTM-A stated it was coordinating with the ANA to re-work the chart in Chapter 37 of the OFM to show a solid command line between the CoGS and the ASC. Accomplishing this change to the OFM fulfills the intent of our recommendation. In response to the final report we request an extract of the updated chart in the revised edition of the OFM reflecting our initial recommended change.

7.b. Commander, NATO Training Mission – Afghanistan, in coordination with International Security Assistance Force Joint Command and the Ministry of Defense, train ANA leadership on logistics roles and responsibilities empowering the ASC to monitor and direct inventory redistribution as needed to meet ANA priorities in accordance with the guidance provided in the OFM Annex B: Support of the Afghan National Army (Logistics Concept of Support).

## Management Comments

The NTM-A/CSTC-A Deputy Commanding General for Support concurred with the recommendation as written. NTM-A provided positive comments about introducing the Ministerial Advisory Group concept of logistics advisors and mentors to the ANSF logistics structures at the strategic and operational level, to wit:

“This line of effort remains ongoing with the establishment of logistic mentoring teams at both the strategic and operational level. Logistic mentors within the Ministerial Advisory Group (MAG) advise senior Ministers within the Ministry of Defense (MoD) on logistic C2 processes and assist them in refining the current model which is illustrated in Figure 16 [*now Figure 13*] within the report. These individuals provide the interface between the General Support staff and NTM-A. A mentoring and advisory group at Regional Support Command-Capital provides mentoring directly to Commander Logistics Command and his subordinate commands at the national level: the ANA National Depot (Depot Zero), the Central Movement Agency. DCOM-SPO provides mentoring at the Army Support Command.”

## Our Response

NTM-A/CSTC-A management comments are partially responsive. We acknowledge the importance of establishing a continual logistical mentoring presence at the Ministerial and the operational level within the ANA and recognize this as an important step in development of logistical expertise at that level. However, of primary concern is the ability to cross-level and redistribute inventory when appropriate across RLSCs and, ultimately, between Corps. In response to the final report, we request that NTM-A/CSTC-A advise how the ASC is developing its capability to direct redistribution of materiel between the RLSCs to rebalance excesses and address supply shortfalls among the ANA Corps, and if the ASC has been able to implement such a redistribution process.

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## **Observation 8: Measuring the Air Support Enabler Gap**

IJC and AAF metrics tracking daily airlift, close air support, and medical evacuation missions did not distinguish whether the ANSF or the Coalition provided air support.

Due to the low numbers and availability of AAF aircraft, ANSF requests for air support routinely went directly to IJC and were not consistently routed through the Afghan Command and Control structure, nor coordinated through the OCCs.

As a result, neither IJC nor the AAF had the data to determine with precision, the magnitude of the enabling effort provided by Coalition aircraft in support of the ANA. Therefore, the Coalition could not adequately plan for ANSF air support needs after 2014 or shape Afghan expectations regarding what air support would be available.

### **Applicable Criteria** (See Appendix C, Numbers 1, 12d, and 20, for more detail).

- Afghan Ministry of Defense, “Air Command and Control Doctrine,” (Dari-English), May 2011
- Decree 5001, Ministry of Defense “Organizations and Functions Manual,” Chapter 34, “Afghan Air Force,” March 29, 2011
- United States Air Forces Central Command, Afghanistan National Security Forces Air Power Requirements Review, February 28, 2010

## **Discussion**

According to Coalition plans, the AAF will achieve maturity by 2016. However, the development of the AAF will not replace existing Coalition air support capabilities up to that time. Therefore, Afghan dependence on Coalition air assets will continue well beyond 2014. As a result, NTM-A, IJC, and the AAF must have a shared understanding of the combined ANA and Coalition air asset support capabilities in order to properly advise MoD and ANA leaders on the command and control of their air assets. Understanding these requirements is important for planning and budgeting for future Coalition air support.

## **Air Power Requirements Review**

In December 2009, at the request of the Commander of the Combined Airpower Transition Force (CAPTF) and the ANA Chief of the General Staff, the United States Air Forces Central (USAFCENT) Commander chartered a review of ANSF airpower requirements. This review ensured existing plans enabled the Government of the Islamic Republic of Afghanistan to conduct a full range of aviation operations in support of their national security and military strategy. USAFCENT released the ANSF Air Power Requirements Review in February 28, 2010, and included recommendations for Afghan air asset development, force structure, and personnel requirements. In order of priority, the missions included presidential airlift,

CASEVAC,<sup>19</sup> air mobility, training, close air support, and recce/airborne C2. This air power requirements review set the stage for development of the AAF.

As of June 2012, the Afghan Air Force had nearly 6,200 personnel and an inventory of 93 aircraft. Force structure plans for 2016 included an AAF aircraft inventory of 152 aircraft and 8,000 personnel to support the Afghan National Army, along with support to Afghan civil authorities. In addition, 22 of the 152 aircraft would be solely dedicated to Afghan pilot training and not used for operational missions.

The need for the Ministry of Defense and Afghan National Army leaders to appropriately prioritize and allocate scarce Afghan air assets to training and operational missions was an issue already recognized by Coalition air advisors. Advisors had used key leader engagements to balance operational requests and increase training mission priority. ANA leaders began to realize that an AAF focus on operational missions, at the expense of training missions, would delay the development of the AAF. However, the Coalition and AAF did not grasp the full extent of Coalition enabling air support, as neither IJC nor the AAF had the data to determine with precision the magnitude of the enabling effort.

## **Lack of Detailed Air Mission Measurement**

Advisors reported that IJC air plans tracked cargo moved via air, along with the number of hours flown, but did not have a consolidated picture of total types of missions flown by the Coalition and AAF in support of ANA missions. Without a complete understanding of total air support provided, IJC and the AAF were not able to capture the magnitude of this enabling effort. A lack of precise air mission data may incorrectly influence Afghan Commanders' planning assumptions regarding the air support they will have for conducting future missions. Failure to capture the precise level of air support may contribute to ANA senior leader misunderstanding of AAF capability, particularly after 2014, when the ANA is in the lead. It was not clear what air support the Coalition planned from 2014 to 2016. However, the strategic partnership agreement announced by President Obama in May 2012 implied a promise for some level of continuing support.

Some requests from the ANA for air support bypassed the Afghan C2 structure and OCCs and went directly to IJC. Coalition advisors reported that Afghan air force liaison officers at a Regional Operations Coordination Center routinely did not report for duty as they did not believe their assistance was needed to coordinate air missions. Yet, when the ANA requested air support through the above-mentioned OCC, the Coalition advisors coordinated directly with IJC without

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<sup>19</sup> U.S. Army Field Manual 8-10-6 defines MEDEVAC (Medical Evacuation) as the timely, efficient movement and en route care by medical personnel of the wounded, injured, and ill persons, from the battlefield and other locations to Military Treatment Facilities (MTFs). The term MEDEVAC refers to both ground and air assets. CASEVAC (Casualty Evacuation) is defined as movement of casualties to initial treatment facilities and movement of casualties to MTFs in the combat zone. It does not include en route care by medical personnel and implies that nonmedical assets are being used to move casualties.

forwarding the requests through the AAF command and control system; therefore, the AAF did not capture the unfilled ANA request for AAF air support. Another Regional Operations Coordination Center visibly tracked daily aircraft missions and the maintenance status of nearby AAF assets. There, the efforts to use the AAF command and control system and include the use of air assets in the ANA common operating picture were evident. However, the AAF and IJC did not document or measure the total air support effort devoted to ANA operations, and were therefore unable to form a holistic picture of the air enabling effort.

## **ANA Dependence on Coalition Air Assets**

IJC fixed wing and rotary wing advisors had recognized a heavy dependence on Coalition air assets, particularly with regard to medical evacuation capability. As of May 2012, the advisors began to emphasize the ANA use of ground medical movement where possible instead of relying solely on Coalition airborne medical evacuation. Although this effort was in a nascent stage, it was a step toward properly framing expectations and training ANA commanders to be proficient at command and control of organic capabilities without relying upon coalition support first.

ANA dependence on coalition air assets will continue well beyond 2014. As a result, NTM-A, IJC, and the AAF must have a shared understanding of air asset capabilities and AAF limitations in order to properly advise ANA commanders on the use of air assets within their command and control structures. A shared understanding of these capabilities aids in planning and budgeting for future Coalition air support, and AAF growth. It is important to measure the gap between AAF capabilities and coalition capabilities in order to form a comprehensive picture of the combined air support provided.

## **Recommendations, Management Comments, and Our Response**

8.a. Commander, International Security Assistance Force Joint Command, in coordination with NATO Training Mission – Afghanistan, NATO Air Training Command - Afghanistan and the Afghan Air Force, conduct an evaluation of the frequency and type of air sorties flown in support of ANSF versus Coalition missions.
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### **Management Comments**

Responding for International Security Assistance Force, the IJC Director of Future Plans and the Inspector General concurred with recommendation, without any additional comments specific to the recommendations.

### **Our Response**

IJC comments are partially responsive. While concurring, IJC did not specify what actions it planned or had completed toward implementing the recommendation. We request that, in response to the final report, IJC report actions taken and results of their methodology used to determine frequency and type of air sorties flown in support of ANSF vice Coalition missions. Our primary focus is to ascertain that the Coalition accurately reflects and tracks missions or flight hours dedicated to ANSF support.



8.b. Commander, International Security Assistance Force Joint Command, in coordination with NATO Training Mission – Afghanistan, NATO Air Training Command – Afghanistan, and the Afghan Air Force, determine how ANSF air support needs will be met post-2014 based on the results of this evaluation (in 8.a.) and establish an integrated and coordinated plan to meet these needs.

## **Management Comments**

The Commander, 9<sup>th</sup> Air and Space Expeditionary Task Force-Afghanistan (9<sup>th</sup> AETF-A), responding for International Security Assistance Force concurred without additional comment to the proposed recommendation.

## **Our Response**

9<sup>th</sup> AETF-A comments are partially responsive. While agreeing with the recommendation, 9<sup>th</sup> AETF did not provide any discussion on how the recommendation was to be implemented. In response to this report, we request that IJC explain the actions to be taken to determine the ANSF air support needs post-2014 and whether an integrated and coordinated plan has been or will be developed to meet those needs. In addition, provide a copy of this plan or a projection as to when it will be prepared. If no evaluation has been conducted to determine needs, we request you provide us with whatever process was used to make the determination.

# **Summary Analysis and Finding: U.S. Efforts to Develop an Effective ANA Command, Control, and Coordination System Have Yielded a Foundational C2 Capability**

The extensive and ongoing U.S. and Coalition effort to develop security sector capacity in Afghanistan had produced a marginally sufficient ANA Command and Control System that was adequately resilient, coherent, and capable of transitioning to Afghan lead in plans and operations by 2014.

The capacity for sustained continuity of an effective ANA C2 system, however, was fragile and remained highly dependent upon required ISAF enablers and secondarily, upon external factors that could diminish the effectiveness of foundational C2 capacity.

External factors that could impact C2 continued development and ultimate effectiveness include challenges embedded in improving internal Afghan government capacity, overcoming recurring border issues with Pakistan, minimizing corruption, increasing the reliance upon the Afghan National Police for local security, and ensuring a means to pay police and soldiers.

In addition, ANA C2 system significantly relied on U.S. and Coalition enablers to help solidify the C2 system.

## ***Assessing ANA Command and Control***

The DoD IG Assessment Team used an evaluative model that asked three fundamental questions regarding general command and control system performance and considered those observations in terms of execution with respect to the mnemonic methodology, DOTMLPF, used by U.S. and western military force developers. DOTMLPF is described following a discussion of the the three questions posed:

1. What is the national/strategic C2 structure down to the force level?

The introduction to this report discusses the existing ANSF C2 structure. Please refer to that discussion and Figures 3 and 4 for a graphic depiction. Of note, the MoD Organizations and Functions manual implemented and codified the ANA C2 structure as directed by the President of Afghanistan.

2. What are the processes used, and the capabilities of those processes, to execute C2?

To execute strategic command and control, the President of Afghanistan issued decrees and senior ANSF leaders used military ciphers to communicate strategic level commanders' intent to subordinate commands. These ciphers carried the weight of an authoritative command directive. At the ANA operational level, Corps commanders and their staffs used written orders to execute C2.

The Coalition helped the ANA develop a telecommunications and email system to pass routine information, all of which relied upon dependable power and sophisticated equipment. Additionally, the Coalition introduced the ANA to standardized reporting procedures and formats with some success. More often, however, senior leaders tended to use cell phones as the preferred means to convey timely information, to involve themselves in routine staff work, or to direct sensitive matters, including tactical operations.

### 3. How does the C2 system really work: policy/regulations versus structure versus reality and practice?

In practice, ANA leaders conveyed voice and written information within their own chain of command. Typically, the ANA did not broadly share information, nor did they provide copies to other staff sections, or post information on a Web site for common staff access. Not all ANA operations and coordination had the required radios necessary to receive crucial command communications. In addition, the fielding of ANA secure communications lagged behind operational requirements.

The MoD-codified C2 guidance for the ANA may not reflect current Afghan operational reality, nor be sustainable, for security operations in the future. The Afghans employ more abbreviated and simpler methods than prescribed in western planning and command concepts, which is an acceptable practice if recognized and acknowledged. Regardless of methods ultimately followed, the C2 systems adopted by the ANA will require discipline, transparency, and clear organizational constructs in order to become consistent, enduring, and sufficiently professional.

## ***DOTMLPF Analysis***

The U.S. uses a mnemonic methodology for staff planners to evaluate capability gaps before undertaking new efforts in matters like force development, equipment acquisition, or major installation construction. Collectively, this conceptual framework is referred to as DOTMLPF.<sup>20</sup> Analyses of the key DOTMLPF areas below are valuable in identifying gaps in the context of strategic direction, requirements, and overall force development. These categories are:

- doctrine
- organization
- training
- materiel
- leadership
- personnel
- facilities

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<sup>20</sup> Joint Publication: 1-02, DoD Dictionary of Military and Associated Terms

Using the DOTMLPF framework to evaluate the essential functions of a command and control military system, we concluded the development of the ANA command and control, and coordination capability to be marginally sufficient at the time of this assessment.

The Coalition and ANA had developed a C2 foundation, but while concurrently fighting the insurgency, had recently expanded their organizational structures based upon emerging and changing ANA C2 requirements. As an example, within the past 2 years the ANA has significantly modified its structures by adding the GFC, ACCC, and ANASOC within its C2 framework.

The DOTMLPF model gives insight into the strengths and weaknesses of ANA C2 system.

- **Doctrine.** ANA Training and Education Command, with U.S. and Coalition assistance, faced a formidable task of providing timely doctrine for evolving C2 organizational structures. Significant ANA doctrine was still in translation, advisors had already translated 63 U.S. doctrinal publications into Dari, and the ANA had approved 41 foundation publications. However, draft *ANA Regulation 1.3-6 Command and Control* remained in draft and waited field-testing. In May 2010, the Afghan Air Force codified their C2 procedures in the Air Command and Control doctrine.
- **Organization.** The approved and signed MoD Organizations and Functions Manual set clear C2 guidance for the major operational commands and functional organizations. Despite having formally established organizational entities and their functions, challenges in following some C2 organizational procedures remained. Examples of organizational development problems included:
  - a disparity between the established General Staff and the fledgling GFC with respect to roles and missions,
  - inability of the ACCC to assume full functionality as a C2 center, and
  - lack of unity of effort in the OCCs because of long-term development and absence of a single support mechanism.

In addition, many ANA organizations were driven by their leader's personality rather than by a formal institutional process, and this often created dysfunction.

- **Training.** The U.S. and Coalition advisors demonstrated concerted effort in force generation to equip, train, and field ANA units. The U.S. and Coalition established training institutions and generated qualified Afghan instructors as cadre, thus promoting an Afghan train-the-trainer capability and cultural face to military training. Not all current senior Afghan leaders had attended formal courses related to C2; whereas, the younger generation and non-commissioned officer (NCO) corps had begun to gain the benefit of foundational courses. This foundational education effort was in an early stage of development yet showed signs of progress. However, ANA Training and Education Command's leadership and staff ability to visit training programs and courses of instruction throughout Afghanistan to ensure course continuity and doctrinal standardization was restricted due to resource limitations and priorities.

- **Materiel.** Baseline indicators of unit C2 effectiveness included the development of ANA units to shoot, move, and communicate. In most cases, established ANA units were adequately equipped; however, several disconcerting shortfalls detracted from equipment authorizations. Cross-leveling equipment<sup>21</sup> across the ANA continued to be a challenge for the Coalition and an enduring trial because of a propensity to hoard at various command levels and support organizations. A lagging logistics system continued to be the major inhibitor to substantial progress in unit execution of independent operations. For years, logistics development had been secondary to the force generation of combat formations within the ANA. Although logistics had received increased advisory attention in the past two years, reliable equipment resupply and delivery to Corps and below formations continued to be a daunting task. Additionally, the complexity of some equipment generally exceeded the capacity of the ANA personnel to properly operate and sustain. The effort to establish a modern fiber optic network for communications was tenuous and fragile, and the current network system was slow and unreliable.
- **Leadership.** While competent in basic war fighting skills, few of the long-serving generals had experienced significant professional, sequential, modern military education opportunities that introduced joint and combined operations, interagency coordination, and the rights of individual soldiers in a values-based professional military force. It will take time for the younger Afghan officers who have become immersed in new models of leadership to assume positions of leadership at the highest levels of the ANA.
- **Personnel.** Although policies designed to support the development of the ANA personnel system were published, institutional adherence to personnel management procedures could be too easily circumvented by various ANA and MoD power brokers external to the personnel process. External influence upon established policies clearly eroded the establishment of a fair and sound merit-based personnel system. Our team repeatedly heard the complaint that the personnel management system did not allow for the relief or dismissal of officers for cause, and that promotions, in some cases, were easily acquired if one had enough money to purchase them. Still others informed us that all the vast improvements to ANA systems and capability would matter little if soldiers did not receive their pay. Without pay, they would simply walk off the job and return home.
- **Facilities.** In 2010, NATO Training Mission-Afghanistan (NTM-A) changed its policy regarding the construction of large-scale, permanent brick and mortar structures for the ANA according to U.S. military specifications. Serious questions had been raised about the ability of the ANA to maintain permanent facilities over the long term. Limitations in the U.S.'s ability to provide sufficient Coalition construction oversight was highlighted during the extensive construction scheduled for the 2011-12 transition period. There were not enough

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<sup>21</sup> The authority and ability to shift materiel inventory from one owner to meet the requirement of another. At the theater strategic level and operational level, it is the process of diverting en route or in-theater materiel from one military element to meet the higher priority of another. (Joint Pub 4-07)

Contracting Officers' Representatives (CORs) and available security forces for site protection in some sectors.

As a result, NTM-A decided to erect fewer brick and mortar permanent facilities. It also decided to focus on renovating existing structures, allowing faster completion of facilities with fewer resources than building permanent structures. The new Ground Forces Command, for example, used existing ANA facilities at North Kabul International Airport (NKIA). The OCCs also used existing ANA facilities throughout the country. Use of fewer permanent facilities and existing structures permitted the U.S. and Coalition to meet most priority and time-sensitive projects countrywide.

The DoD IG team visited Regional Command (RC) North, RC South, and RC Capital and found the Corps C2 and coordination facilities adequate. However, construction of several operations centers was on-going. These operations centers included the new Afghan GFC military headquarters and the future National Military Command Center in Kabul, as well as the Interagency Coordination Center for the GFC.

DoD IG assessment of the ANA application of the DOTMLPF methodology continues in the following discussion as the team analyzed the actual development of the ANA C2 posed by the bold-faced questions following. After applying the U.S. DOTMLPF methodology to frame our observations of the ANA above, the DoD IG assessment team then focused on seven questions to analyze ANA development in key and essential C2 functions.

## **Can the ANA Establish and Demonstrate Commanders' Intent?**

### ***Yes, but initiative needs reinforcement.***

The planning and development of Operation NAWEEED, the operational plan for the current solar year, demonstrated the ANA's ability to establish commanders' intent. The ANA led this combined planning effort over 38 meetings with representatives from the MoD, MoI, NDS, and the non-security ministries. The ANA used written ciphers (orders) and tracked them via manual log entry at the NMCC, validating their compliance with doctrine and established procedures.

The ANA made limited use of automated tools to communicate with encrypted email, but the use of encryption was nowhere near an acceptable level by Coalition standards. The use of cell phones by the ANA continued to be an important tool for time-essential communications. The overuse of cell phones to pass unencrypted sensitive information was an operational security concern to advisors.

Rehearsal of Concept (ROC) drills for major operational events proved beneficial in promoting coordinated action and transparent communication among units. Supporting commands understood how each contributed to the overall ANA operation. ROC drills enabled the Afghans to identify shortfalls in their operational planning before deploying to the field. Rehearsal techniques promoted the understanding of commanders' intent.

Corps operations centers received guidance from higher authority, and the Corps staff analyzed the orders for courses of action. In cases where brigades were required to accomplish specified tasks, the Corps operations centers would transmit ciphers to the brigades for assessment and development of their tactical plans. Commanders' intent appeared to be understood and implemented at the brigade level. However, specific examples of ANA brigades and kandaks<sup>22</sup> operationally executing commanders' intent were outside the scope of this assessment.

Successful implementation of commander's intent required a certain degree of initiative. With respect to implementing commander's intent, some subordinates appeared reluctant to act in the absence of specific instructions. For example, in preparation for a short-term absence, a commander can normally delegate authority to a subordinate. However, in Afghanistan, without a formal cipher supporting that delegation, subordinates typically hesitated to assume the commander's role. This inability to take initiative led to inaction and failure to resolve problems during the absence of the commander. Publishing individual ciphers authorizing subordinate level decision-making was inefficient and remained a cumbersome process, but was still the traditional prevailing practice.

**Figure 14. ANA Combined Planning**



Source: DoD IG

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<sup>22</sup> A kandak is an Afghan battalion sized organization.

## **Can the ANA Determine Roles, Responsibilities, and Relationships through Organization, Structure, and Processes?**

### ***Evolving, and needs continued emphasis.***

The ANA had formally codified command and control responsibilities in the MoD Organizations and Functions Manual (OFM). The OFM identified the role of each organization in the MoD and specified how each contributed to the overall security and functional capability in support of the GIRoA. The OFM provided a critical keystone in describing the roles, responsibilities, authorities, and structure of the ANA.

The ANA also took positive steps in building the doctrinal structure of Afghan C2 by formulating Air Command and Control doctrine and in writing the ANA field manuals.

Presidential Decree 2497, issued in July 2010, attempted to describe the desired coordination between the ANA and provincial governors, and indicated a concerted, centralized effort to structure roles, relationships, and processes across the GIRoA. Overall, the ANA was maturing in formalizing its C2 structure; however, significant challenges required continued attention.

Personal power relationships or tribal affiliations had a pervasive effect that influenced the processes of established, formal organizational hierarchies, and often circumvented impartial, objective personnel actions. At times, these informal relationships put commanders in the difficult position of commanding units without the authority to make key decisions; yet as commanders, they retained responsibility to do so.

On the other hand, some organizational structures deliberately decentralized ANA power in order to balance power brokers. For example, the Coalition promoted the establishment of Ground Forces Command to diffuse absolute control of the ANA previously held by the General Staff, yet also to serve as a unifying mechanism to unite the Corps Commanders under a single operational level GFC commander. As a result, field commanders will need to become more interdependent in order to achieve unity of effort toward national objectives. When fully achieved, this cooperation could unify and balance the ANA command structure, preventing one entity from becoming too strong or independent.

## **Can the ANA Establish C2 with Rules and Constraints?**

### ***Yes, marginally.***

The ANA had made significant progress in developing the ability to establish command and control with rules and constraints through several documents governing multiple agencies. Examples of progress included Operation NAWOOD, ACCC doctrine, and the ANP's "Gold-Silver-Bronze" policing construct. The ANA development of Operation NAWOOD clearly demonstrated maturation in deliberate planning, with acceptance of national accountability and risk.



The ACCC doctrine established policy and imposed timelines for mission planning and scheduling, instituting operating rules for the AAF to meet its mission requirements. Coalition Air Advisors tracked compliance with the policy and reported improvement in performance.

Operationally, the execution of the “Gold-Silver-Bronze” construct for security response to threatening security events was a reasonable success during the coordinated terrorist attacks launched by the Taliban in Kabul in April 2012. The MoI/ANP used this command and control methodology to coordinate their response after the U.S. Embassy attack in 2011. Execution of the methods prescribed required a disciplined shift from the previous Afghan approach to C2.

While the ANA demonstrated capability to establish C2 rules and constraints, institutional discipline was inconsistently applied to the rules and constraints it established. For example, the AAF developed air C2 doctrine, yet allowed senior leaders to manipulate this doctrine for their personal benefit. Military leadership circumvented the established C2 process with short notice requests for priority flights. As a result, the number of executive missions routinely exceeded the approved Afghan Air Force flight schedule. This practice made it difficult for the fledgling air force to build an effective C2 capability using a formally established air mission-planning program. Moreover, reacting to senior leader individual priorities did not allow for scheduled aircraft maintenance, training, and operational missions based upon available airframes and crew qualifications. Acceptance of more executive flight requests than the system could accommodate compromised general support to the ANA, the training of AAF pilots, and made it difficult to hold the AAF leaders and staff accountable for the over-extension of scarce resources. Although some senior ANA leaders still resisted following written MoD guidance, Coalition air advisors had made progress through key leader engagements in addressing this challenge.

## **Can the ANA Monitor and Assess the Situation and Progress?**

### ***Marginally.***

A core function of C2 is the ability of commanders to formulate decisions using battlefield data provided by operational units and intelligence sources. Monitoring of the situation is critical for leaders to identify progress or make adjustments as needed to ensure success. The ANA’s ability to monitor and assess the battle space had reportedly improved, in part, due to continuous staff training provided by partnered Coalition forces.

The ANA did not have the knowledge and expertise, however, to analyze spot reports and review Commander’s Critical Information Requirements (CCIRs) in any significant way. For example, the NMCC staff manually entered the data displayed on their ‘knowledge wall.’ The ANA did not have the capability to track trends using the information compiled from operational units and intelligence sources. The Afghan intelligence community generally lacked the tools or expertise to conduct in-depth analysis of operational or intelligence reports. A senior ANA intelligence official was especially critical of this inability to conduct analysis within the ANA.

**Figure 15. Knowledge Wall within the NMCC**



*Source: DoD IG*

At Corps and below, the ANA displayed maps updated on a daily basis to depict unit operations and mission objectives. However, the Corps did not always share threat information with subordinate units. Overall, data collected appeared to lack the application of any kind of meaningful analysis. Although, the OCCs and the ANA reported information daily to higher headquarters via e-mail, the content, utility, and analysis of information in these reports was questionable.

Overall, the ANA's ability to monitor and assess the battle space was improving, but it still lacked the analytical element needed to be an effective C2 operation.

## **Can the ANA Demonstrate Leadership by Inspiring, Motivating, and Engendering Trust?**

### ***Yes, but with challenges.***

In general, there was no lack of leadership capability in the ANA. In fact, many advisors characterized their officer and NCO counterparts as strong leaders with an apparent warrior ethos. In particular, leaders of the ANA Commandos (ANACDO) and ANA Special Forces (ANASF) stood out in this respect as strong leaders. Similarly, the ANA displayed capable leadership within its ranks, but not as uniformly.

The existing personnel system made it difficult to remove ineffective leaders. Removal of senior ANA officers proved problematic as influential power brokers backed ineffective leaders. Likewise, the ANA personnel system was vulnerable to exploitation by officers who purchased positions with little regard to appropriate personnel procedures or to the leadership a particular unit needed. Instances of ANA abuse of the personnel management system led to a leadership deficit, and this abuse affected the legitimacy of some leadership positions.

## Communications: Is Information Passed Securely and Efficiently?

### ***Inconsistent.***

ANSF command and control was comprised of two separate MoD and MoI computer networks. Advisors reported the ANA satellite based network (MoD-Net) in use was slow and expensive. Across Afghanistan, installation of MoD-Net capability in operations centers and coordination centers was an on-going effort. In addition, the U.S. and Coalition supported GIRoA with the installation of a fiber optic network to improve transmission of data and communications. The network installation planned for three phases of construction, and as of March 2012, phase one installation was 50 percent complete.

The ANA communicated primarily via cell phone and landlines, particularly during times of operational urgency. ANA Corps and brigades provided daily status reports up and down the ANA chain of command to the National Military Command Center and horizontally to the OCCs. Advisors indicated the daily operational summary ANA reports, particularly those intelligence related, contained raw information without analysis. ANP and ANA radios were not interoperable. Therefore, ANA and ANP reporting to OCCs was a critical means and process for the battle space owner to build an effective common operating picture of the security situation.

The fielding of communication and computer equipment in operations centers and coordination centers was inconsistent. Each of the operations centers we observed had radio rooms; however, it was not clear if all radios were in working condition. In many cases, the ANA unit did not have all the radios allocated by its *tashkil*.<sup>23</sup> On a positive note, ANA representatives reported that they could turn broken radios into the regional logistics support center (RLSC) and have a replacement issued while their radio was being repaired. ANA officers also reported some video teleconferencing (VTC) and e-mail encryption capability.

The overall complexity and sustainability of the communications systems and networks was a key concern to advisors and senior ANA officers. Limited Afghan education and literacy rates influenced their ability to operate and maintain various highly technical systems. However, the use of contractors provided a stopgap solution to allow operation and maintenance of these systems until the Afghan capacity matured.

Overall, the security and efficiency of the ANA communication system was widely variable. Secure means of communication were not consistently used or available to all commanders, yet they appeared able to transmit information effectively in the clear by non secure means via telephone. Advisor efforts to improve the overall security and efficiency of the ANA communications system were a continuing work in progress.

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<sup>23</sup> Tashkil is an authorization document for equipment, vehicles, and personnel for the Afghan National Security Forces.

**Figure 16. Communication Center at a Brigade Tactical Operations Center**



Source: DoD IG

## **Intelligence: Does the ANA Intelligence System Provide Feedback to Commanders to Inform Their Next Decision?**

### ***Not without Coalition Help.***

ISAF produced extensive multi-sourced intelligence products for the Coalition and selectively provided reports to inform the ANA. Information from multiple forums guided ANA combat operations. Although human intelligence was an ANA strength, they could not provide intelligence from sophisticated systems, nor contribute more than rudimentary analytical capabilities. The U.S. and Coalition intelligence support remained a basic requirement to conduct combat operations and for the ANSF to assume lead in security operations.

The Coalition-led Afghan Intelligence Transition Directorate (AITD) was responsible for expediting the development of an effective information and intelligence capability for the ANSF while still conforming to Afghan national intelligence protocols to protect sources, methods, and capabilities. The ANA intelligence career field has low rates of literacy and technical proficiency, as well as personal reliability problems. These deficiencies have not been sufficiently corrected for the field to meet modern intelligence organizational standards. As a result, AITD indicated it advocated a more sustainable intelligence enabler solution in which the U.S. and Coalition forces would provide the ANA effective, easy to use low-tech equipment to meet their basic requirements.

This low-tech AITD approach, along with organizational changes such as the introduction of newly formed military intelligence companies to improve analysis at Corps level, could satisfactorily meet the challenges of the current insurgency. Although still evolving, this overall emphasis on development of fundamental intelligence collection and analytical capability should

provide an adequate organic intelligence capability required by the ANA. Therefore, although at this stage in ANA development, the U.S. and Coalition strategy appeared logical, the development of ANA intelligence processes, structure, and capabilities are areas that continue to need more in-depth analysis and should be a focus for future assessment, as the Coalition, along with the Afghan government, determine its role and presence beyond 2014.

**Figure 17. OCC Intelligence Map**



Source: DoD IG

## **Other Issues Affecting Development of ANA C2**

Afghan culture and history influenced the development of the ANA C2, and coordination system. Introduction of western doctrine may not totally address ANA requirements, capability, and needs. The Coalition may need to anticipate Afghan variation away from doctrinal western planning and C2 concepts. Unlike unit and staff evaluations, such as the Commander's Unit Assessment Tool, IJC had not developed a formal methodology to evaluate the ANA C2 system.

## ***ANA Decision-Making Processes***

The ANA exhibited mixed acceptance of the western Military Decision Making Process model which had been introduced into the ANA doctrine in previous years. The military decision making process taught in U.S. service institutions is a procedural planning methodology to understand the situation and mission, develop a course of action, and produce an operation plan or order to accomplish a mission, objective or task. The Afghan officer corps was a mixture of former Soviet, Northern Alliance, and Coalition-trained officers, and they had no common frame of reference to the MDMP in terms of their professional, sequential military education system.

Advisors reported the MDMP process was valued for its deliberate long-range planning capability, but ANA commanders and staff viewed it as too cumbersome for executing timely tactical military operations. In our judgment, insufficient exposure to the MDMP model in the

ANA training system may have contributed to a lack of acceptance. Advisors reported the ANA had begun using a simplified version of MDMP adapted to ANA operational needs.

However, the ANA C2 system still must adhere to the basic principles of accountability, discipline, and transparency, and have clear organizational constructs in order to support an enduring and professional security force.

### ***Advisor Measurement of ANA C2 Progress***

The IJC approach in evaluation of C2 concentrated on the tangible, quantitative aspects of measuring personnel, equipment, and training associated with overall unit effectiveness rather than evaluating functional processes, like command and control. IJC did not measure the development of the ANA C2 system with metrics (Commander's Unit Assessment Tool (CUAT) or Capability Milestones (CM)) from advisor field reports that evaluated and developed in line with campaign plan objectives; however, they did measure unit operational effectiveness in traditional terms of personnel manning and equipment on hand.

## **Conclusion**

After analyzing the U.S. and Coalition efforts to develop the ANA Command and Control Systems through DOTMLPF, the C2 analysis framework, we determined that their efforts have been marginally successful.

The ANA demonstrated an improving capability to successfully conduct COIN missions, and its units could orchestrate rudimentary coordination and communication with other elements of the ANSF. We judged their C2 capability as marginal because their execution of the command and control function was acutely dependent upon enabler support provided by the Coalition. In addition, a number of other resource-intensive, high-risk challenges remained critical and if not properly resolved could degrade ANA C2 effectiveness rather than improve it.

These difficult challenges included adapting to evolving organizational structures, overcoming the limited command authority to remove ineffective senior officers, logistics impediments, complexity of technology and automation, and the significant reliance on U.S. and Coalition enablers. In addition, the ANA's ability to compile, analyze, assess, and provide operational intelligence related information to leadership in a timely manner was of particular concern.

The merging of western C2 doctrine and methods with Afghan cultural considerations, heavy reliance on Coalition enablers, and the challenging threat environment made the development of the ANA C2 system a difficult task. Significant U.S. and Coalition efforts will need to continue in order to fully develop a mature ANA C2 system.

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# Appendix A. Scope, Methodology, and Acronyms

We conducted this assessment from January to May 2012 in accordance with the standards published in the *Quality Standards for Inspections*. We planned and performed the assessment to obtain sufficient and appropriate evidence to provide a reasonable basis for our observations and conclusions, based on our assessment objectives. The team conducted site visits in Afghanistan from April 15 to May 3, 2012.

The objectives of this assessment were to determine whether the U.S. Government and Coalition strategy, guidance, plans, end-state deadlines and resources are adequate for the development and execution of an effective ANSF Command and Control System.

Primary assessment tools to frame our analysis of the command and control structure included:

- the overarching Joint Doctrine DOTMLPF construct model,
- Analysis Framework for evaluating national command and control structures,
- Commander's Unit Assessment Tool used by ISAF/IJC, and the
- Capabilities Milestone rating (CM) used by NTM-A/Combined Security Transition Command-Afghanistan (CSTC-A).

We reviewed documents such as U.S. federal laws and regulations, including the National Defense Authorization Act, Chairman of the Joint Chiefs of Staff instructions, DoD directives and instructions, and appropriate U.S. Central Command, NATO/ISAF, IJC, U.S. Forces-Afghanistan (USFOR-A), NTM-A/CSTC-A, and Afghan MoD guidance, plans, and briefings.

The assessment team deliberately solicited a broad background and experience level of those ANSF security personnel working Afghan command and control issues. We reviewed the programs and processes used in the development and function of the ANSF, and spoke with appropriate U.S./Coalition and Afghan leaders and managers at all levels, ranging from general officers, to staff officers and technicians, to training and mentor team members in the field.

The ANA C2 assessment chronology was as follows:

January to April 2012	Research and fieldwork in CONUS
April 15 to May 5, 2012	Fieldwork in Afghanistan
May 3, 2012	Out-brief to IJC, and NTM-A/CSTC-A
May to October 2012	Analysis, report writing, and reviews
November 16, 2012	Draft report issued
December 28, 2012	Management comments received



## **Limitations**

*We limited our review to DoD-funded programs, NATO-funded programs, and international donation programs supporting the development of the ANA.*

## **Use of Computer-Processed Data**

We did not use computer-processed data to perform this assessment.

## **Use of Technical Assistance**

We did not use Technical Assistance to perform this assessment.

## **Acronyms Used in this Report**

The following is a list of the acronyms used in this report.

AAF	Afghan Air Force
ABP	Afghan Border Police
ACCC	Air Command and Control Center
AHRIMS	Afghan Human Resources Management Information System
AITD	Afghan Intelligence Transition Directorate
AMR	Air Movement Request
AMoD	Assistant Ministry of Defense
ANA	Afghan National Army
ANASOC	Afghan National Army Special Operations Command
ANATEC	Afghan National Army Training and Education Center
ANCOP	Afghan National Civil Order Police
ANP	Afghan National Police
ANSF	Afghan National Security Forces
ASL	Authorized Stockage Level
AUP	Afghan Uniformed Police
C2	Command and Control
CCIR	Commander's Critical Information Requirements
COIN	Counter Insurgency
COP	Common Operating Picture
CM	Capability Milestones
CSTC-A	Combined Security Transition Command-Afghanistan
CUAT	Commander's Unit Assessment Tool
DoD IG	Department of Defense Inspector General
FOC	Fully Operationally Capable
FRAGO	Fragmentary Order
GFC	Ground Forces Command
GIRoA	Government of the Islamic Republic of Afghanistan
GS	General Staff
HF	High Frequency
ICC	Interagency Coordination Cell (located at Ground Forces Command)
IJC	ISAF Joint Command

IPT	Integrated Planning Team
ISAF	International Security Assistance Force
IT	Information Technology
JOC	Joint Operations Center
<i>kandak</i>	Afghan Battalion Sized Organization
MAG	Ministerial Advisory Group
MEDEVAC	Medical Evacuation
MDMP	Military Decision Making Process
MoD	Ministry of Defense
MoD 14	Ministry of Defense Form 14
MoI	Ministry of Interior
NATO	North Atlantic Treaty Organization
NCO	Non-Commissioned Officer
NDS	National Directorate of Security
NIMS	National Information Management System
NMCC	National Military Command Center
NPCC	National Police Command Center
NTM-A	NATO Training Mission-Afghanistan
NSOP	National Standard Operating Procedures
OCC	Operations Coordination Center
OCC-P	Operations Coordination Center - Provincial
OCC-R	Operations Coordination Center - Regional
OFM	MoD Organizations and Functions Manual
OP	Operation
OP NAWOOD	Afghan led Operations Plan (OPLAN) for Solar Year 1391
OP Saleb	a one-time push of supplies to the RLSCs over a 15-day period
OPT	Operational Planning Team
RLSC	Regional Logistics Support Command
ROC Drill	Rehearsal of Concept Drill
RSC	Regional Support Command
SFA	Security Force Assistance
SFAT	Security Force Assistance Team
SMW	Special Mission Wing
SOF	Special Operations Forces
<i>tashkil</i>	Dari for an authorized Afghan Equipment and Manning Document
TOE	Table of Organization and Equipment
Tranche	Transition Phases
UHF	Ultra High Frequency
USAFCENT	U.S. Air Force Central Command
USFOR-A	U.S. Forces-Afghanistan
VHF	Very High Frequency
VTC	Video Teleconference
WOC	Wing Operations Center

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## Appendix B. Summary of Prior Coverage

During the last four years, the DoD, the Government Accountability Office (GAO), the Special Inspector General for Afghanistan Reconstruction (SIGAR), the Commission on Wartime Contracting, the Congressional Research Service, and the DoD IG have issued a number of reports discussing the development of the ANSF.

Unrestricted DoD reports can be accessed at <http://www.defense.gov/pubs>.

Unrestricted GAO reports can be accessed at <http://www.gao.gov>.

Unrestricted SIGAR reports can be accessed at <http://www.sigar.mil>.

Unrestricted Commission on Wartime Contracting reports can be accessed at

<http://www.waritimecontracting.gov/index.php/reports>.

Unrestricted Congressional Research Service Reports can be accessed at <http://www.crs.gov>.

Unrestricted DoD IG reports can be accessed at <http://www.DoD IG.mil/audit/reports>.

Some of the prior coverage we used in preparing this report has included:

### Government Accountability Office

GAO-12, “Afghanistan Report (current draft is classified),” Final version released 23 July 2012.

GAO-11-760, “Iraq and Afghanistan: Actions Needed to Enhance the Ability of Army Brigades to Support the Advising Mission,” August 2, 2011.

GAO-11-710, “Afghanistan: Actions Needed to Improve Accountability of U.S. Assistance to Afghanistan Government,” July 2011.

GAO 11-66, “Afghanistan Security: Afghan Army Growing, but Additional Trainers Needed; Long Term costs Not Determined,” January 27, 2011.

GAO-10-842T, “Preliminary Observations on DoD’s Progress and Challenges in Distributing Supplies and Equipment to Afghanistan,” June 25, 2010.

GAO-10-655R, “Strategic Framework for U.S. Efforts in Afghanistan,” June 15, 2010.

GAO-09-263SP, “Securing, Stabilizing, and Developing Pakistan’s Border Area with Afghanistan,” February 23, 2009.

GAO-08-661, “Further Congressional Action May Be Needed to Ensure Completion of a Detailed Plan to Develop and Sustain Capable Afghan National Security Forces,” June 18, 2008.

### Department of Defense Inspector General

DoDIG-2012-109 Assessment of U.S. Government and Coalition Efforts to Develop the Afghan Local Police.

DoD IG-2012-104, “DoD Needs to Improve Vocational Training Efforts to Develop the Afghan National Security Forces Infrastructure Maintenance Capabilities,” 18 June 2012.

DoD IG Report No. DoDIG-2012-34 Assessment of Afghan National Security Forces Metrics—Quarterly (U), Afghan National Army (ANA) Sep 2011 – Feb 2012 (CLASSIFIED REPORT).

DoD IG Report No. DoD IG-2012-028, “Assessment of US Government and Coalition Efforts to Develop the Logistics Sustainment Capability of the Afghan National Army,” 9 December 2011.

DoD IG Report No. SPO-2009-007, “Assessment of U.S. and Coalition Plans to Train, Equip, and Field the Afghan National Security Forces,” September 30, 2009.

DoD IG Report No. SPO-2009-001, “Assessment of Arms, Ammunition, and Explosives Control and Accountability; Security Assistance; and Sustainment for the Afghan National Security Forces,” October 24, 2008.

## **Special Inspector General for Afghanistan Reconstruction (SIGAR)**

Audit 12-04, “DoD Improved Its Accountability for Vehicles Provided to the Afghan National Security Forces, but Should Follow Up on End-Use Monitoring Findings,” January 12, 2012.

Audit 12-2, “Better Planning and Oversight Could Have Reduced Construction Delays and Costs at the Kabul Military Training Center,” October 26, 2011.

SIGAR Audit-10-11, “Actions Needed to Improve the Reliability of Afghan Security Force Assessments,” June 29, 2010.

## **Congressional Research Service**

“Afghanistan: Post-Taliban Governance, Security, and U.S. Policy,” February 6, 2012.

## Appendix C. Criteria

### Government of the Islamic Republic of Afghan, Afghan Ministry of Defense, ISAF Policy, U.S. Policy, and DoD Policy

1. **Afghan Ministry of Defense, “Air Command and Control Doctrine,” (Dari-English), May 2011.** Air Command and Control Doctrine is the initial framework that the MoD uses to control and schedule resources to best use the AAF to meeting GIRoA’s security requirements. The document specifies how air missions are requested, validated, prioritized, tasked, and reported.
2. **Afghan National Army 1.3-6, “Mission Command: Command and Control of Army Forces,” June 1, 2007.** ANA 1-3.6 is the Army’s key integrating manual for C2. It provides the basis for C2 doctrine, tactics, techniques, and procedures in all Army publications. It promotes common understanding of the fundamentals and concepts of C2 in Army operations, and supports joint and Army doctrine. This edition is in draft revision as of May 2012.
3. **Afghan National Army 1-6 “Communications,” May 2009.** This publication describes combat signal support in the Afghanistan National Army. It is designed for existing communications networks within the ANA.
4. **Afghan National Army Inherent Law for Officers and NCOs, published in the Ministry of Justice Official Gazette, July 2010.** This publication manages employment, transfer, promotion, leave, award, punishment, separation, resignation, retirement, reserved and other ANA officers and NCOs inherent affairs.
5. **Afghan National Army Regulation 030: “Officer Assignments, Transfers, and Details.”** The regulation was developed by the AMoD P& E, which provides the policy and general instructions for the Afghan National Army on the Officer Assignments Transfers and Details system. The policy establishes the procedures and processes to implement and execute the Officer Assignments Transfers and Details system.
6. **Chief of General Staff Order # 358, “About the Regional Corps and 111th Capital Division Command and Control,” dated 04/11/1390, January 24, 2012.** This order states the GFC is at the end of its Phase 2 training plan. As such, the Chief of the General Staff reminds stakeholders like the regional corps and Kabul’s 111th Capital Division about revised reporting procedures. These procedures include reports to the GFC, and GS G3 responsibility to integrate the GFC into the overarching C2 structure, as a preliminary headquarters, but not yet as a full-fledged C2 node.

7. **Combined Directive 001/2011 between the International Security Assistance Force and the Afghanistan National Security Forces for the Conduct of Security Operations in Transitioning Areas.** This document is classified.
8. **Decree 4.0, Ministry of Defense, Office of the Assistant Minister of Acquisition, Technology, and Logistics, “Supported and Supporting Unit Logistics Policy and Support Procedures,” January 2009.** This decree describes common procedures, formats, and forms for the communication of logistic information between supported activities and the supply and materiel management of the MoD.
9. **Decree 4.1, Ministry Of Defense, Office of the Assistant Minister of Acquisition, Technology, Logistics, “Transportation Management Policy and Procedures,” August 2010.** This decree establishes transportation doctrine, policies, and procedures applicable for transportation and movement for the ANA. It recognizes that the NATO system must integrate with the ANA supply system in order to provide effective logistics/maintenance support to ANA operational units.
10. **Decree 4.2, Ministry Of Defense, Office of the Assistant Minister of Acquisition, Technology, Logistics, “Materiel Accountability Policy and Procedures,” June 2009.** This decree establishes the accounting policy for all materiel (including food, ammunition, vehicles, fuel, and equipment) that ANSF uses on a daily basis. Further, it states: “the MoD is merely the custodian of the Afghan government’s hard earned money and trust. Let no member of the ANA betray this trust by being a poor steward of its resources.”
11. **Decree 467 Establishing GFC, June 2010, Implementation Order 2011.** This presidential decree established a coordination committee among security organizations within Afghanistan. In effect, it attempts to place the provincial governor, who chairs the coordination and solidarity committee, in a supervisory role over units and their operations within the geographic boundaries of a province. The intent and wording of this decree created tension between the MoD and president of Afghanistan it appeared to give the provincial governors command of local ANA elements, creating a second ANA chain of command.
12. **Decree 5001, Ministry of Defense “Organization and Functions Manual,” March 29, 2011.** Prescribes the command relationships from the President of Afghanistan through the MoD and GS to all elements of the ANA. It also prescribes the organization and functions of all approved organizational structures (*tashkil*) of the offices of the MoD and GS of the ANA and AAF. This manual, along with existing Ministerial Decrees, policies, standard operating procedures and ANA and AAF regulations serves as the basis for assigning and coordinating staff actions.

- a. **Chapter 23: Mission of the Office of General Staff, Chief of Operations (GS G3).** This chapter directs the duties, organization, and responsibilities of the Chief of Operations. The Chief of GS G3 is under command of Chief of the General Staff and the Director of the General Staff (DGS). The Chief of GS G3 is the principal assistant and advisor for the CoGS for the planning and execution of current and crisis operations. He provides operational guidance and command direction to fight the current battle through National Military Operations Center (NMOC), and ensures for coordinated relationships with the corps and other ANA units with the respective GS department. The GS G3 is the dissemination funnel for all the ANA units through the NMOC and eventually the Ground Forces Command once they achieve FOC.
- b. **Chapter 32: Ground Forces Command.** This chapter describes the mission, roles, responsibilities, and concept of operations for Ground Forces Command. The GFC Commander works directly for the Chief of the General Staff. Upon achieving operational capability, the Ground Forces Command will have Command and Control of all Afghan National Army Corps, 111th Division-Kabul and other assigned units (for temporary periods of time). It is responsible for conducting full spectrum, intelligence driven, and effects based military operations throughout Afghanistan as directed by the Minister of Defense and the Chief of General Staff in order to achieve military objectives throughout Afghanistan.
- c. **Chapter 33: Afghan National Army Special Operations Command (ASOC).** This chapter describes the roles, responsibilities, concept of operation, and special mission approval process for the ASOC. The ASOC Commander reports directly to the Chief of the General Staff. The mission of ASOC is to organize, man, train, educate, equip, deploy, and sustain all Afghan National Army Special Operations Forces in order to conduct operations in support the Islamic Republic of Afghanistan's National Military Strategy.
- d. **Chapter 34: Mission of the Afghan Air Force:** This chapter directs the establishment of the AAF. The AAF is responsible to provide trained and ready airmen and soldiers to execute critical tasks from the air in support of the Afghan National Army and, when directed by the Minister of Defense and the CoGS, provides air support to civil authorities of Afghanistan.
- e. **Chapter 37: Army Support Command.** This chapter describes the mission, roles, responsibilities, and organization for the Army Support Command. The Army Support Command works directly for the Chief of General Staff to provide centralized command and control over six subordinate Regional Logistics Support Commands (RLSCs), and provides visibility of logistics operations to the Chief of the General Staff. In coordination with Operational and Supporting Commands, the Army Support Command, plans, coordinates, synchronizes, monitors and executes all operational-level logistics within and between Afghanistan's regional areas of operations. The Army Support Command links



strategic national resources to tactical-level supported units through the employment of the RLSCs, and in coordination with higher headquarters, has the authority to shift logistical resources between RLSCs and supported units to meet the needs of national strategy.

- f. **Annex A: Afghan National Army Command and Control Directive.** The ANA Command and Control Directive details the command authority of the President of Afghanistan, the Minister of Defense and the First Deputy Minister through all levels of the Ministry of Defense, Chief of the General Staff, General Staff and subordinate commands. The subordinate commands include the Ground Forces Command, (Corps, 111 Division, Brigades and Kandaks), Afghan Air Force (Regional Air Wings, Groups and Squadrons), Afghan National Army Special Operations Command as well as all elements of Logistics, Recruiting, Training and Medical Commands. This C2 Directive ensures that commanders are focused at the appropriate level (national, operational or tactical) of command and understand their responsibilities and authority with respect to the troops they command.
  - g. **Annex B: Support of the Afghan National Army (Logistics Concept of Support).** This annex describes the ANA logistics concept of support. Within the Ministry of Defense, the logistics system performs as an end-to-end process that consists of five major steps: acquisition, distribution, accountability, sustainment, and disposition. Those major process steps are translated into an overarching logistics concept of support that connects the strategic national level of logistics to the tactical unit level.
- 13. **Draft Directive of the Authorities and Responsibilities of Ground Force Command and Chief of the General Staff of the MOD, undated.** Results from an Integrated Planning Team tasked to identify responsibilities for the new GFC. Contentious effort, as some responsibilities were once the responsibilities of the General Staff. Divestiture of GS duties and agreement to new GFC roles has been a key milestone to understanding the function that the GFC will play in the overall ANA command and control architecture.
  - 14. **ISAF FRAGO 164-2009, Accelerating the Establishment of Operations Coordination Centres-Regional (OCC-R) and Operations Coordination Centres-Provincial (OCC-P) within Afghanistan, April 30, 2009.** This document is classified.
  - 15. **ISAF Operations Plan (OPLAN) 38302 (Revision 6) ISAF Operations in Afghanistan, October 31, 2011.** This document is classified.
  - 16. **Joint Directive Implementing Order 3501, September 16, 2007 and Presidential Decree 1730, March 2, 2008.** This document was the forerunner of the OCC concept. President of Afghanistan implemented the Joint Directive with

Decree 1730, signed March 2, 23008. This Joint Directive outlined the initial concept of integration and coordination between security pillars in order to improve command and control (unity of purpose) and identified responsibilities for ‘coordination groups’ to support joint operations.

17. **Ministerial Development Program for ANA Ground Forces Command, January 3, 2011.** Coalition document outlining the status and challenges of standing up the Ground Forces Command, the desired CONOP for this new command, and general milestones leading up to 2012 and 2013.
18. **Ministry of Defense Order No. 0517: “Maintaining Ethnic Balance in the ANA,” March 18, 2010.** This order states that the departments, commands, and MoD deputies are ordered to implement the ethnic demographic percentage breakdown until the time an internationally accepted nationwide census is completed.
19. **Operations Coordination Centre National Standard Operating Procedures (OCCNSOP) Version 2.2 Draft as of January 16, 2011.** This 39 page document is a comprehensive summary of the Coalition’s organizational effort to establish OCCs throughout Afghanistan. Includes *tashkil* Table of Organization and Equipment (TOE) information, communications nets, and reporting procedures.
20. **United States Air Forces Central Command, Afghanistan National Security Forces Air Power Requirements Review, February 28, 2010.** Based upon a December 2009 request from the Commander of the Combined Air Power Task Force Afghanistan and the ANA G-3, the USAFCENT Commander chartered a review of ANSF Airpower development progress to ensure existing plans enabled the GIRoA to conduct a full range of aviation operations supporting specific National Security and Military Strategy. Recommendations regarding the size and structure of the future Afghan Air Force were provided.
21. **United States Army Doctrine Publication 6.0 “Mission Command,” May 17, 2012.** Army Doctrine Publication (ADP) 6-0 presents the Army's guidance on command, control, and the mission command war fighting function. This publication concisely describes how commanders, supported by their staffs, combine the art of command and the science of control to understand situations, make decisions, direct action, and accomplish missions.

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# Appendix D. Organizations Contacted and Visited

We visited, contacted, or conducted interviews with officials (or former officials) from the following NATO, U.S., and Afghan organizations:

## UNITED STATES

### *Department of Defense*

- Army War College Students and Instructors
- Center for Naval Analysis
- Joint Staff J2, DIA Afghanistan Pakistan Task Force
- Joint Staff J5, Pakistan/Afghanistan Coordination Cell
- National Defense University Students and Instructors

### *Department of Homeland Security*

- Federal Emergency Management Agency

## AFGHANISTAN

### *NTM-A/CSTC-A*

- First Defense Minister Advisor
- Vice Chief of General Staff Advisor
- AMoD Advisors
  - AT&L
  - Strategy & Policy
  - Personnel & Education
  - National Disaster Response
  - Legal
- AAF Advisors
- ANA Training and Education Center Advisors
- CJ3, CJ4, CJ6
- DCG OPS
- DCOM International Security Cooperation
- DCOM Police Advisors
- DCOM SPO and Staff Advisors
- DCOM Army Advisors
- DCOM SOF Advisor
- International Security Assistance Office
- NTM-A Chief of Staff

## ***ISAF Joint Command***

- ANSF Development
  - CUOPS
  - FUOPS
  - FUPLANS
  - CJENG
  - CJ4
- Campaign Analysis and Future Requirements
- CJ1, CJ2, Dep CJ2, CJ35, CJ4, CJ6, CJ7, CJ ENG, CJ MED
- DCOS Joint Operations
- DCOS Operations, International Security Assistance Force
- DCOS Plans
- Deputy DCOM Advisors
- Deputy DCOM Stability Ops
- Director Campaign and Transition Assistance Group
- Fixed and Rotary Wing Advisors
- GFC Advisors
- ISAF SOF Advisor
- NATO Advisor Assessment Branch
- NPCC Advisors

## ***Regional Commands***

- RC-South
  - Chief of Staff, RSC-South and selected staff
  - Kandahar Air Wing Advisors
- RC- North
  - Commander, RC-North and selected staff
  - Commander, Regional Support Command (RSC) -N and selected staff
  - 303rd Security Force Assistance Team OCC-R
- RC- Capital
  - Commander, RC-Capital and selected staff
  - Commander RSC-C and selected staff

## ***Afghan Ministry of Defense***

- General Staff
  - Vice Chief of General Staff
  - G1, G2, G3, G4, G5, G6, G7
  - Vice Chief of Staff-Air
  - G3 Air Plans
  - Director NMCC and Staff
- Assistant Minister of Defense (AMoD) Strategy & Policy
- AMoD Personnel & Education
- AMoD National Disaster Response
- Afghan National Army Training & Education Center (ANATEC) Director

- Afghan Air Force
  - AAF HQ/A3
  - ACCC Director and Staff
  - Kandahar Air Wing Operations Center Staff
- Ground Forces Command
  - Commander, GFC
  - Deputy Commander, GFC
  - G1, G2, G3, G33, G4, G5, G6, G7

### ***Afghan Ministry of Interior***

- Chief of Staff
- Director National Police Coordination Center and Staff

### ***Afghan Corps and Brigades***

- 205<sup>th</sup> Corps, RC- South
  - Commander, 205<sup>th</sup> Corps and Staff
  - Commander, 1<sup>st</sup> Brigade and Staff
  - OCC-R, Kandahar
  - OCC-P, Kandahar
- 209<sup>th</sup> Corps, RC- North
  - Commander, 209<sup>th</sup> Corps and Staff
  - Deputy Commander, 209<sup>th</sup> Corps
  - Commander, 3rd Brigade and Staff
  - OCC-R, Mazar-E Sharif
- 111<sup>th</sup> Capital Division, RC-Capital
  - Commander, 111<sup>th</sup> Capital Division
  - Commander, 2<sup>nd</sup> Brigade and Staff
  - OCC-Kabul

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# APPENDIX E. Management Comments

## NATO Training Mission - Afghanistan Comments

Final Report  
Reference



REPLY TO  
ATTENTION OF  
NTM-A/CSTC-A

HEADQUARTERS  
NATO TRAINING MISSION - AFGHANISTAN  
COMBINED SECURITY TRANSITION COMMAND - AFGHANISTAN  
KABUL, AFGHANISTAN  
APO AE 09356


MEMORANDUM THRU United States Forces - Afghanistan (CJIG), APO AE 09356  
United States Central Command (CCIG), MacDill AFB, FL 33621

FOR: Office of the Department of Defense Inspector General (DoD IG)  
Special Plans and Operations  
4800 Mark Center Drive  
Alexandria, Virginia 23350

SUBJECT: NTM-A/CSTC-A Response to the Draft Report "Assessment of U.S. Efforts to Develop the  
Afghan National Security Forces Command, Control, and Coordination System" (Project No.  
D2012-D00SPO-0085.000)

REFERENCE: Draft Report, dated 16 Nov 2012, Department of Defense Inspector General, Special  
Plans and Operations (DoD IG SPO)

1. The purpose of this memorandum is to provide responses to DoD IG SPO's draft report.
2. Point of contact for this action is LTC R. Reed Anderson at DSN [REDACTED] or via e-mail at [REDACTED]

 12 21 12  
JONATHAN A. MADDUX  
BG, USA  
DCG-SPT, Commanding

Enclosure:  
NTM-A/CSTC-A Response to Draft Report



### NTM-A/CSTC-A RESPONSE TO CHANGES TO DRAFT REPORT

*“Assessment of U.S. Efforts to Develop the Afghan National Security Forces Command, Control, and Coordination System” (Project No. D2012-D00SPO-0085.000)*

#### 1. Recommendation 3.a.:

Commander, NATO Air Training Command-Afghanistan reevaluate utility of the Air Command and Control Center (ACCC) and either close it or re-scope its function to serve as an air operations and coordination center only; consider streamlining operations and resources to have all air movements approved and tracked by General Staff Air Plans within the National Military Control Center.

*a. NTM-A/CSTC-A response to DoD IG SPO Draft Report Recommendation 3.a:*

NTM-A/CSTC-A non-concurs as written.

#### Discussion:

The ACCC is an essential command and control (C2) element integral to the success of the growing and maturing Afghan Air Force (AAF). Further, the ACCC is key to the efficient and effective daily management of the AAF's air resources both now and as the force evolves to full capability in the coming years. Closing the ACCC would hinder the ability of the AAF Commander and headquarters staff to maintain adequate oversight during planning, execution, and post mission phases of national and regionally tasked air movement requests (AMR). The ACCC is an important part of the greater Afghan National Security Force (ANSF) air-ground coordination construct.

The ACCC entered a reduced level of performance, due in part to actions taken subsequent to insider attacks in April 2011. To reverse ACCC performance shortfalls, NATC-A initiated an aggressive advisor program emphasizing C2 at all levels of AAF leadership. Advising activities resumed full-time by Oct 2012 with four advisors under an appropriate security posture performing daily advising activities. This team was augmented by additional part time advisors as duties permitted. The team is slated to reach six full-time advisors by end of December 2012. In this short time, the ACCC has made significant strides, including many firsts: AAF-led and coordinated air support of inter-agency missions, record air supply to RC-E, AAF-led air assault missions in support of ANA and coalition forces in RC-S, and record aircrew training. Cell phone direct requests and taskings are now virtually nonexistent. Under NATC-A tutelage, the ACCC is on track to become the Afghan center of air expertise and operational planning.

#### 2. Recommendation 3.b.:

Commander, NATO Training Mission-Afghanistan in coordination with Commander, NATO Air Training Command-Afghanistan advise the Vice Chief of the General Staff-Air and Afghan Air Force Headquarters staff to revise the Air Command and Control Center doctrine in order to clarify its role and its interaction with other command, control, and coordination nodes.

*a. NTM-A/CSTC-A response to DoD IG SPO Draft Report Recommendation 3.b:*

NTM-A/CSTC-A concurs as written.

#### Discussion:

Despite the adoption of a new C2 doctrine document in May 2011, lack of coalition advising activities significantly contributed to a general misunderstanding, inconsistent application, and varied interpretations of the nascent Afghan Air C2 Doctrine. Great strides have been made to reverse these trends with increased emphasis on the use and exercise of C2 authority by the ACCC, as well as, continued and frequent education for the Afghans from their advisor counterparts.

However, the AAF must have the opportunity to implement the Air C2 doctrine as written over a reasonable period of time before considering a revision. In support of this effort, NATC-A advisors have been and continue to 1) educate key senior leaders on the approved Air C2 doctrine, 2) develop the products and C2 nodes to facilitate full implementation the approved C2 process, 3) evaluate progress and 4) make recommendations for future Air C2 doctrine revision based on measured

### NTM-A/CSTC-A RESPONSE TO CHANGES TO DRAFT REPORT

“Assessment of U.S. Efforts to Develop the Afghan National Security Forces Command, Control, and Coordination System” (Project No. D2012-D00SPO-0085.000)

results. Among these efforts is a combined Afghan-Coalition ACCC Mobile Training Team to provide a complete and standardized interpretation of the approved Air C2 doctrine throughout the AAF. Similarly, a campaign to educate senior leaders at the MoD, AAF HQ, Air Wing, and regional levels began in Oct 2012. Also, there has also been emphasis on devoting advisor manpower on growing the AAF’s critical C2 nodes at the regional and wing levels. These activities are already showing promising signs of progress and give reason for great optimism for future success of the C2 system.

#### 3. Recommendation 3.c.:

Commander, NATO Air Training Command-Afghanistan explore options to improve command and control communications with Afghan Air Force aircrews, to include use of government cell phones, and provide reliable mission flight following of Afghan Air Force Aircraft.

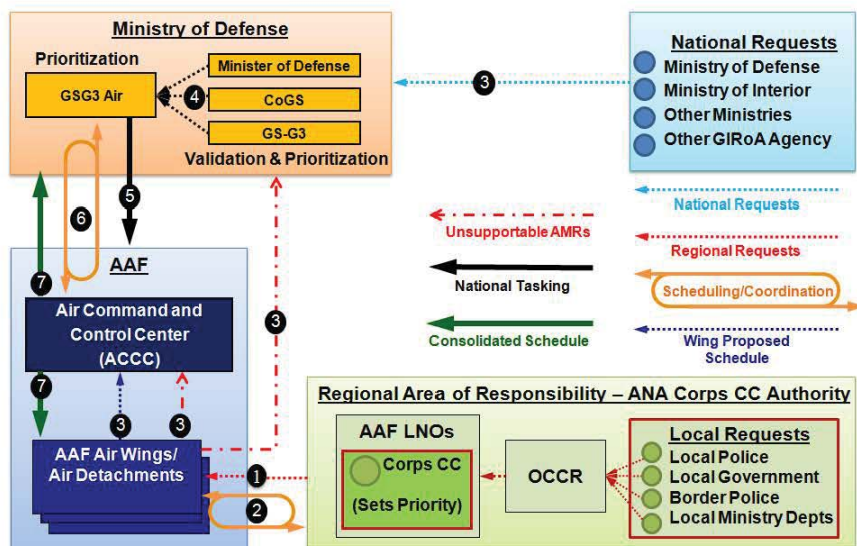
##### a. NTM-A/CSTC-A response to DoD IG SPO Draft Report Recommendation 3.c:

NTM-A/CSTC-A concurs as written.

Discussion:

Reference DoD IG draft report, page 55, figure 10.

The referenced figure does not correctly depict the current state of the Afghan Air Movement Request (AMR) Process. An updated depiction is provided below.



OPR: NATC-A/J3, Colonel Reginald R. Smith, USAF

POC: Major Brian C. Aulner, USAF, DSN 318-237-9776, bryan.c.aulner@afghan.swa.army.mil

#### 4. Recommendation 4.b, Page 63, states:

Commander, NATO Training Mission - Afghanistan, in coordination with Commander, International Security Force Joint Command, coordinate with the Minister of Defense and the Chief of the General

We incorporated this updated process chart at page 27 of the final report.

### NTM-A/CSTC-A RESPONSE TO CHANGES TO DRAFT REPORT

*“Assessment of U.S. Efforts to Develop the Afghan National Security Forces Command, Control, and Coordination System” (Project No. D2012-D00SPO-0085.000)*

Staff to ensure that the MoD Officer Assignment and Reassignment Policy regarding existing authority and process for the removal of officers is clearly understood and monitor implementation within the MoD/ANA.

*a. NTM-A/CSTC-A Response Comments to DoD IG SPOI Draft Report Recommendation 4.b:*

NTM-A/CSTC-A concurs as written.

Discussion:

Currently, the AMoD P&E, under the guidance of Minister Jawhari, is conducting Staff Assistant Visits (SAV) throughout the ANA with five SAV teams 21 Nov thru 16 Dec 12. Emphasis is being placed on the clarification and implementation process of the current policies to include the recently approved Officer and NCO Assignment Policy as directed by Presidential Order 45 dated 21 Jun 12. Upon completion of the SAV, the teams will generate an After Action Report that will be provided to the MINDEF on the results of the SAV.

5. Recommendation 4.c, Page 63, states:

Commander, NATO Training Mission – Afghanistan assist the ANA in developing and formalizing an official review process to accompany the removal authority existing or implied by statute or regulation.

*a. NTM-A/CSTC-A Response Comments to DoD IG SPOI Draft Report Recommendation 4.c:*

NTM-A/CSTC-A concurs as written.

Discussion:

Currently, a panel of senior general officers is reviewing records of officers below Corps level for the past several weeks. Over 600 records have been reviewed and as a result of the panel's review, an analysis will be made to determine the future of substandard performing officers.

6. Recommendation 6, Page 74, states:

Commander, NATO Training Mission – Afghanistan coordinate with International Security Force Assistance Joint Command and Afghan counterparts at Ministry of Defense, Ministry of Interior, General Staff, Afghan National Army Corps/Zones and supporting commands, to convene an Afghan-led and Coalition-supported Integrated Planning Team (IPT) to:

*a. Recommendation 6.a:*

Determine and document the computer automation and information technology requirements of the ANSF at the strategic, operational, and tactical levels.

*b. NTM-A/CSTC-A Response Comments to DoD IG SPOI Draft Report Recommendation 6.a:*

NTM-A/CSTC-A concurs as written.

Discussion:

Computer automation and information technology requirements of the ANSF at the strategic, operational, and tactical levels are defined in Appendix 2 to Annex CCC to Op Naweed 1391.

*c. Recommendation 6.b:*

Develop a holistic requirements, equipment, and sustainability strategy with a bridging mechanism to synchronize present and emerging Afghan capacity to ANSF needs.

*d. NTM-A/CSTC-A Response Comments to DoD IG SPOI Draft Report Recommendation 6.b:*

NTM-A/CSTC-A concurs as written.

Discussion:



### NTM-A/CSTC-A RESPONSE TO CHANGES TO DRAFT REPORT

*“Assessment of U.S. Efforts to Develop the Afghan National Security Forces Command, Control, and Coordination System” (Project No. D2012-D00SPO-0085.000)*

The importance of a mature and capable ANSF communications system is recognized by ISAF. NTM-A (CJ6) is working with the ANA, MoD and MoI, to develop a Command, Control and Communication (C3) Strategy for the ANSF. The strategy aims to document current capabilities, identify the key capability gaps, including methodologies for future expansion all of which takes into account and works within the overall transition plan. Specific areas being addressed include; Network Operations, Communication Parts and Training.

#### *e. Recommendation 6.c:*

Develop the funding and training strategy to establish an information technology (IT) work force that will meet ANSF computer automation and information technology requirements at the strategic, operational, and tactical levels, to include a concerted effort to continue literacy training throughout the ANSF at a skill level required to support progress in technical training.

#### *f. NTM-A/CSTC-A Response Comments to DoD IG SPOI Draft Report Recommendation 6.c:*

NTM-A/CSTC-A concurs as written.

#### Discussion:

Funding for training is already established (see Recommendation 6.b response). ANA currently has three avenues with which to develop an IT workforce.

- 1) Signal School – Currently established to provide signal training to soldiers, NCO, and officers. Areas of focus currently include network operations, information assurance, communications security (COMSEC), frequency management, satellite technology, and basic ISP/OSP.
- 2) O&M Contract – As previously stated, the current O&M contract include hands on training of the operation, sustainment, and basic maintenance of the network at the network operations center (NOC) and Corps level.
- 3) Commercial/University Training – ANA signal personnel are sent to commercial training to cover advanced topics (Microsoft admin, Cisco admin, etc). This is budgeted for and run by the GSG6 Training Directorate.

In addition to IT training, a similar mechanism is in place for radio training.

- 1) Signal School – Teaches Level 1 (operator) maintenance and operation.
- 2) Radio Maintenance Training Contract – Current radio maintenance training contract provides Level 2 radio maintenance training at the Central Workshop, Corps, Brigades, and soon to be at the Logistics School. Contract expires September 2013 but has an additional one year option.

#### 7. Recommendation 7.a, Page 78, states:

Commander, NATO Training Mission – Afghanistan, in coordination with International Security Force Joint Command and the Ministry of Defense, work to deconflict guidance provided in the MoD Organization and Functions Manual, Chapter 37 and chapter 42a to clarify the relationship, roles and responsibilities of the Chief of General Staff and the Army Support Command.

#### *a. NTM-A/CSTC-A Response Comments to DoD IG SPOI Draft Report Recommendation 7.a:*

NTM-A/CSTC-A non-concurs as written.

#### Discussion:

NTM-A/CSTC-A recommends the following changes to more accurately reflect the command and control as well as functional relationship of the various components of the overall Logistics Concept of Support.

### NTM-A/CSTC-A RESPONSE TO CHANGES TO DRAFT REPORT

*“Assessment of U.S. Efforts to Develop the Afghan National Security Forces Command, Control, and Coordination System” (Project No. D2012-D00SPO-0085.000)*

The DoD IG SPO Draft Report states: “The ANA logistics organizational chart in the MoD Organizational and Functions Manual depicted the relationship between the ASC and GS as a “supporting” role rather than a “command” role. The supporting relationship between the GS and the ASC is reflected at Figure 16, found in Annex B of the MoD Organization and Functions Manual.”

Contrary to the depiction in Figure 16, the lines of command and control are well defined in the OFM for the Army Support Command (ASC), particularly Chapter 1 para 1-5e. Figure 16 should be corrected to reflect a “command” relationship between the ASC and CoGS. Therefore, the diagram depicted in Figure 16 should reflect a solid command line between CoGS and ASC as opposed to a dashed line. NTM-A/CSTC-A will work to make the necessary adjustments in the OFM.

DoD IG SPO Draft Report says: “The Army Support Command is not included in the illustration in Figure 17 taken from Annex B of the OFM. However, the ASC is a key logistics provider for the ANA and therefore must be featured.”

The ASC is not included in Figure 17 because it is the RLSCs that play a direct role in the Logistics Concept of Support and not the ASC. The RLSCs fall under the command and control of the ASC. The figure could be adjusted to reflect the command and control relationship between the 6 RLSCs and the ASC.

#### 8. Recommendation 7.b, Page 78, states:

Commander, NATO Training Mission – Afghanistan, in coordination with International Security Force Joint Command and the Ministry of Defense, train ANA leadership on logistics roles and responsibilities empowering the ASC to monitor and direct inventory re-distribution as needed to meet ANA priorities in accordance with the guidance provided in the OFM Annex B: Support of the Afghan National Army (Logistics Concept of Support).

*a. NTM-A/CSTC-A Response Comments to DoD IG SPOI Draft Report Recommendation 7.b:*

NTM-A/CSTC-A concurs as written.

#### Discussion:

This line of effort remains ongoing with the establishment of logistic mentoring teams at both the strategic and operational level. Logistic mentors within the Ministerial Advisory Group (MAG) advise senior Ministers within the Ministry of Defense (MoD) on logistic C2 processes and assist them in refining the current model which is illustrated in Figure 16 within the report. These individuals provide the interface between the General Support staff and NTM-A. A mentoring and advisory group at Regional Support Command-Capital provides mentoring directly to Commander Logistics Command and his subordinate commands at the national level: the ANA National Depot (Depot Zero), the Central Movement Agency. DCOM-SPO provides mentoring at the Army Support Command.

APPROVED BY:  
JONATHAN A. MADDUX  
BG, USA  
DCG SPT Commander

PREPARED BY:  
R. Reed Anderson  
LTC., USA  
DCG Ops DSN [REDACTED]

# International Security Force Joint Command Comments

## Final Report Reference

UNCLASSIFIED/FOUO

**DODIG Draft Report – Dated 16 November, 2012  
(DODIG Project Number D2012-D00SPO-0085.000)**

**"Assessment of U.S. Efforts to Develop the Afghan National Security Forces  
Command & Control System"**

*Commander, International Security Assistance Force Joint Command*

**RECOMMENDATION 1.:** Commander, International Security Assistance Force Joint Command, in coordination with Commander, NATO Training Mission-Afghanistan, and the Afghan Minister of Defense and General Staff, synchronize, stabilize, and finalize efforts to establish new or emerging Afghan National Army command and control organizational structures in order for these organizations to be properly mentored, developed, and validated for their full operational capability in support of the transition to ANA lead in security operations before summer of 2013.

**RESPONSE:** Partially concurs with information provided in this DODIG report. IJC requests slight modification of language for accuracy and correctness.

**RECOMMENDATION 2.a.:** Commander International Security Assistance Force Joint Command, in coordination with NATO Training Mission - Afghanistan, the Ministry of Defense, and General Staff, support and assist the Operational Planning Teams and new MOD leadership to quickly resolve the relevant issues regarding delineation of roles, missions, and functions directed by the Chief of the General Staff's cipher to ensure previously published GFC activation milestones can be achieved.

**RESPONSE:** Concurs with information provided in this DODIG report.

**RECOMMENDATION 2.b.:** Commander International Security Assistance Force Joint Command, in coordination with NATO Training Mission- Afghanistan, assist the Ministry of Defense to incorporate the new delineated General Staff and Ground Forces Command roles in the Ministry of Defense Organization and Functions Manual.

**RESPONSE:** Concurs with information provided in this DODIG report.

**RECOMMENDATION 2.c.:** Commander International Security Assistance Force Joint Command, in coordination with NATO Training Mission - Afghanistan, the Ministry of Defense, and the Ground Forces Command, schedule a capstone command and control validation exercise with the General Staff, ANA Corps, 111th Capital Division, and other relevant stakeholders that re-enforces understanding and responsibilities across functional staff areas.

**RESPONSE:** Concurs with information provided in this DODIG report.

**RECOMMENDATION 4.a.:** Commander, International Security Force Joint Command engage the Minister of Defense to encourage an amendment to the Inherent Law of Officers and NCO's providing specific language granting Chief of General Staff and Corps Commanders clear authority to remove and reassign officers for cause due to incompetence or corruption.

UNCLASSIFIED/FOUO



# International Security Force Joint Command Comments

## Final Report Reference

UNCLASSIFIED//FOUO

**RESPONSE:** Partially concurs with information provided in this DODIG report. IJC requests slight modification of language for accuracy and correctness.

**RECOMMENDATION 5.:** Commander, International Security Assistance Force Joint Command, in coordination with NATO Training Mission – Afghanistan, and leadership within the ANA, ANP, and NDS develop a joint staffing and equipment resourcing *tashkil* decree, a Memorandum of Agreement, or other appropriate mechanism to provide clear authority and daily administrative control of each OCC-P and OCC-R to the respective OCC Deputy Commander for Coordination.

**RESPONSE:** Concurs with information provided in this DODIG report.

**RECOMMENDATION 8.a.:** Commander, International Security Assistance Force Joint Command, in coordination with NATO Training Mission – Afghanistan, NATO Air Training Command – Afghanistan and the Afghan Air Force, conduct an evaluation of the frequency and type of air sorties used in support of ANSF versus Coalition missions.

**RESPONSE:** Concurs with information provided in this DODIG report.

### GENERAL COMMENTS ON THE REPORT

Examples of comments from previously-approved review efforts are listed below to guide action officers in completing this requirement.

1. (U) Recommendation 1 should be reworded as: "Commander, International Security Assistance Force Joint Command, in coordination with Commander, NATO Training Mission-Afghanistan, support the Afghan Minister of Defense and General Staff in the stabilization and finalization of efforts to bolster and develop existing Afghan National Army command and control organizational structures in order for these organizations to be properly mentored and validated for their full operational capability in support of the transition to ANA lead in security operations before summer of 2013"

RATIONALE: Relationship and mission accuracy

2. (U) Recommendation 4a should be reworded as: "Commander, International Security Force Joint Command engage the Minister of Defense to encourage an amendment to the Inherent Law of Officers and NCO's providing specific language granting Chief of General Staff and Corps Commanders clear authority to remove and reassign officers. An effective system of military justice and administrative process should be developed to allow removal of officers and soldiers. A system of administrative law should be instituted to support such decision making and ensure natural justice is served.

RATIONALE: Correctness and fidelity

APPROVED BY:  
Lawrence Nixon  
Col, USAF  
Inspector General

PREPARED BY:  
Simon Scott  
Col, GBR  
IJC FUPLANS, [REDACTED]

UNCLASSIFIED//FOUO

# 9th Air & Space Expeditionary Task Force Comments

Final Report  
Reference



**DEPARTMENT OF THE AIR FORCE**  
9th AIR & SPACE EXPEDITIONARY TASK FORCE - AFGHANISTAN  
KABUL, AFGHANISTAN

17 Dec 12


MEMORANDUM FOR USFOR-A/IG

FROM: 9 AETF-A/CoS

SUBJECT: Draft Report on "Assessment of U.S. Efforts to Develop the Afghan National Security Forces Command & Control System" Recommendations

1. In response to subject tasker for coordination on recommendations in the draft report concerning Afghan Command and Control System, the Air Component portion of the recommendations is in response to recommendation 8b., which states: *Commander, International Security Assistance Force, in coordination with NATO Training Mission - Afghanistan, NATO Air Training Command - Afghanistan, and Afghan Air Force, determine how ANSF air support needs will be met post-2014 based on the results of this evaluation and establish an integrated and coordinated plan to meet these needs.*

2. 9 AETF-A/CC concurs without comment to proposed recommendation 8b. If you have any questions, please contact me at DSN [REDACTED]

  
JAMES L. FISHER, Col. USAF  
Chief of Staff

Global Power For America



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# **APPENDIX F. Report Distribution**

## **OFFICE OF THE SECRETARY OF DEFENSE**

SECRETARY OF DEFENSE

DEPUTY SECRETARY OF DEFENSE

UNDER SECRETARY OF DEFENSE FOR ACQUISITION, TECHNOLOGY, AND LOGISTICS

UNDER SECRETARY OF DEFENSE FOR POLICY

ASSISTANT SECRETARY OF DEFENSE FOR ASIAN AND PACIFIC SECURITY AFFAIRS

ASSISTANT SECRETARY OF DEFENSE FOR INTERNATIONAL SECURITY AFFAIRS

DEPUTY ASSISTANT SECRETARY OF DEFENSE, AFGHANISTAN, PAKISTAN, AND  
CENTRAL ASIA

## **JOINT STAFF**

CHAIRMAN OF THE JOINT CHIEFS OF STAFF

DIRECTOR, PAKISTAN/AFGHANISTAN COORDINATION CELL

## **COMBATANT COMMANDS**

COMMANDER, U.S. CENTRAL COMMAND

COMMANDER, INTERNATIONAL SECURITY ASSISTANCE FORCES//U.S. FORCES  
AFGHANISTAN\*

COMMANDER, INTERNATIONAL SECURITY ASSISTANCE FORCES JOINT COMMAND\*

COMMANDER, NATO TRAINING MISSION/COMBINED SECURITY TRANSITION  
COMMAND-AFGHANISTAN\*

## **DEPARTMENT OF STATE**

U.S. AMBASSADOR TO AFGHANISTAN

INSPECTOR GENERAL, DEPARTMENT OF STATE

## **DEPARTMENT OF THE ARMY**

AUDITOR GENERAL, DEPARTMENT OF THE ARMY

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# Special Plans & Operations

Provide assessment oversight that addresses priority national security objectives to facilitate informed, timely decision-making by senior leaders of the DOD and the U.S. Congress.

## General Information

Forward questions or comments concerning this assessment and report and other activities conducted by the Office of Special Plans & Operations to [spo@dodig.mil](mailto:spo@dodig.mil)

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